# STELLER SEA LION (Eumetopias jubatus): Eastern U. S. Stock

# STOCK DEFINITION AND GEOGRAPHIC RANGE

Steller sea lions range along the North Pacific Rim from northern Japan to California (Loughlin et al. 1984), with centers of abundance and distribution in the Gulf of Alaska and Aleutian Islands, respectively. The species is not known to migrate, but individuals disperse widely outside of the breeding season (late May-early July), thus potentially intermixing with animals from Despite the wide-ranging other areas. movements of juveniles and adult males in particular, exchange between rookeries by breeding adult females and males (other than between adjoining rookeries) appears low (NMFS 1995).

Loughlin (1997) considered the following information when classifying stock structure based upon the phylogeographic approach of Dizon et al. (1992): 1) Distributional data: geographic distribution continuous, yet a high degree of natal site fidelity and low (<10%) exchange rate of breeding animals between rookeries; 2) Population response data: substantial differences in population dynamics (York et al. 1996); 3) Phenotypic data: unknown; and 4) Genotypic data: substantial differences in

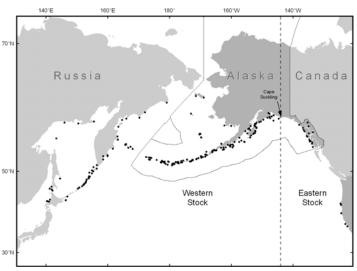


Figure 3. Approximate distribution of Steller sea lions in the North Pacific. Major U.S. haulouts and rookeries (50 CFR 226.202, 27 August 1993) and active Asian haulouts and rookeries (Burkanov and Loughlin, 2005) are depicted (points). Black dashed line ( $144^{\circ}$  W) indicates stock boundary (Loughlin 1997). Note: Haulouts and rookeries in British Columbia are not shown.

mitochondrial DNA (Bickham et al. 1996). Based on this information, two separate stocks of Steller sea lions were recognized within U. S. waters: an eastern U. S. stock, which includes animals east of Cape Suckling, Alaska (144°W), and a western U. S. stock, which includes animals at and west of Cape Suckling (Loughlin 1997, Fig. 3).

Steller sea lions that breed in Asia have been considered part of the western stock. While Steller sea lions seasonally inhabit coastal waters of Japan in the winter, breeding rookeries are currently only located in Russia (Burkanov and Loughlin, 2005). Analyses of genetic data differ in their interpretation of separation between Asian and Alaskan sea lions. Based on analysis of mitochondrial DNA, Baker et al. (2005) found evidence of a genetic split that includes Commander Island (Russia) within the western U.S. stock. However, Hoffman et al. (2006) did not support this split based on analysis of nuclear microsatellite markers indicating high rates of male gene flow. While all genetic analyses confirm a strong separation between western and eastern stocks, recent work indicates that western stock haplotypes are present in southeast Alaska rookeries (Gelatt et al. 2007).

#### **POPULATION SIZE**

The eastern stock of Steller sea lions breeds on rookeries located in southeast Alaska, British Columbia, Oregon, and California; there are no rookeries located in Washington. Counts of pups on rookeries conducted near the end of the birthing season are nearly complete counts of pup production. Calkins and Pitcher (1982) concluded that the total Steller sea lion population could be estimated by multiplying the pup counts by a factor of 4.5, which was based on the birth rate, and the sex and age structure of the western Steller sea lion population in the central Gulf of Alaska. Using the most recent 2002-05 pup counts available by region from aerial surveys across the range of the eastern stock, the total population of the eastern stock of Steller sea lions is estimated to be 48,519 or 54,989. These are based on multiplying the total number of pups counted in southeast Alaska 5,510 in 2005; NMFS 2006), British Columbia (3,318 in 2002; Pitcher et al. 2007), Oregon (1,136 in 2002; Pitcher et al. 2007), and California 818 in 2004; NMFS 2006) by either 4.5 (Calkins and Pitcher 1982) or 5.1 (Trites and Larkin 1996). These are not

minimum population estimates, since they are extrapolated from pup counts from photographs taken in 2002-05, and demographic parameters of a stable, equilibrium non-pup population that were estimated for the western Steller sea lion in the mid-1970s (Calkins and Pitcher 1982). Trites and Larkin's (1996) pup multiplier accounts for pups that die and disappear prior to, as well as pups born after, the counts are conducted. A pup multiplier is used for estimating the size of the eastern stock of Steller sea lions, but not the western stock. Since the western stock has declined drastically, the assumption of an equilibrium population in the west is not valid. Because the eastern stock is increasing within most of its range, using a pup multiplier is a reasonable approach to estimating abundance from pup counts.

#### **Minimum Population Estimate**

The minimum population estimate will be calculated by adding the most recent non-pup and pup counts from:

- Southeast Alaska in 2005 (non-pups: 15,283; pups: 5,510): 20,793,
- British Columbia in 2002 (non-pups: 12,121; pups: 3,318): 15,439,
- Washington in 2002 (non-pups only): 651 (Pitcher et al., 2007),
- Oregon in 2002 (non-pups: 4,169; pups: 1,136): 5,305, and
- California in 2004 (non-pups: 1,578; pups: 818): 2,396.

This results in an  $N_{MIN}$  for the eastern U. S. stock of Steller sea lions of 44,584. This count has not been corrected for animals which were at sea.

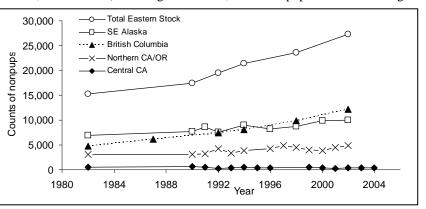
#### **Current Population Trend**

Trend counts (an index to examine population trends) for Steller sea lions in Oregon were relatively stable in the 1980s, with uncorrected counts in the range of 2,000-3,000 sea lions (NMFS 1992). Counts in Oregon have shown a gradual increase since 1976, as the adult and juvenile state-wide count for that year was 1,486 compared to 4,169 in 2002 (NMFS 2006).

Steller sea lion numbers in California, especially in southern and central California, have declined from historic numbers. Counts in California between 1927 and 1947 ranged between 4,000 and 6,000 non-pups with no apparent trend, but have subsequently declined by over 50%, remaining between 1,500 and 2,000 non-pups between 1980 and 2004. At Año Nuevo Island off central California, a steady decline in ground counts started around 1970, resulting in an 85% reduction in the breeding population by 1987 (LeBoeuf et al. 1991). Overall, counts of non-pups at trend sites in California and Oregon have been relatively stable or increasing slowly since the 1980s (Table 4, Fig. 4).

In Southeast Alaska, counts of non-pups at trend sites increased by 56% from 1979 to 2002 from 6,376 to 9,951 (Merrick et al. 1992; Sease et al. 2001; NMFS 2006). During 1979-2005, counts of pups on the three largest

rookeries in Southeast Alaska increased a total of 148%. In British Columbia, counts of nonpups throughout the Province increased at a rate of 3.2% annually from 1971 through 2002 (Olesiuk and Trites 2003). Counts of nonpups at trend sites throughout the range of the eastern Steller sea lion stock are shown in Figure 4. Since the 1970s the average annual population growth rate of Eastern Steller sea lions is 3.1% (Pitcher et al., 2007).



**Figure 4.** Counts of adult and juvenile Steller sea lions at rookery and haulout trend sites throughout the range of the eastern U.S. stock, 1982-2004. Data from British Columbia include all sites.

**Table 4.** Counts of adult and juvenile Steller sea lions observed at rookery and haulout trend sites by year and geographical area for the eastern U. S. stock from the 1982 through 2002 (NMFS 1995; Strick et al. 1997; Sease et al. 1999; Sease and Loughlin 1999; Sease et al. 2001; Olesiuk 2003; Brown et al. 2002; NMFS 2006; ODF&W unpubl. data, 7118 NE Vandenberg Ave., Corvallis, OR 97330; Point Reyes Bird Observatory, unpubl. data, 4990 Shoreline Hwy., Stinson Beach, CA 94970). Central California data include only Año Nuevo and Farallon Islands. Trend site counts in northern California/Oregon include St. George, Rogue, and Orford Reefs. British Columbia data include counts from all sites.

Area	1982	1990	1991	1992	1994	1996	1998	2000	2002
Central CA	511 <sup>1</sup>	655	537	276	508	382	564 <sup>3</sup>	349	380
Northern CA/OR	3,094	3,088	3,180	4,274	3,831	4,192	4,464	3,793	4,885
British Columbia	4,713	$6,109^2$		7,376	8,091		9,818		12,121
Southeast Alaska	6,898	7,629	8,621	7,555	9,001	8,231	8,693	9,892	9,951
Total	15,216	17,481		19,481	21,431		23,539		27,337

<sup>1</sup> This count includes a 1983 count from Año Nuevo.

<sup>2</sup> This count was conducted in 1987.

<sup>3</sup> This count was conducted in 1999.

## CURRENT AND MAXIMUM NET PRODUCTIVITY RATES

There are no estimates of maximum net productivity rates for Steller sea lions. Hence, until additional data become available, it is recommended that the pinniped maximum theoretical net productivity rate ( $R_{MAX}$ ) of 12% be employed for this stock (Wade and Angliss 1997).

## POTENTIAL BIOLOGICAL REMOVAL

Under the 1994 reauthorized Marine Mammal Protection Act (MMPA), the potential biological removal (PBR) is defined as the product of the minimum population estimate, one-half the maximum theoretical net productivity rate, and a recovery factor: PBR =  $N_{MIN} \times 0.5R_{MAX} \times F_R$ . The default recovery factor ( $F_R$ ) for stocks listed as "threatened" under the Endangered Species Act (ESA) is 0.5 (Wade and Angliss 1997). However, as total population estimates for the eastern U. S. stock have remained stable or increased over the last 20 years, the recovery factor is set at 0.75; midway between 0.5 (recovery factor for a "threatened" stock) and 1.0 (recovery factor for a stock within its optimal sustainable population level). This approach is consistent with recommendations of the Alaska Scientific Review Group. Thus, for the eastern U. S. stock of Steller sea lions, PBR = 2,006 animals (44,584 × 0.06 × 0.75).

## ANNUAL HUMAN-CAUSED MORTALITY AND SERIOUS INJURY

## **Fisheries Information**

Until 2003, there were six different federally regulated commercial fisheries in Alaska that could have interacted with Steller sea lions and were monitored for incidental mortality by fishery observers. As of 2003, changes in fishery definitions in the List of Fisheries have resulted in separating these 6 fisheries into 22 fisheries (69 FR 70094, 2 December 2004). This change does not represent a change in fishing effort, but provides managers with better information on the component of each fishery that is responsible for the incidental serious injury or mortality of marine mammal stocks in Alaska.

Fishery observers monitored four commercial fisheries during the period from 1990 to 2005 in which Steller sea lions from this stock were taken incidentally: the California (CA)/Oregon (OR) thresher shark and swordfish drift gillnet, WA/OR/CA groundfish trawl, Northern Washington (WA) marine set gillnet, and Gulf of Alaska sablefish longline fisheries. The best data available on the rates of serious injury and mortality incidental to these fisheries is presented in Table 5. There have been no observed serious injuries or mortalities incidental to the CA/OR thresher shark and swordfish drift gillnet fishery in recent years (Carretta 2002, Carretta and Chivers 2003, Carretta and Chivers 2004). In the WA/OR/CA groundfish trawl (Pacific whiting component only) one Steller sea lion was observed killed in each year in 2001-03; these observed takes in combination with a mortality that occurred in an unmonitored haul resulted in a mean estimated annual mortality level of 0.8 (Table 5). No data are available after 1998 for the northern Washington marine set gillnet fishery. There have been no observer reported mortalities in the Gulf of Alaska sablefish longline since 2000 (Perez unpubl. ms.). These mortalities result in a mean annual mortality rate of 0.8 (CV = 0.02) Steller sea lions. No mortalities were reported by fishery observers monitoring

drift gillnet and set gillnet fisheries in Washington and Oregon this decade; though, mortalities have been reported in the past.

**Table 5.** Summary of incidental mortality of Steller sea lions (eastern U. S. stock) due to commercial fisheries from 2001 to 2005 (or most recent data available) and calculation of the mean annual mortality rate. Mean annual mortality in brackets represents a minimum estimate from stranding data. The most recent 5 years of available data are used in the mortality calculation when more than 5 years of data are provided for a particular fishery. N/A indicates that data are not available. Details of how percent observer coverage is measured is included in Appendix 6

Fishery name	Years	Data type	Observer coverage	Observed mortality (in given yrs.)	Estimated mortality (in given yrs.)	Mean annual mortality
WA/OR/CA groundfish	2000	Obs	80.3	0	1 <sup>1</sup>	0.8
trawl (Pacific whiting	2001	data	96.2	1	1	(CV = 0.02)
component)	2002		66.8	1	1	
	2003		85.5	1	1	
	2004		91.5	0	0	
Northern WA marine set	2000-	Obs		0	0	0
gillnet (tribal fishery)	2004	data				
Observer program total						0.8 (CV = 0.02)
				Reported mortalities		
Alaska salmon troll	1992- 2005	Strand data	N/A	0, 0, 0, 1, 0, 0, N/A, N/A, 1, 1, N/A, N/A, 2, N/A	N/A	[0.6]
British Columbia aquaculture predator control program	2001 2002 2003 2004 2005	Permit reports	N/A	27 15 N/A <sup>2</sup> N/A <sup>2</sup> N/A <sup>2</sup>	N/A	0
Minimum total annual mortality						1.4 (CV = 0.58)

<sup>1</sup> A mortality was seen by an observer, but during an unmonitored haul; because the haul was not monitored, an estimated annual mortality cannot be extrapolated.

<sup>2</sup> Aquaculture facilities are no longer permitted to shoot Steller sea lions.

Strandings of Steller sea lions provide additional information on the level of fishery-related mortality. Estimates of fishery-related mortality from stranding data are considered minimum estimates because not all entangled animals strand, and not all stranded animals are found or reported. In Alaska, during the 5-year period from 2001-2005, there were three situations where a flasher was seen in a Steller sea lion's mouth (NMFS Alaska Region, unpublished data). It is not clear whether entanglements with "flashers" involved the recreational or commercial component of the salmon troll fishery. Based on Angliss and DeMaster (1998), it is appropriate to call these entanglements "serious injuries". Based on Alaska stranding records, this information indicates a rate of incidental mortality of at least 0.6/year from the troll fishery. There were no fishery-related strandings of Steller sea lions in Washington, Oregon, or California between 2001 and 2005.

Due to limited observer program coverage, no data exist on the mortality of marine mammals incidental to Canadian commercial fisheries (i.e., those similar to U.S. fisheries known to take Steller sea lions). As a result, the number of Steller sea lions taken in Canadian waters is not known.

The minimum estimated mortality rate incidental to commercial fisheries (both U.S. and Canadian) is 1.4 sea lions per year, based on observer data (0.8) and stranding data (0.6).

## Subsistence/Native Harvest Information

The subsistence harvest of Steller sea lions during 2001-2005 is summarized in Wolfe et al. (2006). During each year, data were collected through systematic interviews with hunters and users of marine mammals in

approximately 2,100 households in about 60 coastal communities within the geographic range of the Steller sea lion in Alaska. Approximately 16 of the interviewed communities lie within the range of the eastern U.S. stock. The average number of animals harvested and struck but lost is 9 animals/year (Table 6).

An unknown number of Steller sea lions from this stock are harvested by subsistence hunters in Canada. The magnitude of the Canadian subsistence harvest is believed to be small. Alaska Native subsistence hunters have initiated discussions with Canadian hunters to quantify their respective subsistence harvests, and to identify any effect these harvests may have on the cooperative management process.

**Table 6.** Summary of the subsistence harvest data for the eastern stock of Steller sea lions, 2001-2005. The number harvested and number struck and lost do not sum to the estimated number taken due to rounding error in 2003.

Year	Estimated total number taken	Number harvested	Number struck and lost
2001	$0^{1}$	0	0
2002	$7^{2}$	7	0
2003	$7^{3}$	2	4
2004	$12^{4}$	5	7
2005	19 <sup>5</sup>	0	19
Mean annual take (2001-2005)	9	2.8	6

<sup>1</sup>Wolfe et al. 2002; <sup>2</sup>Wolfe et al. 2003; <sup>3</sup>Wolfe et al. 2004; <sup>4</sup>Wolfe et al. 2005; <sup>5</sup>Wolfe et al. 2006

#### **Other Mortality**

Illegal shooting of sea lions in U.S. waters was thought to be a potentially significant source of mortality prior to the listing of sea lions as "threatened" under the ESA in 1990. Such shooting has been illegal since the species was listed as threatened. (Note: the 1994 Amendments to the MMPA made intentional lethal take of any marine mammal illegal except for subsistence hunting by Alaska Natives or where imminently necessary to protect human life). Records from NMFS enforcement indicate that there were two cases of illegal shootings of Steller sea lions in Southeast Alaska between 1995 and 1999: the cases involved the illegal shooting of one Steller sea lion near Sitka, and three Steller sea lions in Petersburg. Both cases were successfully prosecuted (NMFS, Alaska Enforcement Division). There are no records of illegal shooting of Steller sea lions from the eastern stock listed in the NMFS enforcement records for 1999-2003 (NMFS, unpublished data).

Steller sea lions were taken in British Columbia during commercial salmon farming operations (Table 5). Preliminary figures from the British Columbia Aquaculture Predator Control Program indicated a mean annual mortality of 45.75 Steller sea lions from this stock over the period from 1999 to 2003 (Olesiuk 2004). As of 2004, aquaculture facilities are no longer permitted to shoot Steller sea lions (P. Olesiuk, Pacific Biological Station, Canada, pers. comm.).

Strandings of Steller sea lions with gunshot wounds do occur, along with strandings of animals entangled in material that is not fishery-related. During the period from 2001 to 2005 strandings of animals with gunshot wounds from this stock occurred in Oregon and Washington (one in 2004 and three in 2005) resulting in an estimated annual mortality of 0.8 Steller sea lions from this stock. This estimate is considered a minimum because not all stranded animals are found, reported, or cause of death determined (via necropsy by trained personnel). In addition, human-related stranding data are not available for British Columbia. Reports of stranded animals in Alaska with gunshot wounds have not been included in the above estimates because it is not possible to tell whether the animal was illegally shot or if the animal was struck and lost by subsistence hunters (in which case the mortality would have been legal and accounted for in the subsistence harvest estimate).

Stranding data may also provide information on additional sources of potential human-related mortality. Between 2001 and 2005 there were three reported non-fishery related serious injuries or mortalities to Steller sea lions in Washington and Oregon: one with a head injury (2001), one with a piece of cargo net around its neck (2003), and one mortality due to blunt trauma (2004). If the number of interactions (3) is averaged over 5 years, the "other" interaction rate would be a minimum of 0.6 animals per year.

Mortalities may occasionally occur incidental to research activities authorized under MMPA permits issued to a variety of government, academic, and other research organizations. Between 2000-2005, there were a total of 20 incidental mortalities resulting from research on the eastern stock of Steller sea lions, which results in an average of 3.3 mortalities per year from this stock. The 5-year average for the years 2001-2005 is 4 mortalities per year.

### STATUS OF STOCK

Based on currently available data, the minimum estimated U. S. commercial fishery-related mortality and serious injury for this stock (0.8 + 0.6 = 1.4) is less than that 10% of the calculated PBR (201) and, therefore, can be considered to be insignificant and approaching a zero mortality and serious injury rate. The estimated annual level of total human-caused mortality and serious injury (1.4 + 9 + 0.8 + 0.6 + 4 = 15.8) does not exceed the PBR (2,006) for this stock. The eastern U.S. stock of Steller sea lion is currently listed as "threatened" under the ESA, and therefore designated as "depleted" under the MMPA. As a result, this stock is classified as a strategic stock. The eastern stock of Steller sea lion has been proposed as a candidate for removal from listing under the ESA by the Steller sea lion recovery team and NMFS (NMFS 2006), based on its annual rate of increase of approximately 3% since the mid-1970s. Although the stock size has increased, the status of this stock relative to its Optimum Sustainable Population size is unknown.

## Habitat Concerns

Unlike the observed decline in the western U. S. stock of Steller sea lion there has not been a concomitant decline in the eastern U. S. stock. The eastern U. S. stock is stable or increasing throughout the northern portion of its range (Southeast Alaska and British Columbia), and stable or increasing slowly in the central (Oregon through central California. In the southern end of its range (Channel Islands in southern California), it has declined considerably since the late 1930s, and several rookeries and haulouts south of Año Nuevo Island have been abandoned. Changes in the ocean environment, particularly warmer temperatures, may be possible factors that have favored California sea lions over Steller sea lions in the southern portion of the Steller's range (NMFS 2006). A draft Recovery Plan reviewing current threats to the eastern and western U.S. stocks and proposing actions and guidelines for recovery was released by NMFS in May 2006 (NMFS 2006). Responses to public comments were being considered in late 2006.

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