



DHS Exhibit 300 Public Release BY08 (Form) / TSA - TSA Operating Platform (TOP) (2008) (Item)

Form Report, printed by: Administrator, System, Feb 12, 2007

OVERVIEW

General Information

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|--------------------------------|--|
| 1. Date of Submission: | Feb 7, 2007 |
| 2. Agency: | Department of Homeland Security |
| 3. Bureau: | Security, Enforcement and Investigations (SEI) |
| 4. Name of this Capital Asset: | TSA - TSA Operating Platform (TOP) (2008) |
| Investment Portfolio: | TSA Home Portfolio 2008 |
| 5. Unique ID: | 024-50-01-07-01-5606-00 |

(For IT investments only, see section 53. For all other, use agency ID system.)

All investments

6. What kind of investment will this be in FY2008?

(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?

FY2003

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

The TSA Operating Platform (TOP) is a common enterprise operating environment for hosting applications; an integrated data infrastructure for information and content; and a collection of shared services, i.e., applications that support the environment such as user management. The vision for the Administration is that all applications will be integrated with TOP. By using a set of common applications and services, unnecessary software-licensing costs will be avoided, maintenance efforts will be reduced, technical expertise can be focused on a core set of technologies, and the environment will be drastically simplified. The goal of the program is to produce capabilities that are compliant with the DHS Enterprise Architecture, are sufficiently robust and scalable to directly support other DHS components, and to serve as a model for other federal, state and local agencies.

Since inception, TOP has ensured that TSA constituents are provided the most current and reliable source of data and information available. Previously, corporate knowledge was stored in isolated databases and private files sprinkled throughout the enterprise on personal hard drives and file servers. Data and information stored in more than one location is not only redundant, but is by its very nature, suspect to being inaccurate. The TOP initiative has reduced this type of suspicion by consolidating corporate knowledge into central data repositories including corporate databases and a content/document- management system. There, the information is secured by role-based security measures and protected via an enterprise backup and recovery strategy. The program strives to have information stored once, maintained and validated by the appropriate owner, and continually reused to meet the needs of different customers.

The TOP user base has expanded from an initial core of approximately 1000 users in 2003 to supporting 70,000 internal and external customers in 2007. Additionally, applications residing upon the platform have facilitated information sharing across the agency resulting in improved performance and mission capabilities. TOP has shown continual improvement throughout its lifecycle and has expanded the scope of customer product services.

9. Did the Agency's Executive/Investment Committee approve this request?

Yes

9.a. If "yes," what was the date of this approval?

10. Did the Project Manager review this Exhibit?

Yes

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

12.a. Will this investment include electronic assets (including computers)?

Yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

12.b.1. If "yes," is an ESPC or UESC being used to help fund this investment?

12.b.2. If "yes," will this investment meet sustainable design principles?

12.b.3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," select the initiatives that apply:

| | |
|---|-----|
| Human Capital | Yes |
| Budget Performance Integration | Yes |
| Financial Performance | Yes |
| Expanded E-Government | Yes |
| Competitive Sourcing | No |
| Faith Based and Community | No |
| Real Property Asset Management | No |
| Eliminating Improper Payments | No |
| Privatization of Military Housing | No |
| R and D Investment Criteria | No |
| Housing and Urban Development Management and Performance | No |
| Broadening Health Insurance Coverage through State Initiatives | No |
| Right Sized Overseas Presence | No |
| Coordination of VA and DoD Programs and Systems | No |

13.a. Briefly describe how this asset directly supports the identified initiative(s)?

The TOP supports the above initiatives by providing IT solutions for mission and business needs. HR is supported by the hosting of Electronic Time and Attendance and providing reporting and statistical capabilities. Budget and Financial Performance requirements are satisfied by providing connectivity into the Coast Guard Financial System as well as internal tracking capabilities for the CFO. The Expanded E-Government initiative is supported by facilitating Information Sharing within DHS.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?

No

14.a. If "yes," does this investment address a weakness found during the PART review?

No

14.b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

14.c. If "yes," what PART rating did it receive?

15. Is this investment for information technology (See section 53 for definition)?

Yes

For information technology investments only:

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 1

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

No

19. Is this a financial management system?

No

19.a. If "yes," does this investment address a FFMIA compliance area?

No


19.a.1. If "yes," which compliance area:

19.a.2. If "no," what does it address?

19.b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52.

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

| Area | Percentage |
|------|------------|
|------|------------|

| | | |
|-----------------|--------|---|
| Hardware | 15.00 | |
| Software | 15.00 | |
| Services | 70.00 | |
| Other | 0.00 | |
| Total | 100.00 |  |

21. *If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?*

N/A

23. *Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?*

No

| |
|---------------------------|
| SUMMARY OF FUNDING |
|---------------------------|

| |
|---|
| SUMMARY OF SPENDING FOR PROJECT PHASES (In Millions) |
|---|

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

| | PY-1 & Earlier | PY | CY | BY |
|-----------------------------|----------------|--------|--------|--------|
| | -2005 | 2006 | 2007 | 2008 |
| Planning: | | | | |
| Budgetary Resources | 7.000 | 0.000 | 0.000 | 0.000 |
| Acquisition: | | | | |
| Budgetary Resources | 137.000 | 0.000 | 0.000 | 0.000 |
| Subtotal: | | | | |
| Budgetary Resources | 144.000 | 0.000 | 0.000 | 0.000 |
| Maintenance: | | | | |
| Budgetary Resources | 103.743 | 86.978 | 42.000 | 43.680 |
| TOTAL, All Stages | | | | |
| Budgetary Resources | 247.743 | 86.978 | 42.000 | 43.680 |
| Government FTE Cost | 9.141 | 1.487 | 2.018 | 2.018 |
| # of FTEs | 4.00 | 10.00 | 14.00 | 14.00 |
| Total, BR + FTE Cost | 256.884 | 88.465 | 44.018 | 45.698 |

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

2.a. If "yes," how many and in what year?

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.