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10/30/97	In the Matter of Ben H. Attia	Exported ballistic shields to Tunisia without obtaining the validated license he knew or had reason to know was required; made false and misleading statements of material fact on export control document	787.4(a) [1] 787.5(a) [1] 787.6 [1]	Ben H. Attia	Export privileges denied for 15 years
10/31/97	In the Matter of Thane-Coat, Inc., Jerry Vernon Ford, Preston John Engebretson, Export Materials, Inc. and Thane-Coat International, Ltd.	Reason to believe that the respondents employed a scheme to export U.S.-origin products from the United States, through the United Kingdom or Italy, to Libya, a country subject to a comprehensive economic sanctions program, without the authorization required	EAA EAR	Thane-Coat, Inc., Jerry Vernon Ford, Preston John Engebretson, Export Materials, Inc. and Thane-Coat International, Ltd.	Order temporarily denying export privileges was renewed for 180 days
11/03/97	In the Matter	Knowingly and willfully	Sections 1701	William F. McNeil	Export privileges denied

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	of William F. McNeil	exported and caused to exported U.S.-origin electronic riot shields to Romania without applying for and obtaining the required authorization from the Commerce Department	and 1705 of IEEPA		until August 8, 2001
01/09/98	In the Matter of Nishan Keval	Knowingly and willfully exported and caused to be exported from the U.S. to The Netherlands, for transshipment to the People's Republic of Libya, petrochemical-related equipment	Sections 1702 and 1705 of the IEEPA	Nishan Keval	Export privileges denied until September 25, 2003
01/13/98	In the Matter of PTC Aerospace, a division of BE Aerospace, Inc.	Exported U.S.-origin aircraft parts, including aircraft seat sets, to France for installation on Iran Air aircraft without the validated export licenses	787.4(a) [25] 787.6 [25]	PTC Aerospace, a division of BE Aerospace, Inc.	Settlement agreement - civil penalty of \$500,000; export privileges denied for three years, all of which is suspended

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		that PTC Aerospace knew or had reason to know were required			
01/26/98	In the Matter of Allergan, Inc.	Exported U.S.-origin botulinum toxin pharmaceutical product to various countries without the required validated licenses	787.6 [412]	Allergan, Inc.	Settlement agreement - civil penalty of \$824,000
02/02/98	In the Matter of Coherent, Inc.	Exported to the Department of Atomic Energy, in India, U.S.-origin plasma tubes for use in argon ion lasers without the required validated export licenses	787.6 [2]	Coherent, Inc.	Settlement agreement - civil penalty of \$20,000
02/09/98	In the Matter of NF&M International, Inc.	Exported titanium alloy products to Australia, Austria, England, Germany and Israel without obtaining the required validated export licenses	787.6 [33]	NF&M International, Inc.	Settlement agreement - civil penalty of \$82,500; \$42,500 suspended for one year

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02/19/98	In the Matter of Helco Company, Inc.	Conspiracy; sold U.S.-origin equipment and spare parts to Doornbos, GmbH knowing that they would be reexported from Germany to Libya for use in the Great Man-Made River Project without applying for or obtaining the reexport authorizations Helco knew or had reason to know were required	787.3(b) [1] 787.2 [8]	Helco Company, Inc.	Settlement agreement - civil penalty of \$90,000, \$40,000 suspended for two years; export privileges denied for two years, all of which is suspended
02/20/98	In the Matter of Essam Alkadi, also know as Essam Al-Kadi	Attempted to export a U.S.-origin shotgun to Saudi Arabia without obtaining the required validated export license	787.3(b) [1]	Essam Alkadi, also known as Essam Al-Kadi	Export privileges denied for three years
02/26/98	In the Matter of LEP Profit International, Inc.	Made false and misleading statements of material fact on export control documents	787.5(a)(1) [12]	LEP Profit International, Inc.	Settlement agreement - civil penalty of \$60,000, \$15,000 suspended for two years

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02/26/98	In the Matter of Republic Cargo Systems, successor to certain of the business of H&M International Air Freight Corp.	Exported marine diesel engine parts to Vietnam without obtaining the required validated export license	787.6 [1]	Republic Cargo Systems, successor to certain of the business of H&M International Air Freight Corp.	Settlement agreement - civil penalty of \$10,000
03/02/98	In the Matter of C.H. Powell Company	Made false and misleading statements of material fact on export control documents	787.5(a)(1)[4]	C.H. Powell Company	Settlement agreement - civil penalty of \$20,000
03/02/98	In the Matter of C.H. Powell Company	Forwarded U.S.-origin sodium cyanide to the Dominican Republic with knowledge or reason to know that a violation of the Act or any regulation, order, or license issued thereunder occurred, was about to occur, or was intended to occur	787.4(a) [1]	C.H. Powell Company	Settlement agreement - civil penalty of \$10,000

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03/02/98	In the Matter of Realtek Semi-Conductor Co. Ltd.	Realtek, a person denied all export privileges, violated the terms of the denial order issued against it by ordering items to be exported from the U.S. with knowledge that a violation of the Act, the Regulations, or any order, license or authorization issued thereunder occurred, was about to occur, or was intended to occur	764.2(k) [1] 764.2(e) [1]	Realtek Semi-Conductor Co. Ltd.	Settlement agreement - civil penalty of \$20,000; export privileges denied for a period of one year from August 3, 2000 [the date the original order expires], all of which is suspended
03/19/98	In the Matter of New World Transtechnology	Knowingly and willfully attempted to export, and caused to be exported, computers to the People's Republic of China without the required validated export license and knowingly and willfully attempted to export and attempted to cause to be exported, from the U.S. to	Sections 1702 and 1705(b) of the IEEPA	New World Transtechnology	Export privileges denied until December 20, 2006

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		the Commonwealth of Hong Kong, for transshipment to the People's Republic of China, a computer without the required validated export license or other authorization			
03/23/98	In the Matter of Suburban Guns (Pty) Ltd.	Knowingly and willfully caused to be exported to South Africa numerous firearms designated on the Commerce Control List without obtaining the required validated export licenses	Section 2410(b)(1)(A) of the EAA and Section 1705(b) of the IEEPA	Suburban Guns (Pty) Ltd.	Export privileges denied until July 25, 2007
04/06/98	In the Matter of Penny Ray, also known as Lei Ping	Knowingly and willfully exported and attempted to export defense articles to the People's Republic of China without obtaining the required export license from the Department of State	Section 38 of the AECA	Penny Ray, also known as Lei Ping	Export privileges denied until January 14, 2008
04/06/98	In the Matter of James Lee,	Knowingly and willfully exported and attempted to export defense articles to	Section 38 of the AECA	James Lee, also known as Li Jin	Export privileges denied until January 14, 2008

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	also known as Li Jin	the People's Republic of China without obtaining the required export license from the Department of State			
04/29/98	In the Matter of Export Materials, Inc. and Thane-Coat International, Ltd.	Reason to believe that the respondents employed a scheme to export U.S.-origin products from the United States, through the United Kingdom or Italy, to Libya, a country subject to a comprehensive economic sanctions program, without the authorization required	EAA EAR	Export Materials, Inc. and Thane-Coat International, Ltd.	Order temporarily denying export privileges was renewed for 180 days

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04/29/98	In the Matter of Thane-Coat, Inc., Jerry Vernon Ford and Preston John Engebretson	Reason to believe that the respondents employed a scheme to export U.S.-origin products from the United States, through the United Kingdom or Italy, to Libya, a country subject to a comprehensive economic sanctions program, without the authorization required	EAA EAR	Thane-Coat, Inc., Jerry Vernon Ford and Preston John Engebretson	Order temporarily denying export privileges was renewed for 180 days, but was limited to the United Kingdom, the Bahamas, Libya, Cuba, Iraq, North Korea, Iran, and any other country or countries that may be made subject in the future to a general trade embargo, and provides that, at least 14 days in advance of any export that any of the denied persons intends to make of any item to any destination world-wide, the denied person will provide to BXA notice of the intended export, copies of all documents reasonably related to the subject transaction and the opportunity during the 14-day notice period to inspect physically the item at issue

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05/05/98	In the Matter of David Irwin Portnoy	Knowingly and willfully exported and caused to be exported from the United States to Switzerland, for transshipment to Libya, electronic components and telecommunication equipment	Sections 1702 and 1705 of the IEEPA	David Irwin Portnoy	Export privileges denied until August 1, 2007
05/05/98	In the Matter of Wayne P. Smith	Knowingly and willfully exported and caused to be exported to England 80 plain self-aligning ball bearings designed for and used on the McDonnell Douglas F-4 Phantom II military jet, without obtaining the required export license from the Department of State	Section 38 of the AECA	Wayne P. Smith	Export privileges denied until July 3, 2006
05/11/98	In the Matter of Sanford B. Groetzinger	Knowingly and willfully exported and attempted to export defense articles to France, for transshipment to Iran, without obtaining the	Section 38 of the AECA	Sanford B. Groetzinger	Export privileges denied until September 26, 2005

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		required export licenses from the Department of State			
05/11/98	In the Matter of Summit Marketing, Inc.	Knowingly and willfully exported and attempted to export defense articles to France, for transshipment to Iran, without obtaining the required export licenses from the Department of State	Section 38 of the AECA	Summit Marketing, Inc.	Export privileges denied until September 26, 2005
05/12/98	In the Matter of Marc Andre Leveille	Directly and indirectly falsified or concealed a material fact	787.5(a)(1)(I) [1]	Marc Andre Leveille	Settlement agreement - civil penalty of \$10,000
05/15/98	In the Matter of Republic- Lagun Machine Tool Company	Exported a Lagunmatic VMC- 1200 machining center with a Fanuc 11M CNC controller to the People's Republic of China without obtaining the	787.4(a) [1] 787.6 [1]	Republic-Lagun Machine Tool Company	Settlement agreement - civil penalty of \$20,000

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		validated license that Republic-Lagun knew or had reason to know was required			
06/05/98	In the Matter of Hitachi Data Systems Corporation	Exported computer equipment to South Africa without obtaining the required validated export license and made false and misleading statement of material fact on export control document	787.5(a) [1] 787.6 [1]	Hitachi Data Systems Corporation	Settlement agreement - civil penalty of \$6,000
06/19/98	In the Matter of Gateway 2000, Inc.	Exported U.S.-origin computer equipment to various countries without applying for and obtaining the validated licenses that Gateway knew or had reason to know were required; made false and misleading representations of material	787.4(a) [30] 787.5(a) [27] 787.6 [30]	Gateway 2000, Inc.	Settlement agreement - civil penalty of \$402,000

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		fact to a U.S. government agency directly or indirectly in connection with the preparation or use of an export control document			
06/30/98	In the Matter of EVI, Inc.	Exported oil field equipment to Iran without obtaining the required validated export license; made false and misleading misrepresentation, statement, or certification of a material fact directly or indirectly to a U.S. government agency in connection with the preparation, submission, issuance, use or maintenance of export control documents	787.5(a)(1)[2] 787.6 [2]	EVI, Inc.	Settlement agreement - civil penalty of \$40,000, \$10,000 suspended for one year
07/31/98	In the Matter	Sold computers to the Russian	764.2(a) [17]	IBM East	Settlement agreement - civil

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	of IBM East Europe/Asia, Ltd.	company, Ofort, and arranged for their export from Germany to Ofort's shipping agent in The Netherlands, who then reexported the computers to Arzamas-16 in Russia without any party having applied for or obtained the required license		Europe/Asia, Ltd.	penalty of \$171,000; export privileges denied for two years, all suspended; agreement by IBM East Europe/Asia to refrain from dealing with any military or nuclear end user for two years and to the suspension of its authority to use License Exception CTP for two years
08/03/98	In the Matter of Elham Abrishami	Knowingly and willfully exported and caused to be exported radio communication equipment to the United Arab Emirates, for transshipment to Iran, without first having obtained authorization from the Department of Commerce; knowingly and willfully attempted to export encryption modules from the United Arab Emirates, for	Section 2410(b) of the EAA and Section 38 of the AECA	Elham Abrishami	Export privileges denied until August 20, 2007

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		transshipment to Iran, without first having obtained an export license or written authorization from the State Department			
08/03/98	In the Matter of Jack Allen Baugher	Knowingly and willfully exported and caused to be exported electronic stun guns to Mexico and the Philippines, without obtaining the required export licenses from the Department of Commerce; knowingly and willfully exported and caused to be exported liquid pepper to Mexico and the Philippines, without obtaining the required written authorization from the State Department	Section 1701 of the IEEPA and Section 38 of the AECA	Jack Allen Baugher	Export privileges denied until December 19, 2005

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08/18/98	In the Matter of Robert E. Mahler	Willfully and knowingly exported and attempted to export a defense article, specifically a 40-foot container containing handguns, rifles and ammunition, to the Republic of South Africa without having first obtained the required export license from the State Department	Section 38 of the AECA	Robert Mahler	Export privileges denied until June 12, 2001
08/20/98	In the Matter of N.V. Advanced Technology Company	Reexported U.S.-origin electronic equipment from Belgium to Russia without obtaining the required reexport authorizations	787.6 [2]	N.V. Advanced Technology Company	Settlement agreement - civil penalty of \$10,000
09/03/98	In the Matter	Exported sodium cyanide to	787.6 [4]	Chemicals Export	Settlement agreement - civil

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	of Chemicals Export Company	Peru, Venezuela and Guatemala without obtaining the required validated export licenses		Company	penalty of \$16,000
09/03/98	In the Matter of Southern Information Systems	Exported foreign-made digital microwave systems that incorporated U.S.-origin parts, components or materials in excess of 25% of the value of each system from Taiwan to Vietnam without obtaining the required written authorizations	787.6 [5]	Southern Information Systems	Settlement agreement - civil penalty of \$25,000
09/03/98	In the Matter of Syntex, S.A. de C.V.	Caused, aided and abetted the export by its agent of U.S.- origin hydrogen fluoride to Mexico without obtaining the required validated licenses	787.2 [13]	Syntex, S.A. de C.V.	Settlement agreement - civil penalty of \$65,000, \$32,500 suspended for one year

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09/03/98	In the Matter of Villasana & Company, Inc.	Made false or misleading statements of material fact, directly or indirectly to a United States government agency in connection with the preparation or use of an export control document	787.5(a)(1)[1]	Villasana & Company, Inc.	Settlement agreement - civil penalty of \$2,500, all of which is suspended; export privileges denied for two years, all of which is suspended
09/03/98	In the Matter of Mario Palmeros, d/b/a Palmeros Forwarding	Made false or misleading statements of material fact, directly or indirectly to a United States government agency in connection with the preparation or use of an export control document	787.5(a)1)[10]	Mario Palmeros, d/b/a Palmeros Forwarding	Settlement agreement - civil penalty of \$50,000, all of which is suspended; export privileges denied for two years, all of which is suspended
09/04/98	In the Matter of Herb Kimiateg, idba Kimson Chemical, Inc.	Exported sodium cyanide to the Dominican Republic without obtaining the license he knew or had reason to know was required	787.4(a) [1] 787.6 [1]	Herb Kimiateg, idba Kimson Chemical, Inc.	Settlement agreement - civil penalty of \$20,000

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09/16/98	In the Matter of Robert J. Gaudu	Conspiracy; possessed U.S.- origin commodities with the intent to export them with knowledge or having reason to know that a violation of the Act or the Regulations was intended to occur; made false or misleading statements of material fact directly or indirectly to a United States government agency in the course of an investigation	787.3(b) [1] 787.4(a) [1] 787.4(b) [1] 787.5(a)(1)[1]	Robert J. Gaudu	Settlement agreement - civil penalty of \$25,000; export privileges denied for three years, all of which is suspended
09/30/98	In the Matter of Paul Dufault	Conspiracy; possessed U.S.- origin commodities with the	787.3(b) [1] 787.4(a) [1]	Paul Dufault	Settlement agreement - civil penalty of \$25,000; export

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		intent to export them with knowledge or having reason to know that a violation of the Act or the Regulations was intended to occur; made false or misleading statements of material fact directly or indirectly to a United States government agency in the course of an investigation	787.4(b) [1] 787.5(a)(1)[1]		privileges denied for three years, all of which is suspended
09/30/98	In the Matter of Ed Jentz	Conspiracy; possessed U.S.-origin commodities with the intent to export them with knowledge or having reason to know that a violation of the Act or the Regulations was intended to occur; made false or misleading statements of	787.3(b) [1] 787.4(a) [1] 787.4(b) [1] 787.5(a)(1)[1]	Ed Jentz	Settlement agreement - civil penalty of \$25,000; export privileges denied for three years, all of which is suspended

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material fact directly or
indirectly to a United States
government agency in the
course of an investigation