



DHS Exhibit 300 Public Release BY09 (Form) / NPPD - Information Systems Security Line of Business (LoB) (2009)

(Item)

Form Report, printed by: Administrator, System, Feb 6, 2008

OVERVIEW

General Information

1. Date of Submission:	Sep 6, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Preparedness (PREP)
4. Name of this Capital Asset:	NPPD - Information Systems Security Line of Business (LoB) (2009)
5. Unique ID:	024-65-02-00-01-2100-24

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2009?

(Please NOTE: Investments moving to O&M ONLY in FY2009, with Planning/Acquisition activities prior to FY2009 should not select O&M. These investments should indicate their current status.)

Multi-Agency Collaboration

7. What was the first budget year this investment was submitted to OMB?

FY2007

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap. [LIMIT: 2500 char]

The ISS LOB is in the Planning stage of establishing common solutions for information systems security that will reduce cost through shared products and services; improving the level of information system security across government agencies, particularly small agencies; and improve security decision-making through a government-wide governance structure.

As Managing Partner, DHS has established a Program Management Office to develop and facilitate the day-to-day operations of the ISS LOB, a cross-agency Federal System Security Guidance Board to provide oversight and direction, and a working group of agency representatives for input and review. Agencies may be selected as service/product providers for common products and services that support the customer agencies in one or more of the security areas identified under the ISS LOB. Providers will be selected through a competitive process and must demonstrate that they are qualified to provide security products and services within a particular security area. To be considered as a provider, agencies must meet certain due diligence criteria, including having a business process to support cross-agency servicing and a record of compliance with FISMA requirements. Agencies that are not selected to be providers will be customers. Customer agencies will develop plans for migration to selected service product providers. The plans will address the impact on their programs of moving to provider and will contain transition schedules. Customers may receive services from multiple providers.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Jun 7, 2006

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project?

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	No
Budget Performance Integration	No
Financial Performance	No
Expanded E-Government	Yes
Competitive Sourcing	No
Faith Based and Community	No
Real Property Asset Management	No
Eliminating Improper Payments	No
Privatization of Military Housing	No
R and D Investment Criteria	No
Housing and Urban Development Management and Performance	No
Broadening Health Insurance Coverage through State Initiatives	No
Right Sized Overseas Presence	No
Coordination of VA and DoD Programs and Systems	No

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

Lays the groundwork for ensuring that electronic transactions within the government are private and secure; ensures that federal resources can effectively respond if security is compromised. By centralizing common best practices across all agencies, ISSLOB ensures sound ISS practices are utilized & maximizes IS interoperability opportunities. Relevant performance metrics within each SSC provides performance gains to the supported programs & single points of access to ISS products and services.

14. Does this investment support a program assessed using Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

No

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

NPPD - National Cyber Security Division (NCSD) - Infrastructure Protection Cyber Security

14.c. If "yes," what rating did the PART receive?

Results Not Demonstrated

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(4) Project manager assigned but qualification status review has not yet started

18. Is this investment identified as "high risk" on the Q4-FY 2007 agency high risk report (per OMB Memorandum M-05-23)

Yes

19. Is this a financial management system?

No


19.a. If "yes," does this investment address a FFMIA compliance area?

19.a.1. If "yes," which compliance area: [LIMIT: 250 char]

19.a.2. If "no," what does it address? [LIMIT: 500 char]

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52. [LIMIT: 2500 char]

20. What is the percentage breakout for the total FY2009 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	0.00	
Software	0.00	
Services	64.31	
Other	35.69	
Total	100.00	

21. *If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?*

N/A

23. *Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?*

Yes

Question 24 must be answered by all Investments:

24. *Does this investment directly support one of the GAO High Risk Areas?*

Yes

SUMMARY OF SPENDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2006	2007	2008	2009
Planning:				
Budgetary Resources	0.150	15.615	27.651	27.699
Acquisition:				
Budgetary Resources	0.000	0.000	22.762	32.710
TOTAL, sum of stages:				
Budgetary Resources	0.150	15.615	50.413	60.409
Maintenance:				
Budgetary Resources	0.000	0.000	0.000	2.692
TOTAL, All Stages				
Budgetary Resources	0.150	15.615	50.413	63.101
Government FTE Costs	0.050	3.838	13.526	17.216
# of FTEs	1.00	28.00	98.00	123.00
Total, BR + FTE Cost	0.200	19.453	63.939	80.317

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

Yes

2.a. If "yes," how many and in what year? [LIMIT: 500 char]

Unfunded FTE request for 3 additional FTEs for the PMO was submitted in FY08.

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes. [LIMIT: 2500 char]

Costs reflect the implementation of the Program Management (PMO), planning, acquisition and maintenance by all existing SSC's (Tier 1 training and FISMA Reporting); strategies to address remaining activity areas and associated costs of alternatives are still under consideration. Initial planning phase for first five SSC's estimated full acquisition costs in FY06-FY08; a substantial decrease in FY09 estimates is largely due to SSC agencies abilities to redirect existing assets in order to provide shared services during the design and implementation phases. We anticipate a similar margin of error during the ongoing feasibility & planning phases for remaining activity areas. (Tier II Training, Certification and Accreditation, SAIR- Tier 1 & II) and anticipate more accurate costs for the FY10 business case.