

**Community Development Financial Institutions (CDFI) Fund's  
Community Investment Impact System (CIIS)  
Rationale for Collecting Data**

**CIIS version 3.0 (Fiscal Year 2005)**

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## CDFI Fund's CIIS Explanation of Rationale for Collecting Data

The CDFI Fund collects data from CDFIs and CDEs for several reasons summarized below. On the following pages, one or more of these reasons is assigned to each CIIS question or data point.

### I. Performance and Results

As a federal agency, the Fund is accountable to the Administration, Congress and the public for the use of public funds. "Performance and Results" data points will be used to demonstrate the Fund's achievement of its mission and strategic goal:

**Mission:** To expand the capacity of financial institutions to provide, credit, capital and financial services to underserved populations and communities in the United States.

**Strategic Goal:** To improve the economic conditions of underserved<sup>1</sup> communities by providing an array of financing and financial services through a nationwide network of sustainable CDFIs and CDEs.

Five types of performance and results are captured in CIIS: institutional sustainability, appropriateness of products and services offered, target markets served, leverage of private sector resources, and community development impacts.

*Sustainability* - Financial health is critical to sustainability. These data points may be used to measure the financial health of institutions. Specifically, this data can be used to measure portfolio quality, deployment of loan/investment capital, funding source diversity, and key financial ratios.

*Targeted Products and Services* - CDFIs and CDEs work in market niches that have not been adequately served by traditional financial institutions. These organizations provide a wide range of financial products and services needed by low-income households and local businesses. These data points may be used to analyze how appropriate CDFI and CDE products and services are for their respective target markets. For each product or service provided, data includes interest rates, fees, terms and other conditions of loans and investments, and types and amounts of technical assistance provided.

*Target Markets* - Many of the Fund's target markets are determined by geography. As a result, the Fund's accountability, mission, and impact are largely dependent on geographic analysis. Other target markets are population-based. These data points (including address and borrower characteristics) allow the Fund to measure the extent to which CDFIs and CDEs are serving eligible target markets.

*Leverage* - The Fund provides relatively small infusions of capital to institutions that serve targeted communities and populations. The Fund's activities leverage private-sector investments from banks,

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<sup>1</sup> "Underserved communities" include: communities that qualify as Target Markets under the CDFI Program (which include a specific geography called an Investment Area, or a specific community of people with demonstrated lack of access to credit, equity or financial services called a Targeted Population) as well as Hot Zones, the most economically distressed subset of Investment Areas; Low-Income Communities under the NMTC Program; and Distressed Communities under the BEA Program. Many Native American Communities also qualify as underserved communities. "Native American Communities" are defined as any Native American, Alaska Native or Native Hawaiian populations, lands or Census-equivalent entities, with the exception of State or Tribal Designated Statistical Areas.

foundations, and other funding sources. These data points may be used to measure private-sector leverage and the strength of public subsidy.

*Improve Economic Conditions* - The Fund measures improvements in economic conditions through a number of outcomes: jobs created/retained; new homeowners; housing units developed/rehabilitated; commercial space developed/rehabilitated; etc. These data points identify the type and quantity of outcomes associated with each loan or investment a CDFI or CDE makes.

## **II. Compliance**

Data will be used to measure CDFIs' and CDEs' compliance with the terms and conditions of their Assistance Agreements and Allocation Agreements, respectively.

## **III. Peer Analysis**

This data can be used to benchmark the performance of CDFIs and CDEs against organizations with similar characteristics (including size, type of financing, age, etc.).

## **IV. Capital Markets**

The statutory purpose of the Fund is to promote economic revitalization and community development through investment in and assistance to community development financial institutions, including enhancing the liquidity of community development financial institutions. These data points may help enhance CDFIs' and CDEs' liquidity by providing capital market investors with the data they need to analyze portfolio performance. Such analysis may not only increase these investors' interest in CDFI and CDE portfolios, it may also lead to better terms for CDFIs and CDEs because investors will have the information they need to price these portfolios accurately.

## **V. Logistics**

*Identifiers* – These data points are for identification and tracking purposes, and to link to publicly available data that may reduce the reporting burden on regulated financial institutions.

*Data Submission Process* - The Fund is interested in making the submission of data as easy as possible. As a result, the Fund is working with the vendors that provide software systems to CDFIs and CDEs.

*Data Cleansing* – The Fund will use contact information to follow up with respondents during the “data cleansing” process.

**CDFI Institution Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
	<b>I. ORGANIZATION INFORMATION</b>						
	<b>A. Basic Information</b>						
1	Name of Person Responsible for Completing the ILR						X
2	Telephone Number of Person Responsible						X
3	Email Address of Person Responsible						X
	<b>B. Organizational Structure/Affiliation</b>						
4	Is the Organization Minority Owned or Controlled ?	X					
5	Is the Organization Women Owned or Controlled ?	X					
6	Is the Organization a "Faith-Based" Organization ?	X					
7	Did the Organization Finance or Provide Financial Services to any Religious Institution(s) or Faith-Based Organization(s) During the Reporting Period?	X					
	<b>C. Populations and Geography Financed During the Reporting Period</b>						
8	Which of the Following Racial Populations Did the Organization Finance During the Reporting Period?	X					
9	Which of the following Hispanic Origin Populations Did the Organization Finance During the Reporting Period?	X					
10	Which of the Following Geographic Areas did the Organization Provide Financing in During the Reporting Period?	X					
11	Are 50% or More of the Customers or End Users: American Indian, Alaska Native, or Native Hawaiian or Located in Native American Areas?	X	X				
12	Native American Community Activities Table	X	X				
	<b>D. Staff and Consultants: # of Full-Time Equivalents (FTEs)</b>						
13	FTEs Dedicated to Lending/Investing	X			X		
14	FTEs Dedicated to Development Services	X			X		
15	FTEs Dedicated to Financial Services Other than Lending/Investing	X			X		
16	FTEs Dedicated to Administration and Other Activities	X			X		
17	Total FTEs	X			X		
18	Consultant/Contractor FTEs	X			X		
	<b>II. FINANCIAL POSITION</b>						
	<b>A. Lending/Investing Pool</b>						
19	Investment Capital Table	X			X		
20	Investment Capital Summary Table	X			X		
21	Off Balance Sheet Resources Committed to the Organization for Lending/Investing	X			X		

**CDFI Institution Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
	<b>B. Financing Commitments the Organization has Made to its Borrowers/Investees</b>						
22	Financing Commitments to Borrowers/Investees at Reporting Period End	X			X		
	<b>C. Summary Balance Sheet Information as of the Reporting Period End</b>						
23	Cash and Cash Equivalents Available for Operating Expenses	X		X	X		
24	Current Assets	X			X		
25a	Loan Loss Reserve – Accrual	X			X		
25b	Loan Loss Reserve – Cash	X			X		
25c	Depository Loss Reserves	X			X		
26	Total Assets	X	X		X		
27	Current Liabilities	X			X		
28	Total Liabilities	X	X		X		
29	Shareholders Equity, Net Assets, or Net Worth	X	X		X		
	<b>D. Summary Income and Expense Statement Information</b>						
30	Contributed Operating Revenue Table	X			X		
31	Interest Income Earned on Portfolio	X			X		
32	Fee Income Earned from Lending Portfolio and Retail Financial Services	X			X		
33	Interest Earned on Cash & Marketable Securities	X			X		
34	Contract, Training, and Consulting Income	X			X		
35	Other Earned Revenue	X			X		
36	Total Earned Revenue	X	X		X		
37	Total Operating Revenue (before gains/losses)	X			X		
38	Gains / Losses on Equity Investments	X			X		
39	Gains / Losses – Other	X			X		
40	Total Gains / Losses (Realized and Unrealized)	X			X		
41	Total Operating Revenue (after gains/losses)	X	X		X		
42	Total Non-Operating Revenue	X			X		
43	Total Revenue	X	X		X		
44	Interest Expense	X			X		
45	Loan Loss Provision	X			X		
46	Salaries and Benefits for Staff	X			X		
47	Professional Fees	X			X		
48	Other Operating Expenses	X			X		
49	Total Pre-Tax Operating Expenses	X		X	X		
50	Total Non-operating Expenses	X			X		

**CDFI Institution Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
51	Total Expenses	X			X		
52	Dividends Paid Out (For-Profit CDFIs & Credit Unions)	X			X		
53	Estimated Value of Additional Expenses	X			X		
54	Total Revenue in the Fiscal Year Prior to the Current Reporting Period	X			X		
55	Total Revenue in the Fiscal Year Two Years Prior to the Current Reporting Period	X			X		
56	Total Expenses in the Fiscal Year Prior to the Current Reporting Period	X			X		
57	Total Expenses in the Fiscal Year Two Years Prior to the Current Reporting Period	X			X		
<b>III. FINANCING</b>							
<b>A. Financing</b>							
58	Will the Organization Submit a TLR for the Current Reporting Period?						X
59	Loans/Investments Originated Table	X	X		X		
60	Loans/Investments Originated in Approved Target Market (Amount/Number)	X			X		
61	Portfolio Outstanding Table	X			X		
62	90 Days or More Past Due (Amount/Number)	X			X		
63	Total Amount Charged Off	X			X		
64	Total Recoveries	X			X		
65	Net Amount Charged Off	X			X		
<b>B. Loan Purchases and Sales</b>							
66	Loan Purchase Table	X				X	
67	Sector Breakouts for All Loans Purchased During the Reporting Period	X				X	
68	Loans Sold Table	X				X	
69	Sector Breakouts for Loans Sold During the Reporting Period	X				X	
<b>C. Other Financing Activities</b>							
<b>Other Financing Closed</b>							
70	Loan Guarantees (Amount/Number)	X					
71	Loans/Investments Underwritten for Other Organizations (Amount/Number)	X					
72	Loans/Investments Serviced for Other Organizations (Amount Number)	X					
<b>Other Financing Outstanding</b>							
73	Loan Guarantees (Amount/Number)	X					
74	Loans/Investments Underwritten for Other Organizations (Amount/Number)	X					
75	Loans/Investments Serviced for Other Organizations (Amount Number)	X					

**CDFI Institution Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
	<b>IV. COMMUNITY DEVELOPMENT IMPACTS</b>						
76	Will you provide the following impact data in a TLR?						X
77	Jobs in Portfolio Businesses at Origination	X	X		X		
78	Net Change in Jobs	X	X		X		
79	Projected Number of Housing Units Assisted	X	X		X		
80	Projected Number of Affordable Housing Units Assisted	X	X		X		
81	Number of First-Time Homebuyers	X			X		
82	Projected Capacity of Community Facilities Financed	X	X		X		
83	Projected Square Feet of Commercial Real Estate Property Developed	X	X		X		
84	Number of Equity Investments for 12 Months or More	X			X		
85	Number of Equity Investments for 12 Months or More That Have Decreased in Value in the Organization's Prior Fiscal Year	X			X		
86	Other Impacts (1) (Description/Number)	X			X		
87	Other Impacts (2) (Description/Number)	X			X		
88	Did the Organization Introduce Any New Products or Services During the Reporting Period?	X	X		X		
	<b>V. DEVELOPMENT SERVICES</b>						
89	Housing Technical Assistance	X			X		
90	Homeownership Counseling	X			X		
91	Business Technical Assistance	X			X		
92	Real Estate Technical Assistance	X			X		
93	Credit Counseling	X			X		
94	Financial Education	X			X		
95	Other Services (Not Included Above)	X			X		
96	Total Number of Development Services Clients Served	X	X		X		
97	Total Number of Affordable Housing Development Services Clients Served	X	X	X	X		
98	Total Number of Economic Development Development Services Clients Served	X	X	X	X		
99	Total Number of Financial Services Development Services Clients Served	X	X	X	X		
100	Total Number of Other Services (Not Included Above) Clients Served	X	X		X		
101	Total Number of American Indians, Alaska Natives and Native Hawaiians Served	X	X		X		
	<b>VI. Individual Development Accounts (IDAs)</b>						
102	Total Amount of All Open IDAs	X					
103	Total Number of Individual Development Accounts Open	X					
104	IDA Withdrawal Purpose Table	X					

**CDFI Institution Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
	<b>VII. DEPOSITORY CDFI OFFERINGS</b>						
	<b>A. Depository Account Offerings – Credit Union</b>						
105	Share Draft Accounts (Amount/Number)	X					
106	Regular Share Accounts (Amount/Number)	X					
107	Money Market Shares (Amount/Number)	X					
108	Share Certificate Accounts (Amount/Number)	X					
109	IRA/KEOGH Accounts (Amount/Number)	X					
110	All Other Shares (Amount/Number)	X					
111	Non-Member Deposits (Amount/Number)	X					
112	Total Accounts (Amount/Number)	X					
	<b>B. Depository Account Offerings - Bank and Thrift</b>						
113	Savings Accounts (Amount/Number)	X					
114	Checking Accounts (Amount/Number)	X					
115	Certificates of Deposit (CDs) (Amount/Number)	X					
116	Total Accounts (Amount/Number)	X					
	<b>C. Depository Account Offerings – Credit Unions, Banks and Thrifts</b>						
117	Bank or Thrift Customers OR Credit Union Members	X			X		
118	Number of New Accounts Opened	X	X		X		
119	Accounts Opened to the Unbanked	X			X		
	<b>D. Financial Services Offerings</b>						
120	ATM Access	X			X		
121	Check Cashing for Customers/Members	X			X		
122	Direct Deposit	X			X		
123	Money Orders	X			X		
124	On-line Banking	X			X		
125	Youth or School Savings Programs	X			X		
126	Accept Matricular Consular, ITIN, or Other Form of Alternative Identification for Opening an Account	X			X		
127	Alternate to Pay Day Loan	X			X		
128	Bill Payment	X			X		
129	Electronic Transfer Accounts	X			X		
130	First Accounts	X			X		
131	Health and/or Life Insurance	X			X		
132	Non-Customer / Non-Member Check Cashing	X			X		
133	Payroll Card or Other Stored Value Card	X			X		
134	Remittance Programs	X			X		
135	Other Targeted Services	X			X		



**CDFI Institution Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
	<b>VIII. Award Compliance</b>						
	<b>A. 2003-2005 Technical Assistance Awardees</b>						
136	Expended Funds / Completed Activities Table			X			
137	Date by Which the Organization received notice from the Appropriate Agency Establishing the New Entity as a Legal Entity.			X			
	<b>B. 2003-2005 Financial Assistance and Native Initiative with Financial Assistance Awardees</b>						
138	Total Loans Outstanding (Amount/Number)			X			
139	90 Days or More Past Due (Amount/Number)			X			
140	Native Initiatives - Total Amount Deployed in Target Market During the Reporting Period			X			
141	Number of Equity Investments for 12 Months or More			X			
142	Number of Equity Investments for 12 Months or More That Have Decreased in Value in the Organization's Prior Fiscal Year			X			
	<b>C. 2003 Financial Assistance Awardees</b>						
143	FA 2003 Deployment of Resources Table			X			
144	FA 2003 Development Services Table			X			
	<b>D. 2004 Financial Assistance Awardees</b>						
145	FA 2004 Deployment of Resources Table			X			
146	FA 2004 Development Services Table			X			
147	Amount in Savings, Member Shares, Checking, and/or Share Draft Deposit Dollars from 2004 Hot Zones During the Reporting Period			X			
148	Amount in Savings, Member Shares, Checking, and/or Share Draft Deposit Dollars from 2004 Target Market During the Reporting Period			X			
	<b>IX. DATA COLLECTION AND TRACKING SYS' EMS</b>						
149	Loan Portfolio Software						X
150	Borrower Characteristics Software						X
151	Community Development Impact Software						X
	<b>X. Credit Reporting Agencies Used</b>						
152	Credit Scores						X
153	Reporting Agency	X					
	<b>XI. ILR Feedback</b>						
154	How Many Hours Did it Take to Complete the ILR						X
155	Comments						X

**CDE Institution Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
	<b>I. ORGANIZATIONAL INFORMATION</b>						
	<b>A. Basic Information</b>						
1	Name of Person Responsible for Completing the ILR						X
2	Telephone Number of Person Responsible						X
3	Email Address of Person Responsible						X
	<b>B. Organizational Structure / Affiliation</b>						
4	Is the Organization a "Faith-Based" Organization?	X					
5	Did any QLICs Made During the Reporting Period Finance or Provide Services to any Religious Institution(s) or Faith-based Organization(s)?	X					
6	Has or Does the Organization Intend to Use Any Other Tax Credit Programs in Conjunction With Its NMTC Program Strategy?	X					
	<b>C. Geographies Financed During the Reporting Period</b>						
7	Which of the Following Geographic Areas were Financed by the Allocatee's QEI Proceeds During the Reporting Period?	X					
	<b>D. Human Resources Dedicated to the Allocatee's NMTC Program Management</b>						
8	FTEs Dedicated to Lending/Investing	X			X		
9	FTEs Dedicated to FCOS	X			X		
10	FTEs Dedicated to Administration and Other Activities	X			X		
11	Total FTEs	X			X		
12	Consultant/Contractor FTEs	X			X		
	<b>II. FINANCIAL POSITION</b>						
	<b>A. Lending/Investing Pool</b>						
13	QEI Distribution Table	X		X			
14	Non-QEI Investment Capital Table	X					
15	Investment Capital Summary Table	X					
16	Off-Balance Sheet Resources Committed to the Organization for NMTC-Related Lending/Investing	X					
	<b>B. Additional Funds Leveraged by the Organization</b>						
17	Additional Funds the Organization Was Able to Attract Because of Its QEIs	X					
	<b>C. QLICI Commitments</b>						
18	Total Financing Committed for QLICs (Except FCOS) at Reporting Period End	X					
	<b>D. Reporting Period Summary Financial Information</b>						
19	Non-QEI Contributions for Operating Expenses	X			X		

**CDE Institution Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
	<b>III. LOAN PURCHASES</b>						
20	Loan Purchase Table	X		X		X	
21	Sector Breakouts for All Loans Purchased	X				X	
22	Did the organization require each of the CDEs from which it purchased loans to reinvest at least the designated percent of the proceeds (the percentage indicated in the Allocation Agreement) of such loan sales in the form of QLICIs?			X			
	<b>IV. FINANCIAL COUNSELING AND OTHER SERVICES (FCOS)</b>						
23	QEIs Used for FCOS Table	X		X			
	<b>FCOS Provided Using QEI Funds</b>						
24	Entrepreneurs and Businesses Receiving Group-Based Training (Number of Clients/Hours)	X					
25	Entrepreneurs and Businesses Receiving One-on-One Technical Assistance (Number of Clients/Hours)	X	X				
26	Amount of Non-QEIs Used for FCOS, If Any	X					
	<b>FCOS Provided Using Non-QEI Funds</b>						
27	Entrepreneurs and Businesses Receiving Group Based Training (Number of Clients/Hours)	X					
28	Entrepreneurs and Businesses Receiving One-on-One Technical Assistance (Number of Clients/Hours)	X	X				
	<b>V. DATA COLLECTION SYSTEMS</b>						
29	Loan Portfolio Software						X
30	Borrower Characteristics Software						X
31	Community Development Impact Software						X
	<b>VI. CREDIT REPORTING AGENCIES USED</b>						
32	Credit Scores						X
33	Reporting Agency	X					
	<b>X. ILR Feedback</b>						
34	How Many Hours Did it Take to Complete the ILR						X
35	Comments						X
	<b>VIII. IRS Compliance Questions</b>						
1	For each QEI received during the fiscal year have you notified the investor that their investment qualifies for the New Markets Tax Credit?			X			
2	At any time during the fiscal year did the total dollar amount of QEIs received exceed the amount of the NMTC allocation awarded by the CDFI Fund?						
	a If yes, what was the amount of QEIs received that exceeded the amount authorized in the Allocation Agreement?						

**CDE Institution Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
<b>3</b>	During the fiscal year did a recapture event, as described in 26 CFR 1.45D-1T(e)(2) occur?						
	a. If yes, complete the following chart for each QEI subject to recapture.						
<b>4</b>	For QLICIs made during the fiscal year, was each QLICI made within 12 months of originally receiving the QEI?						
	a. If no, what was the aggregate dollar amount of QLICIs not made within the required timeframe?						
	b. If Not Applicable, include explanation in Question 11 Narrative Box.						
<b>5</b>	Did you receive any principal repayments on a QLICI (equity or debt), for which these amounts were not reinvested within the time frame required by IRS regulations?						
	a. If yes, what was the aggregate dollar amount of QLICI repayments not reinvested within the required timeframe?						
<b>6</b>	For any QLICIs that you made during the fiscal year to QALICBs, did the businesses qualify as QALICBs (per 26 CFR 1.45D-1T(d)(4)) at the tie of the initial investment?						
	a. If No, please describe below.						
<b>7</b>	If you invested in or lent to other CDEs, did you ensure that these entities:						
	a. Were in fact certified as CDEs by the CDFI Fund?						
	b. Invested the funds received into eligible QALICBs?						
<b>8</b>	If you purchased loans from other CDEs, did those loans meet the loan purchasing criteria (per 26CFR 1.45D-1T(d)(1)(ii))?						
<b>9</b>	Did you establish reserves in accordance with 26 CFR 1.45D-1T(d)(3)?						
	a. If yes, what was the aggregate amount of reserves established?						
<b>10</b>	Did you use the direct tracing or safe harbor method for determining substantially all?						
<b>11</b>	Please use the narrative box below to provide any further information regarding you responses to Questions 1-10 of this IRS compliance survey:						

**CDFI Transaction Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
A	Submitter Transmission ID						X
	<b>Transaction Identifier</b>						
B	Originator Transaction ID						X
C	Client ID	X					
D	Investee Type	X					
	<b>Transaction Profile</b>						
E	Date Originated	X	X			X	X
F	Original Loan/Investment Amount	X	X			X	
G	Purpose	X	X		X	X	
H	Transaction Type	X				X	
I	Interest Rate	X				X	
J	Interest Type	X				X	
K	Points	X					
L	Origination fees	X					
M	Amortization Type	X				X	
N	Equity-Like Features	X				X	
O	Term	X				X	
P	Date First Payment Due	X				X	
Q	Guarantee	X				X	
R	Lien Position	X		X		X	
S	Collateral Type	X				X	
T	Collateral Value at Origination	X				X	
U	Equity Injection Amount	X				X	
V	Forgivable Loan	X				X	
	<b>Investee/Project Addresses</b>						
W	Street Address Line 1	X	X	X			
X	Street Address Line 2	X	X	X			
Y	City	X	X	X			
Z	State	X	X	X			
AA	Zipcode5	X	X	X			
AB	Zipcode+4	X	X	X			
AC	FIPS Code	X	X	X			
	<b>Borrower/Investee Profile</b>						
AK	NAICS Code	X			X		
AL	SIC Code	X			X		
AM	Date Business Established	X			X		

**CDFI Transaction Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
AN	Entity Structure	X			X		
AO	Minority Owned or Controlled		X				
AP	Women Owned or Controlled		X				
AQ	Low-Income Owned or Controlled		X				
AR	Jobs at Time of Loan/Investment	X	X				
	<b>Transaction Status</b>						
AS	Principal Balance Outstanding	X		X			
AT	Loan Status	X				X	
AU	Days Delinquent	X	X	X		X	
AV	Number of Times 60 Days or More Delinquent	X				X	
AW	Number of Times the Loan Was Restructured	X				X	
AX	Number of Times the Loan Was Refinanced	X				X	
AY	Refinanced-Original Transaction ID	X				X	
AZ	Amount Charged Off	X				X	
BA	Amount Recovered	X				X	
BB	Fair Value at Origination	X					
BC	Fair Value at End of Reporting Period	X		X			
BD	Annual Gross Revenue and Business Operations Reported During the Reporting Period	X					
BE	Jobs at Reporting Period End	X	X				
BF	DS/FCOS Hours of Assistance Provided During Reporting Period	X					
	<b>Impact Profile</b>						
BG	Low-Income Status	X		X			
BH	Other Targeted Populations	X		X			
BI	LITP End Users	X		X			
BJ	OTP End Users	X		X			
BK	IA End Users	X		X			
BL	HZ End Users	X		X			
BM	Credit Score	X					
BN	Gender	X					
BO	Race	X					
BP	Hispanic Origin	X					
BQ	Female-Headed Household	X					
BR	First-Time Homebuyer	X					
BS	Banked @ time of Intake	X					
BT	Rejected by Bank or Credit Union	X					
BU	DS/FCOS Hours of Assistance at Time of Investment	X					

**CDFI Transaction Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
BV	DS/FCOS Hours of Assistance - Post Loan/Investment	X					
BW	Annual Gross Revenue from Business Operations At Time of Loan/Investment	X					
BX	Total Project Cost	X	X				
BY	Total Project Cost - Public Sources	X	X				
	<b>Projected Impacts</b>						
BZ	Projected Jobs to Be Created	X	X				
CA	Capacity of Community Facility	X	X				
CB	Square Feet of Real Estate – Manufacturing	X	X				
CC	Square Feet of Real Estate – Office	X	X				
CD	Square Feet of Real Estate-Retail	X	X				
CE	Asking Rent per Square Foot	X					
CF	Housing Units – Sale	X	X				
CG	Housing Units – Rental		X				
CH	Affordable Housing Units – Sale		X				
CI	Affordable Housing Units – Rental		X				
CJ	Other Impact (1) - Explain		X				
CK	Other Impact (1) - Number of Units		X				
CL	Other Impact (2) - Explain		X				
CM	Other Impact (2) - Number of Units		X				

**CDE Transaction Level Report**

		Performance & Results	Accountability	Compliance	Peer Analysis	Capital Markets	Logistics
A	Submitter Transmission ID						X
	<b>Transaction Identifier</b>						
B	Originator Transaction ID						X
C	Client ID	X					
D	Investor TIN						
E	QLICI Type	X		X			
F	Investee Type	X					
G	Investee TIN						X
	<b>Transaction Profile</b>						
H	Date Originated	X	X			X	X
I	Original Loan/Investment Amount	X	X			X	
J	Refinancing	X	X				
K	Advance Purchase Commitment			X			
L	Seller Organization			X			
M	Purpose	X	X		X	X	
N	Transaction Type	X				X	
O	Construction or Permanent Financing	X					
P	Take-out or Acquisition Financing	X					
Q	Acquisition or Rehabilitation	X					
R	Rehabilitation Amount	X					
S	Interest Rate	X				X	
T	Interest Type	X				X	
U	Points	X					
V	Origination fees	X					
W	Amortization Type	X				X	
X	Equity-Like Features	X				X	
Y	Term	X				X	
Z	Date First Payment Due	X				X	
AA	Guarantee	X				X	
AB	Lien Position	X				X	
AC	Collateral Type	X				X	
AD	Collateral Value at Origination	X				X	
AE	Equity Injection Amount	X				X	
	<b>Investee/Project Addresses</b>						
AF	Street Address Line 1	X	X	X			
AG	Street Address Line 2	X	X	X			



**CDE Transaction Level Report**

AH	City	X	X	X			
AI	State	X	X	X			
AJ	Zipcode5	X	X	X			
AK	Zipcode+4	X	X	X			
AL	Investee FIPS Code	X	X	X			
	<b>Borrower/Investee Profile</b>						
AR	QALICB Type			X			
AS	Business Description			X			
AT	NAICS Code	X			X		
AU	SIC Code	X			X		
AV	Date Business Established	X			X		
AW	Entity Structure	X			X		
AX	Minority Owned or Controlled		X				
AY	Women Owned or Controlled		X				
AZ	Low-Income Owned or Controlled		X				
BA	Jobs at Time of Loan/Investment	X			X		
	<b>Transaction Status</b>						
BB	Not Yet Disbursed / Projected QEI			X			X
BC	Disbursement ID			X			X
BD	Disbursement Date			X			
BE	Total Disbursement Amount		X	X			
BF	Disbursement Source (QEI/Non-QEI)			X			
BG	Source Amount			X			
BH	Principal Balance Outstanding	X					
BI	Loan Status	X					
BJ	Days Delinquent	X	X			X	
BK	Number of Times 60 Days or More Delinquent	X				X	
BL	Number of Times the Loan Was Restructured	X				X	
BM	Number of Times the Loan Was Refinanced	X				X	
BN	Refinanced-Original Transaction ID	X				X	
BO	Amount Charged Off	X				X	
BP	Amount Recovered	X				X	
BQ	Fair Value at End of Reporting Period	X					
BR	Annual Gross Revenue and Business Operations Reported During the Reporting Period	X					
BS	Jobs at Reporting Period End	X	X				
BT	DS/FCOS Hours of Assistance Provided During Reporting Period	X					
	<b>Impact Profile</b>						
BU	Credit Score	X					
BV	Gender	X					
BW	Race	X					
BX	Hispanic Origin	X					
BY	DS/FCOS Hours of Assistance at Time of Investment	X					

**CDE Transaction Level Report**

BZ	DS/FCOS Hours of Assistance - Post Loan/Investment	X					
CA	Annual Gross Revenue from Business Operations At Time of Loan/Investment	X					
CB	Total Project Cost	X	X				
CC	Total Project Cost - Public Sources	X	X				
	<b>Projected Impacts</b>						
CD	Projected Jobs to Be Created	X	X				
CE	Capacity of Community Facility	X	X				
CF	Square Feet of Real Estate – Manufacturing	X	X				
CG	Square Feet of Real Estate – Office	X	X				
CH	Square Feet of Real Estate-Retail	X	X				
CI	Asking Rent per Square Foot	X					
CJ	Housing Units – Sale	X	X				
CK	Housing Units – Rental		X				
CL	Affordable Housing Units – Sale		X				
CM	Affordable Housing Units – Rental		X				
CN	Other Impact (1) - Explain		X				
CO	Other Impact (1) - Number of Units		X				
CP	Other Impact (2) - Explain		X				
CQ	Other Impact (2) - Number of Units		X				
	<b>NMTC Compliance Data</b>						
CR	NMTC Eligibility Criteria (CDEs Only)			X			
CS	1990 FIPS Code (CDEs Only)			X			
CT	Related Entity			X			
CU	QLICI Level			X			
	Better Rates and Terms			X			
	Areas of Higher Distress			X			