

FILE COPY

Summary of CMS&H Criminal Cases Prosecuted/Dismissed since September 1990,
or with Pending Indictments or Pleas,
Excluding Respirable Dust Cases

8/6/99

TCID CRIM#	Case# Mine ID#	Company Charged (not charged) Date	Individual Charged	(Company) / Individual Plea Entered	Trial Scheduled/ (Conviction)	# Counts Plea/ Convict.	(Company) / Individual Sentence
46	BARB-CSI-89-02	(N/A - Illegal Mines) 5/2/90	A. Lawson J. Freeman T. Freeman J. Lane V. McFarland P. Hambree D. Chambers B. Taylor	9/21/90 9/21/90 12/14/90 12/14/90	(6/5/91)	4 3 2 4 Dropped Acqttal Acqttal	5 yr 5 mo jail 7C day jail and \$400 20 day jail and \$25 40 day jail 70 day jail and \$100
43	BARB-CSI-89 01 15-16489	(Belva Contracting) 12/6/89	A. Lake		(10/25/90)	5	6 mo jail and 6 mo supervised release
N/A	BARB-CSI-85-08 15-13127	(R & R Coal Company) 11/15/89	K. Crawford Z. Crawford T. Crawford J. Crawford			Acqttal Acqttal Acqttal Acqttal	2/8/91 2/8/91 2/1/91 2/8/91
53	TASK-ILM-89-01	(N/A - Illegal Mines) 12/7/90 & 1/10/91	C. Mills O. Hubbard P. Hubbard Hubbard K. Hubbard J. L. Mills M. Hubbard J. Hubbard W. D. Hubbard L. E. Mills	3/18/91 3/2/92 3/2/92 3/2/92 3/2/92 3/2/92		3 4 3 3 3 Dropped Dropped Dropped Dropped	2 yr probation 5 yr jail 1 no home detent. 1 mo home detent. 7 mo jail 5 yr jail

AB14 HEARLD
AB18 HEARLD

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45	NORT-CSI-90-14 44-06557	Westar Coal Company 7/26/90	B. Nichols R. Owens J. Davis, Jr D. Green A. Morgan B. Hurley W. Blankenshield M. Fields R. Dotson	4/1/91 4/1/91 4/1/91 4/1/91 4/1/91 4/1/91 4/1/91 4/1/91		Dropped 1 1 1 1 1 1 1 1 1	5 mo jail and \$2,300 5 mo jail and \$1,000 21 mo jail 6 mo correctional center 5 mo jail and \$500 4 mo jail 6 mo correctional center 6 mo correctional center 6 mo correctional center
0/A	BARB-CSI-90-13 40-00592	(A.V. & L. Coal Company) 9/13/90	A. Welton			Dropped	Defendant incompetent to stand trial
51	BARB-CSI-89-06 15-14311	Harlan Wallins Coal Company, Inc. 2/7/91	F. Sizemore R. Hardy C. Robbins G. Robbins R. Bolin E. Clark	6/19/91 8/16/91 10/15/91 10/15/91 1/17/92		Dropped 1 1 1 1 1 1	3 yr probation 3 yr probation 6 mo jail 6 mo jail 1 day jail 3 yr prob 0
N/A	BARB-CSI-85-05 15-14856	Flat Rock Coal Company 3/20/90	C. Robbins G. Robbins			Dropped Dropped	Due to plea in BARB-CSI-89-06
N/A	N/A	(Dave's Branch, Inc.) 8/1/91	L. Yonts			Dropped	Pay a \$4,000 civil penalty and comply w/ injunction to not harass CMT'S

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50	BARB-CSI-91-03 40-02807	West Coal Company d/k/a/ Green Branch Mining, Inc. 12/19/91		(12/19/91)		5	(3 yr probation and pay \$256,000 in MSHA civil penalties)
52	BARB-CSI-91-06 40-02755	(Faith Coal Company) 3/2/92	L. Stockwell	3/2/92		2	No serious unwarrantable during 3 yr probation and \$1,500
54	HOPE-CSI-87-04 46-03356	Terry Eagle Coal 1/6/92	H. Hinkle	(4/15/92) 1/6/92		2 2	(\$1,000,000 fine) 1 yr suspended sentence 2 yr probation and \$1,000
114	HOPE-CSI-91-14 46-07512	Five Creek, Inc 12/18/91 & 4/28/92		(8/26/92)		4	(\$400,000 fine and 5 yr probation or until fine is paid)
			R. Dyson, Sr.	8/24/92		2	6 mo home detent., 2 yr. probation, 200 hrs community service to train miners in mine safety and \$2,000
			C. Stillwell	8/31/92		2	6 mo jail, 6 mo home detent. and 2 yrs supervised release
			W. Monk	8/24/92		2	6 mo jail, 6 mo home detent. and 2 yrs supervised release
			R. Lilly	8/24/92		1	6 mo home detent. 2 yrs probation, 200 hrs community service to train miners in mine safety and \$4,000

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			D McCOLO	9/26/92		2	6 mo home detent. 6 mo probation and 300 hr community service
			W Ealley	3/3/93		2	3 yrs probation, 200 hr; community service and \$5,000
57	BARB-CSI-89-03 15-16108	Steel Hollow Mining, Inc. 9/19/91	T. Crawford		(8/11/92)	6	(No sentence) 1 yr jail suspended, 24 hr per weekend jail for 1 yr and 5 yrs probation
			Danny Crawford		(8/11/92)	6	6 mo jail served from 9:00 pm - 6:00 am nightly, 1 yr probati pay attorney fee and \$15,000
			Dennis Crawford		(8/11/92)	7	18 mo jail and 3 yrs probation
56	BARRE-CSI-92-10 40-02934	Kellys Creek Resources 7/23/92	Rogers	(7/29/92) 7/29/92		3	(\$5,000 fine) 3 yr probation and \$5,000

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54	TASK-ILM-91-01 15-16664	(Black Diamond Coal Company) 3/5/92	H Polly	7/28/92		2	6 mo jail weekends, 5 yrs probation and pay \$71,252 restitution
			D Jones	7/28/92		1	1 mo jail weekends 3 yrs probation
48	TASK-ILM-92-04	(Bowling & Associates) 7/2/92	J Bowling	Pretrial diversion 11/12/92		1	18 mo probation and 50 hrs community service
102	BARB-CST-90 02 15-15513	(Training contractor) 7/16/92	W. Fulton		(11/4/92)	2	6 mo home detention and 2 yrs probation
107	NORT-CST-91-21 44-05668	J & T Coal, Inc 12/16/92	G. Williams	3/18/93	(3/18/93)	3	(\$1,078,713 fine) 18 mo jail, 1 yr supervised release & \$20,000
105	HOPE-CST-90-01 46-07522	Meadow Energy, Inc 2/3/93	A. Stump	Pretrial diversion 6/7/93		4	(\$50,000 fine, 4 yrs probation, 25 comput for local schools an related training)
			V. Stump	Pretrial diversion 6/7/93		1	1 yr probation

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103	MADI-CST-87-01 89-01, 83-02, 89-04, 90-05, 90-06 15-13861 & 15-13920	Pyro Mining Company 2/19/93		(2/19/93)		32	(\$3,000,000 criminal fine and \$710,568 in civil penalties plus \$44,432 in prosecution cost)
			N Tichenor	2/5/93		1	1 yr probation and \$4,000
			C Noh son	3/10/93		5	1 yr probation and \$2,000 fine + \$1,383.60 in supervision cost
			E Erber	3/10/93		2	1 yr probation and \$2,000 fine + \$1,383.60 in supervision cost
			P Ray	3/10/93		1	1 yr probation and \$2,000 fine + \$1,383.60 in supervision cost
			G Johnson	3/11/93		1	4 mo home detent. 1 yr probation and \$2,000
			N Greenlee	3/18/93		1	2 mo home detent. 1 yr probation, and \$2,500 fine + \$1,383.60 in supervision cost
			L Hunt	3/18/93		2	1 yr probation and \$5,000
			C Schimp	3/22/93		3	6 mo home detent., 3 yrs probation and \$3,000

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			D Lamb	3/22/93		5	4 mo home detent., 1 yr probation, and \$2,000 fine + \$1,383.60 in supervision cost
			R Bowles	3/22/94		2	4 mo home incarceration 3 yrs probation and \$3,000
			D. Steele	1/29/96		8	18 mo jail, 3 yrs probation, and \$3,000
			M. McDowell	1/25/96		3	6 mo jail, 6 mo home detent., 3 yrs probation, and \$3,000
			R. Faulk	1/23/98		15	5 mo jail, 5 mo home detent., 1 yr probation
			D. Shanks	4/20/95		2	2 mo home incarceration 1 yr probation and \$2,000
			J Greenwell	6/3/96 (civil action)		N/A	\$5,000 fine (c) civil fine
108	HOPE-CST-24- 91-66 46-05421	Sliver Foels Inc 3/19/93		(3/25/93)		1	(3 yrs probation and \$50,000 fine)

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133	PIKE-CSE-20-01 15-16072	(Todco Hinc) 9/2/93	M Dalton	11/22/93		1	1 mo electronically monitored home detent., 1 yr probation and 200 hrs. community service
			N Cline		(12/8/93)	6	1 mo jail, 5 mo home detent., and \$40,000 fine + \$2,036.50 in supervision cost
			T Marcum	11/10/93		1	6 mo jail weekends, 2 yrs probation
129	BARB-CST-23-02	(Faith Coal Company)	L Stockwell	(9/15/93)		N/A	Probation given in BARB-CST-91-06 was revoked, 1 mo jail
146	NORT-CST-22-12 44-06395	Bren Co th Company 9/17/93		(3/24/94)		2	(2 yrs probation, jointly pay w/Horn \$3,080 to widow and pay \$88,636 in MSHA civil penalties)
			B Horn	2/24/94		2	90 days community confinement, 2 yrs probation, \$5,000 + jointly pay w/company \$3,080 to widow
			E Colley	3/24/94		2	1 yr probation
			O Owens	Pretrial diversion 2/15/94			1 yr probation
132	TASK-ILM-23-07	(Handshoe Training Center) 9/30/93	E Handshoe	11/10/93		1	3 mo home detention, 1 yr probation and \$1,000

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145	MADI-CSI-91-14 15-16314	(Prosperity Mining, Inc.) 11/1/93 Superseding 2/8/94	L. McCoy	3/22/94		3	18 mo probation, and \$750 in costs and fines
			U. Knapp	3/22/94		2	12 mo probation, and \$500 in costs and fines
			M. Day	3/22/94		1	9 mo probation, and \$500 in costs and fines
137	TASK-ILM-94-103 (OSE-93-43) Contractor ID KJG	Industrial Education Corp. 11/22/93		(11/22/93)		1	(1 yr unsupervised probation and \$3,000)
			N. Mullins, Jr.	11/22/93		1	2 yrs unsupervised probation and \$2,000
138	BARB-CSI-93-04	(Training Contractor) 11/29/93	G. Sparkman	11/29/93		1	2 yrs probation and \$2,000
			J. Maynard	11/29/93		1	2 yrs probation and \$2,000
147	HOPE-CSI-92-59 46-02383	Pinnacle Creek Development, Inc. 11/30/93				Dropped	
			C. Lamhert	3/29/94		2	Suspension of State & Federal miner certifications for 3 yrs probationary period
			J. Jones	3/29/94		1	3 yrs probation, 200 hrs community service, forfeiture of miner cert. and prohibited from working in a mine for a period of 3 yrs

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154	NORT-CST-83-14A 44-06594	Southmountain Coal Company 1/3/94 Superseding 5/1/94	F. Deatheage	8/26/94		3	60 mths halfway house, (2 concurrent) 12 mo probation terms and \$3,000
			K. Brooks	8/26/94		2	3 mo community confinement, 1 yr probation and \$5,000
			P. Ramey	8/9/94		2	(2 concurrent) 12 mo probation terms
			D. Goode	9/27/94		2	12 mo jail, 3 yrs probation and \$5,000
	NORT-CSH-93-14B	Apple Coal Company 12/8/94	W. Elkins	4/25/95	(4/25/95 settled)	Dropped	6 mo jail, 3 yrs probation and \$5,000

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142	PIKE-CSI-93-05 15-16545 *	(3 & S Collieries, Inc.) 2/3/94	W. McCoy	11/9/93		1	Community Service @ \$350
			C. Street	5/9/94		3	*4 consecutive weekends in jail, 1 yr probation and \$2,000
			G. Jones	5/9/94		3	*1 yr probation and \$2,000
			B. Hopkins				Dropped
151	PIKE-CSI-92-04 15-13683 *	(Miller Branch Enterprise of Pike, Inc.) 3/3/94	J. Blackburn	6/6/94		5	*10 mo Jail, 1 yr supervised release and \$3,000
			A. Justice	6/6/94		2	*1 yr Probation and \$1,000
			R. Raines	5/9/94		1	1 yr probation and \$500
144	MORG-CSI-92-04 46-06548	(Baldwin fining Company) 3/14/94	A. Davis	3/14/94		1	6 mo probation and \$5,030
155	WILK-CSI-92-02 36-07558	(Six Par Coal Company) 7/25/94	C. Bartholomew	8/22/94		2	1 yr probation
			W. Knorr	8/22/94		2	1 yr probation

* Successful litigation was reached in these cases in both respirable dust and mandatory safety and health issues

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157	BARB-CSI-94-04 15-16627	(Jericol Mining Inc.) 8/4/94	T. Sheback H. Blakely	Pretrial diversion 5/16/95		Dropped	1 yr Probation
			A. Bartee	9/27/94		1	6 mo probation
			S. Reasor	Pretrial diversion 5/16/94			1 yr Probation
#59	TASK-ILM-95-#00 (OSE-93-25) 15-07441	Fools Gold Energy Corporation 10/31/94	B. Bevins	10/21/94		3	1 yr probation and \$4,000 fine
			M. Nuncy	10/21/94		1	1 yr probation, 100 hrs community service and \$500
			A. Reed	10/21/94		1	1 yr probation, 100 hrs community service and \$500
150	PIKE-CSI-93-14 15-17236	(Mountaintop Restoration, Inc) 9/1/94	M. Hurley	11/10/94		2	60 days electronically monitored home detentior and 3 yrs Probation
161	WILK-CSI-93-07 36-02053	(Buck Mountain Coal Company) 10/27/95	R. Kocher Sr P. Zimmerman	12/9/94 11/18/94		2 1	18 mo jail and 3 yrs supervised release 3 yrs probation and \$50

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162	HOPE-CSI 93-Z0 46-08104	(War Eagle Construction Co Inc.) 12/12/94	K C Whom	12/12/94		1	3 yrs probation and \$1,000
1E3	NORT-CSI-94-18	(Bruce Coal Company, Inc.) 12/8/94	Darlene Turner Dennis Turner		(3/22/95)	4	1-1/2 yrs jail, 3 yrs probation and \$4,000
			Dennis Turner			5	2 yrs jail, 3 yrs probation and \$5,000
			D. Kendrick			1	≥ yrs probation
N/A	NORT-CTI-95-01 44-06452	(Threat to Inspector) 4/4/95	G. Smith	4/4/95		1	≥ yrs probation \$5 000
165	PITT-CSI-94-14 36-08191	Doverspike Brothers Coal Company 4/7/95	C Ishman		(4/7/95)		(3 yrs probation and \$10,000 fine)
			R Rearick				1 yr probation
			R Rearick				1 yr probation
			R Rearick				1 yr probation
4E6	MADI-CSI-93-03 15-17232 Contractor MD ITP	(Draining Contractor) 5/6/95	B Glaysbrook	4/11/95		4	18mo probation and \$200 0
			G. Peyton (d/b/a Ky Procs. Co.)	11/21/95 (settled)		N/A	\$40,000 110(c) civil fine

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			D. Baird	11/21/95 (settled)		N/A	\$5,000 110(c) civil fine
			P. Lloyd	11/21/95 (settled)		N/A	\$5,000 110(c) civil fine
167	NORT-CSI-95-09 (OSE 94-10) 44-06474	(Training Contractor) 12/8/94	H. Harrison	5/26/95		4	3 yrs probation and \$1,000
169	HOPE-CSI-92-57 46-07877	(Little Darrell Coal Company) 6/8/95 & 9/24/96 Superseding 1/10/97	L. Greenwall	6/8/95		1	13 yrs probation and \$1,000
			W. Addair		(3/5/97)	1	24 mo jail, 3 yrs supervised probation
			D. Addair		(3/5/97)	3	21 mo jail, 3 yrs supervised probation 8 hrs. community service ea. mo of probation
170	NORT-CST-95-07	Sigmon Mining Inc. 10/4/95 11/20/97		(11/20/97)		1	(\$10,000 fine)
			A. Creech	10/4/95		1	3 yrs probation and \$500
171	NORT-CSI-95-21 44-05616	(North Branch Company, Inc.) 11/2/95	L. Deskins, Jr.	11/2/95		1	15 mo probation and \$1,000
172	BARB-CSI-94-23 15-16439	(Day Branch Coal CO.) 11/3/95	E. Hampton	11/3/95		1	2 yrs probation and \$250
173	NORT-CSI-93-39 44-05923	(Key West Mining, Inc.) 6/8/95	N. Vandyke	11/21/95		1	3 yrs probation, 3 mo home detent. and \$1,000

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			J. Vandyke	11/21/95		1	3 yrs probation, 6 mo home detent. and \$1,000
			R. Mullins	11/21/95		1	3 yrs probation, 3 mo home detent. and \$1,000
174	HOPE-CSI-95-10 46-04844	(G.M. Mining, Inc.) 11/29/95	R. Foley	11/29/95		1	3 yrs probation and \$1,000
			E. Spears	11/29/95		1	3 yrs probation and \$1,000
177	VINC-CSI-94-05 12-02033	Buck Creek Coal, Inc. 2/22/96		(6/4/96)		12	(\$50,000 fine)
			D. Steele	2/22/96		1	18 mo jail, 3 yrs probation and \$3,000
			G. Benson	7/19/96		2	2 yrs probation and \$100
			L. Griffin	7/19/96		1	5 mo jail, 5 mo home detent., 3 yrs probation and \$500
			T. Talley	7/19/96		3	4 mo home detent., 2 yrs probation and \$100
			R. Denney	7/19/96		1	4 mo home detent., 3 yr: probation and \$250
			M. Wilson D. Griffin R. Taylor				Dropped Deceased Dropped

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178	VINC-CSI-96-04 12-02033	(Buck Creek Coal, Inc.) 2/22/96	M. McDowell	2/22/96		1	6 mo jail, 6 mo detent., 3 yrs probation, and
179	HOPE-CSI-96-68 46-07467	(Long Term Coal Company) 2/23/96	P. Browning	2/23/96		1	3 mo electronically monitored home detent. 2 yrs probation, and \$1,000
			C Blenkinship	2/23/96		1	2 mo electronically monitored home detent. and 2 yrs probation
			C Cohour	2/14/96		1	2 mo electronically monitored home det 2 yrs probation, a \$500
			I M Coy	4/1/96		1	2 mo electronically monitored home detent. and 2 yrs probation
180	HOPE-CSI-96-18	(Training Contractor) 3/28/96	D Roberts	4/4/96		1	3 yrs probation and \$1,000
181	HOPE-CSI-96-22	(Training Contractor) 3/28/96	D L g	4/12/96		1	2 yrs probation and \$1
182	HOPE-CSI-96-20	(Training Contractor) 3/28/96	D Adkins	4/15/96		1	3 yrs probation and \$2,000
183	HOPE-CSI-96-24	(Training Contractor) 3/28/96	W. Crawford, Jr.	4/8/96		1	3 yrs probation and \$3,000

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184	HOPE-CST-2E-16	(Training Contractor) 3/28/96	J. Feltner	4/22/96		1	6 mo electronically monitored home detent., 3 yrs probation and \$1,000
185	HOPE-CEI-3E-17	(Training Contractor) 3/28/96	H. Wayne	4/22/96		1	6 mo electronically monitored home detent., 3 yrs probation
186	HOPE-CST-9E-21 Contractor ID IAC	(Training Contractor) 3/28/96	F. Wayman	E/2/96		1	6 mo electronically monitored home detent., 3 yrs probation and \$1,000
187	BARB-CST-24-31 15-16418	(Day Branch Coal Co.) 6/3/96	E Hensley	E/10/96		1	12 mo jail release \$, 5 yrs probation and \$4 000
188	BARB-CST-24-25 15-16418	(Day Branch Coal Co.) 6/3/96	E Hensley	6/10/96		3	(See BARB-CST-24-31)
189	HOPE-CST-96-12 Contractor ID KIK	(Training Contractor) 3/28/96	F Sparks	6/21/96		1	6 mo electronically monitored home detent., 3 yrs probation and \$1,000
190	NORT-CST-22-26 44-01549	(Sherry Lynn Coal Co., Inc.) 6/21/96	R. Curtis	6/21/96		1	4 mo home detent., 3 yrs probation and \$1,00
191	BARB-CST-96-10 15-16478	(Hubb Corporation) 5/18/96	T. Sheback	7/1/96		2	4 weekends home detent, and 1 yr probation
N/A	PIKE-CST-26-05 15-17227	(E & B Coal Company) 4/7/96	D. Mead			Acq[ttal]	

Summary of CMS&H Criminal Cases Prosecuted/Dismissed since September 1990,
or with Pending Indictments or Pleas,
Excluding Respirable Dust Cases 8/6/99

TCID CRIM#	Case# Mine ID#	Company Charged# (not charged) Date	Individual Charged	(Company) / Individual Plea Entered	Trial Scheduled/ (Conviction)	# Counts Plea/ Convict.	(Company) / Individual Sentence
132	#OPE-CST-35-23 Contractor ID UVK	(Training Contractor) 3/28/96	C Hatfield	7/3/96		1	1 yr probation and \$300
133	MADI-CST-33-07 15-02013	(Andalex Resources Inc.) 7/12/96	I Eozarth	7/12/96		2	12 mo probation and \$1,500
194	HOPE CST-35-1E 46-08066	(Empire Energy, Inc.) 8/22/96	S Zwomling	8/22/96		1	2 yrs probation and \$1,000
135	HOPE-CST-95-28 46-08007 **	M.A.G. Incorporated 9/10/96 Superseding 1/10/97	A White	(10/15/96) 10/15/96		3	(\$10,000 fine and 1 yr probation) 3 mo jail, 3 mo home detent., 1 yr probation 40 hrs. community service and \$10,000
			F Workman	10/15/96		1	3 yrs probation and 120 hrs. community service
			N Sizemore	10/15/96		1	2 yrs probation and 80 hrs. community service
			M Stanley	10/25/96		1	8 days halfway house, 3 yrs probation, 120 days community services and \$5,000
			T bott	10/25/96		1	1 yr probation and \$250

** This case is listed on this report for tracking purposes only and is not included in the total page of this report.
The company and individuals in this case plead guilty to violations of both respirable dust and mandatory health and
safety issues. The case company and individuals are also reported on the Summary of Criminal Charges Stemming from
CMS&H Respirable Dust Cases report and included in the totals page of that report.

Summary of CMS&H Criminal Cases Prosecuted/Dismissed since September 1990,
 or with Pending Indictments or Pleas,
 Excluding Respirable Dust Cases

8/6/99

TCID CRIM#	Case# Mine ID#	Company Charged (not charged) Date	Individual Charged	(Company) / Individual Plea Entered	Trial Scheduled/ (Conviction)	# Counts Plea/ Convict.	(Company) / Individual Sentence
196	BARB-CSI-95-32 01-02985	(Apex Coal Corporation) 11/21/96, 12/10/96 & 12/11/96	W Williams B Johnson	11/21/96 12/10/96		1 1	24 mo probation and \$5,000 2 m probation 50 hrs community services
197	DENV-CSH-94-10	(Heyer Environment Safety and Health Services) 5/4/96 Superseding 9/20/96	T Clark H Heyer	12/11/96 1/3/97		1 1	24 mo probation and \$500 21 mo jail and 2 yrs probation
198	PIKE-CSI-96-09 15-16126	(M&D Coal Co Inc.) 7/16/97 & 8/18/97	B Yones M Meade	10/6/97 8/9/98		3 2	2 mo home detention and 24 mo probation 4 mo home incarceration and 2 yrs supervised probation
199	HOPK-CSI-95-02A 46-07821	(Lockridge Development, Inc.) 10/8/97 & 2/10/98 & 4/23/98	J. Fisher W. Grimmer T. Morgan M. Estremera	10/8/97 11/4/97 11/25/97 5/4/98		1 1 1 1	1 yr probation 2 yrs probation 1 yr probation 3 yrs probation, and \$3,000
			X Compton	11/13/98		1	32 mo incarceration and 3 yrs supervised probation

Summary of CMS&H Criminal Cases Prosecuted/Dismissed since September 1990,
or with Pending Indictments or Pleas,
Excluding Respirable Dust Cases 8/6/99

TCID CRIM#	Case# Mine ID#	Company Charged (not charged) Date	Individual Charged	(Company) / Individual Plea Entered	Trial Scheduled/ (Conviction)	# Counts Plea/ Convict.	(Company) / Individual Sentence
200	BARB-C&SI-97-03 15-17047	(B&C Coal Co. Inc.) 10/22/97	D Middleton	10/22/97		2	2 mo electronically monitored home detent., 2 yrs probation and \$2,250
202	HOPE-C&SI-97-01 46-06382	(Navaro Mining, Inc.) 11/3/97 & 5/6/98	W. Williamson A. Monk	11/3/97		1	3 Yrs probation and \$2,000
203	HOPE-C&SI-97-02 46-06382	(Randolph's Safety & Training) 12/8/97	R. Richardson	12/8/97		1	3 Yrs probation and \$2,000
204	NORT-C&SI-97-03 44-06708	Solus Coal Inc 11/13/97 Covenant Coal Co. 2/22/99	W Phillips B Lamie R Brown	1/7/98 6/3/98 E/9/98	5/3/99	1C 1 1 7	(5 yrs probation and \$250,000 - re-sentenced 2/4/99 and fine increased to \$500,000) 6 mo home detent., 2 Yrs. probation and \$2,000 1 Yr probation and \$1,000 6 mo home detent., 4 Yrs. probation and \$5,000

Summary of CMS&H Criminal Cases Prosecuted/Dismissed since September 1990,
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Excluding Respirable Dust Cases

8/6/99

TCID CRIM#	Case# Mine ID#	Company Charged (not charged) Date	Individual Charged	(Company) / Individual Flea Entered	Trial Scheduled/ (Conviction)	# Counts Plea/ Convict.	(Company) / Individual Sentence
205	DENV-CSI-96-02 48-00997	(Philip's Welding Service) 1/23/98	P. Hoy	10/30/98		1	3 mo incarceration, 3 yrs probation and \$3,001
			D. Parsons	4/14/98		1	2 yrs supervised probation, 200 hrs community service, mental health counseling and a substance abuse treatment program and \$500
206	NORT-CSI-97-01 46-06634	Parts Corp. of America 11/13/97		(8/20/98)		6	(5 yrs probation and \$500,000 fine[\$250,000 victim family; \$150,000 criminal fine and \$100,000 civil fines])
			C. Deel	8/28/98		6	3 yrs probation and \$3,000
			G. Winebarger	8/28/98		1	3 yrs probation and \$2,500
207	HOPE-CSI-95-23 Contractor ID ZP8	Lee Sartin Trucking Company, Inc. 9/22/98		(9/22/98)		1	(3 yrs probation and \$15,000)
			J. Linville	9/22/98		2	2 yrs probation and \$500

Summary of CMS&H Criminal Cases Prosecuted/Dismissed since September 1990,
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8/6/99

TCID CRIM#	Case# Mine ID#	Company Charged (not charged) Date	Individual Charged	(Company) / Individual Plea Entered	Trial Scheduled/ (Conviction)	# Counts Plea/ Convict.	(Company) / Individual Sentence
210	BARB-CSI-97-09 15-17172	(Bledsoe Coal Corporation) Mine No. 66 9/24/98	R. Helton	11/23/98		1	2 yrs supervised probation and \$5,000
			C. Sams	11/23/98		2	2 yrs supervised probation and \$5,000
			R. Bowling	11/23/98		2	*2 yrs supervised probation and \$5,000
			K. Collett	11/23/98		2	2 yrs supervised probation and \$5,000
			W. Causey	11/23/98		2	2 yrs supervised probation and \$5,000
211	BARB-CSI-98-15 15-12941	(Bledsoe Coal Corporation) Mine No. 60 9/24/98	R. Bowling	11/23/98		2	*See TCID No. 210
212	NORT-CSI-96-07 44-04946	(Southeast Transport Corporation (aka Southern Trucking Corporation)) 7/5/97	D. Pruitt	2/25/99		1	4 mos Jail, 4 mos home detent., 3 yrs supervised probation, \$20,000 fine and cost of incarceration/home detent.
213	VINC-CSI-97-07 11-03002	(Illini Energy Resources, LLC) 3/1/99	D. McDonald	3/17/99		1	\$1,000 fine

Summary of CMS&H Criminal Cases Prosecuted/Dismissed since September 1990
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8/6/99

TCID CRIM#	Case# Mine ID#	Company Charged (not charged) Date	Individual Charged	(Company) / Individual Plea Entered	Trial Scheduled/ (Conviction)	# Counts Plea/ Convict.	(Company) / Individual Sentence
214	MADI-CST-98-02 15-14492	(Lodestar Energy Inc.) 2/3/99	C Sommers	4/27/99		4	3 yrs. probation and \$1,443.97 restitution
215	NORT-CST-99-04 44-06744	(Training Contractor) 5/17/99	3 Pugh	5/17/99		1	12 mos jail, 3 yrs supervised release and \$3,000
	NORT-CST-98-10 44-06744	(Upper Mill Mining Company) 7/10/98	E McManah				

Summary of CMS&H Criminal Cases Prosecuted/Dismissed since September 1990,
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 Excluding Respirable Dust Cases

8/6/99

TCID CRIM#	Case# Mine ID#	Company Charged (not charged) Date	Individual Charged	(Company)/ Individual Plea Entered	Trial Scheduled/ (Conviction)	# Counts Plea/ Convict.	(Company)/ Individual Sentence
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Totals - Pleas/Convictions

	<u>Cases</u>	<u>Companies</u>	<u>Individuals</u>
FY 91	4	0	16
FY 92	7	5	22
FY 93	12	4	16
FY 94	13	3	29
FY 95	9	2	17
FY 96	23	1	36
FY 97	3	0	6
FY 98	9	4	16
FY 99	6	0	12