



DHS Exhibit 300 Public Release BY08 (Form) / USCIS - Immigration - CLAIMS 3.0 (2008) (Item)

Form Report, printed by: Administrator, System, Feb 12, 2007

OVERVIEW

General Information

1. Date of Submission:	Feb 7, 2007
2. Agency:	Department of Homeland Security
3. Bureau:	Citizenship and Immigration Services (CIS)
4. Name of this Capital Asset:	USCIS - Immigration - CLAIMS 3.0 (2008)
Investment Portfolio:	CIS Home Portfolio 2008
5. Unique ID:	024-30-01-07-01-3036-00

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2008?

(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?

FY2005

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

CLAIMS 3 is a legacy case application tracking and processing system used for the adjudication of applications and petitions for immigration benefits and services except asylum and naturalization. CLAIMS 3 is the primary source of applicant/petitioner information that is used to perform background checks, conduct the examination (review of the information that is being provided by petitioners), and adjudication (decision process to grant or decline the petitioners application). The information within CLAIMS 3 is used to assist the examiner in verifying and corroborating applicant petitions through the use of business rules to ensure that the process is consistent with all applicable laws and regulations. CLAIMS 3 is a critical system to USCIS and is essential for case management. CLAIMS 3 resides in four service centers and the National Benefits Center and the Administrative Appeals Office Baltimore, Maryland, and channels information to over 90 district offices through the Integrated Case Management System (ICMS) Web Interface for the Family Based 485 Forms. CLAIMS 3 is used by approximately 5,000 USCIS Staff and is a source for accessing information for USCIS Performance Measurements and Production Numbers. Source of statistical information about the USCIS Mission and workload balancing, resource allocation, management evaluation, and information from CLAIMS 3 is assembled into the official administrative reports (G22) and the Performance Analysis System (PAS). Information from these reports are then submitted to Congress and other stakeholders. Deployed releases required to allow the system to support and process 9 digit A Numbers - Provided a table driven approach to adding Premium Processing to USCIS Benefits Applications. Improved data handling and repaired data integrity issues with some interfaces'- Completed extensive, detailed analysis to document and validate requirements of the CLAIMS 3 LAN system to create a baseline in preparation for technology improvements that will allow required security upgrades. Completed hardware refresh of all CLAIMS 3 LAN sites including server upgrades to Windows 2003 server and workstation upgrades to Windows XP. Implemented fee changes effective October 26, 2005. Implemented processes to provide LPR cards to aliens granted status as a result of EOIR processing. It is expected that CLAIMS 3 will remain in operation through FY12 at which time it would be phased out as newly developed case management systems come fully on line.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

Aug 4, 2006

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

Human Capital	Yes
Budget Performance Integration	No
Financial Performance	No
Expanded E-Government	Yes
Competitive Sourcing	Yes
Faith Based and Community	No
Real Property Asset Management	No
Eliminating Improper Payments	No
Privatization of Military Housing	No
R and D Investment Criteria	No
Housing and Urban Development Management and Performance	No
Broadening Health Insurance Coverage through State Initiatives	No
Right Sized Overseas Presence	No
Coordination of VA and DoD Programs and Systems	No

13.a. Briefly describe how this asset directly supports the identified initiative(s)?

CLAIMS 3 provides information and record decisions for adjudication of immigration requests and currently supports electronic processing of over 60 applications & petition types. This information allows the sharing and integration of Federal, state and local data to facilitate better performance of key government capabilities. CLAIMS 3 supports Government-to-Go-vernment initiatives since naturalized applicants are often entitled to benefits from other government organizations.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?

Yes

14.a. If "yes," does this investment address a weakness found during the PART review?

Yes

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

USCIS - Immigration Services

14.c. If "yes," what PART rating did it receive?

Moderately Effective

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 1

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(2) Project manager qualification is under review for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

19. Is this a financial management system?

Yes

19.a. If "yes," does this investment address a FFMIA compliance area?

No

19.a.1. If "yes," which compliance area:

19.a.2. If "no," what does it address?

CLAIMS 3 provides status on immigration applications for benefits and hands off the fee processing to Debt Management (3rd party external to USCIS).

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52.

CLAIMS 3

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Area	Percentage	
Hardware	0.00	
Software	0.00	
Services	100.00	
Other	0.00	
Total	100.00	★

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

SUMMARY OF FUNDING

SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
Planning:				
Budgetary Resources	2.758	0.000	0.000	0.000
Acquisition:				
Budgetary Resources	81.900	0.000	0.000	0.000
Subtotal:				
Budgetary Resources	84.658	0.000	0.000	0.000
Maintenance:				
Budgetary Resources	10.372	13.891	9.384	9.680
TOTAL, All Stages				
Budgetary Resources	95.030	13.891	9.384	9.680
Government FTE Cost	0.660	0.250	0.260	0.270
# of FTEs	0.00	0.00	0.00	0.00
Total, BR + FTE Cost	95.690	14.141	9.644	9.950

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.