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### PART 53—FORMS

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|               | ordering agreements (OF 347).                  |               | Contract and Response to Notice.               |
| 53.217—53.218 | [Reserved]                                     | 53.301-98a    | SF 98a, Notice of Intention to Make a          |
| 53.219        | Small business programs.                       |               | Service Contract and Response to Notice        |
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|               | acquisitions (SF's 99, 308, 1093, 1413, 1444,  | 53.301-120    | SF 120, Report of Excess Personal Property.    |
| 50.000 50.005 | 1445, 1446, WH-347).                           | 53.301-120-A  | SF 120-A, Continuation Sheet (Report of        |
| 53.223—53.227 | [Reserved]                                     | 50.001.104    | Excess Personal Property).                     |
| 53.228        | Bonds and insurance.                           | 53.301-126    | SF 126, Report of Personal Property for Sale.  |
| 53.229        | Taxes (SF's 1094, 1094-A).                     | 53.301-126-A  | SF 126-A, Report of Personal Property for      |
| 53.230—53.231 | [Reserved]                                     | 52 201 120    | Sale (Continuation Sheet).                     |
| 53.232        | Contract financing (SF 1443).                  | 53.301-129    | SF 129, Solicitation Mailing List Application. |

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| 53.301-254                                | SF 254, Architect-Engineer and Related                                |             | Penalty.   |
|   | Services Questionnaire.   | 53.301-1416 | SF 1416, Payment Bond for Other Than             |
| 53.301-255                                | SF 255, Architect-Engineer and Related                                |             | Construction Contracts.                          |
|   | Services Questionnaire for Specific Project.                          | 53.301-1417 | SF 1417, Pre-solicitation Notice                 |
| 53.301-273                                | SF 273, Reinsurance Agreement for a Miller                            |             | (Construction Contract).                         |
|   | Act Performance Bond.   | 53.301-1418 | SF 1418, Performance Bond for Other Than         |
| 53.301-274                                | SF 274, Reinsurance Agreement for a Miller                            |             | Construction Contracts.                          |
|   | Act Payment Bond.   | 53.301-1420 | SF 1420, Performance Evaluation—                 |
| 53.301-275                                | SF 275, Reinsurance Agreement in Favor of                             |             | Construction Contracts.                          |
|   | the United States.  | 53.301-1421 | SF 1421, Performance Evaluation (Architect       |
| 53.301-279                                | SF 279, Federal Procurement Data System                               |             | Engineer).                                       |
|   | (FPDS)—Individual Contract Action Report.                             | 53.301-1423 | SF 1423, Inventory Verification Survey.          |
| 53.301-281                                | SF 281, Federal Procurement Data System                               | 53.301-1424 | SF 1424, Inventory Disposal Report.              |
|   | (FPDS)—Summary Contract Action Report                                 | 53.301-1426 | SF 1426, Inventory Schedule A (Metals in         |
|   | (\$25,000 or Less).   | 33.301 1420 | Mill Product Form).                              |
| 53.301-294                                | SF 294, Subcontracting Report for Individual                          | 53.301-1427 | SF 1427, Inventory Schedule A—                   |
|   | Contracts.  | 33.301-1427 | Continuation Sheet (Metals in Mill Product       |
| 53.301-295                                | SF 295, Summary Subcontract Report.                                   |             | Form).   |
| 53.301-298                                | SF 298, Report Documentation Page.                                    | 52 201 1420 | SF 1428, Inventory Schedule B.                   |
| 53.301-308                                | SF 308, Request for Determination and                                 | 53.301-1428 |  |
|   | Response to Request.  | 53.301-1429 | SF 1429, Inventory Schedule B—                   |
| 53.301-1034                               | SF 1034, Public Voucher for Purchases and                             | 52 201 1420 | Continuation Sheet.                              |
|   | Services Other Than Personal.   | 53.301-1430 | SF 1430, Inventory Schedule C (Work-in-          |
| 53.301-1034A                              | SF 1034A, Public Voucher for Purchases and                            | 52 201 1421 | Process).  |
|   | Services Other Than Personal—   | 53.301-1431 | SF 1431, Inventory Schedule C—                   |
|   | Memorandum Copy.  |             | Continuation Sheet (Work-in-Process).            |
| 53.301-1035                               | SF 1035, Public Voucher for Purchases and                             | 53.301-1432 | SF 1432, Inventory Schedule D (Special           |
| 00.001 1000                               | Services Other Than Personal, Continuation                            |             | Tooling and Special Test Equipment).             |
|   | Sheet.  | 53.301-1433 | SF 1433, Inventory Schedule D—                   |
| 53.301-1035A                              | SF 1035A, Public Voucher for Purchases and                            |             | Continuation Sheet (Special Tooling and          |
|   | Services Other Than Personal—   |             | Special Test Equipment).                         |
|   | Memorandum, Continuation Sheet.                                       | 53.301-1434 | SF 1434, Termination Inventory Schedule E        |
| 53.301-1093                               | SF 1093, Schedule of Withholdings Under                               |             | (Short Form For Use With SF 1438 Only).          |
| 33.301-1073                               | the Davis-Bacon Act and/or the Contract                               | 53.301-1435 | SF 1435, Settlement Proposal (Inventory          |
|   | Work Hours and Safety Standards Act).                                 |             | Basis).  |
| 53.301-1094                               | SF 1094, U.S. Tax Exemption Form.                                     | 53.301-1436 | SF 1436, Settlement Proposal (Total Cost         |
| 53.301-1094A                              | SF 1094A, Tax Exemption Forms   |             | Basis).  |
| 33.301-1074A                              | Accountability Record.  | 53.301-1437 | SF 1437, Settlement Proposal for Cost-           |
| 53.301-1165                               | SF 1165, Receipt for Cash—Subvoucher.                                 |             | Reimbursement Type Contracts.                    |
| 53.301-1103                               | SF 1402, Certificate of Appointment.                                  | 53.301-1438 | SF 1438, Settlement Proposal (Short Form).       |
| 53.301-1402                               | SF 1403, Preaward Survey of Prospective                               | 53.301-1439 | SF 1439, Schedule of Accounting                  |
| 33.301-1403                               | Contractor (General).   |             | Information.                                     |
| 52 201 1404                               | SF 1404, Preaward Survey of Prospective                               | 53.301-1440 | SF 1440, Application for Partial Payment.        |
| 53.301-1404                               |   | 53.301-1442 | SF 1442, Solicitation, Offer, and Award          |
| 52 201 1405                               | Contractor—Technical.   | 00.001 12   | (Construction, Alteration, or Repair).           |
| 53.301-1405                               | SF 1405, Preaward Survey of Prospective                               | 53.301-1443 | SF 1443, Contractor's Request for Progress       |
| 52 201 1406                               | Contractor—Production.  | 33.301-1443 | Payment.   |
| 53.301-1406                               | SF 1406, Preaward Survey of Prospective                               | 53.301-1444 | SF 1444, Request for Authorization of            |
| 72 201 1407                               | Contractor—Quality Assurance.   | 33.301-1444 | Additional Classification and Rate.              |
| 53.301-1407                               | SF 1407, Preaward Survey of Prospective                               | 52 201 1445 |  |
|   | Contractor—Financial Capability.                                      | 53.301-1445 | SF 1445, Labor Standards Interview.              |
| 53.301-1408                               | SF 1408, Preaward Survey of Prospective                               | 53.301-1446 | SF 1446, Labor Standards Investigation           |
|   | Contractor—Accounting System.   |             | Summary Sheet.53.301-1447 SF 1447,               |
| 53.301-1409                               | SF 1409, Abstract of Offers.  |             | Solicitation/Contract.                           |
| 52 201 1/10                               | SF 1410, Abstract of Offers—Continuation.                             | 53.301-1449 | SF 1449, Solicitation/Contract/Order for         |
|   |   |             |  |
| 53.301-1410<br>53.301-1413<br>53.301-1414 | SF 1413, Statement and Acknowledgment.<br>SF 1414, Consent of Surety. | 53.302-17   | Commercial Items. Optional Form 17, Offer Label. |

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PART 53—FORMS 53.302-90 Optional Form 90, Release of Lien on Real SF 1405 Preaward Survey of Prospective Contractor— Production Property. SF 1406 53.302-91 Optional Form 91, Release of Personal Preaward Survey of Prospective Contractor— Property from Escrow. Quality Assurance Preaward Survey of Prospective Contractor— SF 1407 Contract Award. 53.302-307 Solicitation and Offer-Negotiated Financial Capability 53.302-308 SF 1408 Preaward Survey of Prospective Contractor— Acquisition. Accounting System 53.302-309 Amendment of Solicitation. SF 1414 Consent of Surety 53.302-312 Optional Form 312, Small Disadvantaged SF 1415 Consent of Surety and Increase of Penalty Business Participation Report. SF 1416 Payment Bond for Other Than Construction 53.302-336 Optional Form 336, Continuation Sheet. Contracts 53.302-347 Optional Form 347, Order for Supplies or SF 1418 Performance Bond for Other Than Construction Contracts 53.302-348 Optional Form 348, Order for Supplies or SF 1423 Inventory Verification Survey Services Schedule—Continuation. SF 1424 Inventory Disposal Report 53.302-1419 Optional Form 1419, Abstract of Offers-SF 1426 Inventory Schedule A (Metals in Mill Product Construction. Form) 53.302-1419A Optional Form 1419A, Abstract of Offers-SF 1427 Inventory Schedule A—Continuation Sheet (Metals Construction, Continuation Sheet. in Mill Product Form) 53.303-DD-254 Department of Defense DD Form 254, SF 1428 Inventory Schedule B Contract Security Classification SF 1429 Inventory Schedule B—Continuation Sheet Specification. SF 1430 Inventory Schedule C (Work-in-Process) 53.303-DD-441 Department of Defense DD Form 441, SF 1431 Inventory Schedule C-Continuation Sheet (Work-Security Agreement. in-Process) 53.303-WH-347 Department of Labor Form WH-347, Payroll SF 1432 Inventory Schedule D (Special Tooling and Special (For Contractor's Optional Use). Test Equipment) SF 1433 Inventory Schedule D-Continuation Sheet (Special Forms Authorized for Local Reproduction Tooling and Special Test Equipment) SF LLL Disclosure of Lobbying Activities SF 1434 Termination Inventory Schedule E (Short Form For SF LLL-A Disclosure of Lobbying Activities—Continuation Use With SF 1438 Only) SF 1435 Settlement Proposal (Inventory Basis) SF 18 Request for Quotation SF 1436 Settlement Proposal (Total Cost Basis) SF 24 Bid Bond SF 1437 Settlement Proposal for Cost-Reimbursement Type SF 25 Performance Bond Contracts SF 25A Payment Bond SF 1438 Settlement Proposal (Short Form) SF 28 Affidavit of Individual Surety SF 1439 Schedule of Accounting Information SF 33 Solicitation, Offer and Award SF 1440 Application for Partial Payment SF 34 Annual Bid Bond SF 1445 Labor Standards Interview SF 35 Annual Performance Bond SF 1449 Solicitation/Contract/Order for Commercial Items SF 129 Solicitation Mailing List Application OF 90 Release of Lien on Real Property SF 273 Reinsurance Agreement for a Miller Act OF 91 Release of Personal Property from Escrow Performance Bond OF 307 Contract Award SF 274 Reinsurance Agreement for a Miller Act Payment OF 308 Solicitation and Offer-Negotiated Acquisition OF 309 Amendment of Solicitation SF 275 Reinsurance Agreement in Favor of the United OF 312 Small Disadvantaged Business Participation Report. States OF 347 Order for Supplies or Services SF 279 Federal Procurement Data System (FPDS)— Individual Contract Action Report 53.000 Scope of part. SF 281 Federal Procurement Data System (FPDS)— This part— Summary Contract Action Report (\$25,000 or Less) (a) Prescribes standard forms (SF's) and references SF 294 Subcontracting Report for Individual Contracts optional forms (OF's) and agency-prescribed forms for use SF 295 Summary Subcontract Report in acquisition; SF 1403 Preaward Survey of Prospective Contractor (b) Contains requirements and information generally applicable to the forms; and SF 1404 Preaward Survey of Prospective Contractor— Technical (c) Illustrates the forms.

53,000

### 53.001 Definitions.

"Exception," as used in this part, means an approved departure from the established design, content, printing specifications, or conditions for use of any standard form.

### Subpart 53.1—General

### 53.100 Scope of subpart.

This subpart contains requirements and information generally applicable to the forms prescribed in this regulation.

### 53.101 Requirements for use of forms.

The requirements for use of the forms prescribed or referenced in this part are contained in Parts 1 through 52, where the subject matter applicable to each form is addressed. The specific location of each requirement is identified in Subpart 53.2.

### 53.102 Current editions.

The form prescriptions in Subpart 53.2 and the illustrations in Subpart 53.3 contain current edition dates. Contracting officers shall use the current editions unless otherwise authorized under this regulation.

### 53.103 Exceptions.

Agencies shall not-

- (a) Alter a standard form prescribed by this regulation; or
- (b) Use for the same purpose any form other than the standard form prescribed by this regulation without receiving in advance an exception to the form.

### 53.104 Overprinting.

Standard and optional forms (obtained as required by 53.107) may be overprinted with names, addresses, and other uniform entries that are consistent with the purpose of the form and that do not alter the form in any way. Exception approval for overprinting is not needed.

### 53.105 Computer generation.

- (a) Agencies may computer-generate the Standard and Optional Forms prescribed in the FAR without exception approval (see 53.103), provided—
- (1) The form is in an electronic format that complies with Federal Information Processing Standard Number 161; or
- (2) There is no change to the name, content, or sequence of the data elements, and the form carries the Standard or Optional Form number and edition date.
- (b) The forms prescribed by this Part may be computer generated by the public. Unless prohibited by agency regulations, forms prescribed by agency FAR supplements may also be computer generated by the public. Computer generated forms shall either comply with Federal Information

Processing Standard Number 161 or shall retain the name, content, or sequence of the data elements, and shall carry the Standard or Optional Form or agency number and edition date (see 53.111).

### 53.106 Special construction and printing.

Contracting offices may request exceptions (see 53.103) to standard forms for special construction and printing. Examples of common exceptions are as follows:

|                            | SPECIAL CONSTRUCTION               |
|----------------------------|------------------------------------|
| STANDARD FORMS             | AND PRINTING                       |
| (a) SF 18—                 | (1) With vertical lines omitted    |
|                            | (for listing of supplies and ser-  |
|                            | vices, unit, etc.);                |
|                            | (2) As reproducible masters;       |
|                            | and/or                             |
|                            | (3) In carbon interleaved pads or  |
|                            | sets.                              |
| (b) SF's 26, 30, 33, 1447— | As die-cut stencils or repro-      |
|                            | ducible masters.                   |
| (c) SF 44—                 | (1) With serial numbers and con-   |
|                            | tracting office name and address;  |
|                            | and/or                             |
|                            | (2) On special weight of paper     |
|                            | and with the type of construc-     |
|                            | tion, number of sets per book,     |
|                            | 2and number of parts per set as    |
|                            | specified by the contracting offi- |
|                            | cer. (Executive agencies may       |
|                            | supplement the administrative      |
|                            | instructions on the inside front   |
|                            | cover of the book.)                |
| (d) SF 1442—               | (1) As die-cut stencils or repro-  |
|                            | ducible masters; and/or            |
|                            | (2) With additional wording as     |
|                            | required by the executive          |
|                            | agency. (However, the sequence     |
|                            | and wording of the items appear-   |
|                            | ing on the prescribed form         |
|                            | should not be altered.             |

### 53.107 Obtaining forms.

- (a) Executive agencies shall obtain standard and optional forms from the General Services Administration (GSA) by using GSA Supply Catalog—Office Products (see 41 CFR 101-26.302). Standard forms adapted for computer preparation (see 53.105) or with special construction and printing (see 53.106) that are not available from GSA may be ordered directly from the Government Printing Office (GPO).
- (b) Contractors and other parties may obtain standard and optional forms from the Superintendent of Documents,

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GPO, Washington, DC 20402. Standard and optional formsnot available from the Superintendent of Documents may be obtained from the prescribing agency.

(c) Agency forms may be obtained from the prescribing agency.

### 53.108 Recommendations concerning forms.

Users of this regulation may recommend new forms or the revision, elimination, or consolidation of the forms prescribed or referenced in this regulation. Recommendations from within an executive agency shall be submitted to the cognizant council in accordance with agency procedures. Recommendations from other than executive agencies should be submitted directly to the FAR Secretariat.

### 53.109 Forms prescribed by other regulations.

Certain forms referred to in Subpart 53.2 are prescribed in other regulations and are specified by the FAR for use in acquisition. For each of these forms, the prescribing agency is identified by means of a parenthetical notation after the form number. For example, SF 1165, which is prescribed by the General Accounting Office (GAO), is identified as SF 1165 (GAO).

#### 53.110 Continuation sheets.

Except as may be otherwise indicated in the FAR, all standard forms prescribed by the FAR may be continued on (a) plain paper of similar specification, or (b) specially constructed continuation sheets (*e.g.*, OF 336). Continuation sheets shall be annotated in the upper right-hand corner with the reference number of the document being continued and the serial page number.

### 53.111 Contract clause.

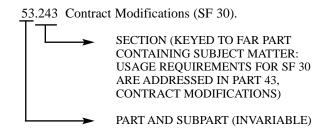
Contracting officers shall insert the clause at 52.253-1, Computer Generated Forms, in solicitations and contracts that require the contractor to submit data on Standard or Optional Forms prescribed by this regulation; and, unless prohibited by agency regulations, forms prescribed by agency supplements.

### **Subpart 53.2—Prescription of Forms**

### 53.200 Scope of subpart.

This subpart prescribes standard forms and references optional forms and agency-prescribed forms for use in acquisition. Consistent with the approach used in Subpart 52.2, this subpart is arranged by subject matter, in the same order as, and keyed to, the parts of the FAR in which the form usage requirements are addressed. For example, forms addressed in FAR Part 14, Sealed Bidding, are treated in this subpart in section 53.214, Sealed Bidding; forms addressed in FAR Part 43, Contract Modifications, are treated in this

subpart in section 53.243, Contract modifications. The following example illustrates how the subjects are keyed to the parts in which they are addressed:



### 53.201 Federal acquisition system.

### 53.201-1 Contracting authority and responsibilities (SF 1402).

SF 1402 (10/83), Certificate of Appointment. SF 1402 is prescribed for use in appointing contracting officers, as specified in 1.603-3.

### 53.202 —53.203 [Reserved]

#### 53.204 Administrative matters.

### 53.204-1 Safeguarding classified information within industry (DD Form 254, DD Form 441).

The following forms, which are prescribed by the Department of Defense, shall be used by agencies covered by the Defense Industrial Security Program if contractor access to classified information is required, as specified in Subpart 4.4 and the clause at 52.204-2:

- (a) DD Form 254 (Department of Defense (DOD)), Contract Security Classification Specification. (See 4.403(c)(1).)
- (b) *DD Form 441 (DOD), Security Agreement.* (See paragraph (b) of the clause at 52.204-2.)

### 53.204-2 Contract reporting.

The following forms are prescribed for use by executive agencies in reporting contract actions, as specified in 4.602(c):

- (a) SF 279 (Rev. 10/97), Federal Procurement Data System (FPDS)—Individual Contract Action Report. (See 4.602(c).)
- (b) SF 281 (Rev. 5/96), Federal Procurement Data System (FPDS)—Summary Contract Action Report (\$25,000 or Less). (See 4.602(c).)

### 53.205 Publicizing contract actions.

### 53.205-1 Paid advertisements.

SF 1449, prescribed in 53.212, shall be used to place orders for paid advertisements as specified in 5.503.

### 53.206 — 53.208 [Reserved]

### 53.209 Contractor qualifications.

### 53.209-1 Responsible prospective contractors.

The following forms are prescribed for use in conducting preaward surveys of prospective contractors, as specified in 9.106-1, 9.106-2, and 9.106-4:

- (a) SF 1403 (Rev. 9/88), Preaward Survey of Prospective Contractor (General). SF 1403 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (b) SF 1404 (Rev. 9/88), Preaward Survey of Prospective Contractor—Technical. SF 1404 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (c) SF 1405 (Rev. 9/88), Preaward Survey of Prospective Contractor—Production. SF 1405 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (d) SF 1406 (Rev. 11/97), Preaward Survey of Prospective Contractor—Quality Assurance. SF 1406 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (e) SF 1407 (Rev. 9/88), Preaward Survey of Prospective Contractor—Financial Capability. SF 1407 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (f) SF 1408 (Rev. 9/88), Preaward Survey of Prospective Contractor—Accounting System. SF 1408 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.

#### 53.210—53.211 [Reserved]

### 53.212 Acquisition of commercial items.

SF 1449 (10/95 Ed.), Solicitation/Contract/Order for Commercial Items. SF 1449 is prescribed for use in solicitations and contracts for commercial items. Agencies may prescribe additional detailed instructions for use of the form.

# 53.213 Simplified acquisition procedures (SF's 18, 30, 44, 1165, 1449, and OF's 336, 347, and 348).

The following forms are prescribed as stated in this section for use in simplified acquisition procedures, orders under existing contracts or agreements, and orders from required sources of supplies and services:

(a) SF 18 (Rev. 6/95), Request for Quotations, or SF 1449 (10/95 Ed.), Solicitation/Contract/Order for Commercial Items. SF 18 is prescribed for use in obtaining price, cost, delivery, and related information from suppliers as specified in 13.307(b). SF 1449, as prescribed in 53.212,

- or other agency forms/automated formats, may also be used to obtain price, cost, delivery, and related information from suppliers as specified in 13.307(b).
- (b) SF 30 (Rev. 10/83), Amendment of Solicitation/Modification of Contract. SF 30, prescribed in 53.243, may be used for modifying purchase orders, as specified in 13.307(c)(3).
- (c) SF 44 (Rev. 10/83), Purchase Order Invoice Voucher. SF 44 is prescribed for use in simplified acquisition procedures, as specified in 13.306.
- (d) SF 1165 (6/83 Ed.), Receipt for Cash-Subvoucher. SF 1165 (GAO) may be used for imprest fund purchases, as specified in 13.307(e).
- (e) *OF 336 (4/86 Ed.), Continuation Sheet*. OF 336, prescribed in 53.214(h), may be used as a continuation sheet in solicitations, as specified in 13.307(c)(1).
- (f) SF 1449, (10/95 Ed.) Solicitation/Contract/Order for Commercial Items prescribed in 53.212, OF 347 (Rev. 6/95), Order for Supplies or Services, and OF 348 (10/83 Ed.), Order for Supplies or Services—Schedule Continuation. SF 1449, OF's 347 and 348 (or approved agency forms/automated formats) may be used as follows:
- (1) To accomplish acquisitions under simplified acquisition procedures, as specified in 13.307.
- (2) To establish blanket purchase agreements (BPA's), as specified in 13.303-2, and to make purchases under BPA's, as specified in 13.303-5.
- (3) To issue orders under basic ordering agreements, as specified in 16.703(d)(2)(i).
- (4) As otherwise specified in this chapter (*e.g.*, see 5.503(a)(2), 8.405-2, 36.701(c), and 51.102(e)(3)(ii)).

### 53.214 Sealed bidding.

The following forms are prescribed for use in contracting by sealed bidding (except for construction and architectengineer services):

- (a) SF 26 (4/85), Award/Contract. SF 26 is prescribed for use in awarding sealed bid contracts for supplies or services in which bids were obtained on SF 33, Solicitation, Offer and Award, as specified in 14.408-1(d)(1). Pending issuance of a new edition of the form, the reference in "block 1" should be amended to read "15 CFR 700".
- (b) SF 30, Amendment of Solicitation/Modification of Contract. SF 30, prescribed in 53.243, shall be used in amending invitations for bids, as specified in 14.208(a).
- (c) SF 33 (Rev. 9/97), Solicitation, Offer and Award. SF 33 is prescribed for use in soliciting bids for supplies or services and for awarding the contracts that result from the bids, as specified in 14.201-2(a)(1), unless award is accomplished by SF 26. Pending issuance of a new edition of the form, the reference in "block 1" should be amended to read "15 CFR 700" and in the Caution statement of "block 0" revise 52.215-10 to read 52.215-1.

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- (d) SF 1447 (5/88 Ed.), Solicitation/Contract. SF 1447 is prescribed for use in soliciting supplies or services and for awarding contracts that result from the bids. It shall be used when the simplified contract format is used (see 14.201-9) and may be used in place of the SF 26 or SF 33 with other solicitations and awards. Agencies may prescribe additional detailed instructions for use of the form.
- (e) SF 129 (Rev. 12/96), Solicitation Mailing List Application. SF 129 is prescribed for use in establishing and maintaining lists of potential sources, as specified in 14.205-1(d).
- (f) SF 1409 (Rev. 9/88), Abstract of Offers, and SF 1410 (9/88), Abstract of Offers—Continuation. SF 1409 and SF 1410 are prescribed for use in recording bids, as specified in 14.403(a).
- (g) OF 17 (Rev. 12/93), Offer Label. OF 17 may be furnished with each invitation for bids to facilitate identification and handling of bids, as specified in 14.202-3(b).
- (h) *OF 336 (Rev. 3/86), Continuation Sheet.* OF 336 may be used as a continuation sheet in solicitations, as specified in 14.201-2(b).

#### 53.215 Contracting by negotiation.

### 53.215-1 Solicitation and receipt of proposals.

The following forms are prescribed, as stated in the following paragraphs, for use in contracting by negotiation (except for construction, architect-engineer services, or acquisitions made using simplified acquisition procedures):

- (a) SF 26 (Rev. 4/85), Award/Contract. SF 26, prescribed in 53.214(a), may be used in entering into negotiated contracts in which the signature of both parties on a single document is appropriate, as specified in 15.509(b).
- (b) SF 30 (Rev. 10/83), Amendment of Solicitation/Modification of Contract. SF 30, prescribed in 53.243, may be used for amending requests for proposals and for amending requests for information, as specified in 15.210(b).
- (c) SF 33 (Rev. 9/97), Solicitation, Offer and Award. SF 33, prescribed in 53.214(c), may be used in connection with the solicitation and award of negotiated contracts. Award of such contracts may be made by either OF 307, SF 33, or SF 26, as specified in 53.214(c) and 15.509. Pending issuance of a new edition of the form, the reference in "block 1" should be amended to read "15 CFR 700" and in the Caution statement of "block 9" revise 52.215-10 to read 52.215-1.
- (d) OF 17 (Rev. 12/93), Offer Label. OF 17 may be furnished with each request for proposals to facilitate identification and handling of proposals, as specified in 15.210(c).
- (e) OF 307 (Rev. 9/97), Contract Award. OF 307 may be used to award negotiated contracts as specified in 15.509(a).

- (f) OF 308 (Rev. 9/97), Solicitation and Offer-Negotiated Acquisition. OF 308 may be used to support solicitation of negotiated contracts as specified in 15.210(a). Award of such contracts may be made by OF 307, as specified in 15.509(a).
- (g) OF 309 (Rev. 9/97), Amendment of Solicitation. OF 309 may be used to amend solicitations of negotiated contracts, as specified in 15.210(b).

### 53.216 Types of contracts.

### 53.216-1 Delivery orders and orders under basic ordering agreements (OF 347).

OF 347, Order for Supplies or Services. OF 347, prescribed in 53.213(f) (or an approved agency form), may be used to place orders under indefinite delivery contracts and basic ordering agreements, as specified in 16.703(d)(2)(i).

### 53.217—53.218 [Reserved]

### 53.219 Small business programs.

The following standard forms are prescribed for use in reporting small, small disadvantaged and women-owned small business subcontracting data, as specified in Part 19:

- (a) SF 294 (Rev. 12/98) Subcontracting Report for Individual Contracts. (See 19.704(a)(10).) SF 294 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the loose-leaf edition of the FAR.
- (b) SF 295 (Rev. 12/98), Summary Subcontract Report. (See 19.704(a)(10).) SF 295 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the loose-leaf edition of the FAR.
- (c) OF 312 (1/99), Small Disadvantaged Business Participation Report. (See Subpart 19.12.)

### 53.220—53.221 [Reserved]

# 53.222 Application of labor laws to Government acquisitions (SF's 99, 308, 1093, 1413, 1444, 1445, 1446, WH-347).

The following forms are prescribed as stated below, for use in connection with the application of labor laws:

- (a) [Reserved]
- (b) SF 99 (DOL), Notice of Award of Contract.
- (c) SF 308 (DOL) (5/85 Ed.), Request for Determination and Response to Request. (See 22.404-3(a) and (b).)
- (d) SF 1093 (GAO) (10/71 Ed.), Schedule of Withholdings under the Davis-Bacon Act and/or the Contract Work Hours and Safety Standards Act. (See 22.406-9(c)(1).)
- (e) SF 1413 (Rev. 6/89), Statement and Acknowledgment. SF 1413 is prescribed for use in obtaining contractor acknowledgment of inclusion of required clauses in subcontracts, as specified in 22.406-5. Pending issuance of a new edition of the form, the "prescribed by" reference at the bottom right of the form is revised to read "53.222(e)".

- (f) SF 1444 (10/87 Ed.), Request for Authorization of Additional Classification and Rate. (See 22.406-3(a) and 22.1019.)
- (g) SF 1445 (Rev. 12/96), Labor Standards Interview. (See 22.406-7(b).)
- (h) SF 1446 (10/87 Ed.), Labor Standards Investigation Summary Sheet. (See 22.406-8(d).)
- (i) Form WH-347 (DOL), Payroll (For Contractor's Optional Use). (See 22.406-6(a).)

### 53.223—53.227 [Reserved]

### 53.228 Bonds and insurance.

The following standard forms are prescribed for use for bond and insurance requirements, as specified in Part 28:

- (a) SF 24 (Rev. 10/98) Bid Bond. (See 28.106-1.) SF 24 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (b) SF 25 (Rev. 5/96) Performance Bond. (See 28.106-1(b).) SF 25 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (c) SF 25-A (Rev. 10/98) Payment Bond. (See 28.106-1(c).) SF 25-A is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (d) SF 25-B (Rev. 10/83), Continuation Sheet (For Standard Forms 24, 25, and 25-A). (See 28.106-1(c).)
- (e) SF 28 (Rev. 6/96) Affidavit of Individual Surety. (See 28.106-1(e) and 28.203(b).) SF 28 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (f) SF 34 (Rev. 1/90), Annual Bid Bond. (See 28.106-1(f).) SF 34 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (g) *SF 35 (Rev. 1/90), Annual Performance Bond.* (See 28.106-1.) SF 35 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (h) SF 273 (Rev. 10/98) Reinsurance Agreement for a Miller Act Performance Bond. (See 28.106-1(h) and 28.202-1(a)(4).) SF 273 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (i) SF 274 (Rev. 10/98) Reinsurance Agreement for a Miller Act Payment Bond. (See 28.106-1(i) and 28.202-1(a)(4).) SF 274 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (j) SF 275 (Rev. 10/98) Reinsurance Agreement in Favor of the United States. (See 28.106-1(j) and 28.202-1(a)(4).)

- SF 275 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (k) SF 1414 (Rev. 10/93), Consent of Surety. SF 1414 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (l) SF 1415 (Rev. 7/93), Consent of Surety and Increase of Penalty. (See 28.106-1(l).) SF 1415 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (m) SF 1416 (Rev. 10/98) Payment Bond for Other than Construction Contracts. (See 28.106-1(m).) SF 1416 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (n) SF 1418 (Rev. 2/99) Performance Bond For Other Than Construction Contracts. (See 28.106-1(n).) SF 1418 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (o) *OF 90 (Rev. 1/90), Release of Lien on Real Property.* (See 28.106-1(o) and 28.203-5(a).) OF 90 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (p) OF 91 (1/90 Ed.), Release of Personal Property from Escrow. (See 28.106-1(p) and 28.203-5(a).) OF 91 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.

### 53.229 Taxes (SF's 1094, 1094-A).

SF 1094 (Rev. 12/96), U.S. Tax Exemption Form, and SF 1094-A (Rev. 12/96), Tax Exemption Forms Accountability Record. SF's 1094 and 1094-A are prescribed for use in establishing exemption from State or local taxes, as specified in 29.302(b).

### 53.230—53.231 [Reserved]

### 53.232 Contract financing (SF 1443).

SF 1443 (10/82), Contractor's Request for Progress Payment. SF 1443 is prescribed for use in obtaining contractors' requests for progress payments, as specified in 32.503-1.

#### 53.233—52.234 [Reserved]

### 53.235 Research and development contracting (SF 298).

SF 298 (2/89), Report Documentation Page. SF 298 is prescribed for use in submitting scientific and technical reports to contracting officers and to technical information libraries, as specified in 35.010.

### 53.236 Construction and architect-engineer contracts.

### 53.236-1 Construction.

The following forms are prescribed, as stated below, for use in contracting for construction, alteration, or repair, or dismantling, demolition, or removal of improvements.

- (a) SF 1417 (Rev. 8/90), Presolicitation Notice (Construction Contract). SF 1417 is prescribed for use in notifying prospective offerors of solicitations estimated to be \$100,000 or more and may be used if the proposed contract is estimated to be less than \$100,000, as specified in 36.701(a).
- (b) SF 1420 (10/83 Ed.), Performance Evaluation—Construction Contracts. SF 1420 is prescribed for use in evaluating and reporting on the performance of construction contractors within approved dollar thresholds and as otherwise specified in 36.701(e).
  - (c)—(d) [Reserved]
- (e) SF 1442 (4/85 Ed.), Solicitation, Offer and Award (Construction, Alteration, or Repair). SF 1442 is prescribed for use in soliciting offers and awarding contracts expected to exceed the simplified acquisition threshold for—
  - (1) Construction, alteration, or repair; or
- (2) Dismantling, demolition, or removal of improvements (and may be used for contracts within the simplified acquisition threshold), as specified in 36.701(b).
- (f) *OF 347 (Rev. 6/95), Order for Supplies or Services.* OF 347, prescribed in 53.213(f) (or an approved agency form), may be used for contracts under the simplified acquisition threshold for—
  - (1) Construction, alteration, or repair; or
- (2) Dismantling, demolition, or removal of improvements, as specified in 36.701(c).
- (g) OF 1419 (11/88 Ed.), Abstract of Offers—Construction, and OF 1419A (11/88 Ed.), Abstract of Offers—Construction, Continuation Sheet. OF's 1419 and 1419A are prescribed for use in recording bids (and may be used for recording proposal information), as specified in 36.701(d).

# 53.236-2 Architect-engineer services (SF's 252, 254, 255, 1421).

The following forms are prescribed for use in contracting for architect-engineer and related services:

- (a) SF 252 (Rev. 10/83), Architect-Engineer Contract. SF 252 is prescribed for use in awarding fixed-price contracts for architect-engineer services, as specified in 36.702(a). Pending issuance of a new edition of the form, Block 8, Negotiation Authority, is deleted.
- (b) SF 254 (Rev. 11/92), Architect-Engineer and Related Services Questionnaire. SF 254 is prescribed for use to obtain information from architect-engineer firms regarding their professional qualifications, as specified in 36.702(b)(1).
- (c) SF 255 (Rev. 11/92), Architect-Engineer and Related Services Questionnaire for Specific Project. SF 255 is prescribed for use within approved dollar thresholds and as otherwise specified in 36.702(b)(2), whenever an agency requires information to supplement the SF 254 regarding the prospective firm's qualifications for a particular architect-engineer project.

(d) SF 1421 (10/83 Ed.), Performance Evaluation (Architect-Engineer). SF 1421 is prescribed for use in evaluating and reporting on the performance of architect-engineer contractors within approved dollar thresholds and as otherwise specified in 36.702(c).

### 53.237—53.241 [Reserved]

#### 53.242 Contract administration.

### 53.242-1 Novation and change-of-name agreements (SF 30).

SF 30, Amendment of Solicitation/Modification of Contract. SF 30, prescribed in 53.243, shall be used in connection with novation and change of name agreements, as specified in 42.1203(h).

### 53.243 Contract modifications (SF 30).

SF 30 (Rev. 10/83), Amendment of Solicitation/ Modification of Contract. SF 30 is prescribed for use in amending invitation for bids, as specified in 14.208; modifying purchase and delivery orders, as specified in 13.302-3; and modifying contracts, as specified in 42.1203(h), 43.301, 49.602-5, and elsewhere in this regulation. The form may also be used to amend solicitations for negotiated contracts, as specified in 15.210(b). Pending the publication of a new edition of the form, Instruction (b), Item 3 (effective date), is revised in paragraphs (3) and (5) as follows:

(b) Item 3 (effective date).

\* \* \* \* \*

(3) For a modification issued as a confirming notice of termination for the convenience of the Government, the effective date of the confirming notice shall be the same as the effective date of the initial notice.

\* \* \* \* \*

(5) For a modification confirming the termination contracting officer's previous letter determination of the amount due in settlement of a contract termination for convenience, the effective date shall be the same as the effective date of the previous letter determination.

### **53.244** [Reserved]

#### 53.245 Government property.

The following forms are prescribed, as specified below, for use in reporting, redistribution, and disposal of contractor inventory (defined in 45.601) and in accounting for this property:

- (a) SF 120 (GSA), Report of Excess Personal Property, and SF 120-A (GSA), Continuation Sheet (Report of Excess Personal Property). (See 45.608-2(b)(2) and 45.608-8.)
- (b) SF 126 (GSA), Report of Personal Property for Sale, and SF 126-A (GSA), Report of Personal Property for Sale (Continuation Sheet). (See 45.610-1(c).)
- (c) SF 1423 (Rev. 12/96), Inventory Verification Survey. (See 45.606-3(b).)
- (d) *SF 1424 (Rev. 7/89), Inventory Disposal Report.* (See 45.615.) SF 1424 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
  - (e) [Reserved]
- (f) SF 1426 (Rev. 12/96), Inventory Schedule A (Metals in Mill Product Form), and SF 1427 (Rev. 7/89), Inventory Schedule A-Continuation Sheet (Metals in Mill Product Form). (See 45.606 and 49.602-2(e).) Standard Form 1426 and Standard Form 1427 are authorized for local reproduction and copies are furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (g) SF 1428 (Rev. 12/96), Inventory Schedule B, and SF 1429 (Rev. 7/89), Inventory Schedule B-Continuation Sheet. (See 45.606 and 49.602-2(b).) Standard Form 1428 and Standard Form 1429 are authorized for local reproduction and copies are furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (h) SF 1430 (Rev. 12/96), Inventory Schedule C (Work-in-Process) and SF 1431 (Rev. 7/89), Inventory Schedule C-Continuation Sheet (Work-in-Process). (See 45.606 and 49.602-2(c).) Standard Form 1430 and Standard Form 1431 are authorized for local reproduction and copies are furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (i) SF 1432 (Rev. 12/96), Inventory Schedule D (Special Tooling and Special Test Equipment), and SF 1433 (Rev. 7/89), Inventory Schedule D-Continuation Sheet (Special Tooling and Special Test Equipment). (See 45.606 and 49.602-2(d).) Standard Form 1432 and Standard Form 1433 are authorized for local reproduction and copies are furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (j) SF 1434 (Rev. 12/96), Termination Inventory Schedule E (Short Form For Use With SF 1438 Only). (See 45.606 and 49.602-2(e).) Standard Form 1434 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.

### **53.246** [Reserved]

### 53.247 Transportation (U.S. Government Bill of Lading).

The U.S. Government Bill of Lading, prescribed in 41 CFR 101-41.304, shall be used for transportation of property, as specified in 47.103.

### **53.248** [Reserved]

#### 53.249 Termination of contracts.

- (a) The following forms are prescribed for use in connection with the termination of contracts, as specified in Subpart 49.6:
- (1) SF 1034 (GAO), Public Voucher for Purchases and Services Other than Personal. (See 49.302(a).)
- (2) SF 1435 (Rev. 9/97), Settlement Proposal (Inventory Basis). (See 49.602-1(a).) Standard Form 1435 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (3) SF 1436 (Rev. 9/97), Settlement Proposal (Total Cost Basis). (See 49.602-1(b).) Standard Form 1436 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (4) SF 1437 (Rev. 9/97), Settlement Proposal for Cost-Reimbursement Type Contracts. (See 49.602-1(c) and 49.302.) Standard Form 1437 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (5) SF 1438 (Rev. 7/89), Settlement Proposal (Short Form). (See 49.602-1(d).) Standard Form 1438 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (6) SF 1439 (Rev. 7/89), Schedule of Accounting Information. (See 49.602-3.) Standard Form 1439 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (7) SF 1440 (Rev. 7/89), Application for Partial Payment. (See 49.602-4.) Standard Form 1440 is authorized for local reproduction and a copy is furnished for this purpose in Part 53 of the looseleaf edition of the FAR.
- (b) The inventory schedule forms prescribed in 53.245(f) through (j) shall be used to support termination settlement proposals listed in paragraph (a), above, as specified in 49.602-2.

#### **53.250** [Reserved]

# 53.251 Contractor use of Government supply sources (OF 347).

OF 347, Order for Supplies or Services. OF 347, prescribed in 53.213(f), may be used by contractors when requisitioning from the VA, as specified in 51.102(e)(3)(ii).

### **Subpart 53.3—Illustration of Forms**

### 53.300 Scope of subpart.

This subpart contains illustrations of forms used in acquisitions.

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### 53.301 Standard forms.

This section illustrates the standard forms that are specified by the FAR for use in acquisitions. The forms are illustrated in numerical order. The subsection numbers correspond with the standard form numbers (*e.g.*, Standard Form 18 appears as 53.301-18).

### 53.302 Optional forms.

This section illustrates the optional forms that are speci-

fied by the FAR for use in acquisitions. The numbering system is as indicated in 53.301.

### 53.303 Agency forms.

This section illustrates agency forms that are specified by the FAR for use in acquisitions. The forms are arranged numerically by agency. The numbering system is as indicated in 53.301.

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