

National Marine Fisheries Service
Office for Law Enforcement

ALASKA ENFORCEMENT DIVISION

QUARTERLY REPORT



Fourth Quarter FY02
July 1 - September 30, 2002

To report fisheries violations,
call our National Hotline at 1-800-853-1964.

<http://www.nmfs.noaa.gov/ole/Alaska/index.html>

ALASKA ENFORCEMENT DIVISION

Quarterly Report
July 1, 2002 - September 30, 2002

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SIGNIFICANT ACTIONS

A. Quarterly Milestone Activity

No milestone activity this quarter.

B. Major Cases

Unlawful trawling in U.S. EEZ

The Russian Fishing Vessel, VIYTNA, was seized in September for unlawfully trawling in the U.S. EEZ. The vessel was illegally fishing for pollock in the western Bering Sea when spotted by a Coast Guard C-130 aircraft. The VIYTNA was escorted to Dutch Harbor, Alaska, where special agents of NOAA Office for Law Enforcement took custody. On further investigation, it was determined that "hot pursuit" was not properly established, so the vessel was released.

Presorting of Prohibited Species

Last quarter the owner/operator of the F/V REBECCA IRENE was issued a \$360,000 NOVA and a Notice of Permit Sanction (sanctions six different federal permits for 90 days) for directing the crew of the vessel to interfere with observer sampling procedures of the observer by removing halibut from the deck prior to the observer's sampling. The skipper will enter a plea of No Contest, and will enter this plea pro per se. The vessel owner has asked for a hearing.

A similar case on a different vessel is being presented to the Federal Grand Jury. The term of the original Grand Jury expired and the case is being reintroduced. This case is scheduled to be presented for indictment during the November session of the Grand Jury. The U.S. Attorney's Office previously issued a pre-charge offer to both defendants proposing that the defendants each plead guilty to one felony count of Conspiracy to violate the Lacey Act (16 U.S.C. 3372(d) and 3373(d)(3)(A)(ii)) in relation to the submission of false records to the National Marine Fisheries Service.

ESA/MMPA

In July 2001, a Special Agent in Petersburg, Alaska, received a call from an individual reporting a whale entangled in a crab pot line. The Special Agent located the humpback whale in Frederick Sound and made several unsuccessful attempts to remove the line and pot. Then the assistance of the US Coast Guard Cutter ANACAPA was requested. ANACAPA responded quickly and, after several unsuccessful attempts, finally freed the exhausted whale from the line and pot.

C. COPPS

Cook Inlet Beluga Whale Protection

The 2002 Cook Inlet Beluga Whale Protection effort was nearly concluded. The goal of our efforts is to ensure compliance and to detect illegal hunting activity. To date, we have devoted more than 300 hours in aircraft, vehicles, and boat patrols. A small amount of activity will continue through mid October. In December we will recap, evaluate, and plan for next year.

The Cook Inlet Beluga Whale Co-Management agreement for 2002 authorized the harvest of two beluga whales, one by the village of Tyonek and the other by the Alaska Natives Marine Mammal Hunter's Committee (ANMMHC). ANMMHC harvested its whale in late summer, however, the Tyonek village was not successful and did not harvest a beluga. We worked with both Native groups and coordinated hunting times and procedures. No incidents or violations involving the taking of a beluga occurred in the hunts or elsewhere. This was supported by the finding that all stranded belugas that were investigated had died of natural causes.

Community Oriented Policing and Problem Solving (COPPS) played an important role in supporting the beluga enforcement plan. Patrols and undercover operations were conducted with the Civil Air Patrol, Alaska State Troopers, and Alaska State Park Rangers. Additionally, beluga reward posters were circulated in public and resulted in beluga strandings and suspicious incidents being reported by private and commercial aircraft, boat, and vehicles.

D. VMS

At quarter's end, 323 vessels have been activated. There have been five failed units (GPS module only), and these are currently in the process of being replaced. Sixteen instances of vessels entering no-transit zones have been referred for follow-up. The simple ones are being handled by summary settlement. There were also 3 possible no-fishing area violations (triggered visually not automatically). None resulted in violations.

E. OTHER ITEMS OF INTEREST

Infrastructure

Recruitment efforts were conducted for six special agents and the COPPS officer position for Juneau. Candidates for all but one position were in clearance at quarter's end. One SA position will be re-advertised. Final selections for six officer positions were also completed and the clearance process initiated.

NUMBER OF CASES OPENED BY INVESTIGATION TYPE
FY01 and FY02

| Investigation Type | FY01 4th Quarter | FY01 Total | FY02 4th Quarter | FY02 Total |
|----------------------------------|------------------|-------------|------------------|------------|
| American Fisheries Act | 0 | 0 | 0 | 1 |
| Documentation/Safety | 0 | 0 | 6 | 9 |
| ESA | 3 | 33 | 14 | 18 |
| Lacey Act | 0 | 2 | 1 | 2 |
| Magnuson Act | 317 | 1113 | 213 | 735 |
| MMPA | 23 | 72 | 27 | 55 |
| North Pacific Halibut Act | 11 | 46 | 4 | 25 |
| Non-Fisheries Federal Regs | 0 | 23 | 2 | 27 |
| State Regulations | 12 | 34 | 10 | 46 |
| Other Fisheries Investigation | 0 | 1 | 2 | 5 |
| International Whaling Commission | 0 | 0 | 1 | 1 |
| TOTAL | 366 | 1324 | 280 | 924 |

COMPLAINT ACTION

07/01/2002 - 09/30/2002

| Investigation Type | No. Complaints Opened | No. Complaints Unfounded |
|--|-----------------------|--------------------------|
| Magnuson Act (MFCMA) | 4 | 2 |
| Marine Mammal (MMPA) | 3 | 3 |
| Endangered Species Act (ESA) | 1 | 0 |
| North Pacific Halibut Act | 0 | 0 |
| Documentation/Safety | 5 | 0 |
| Other (<i>list</i>) - State Regs | 0 | 0 |
| Other (<i>list</i>) - Non-Fisheries Regs | 1 | 0 |
| Other (<i>list</i>) - Other Federal Regs | 0 | 0 |
| TOTAL | 14 | 5 |

| | |
|---|----|
| Number of Complaints Assigned CAR's | 14 |
| Number of Complaints Upgraded | 0 |
| Unfounded Complaints Assigned CAR's | 5 |
| Number of Complaints not Assigned CAR's | 0 |
| Number of Hotline Complaints | 0 |

AGENT/OFFICER HOURS BY PROGRAM
FY01 and FY02

| Program | Program Codes | Hours | | | |
|--------------------------------|---------------|------------------|------------|------------------|------------|
| | | FY01 4th Quarter | FY01 Total | FY02 4th Quarter | FY02 Total |
| Magnuson Act | | | | | |
| IFQ | 6793 | 3,501.3 | 12,951.3 | 2,553.5 | 8,340.0 |
| Alaska EEZ General | 679 | 3,273.0 | 11,855.0 | 2,573.8 | 10,812.8 |
| BSA Groundfish | 6791 | 14.5 | 1,995.5 | 0.0 | 32.5 |
| GOA Groundfish | 6792 | 16.5 | 2,101.0 | 0.0 | 24.0 |
| MFCMA General | 31 | 270.5 | 441.0 | 23.0 | 107.5 |
| MFCMA Subjects | 600/660 | 0.0 | 61.5 | 49.0 | 115.0 |
| U.S. vessels fishing in Russia | 299 | 4.0 | 7.0 | 0.0 | 7.0 |
| Scallops | 6795 | 0.0 | 28.5 | 0.0 | 0.0 |
| CDQ | 6794 | 0.0 | 3.0 | 0.0 | 0.0 |
| Research Plan | 677 | 0.0 | 0.0 | 0.0 | 0.0 |
| Salmon - Alaska | 674 | 0.0 | 7.0 | 1.0 | 1.0 |
| Totals: | | 7,079.8 | 29,450.8 | 5,200.3 | 19,439.8 |
| Lacey Act | 20/21/22/23 | 15.0 | 45.5 | 28.5 | 45.5 |
| Marine Mammal Protection Act | 1 | 372.0 | 1,583.5 | 599.0 | 1,609.0 |
| North Pacific Halibut Act | 3 | 107.0 | 378.0 | 202.0 | 356.5 |
| North Pacific Fisheries Act | 7 | 0.0 | 0.0 | 0.0 | 75.0 |
| Endangered Species Act | 2/200-210 | 175.0 | 1,992.0 | 234.0 | 592.0 |
| High Seas Salmon | 11 | 3.0 | 788.0 | 0.0 | 226.5 |
| High Seas Fish. Compliance Act | 33 | 18.5 | 162.0 | 7.0 | 178.5 |
| American Fisheries Act | 16 | 1.0 | 1.0 | 0.0 | 9.5 |
| Other Federal Regulations | 10/12/30/32 | 24.5 | 110.0 | 14.5 | 74.5 |
| State Regulations | 24/25 | 117.5 | 420.0 | 24.0 | 223.0 |
| General | 36 | 5,632.0 | 22,335.5 | 5,595.0 | 23,000.8 |
| Leave | 38 | 2,590.0 | 1,524.5 | 1,671.5 | 7,306.5 |
| Other - Sky Marshal Program | 901 | 0.0 | 0.0 | 0.0 | 2,005.5 |
| Other - Home Land Security | 902 | 0.0 | 0.0 | 7.0 | 122.0 |
| Other - Canadian Boundary | 298 | 0.0 | 9.0 | 0.0 | 0.0 |
| Other - Lacey Act Subjects | 400 | 17.0 | 17.0 | 2.0 | 2.0 |
| Other - MM Subjects | 100/110 | 750.5 | 1,861.0 | 193.0 | 415.5 |
| TOTAL | | 16,902.8 | 60,677.8 | 13,777.8 | 55,682.1 |

AGENT/OFFICER HOURS BY ACTIVITY
FY01 and FY02

| Activity | Activity Codes | Hours | | | |
|---|----------------------------|------------------------|-----------------|------------------------|-----------------|
| | | FY01 4th Quarter | FY01 Total | FY02 4th Quarter | FY02 Total |
| Investigations, Special Ops | 02,03,04,05 20,21,22,23 | 3,142.5 | 13,974.5 | 2,439.3 | 10,018.8 |
| Case Preparation | 06 | 1,163.0 | 5,068.5 | 874.0 | 3,273.0 |
| Complaint Response | 07 | 376.0 | 1,188.5 | 350.3 | 980.3 |
| Air Patrol | 10/11 | 75.0 | 390.0 | 16.0 | 129.5 |
| Surface Patrol | 12-15 | 232.0 | 915.5 | 210.0 | 841.5 |
| Inspection | 17-19 | 489.5 | 2,360.0 | 309.0 | 1,063.8 |
| VCP | 30-34 | 282.0 | 1,608.5 | 104.8 | 538.1 |
| General (Administrative, supervision, etc.) | 40-48 | 5,857.8 | 23,595.8 | 4,869.5 | 19,866.8 |
| Training | 50-53 | 1,361.0 | 5,979.7 | 1,162.0 | 6,642.0 |
| Leave | 61-67 | 2,590.0 | 9,821.5 | 2,279.5 | 8,015.5 |
| Maintenance | 70-72 | 331.0 | 1,301.5 | 244.5 | 1,030.5 |
| Travel | 90 | 463.5 | 2,203.8 | 392.5 | 2,103.5 |
| All Other | 08 | 6,397.3 | 24,162.8 | 514.5 | 1,203.5 |
| | | | | | |
| TOTAL | | 22,760.6 | 92,570.6 | 13,765.8 | 55,706.8 |

CASES OPENED

07/01/2002 - 09/30/2002

Total Number of Cases Opened = 280

CASES OPENED

01-JUL-2002 - 30-SEP-2002

| Case Number | Cnt | Type | Regulation | Description of Violation |
|-------------|-----|------|-------------------|--|
| AK020008A | 1 | ES/I | 224(b)(1)(i) | HARASSMENT/APPROACH HUMPBACK WHALES |
| AK020009A | 1 | ES/I | 222.11-1(b) | UNLAWFUL COMMERCE IN SPERM WHALE TEETH W/O CERT. |
| AK020010A | 1 | ES/I | 224.103(b)(1)(i) | HARASSMENT/APPROACH HUMPBACK WHALES |
| AK020011A | 1 | MM/W | 216.11(b) | SHOOTING/PROHIBITED TAKE MARINE MAMMAL (TYPE UNKNOWN) |
| AK020012A | 1 | IW/I | 230.3(b) | WHALING W/O LISCENSE |
| AK020024A | 1 | ES/I | 224.103(b)(1)(i) | HARASSMENT/APPROACH HUMPBACK WHALE |
| AK020025A | 1 | ES/I | 224.103(b)(1)(i) | APPROACH/HARASSMENT OF HUMPBACK WHALES |
| AK020026A | 1 | MM/C | 216.11(b) | PROHIBITED TAKE OF MARINE MAMMALS (HARASS ORCA WHALES) |
| AK020027A | 1 | MM/I | 216.11(b) | PROHIBITED TAKING OF MARINE MAMMAL (HARASS ORCA WHALE) |
| AK020036A | 1 | FM/I | 679.7(f)(1) | SUBMIT INACCURATE IFQ INFO ON APPLICATION TO AGENCY |
| AK020037A | 1 | FM/X | 679.4(k)(1)(i) | FAILURE TO CARRY LLP ONBAORD |
| AK020038A | 1 | FM/I | 223.202(a)(2) | POSSIBLE SSL INCURSIONS |
| AK020039A | 1 | FM/V | 679.7(j)(2) | POLLOCK BYCATCH OVERAGE |
| AK020040A | 1 | FM/I | 679.7(f)(1) | SUBMIT INACCURATE INFO ON IFQ REPORTS |
| AK020041A | 1 | FM/I | 679.50(c) | FAILURE TO HAVE OBSERVER COVERAGE |
| AK020042A | 1 | FH/X | 300 E(16)(3) | FAILURE TO UPDATE LOGBOOK PRIOR TO OFFLOAD OR SALE |
| AK021073A | 1 | MM/I | 227.12(2)(i) | FISHING IN NO TRANSIT ZONE |
| AK021074A | 1 | FM/V | 223.202(a)(2)(i) | TRANSIT THROUGH STELLER SEA LION, 3 NM NO-TRANSIT ZONE |
| AK021075A | 1 | FM/I | 679.22(b)(3)(iii) | TRAWL FOR P-COD IN SEA LION PROTECT AREA |
| AK021076A | 1 | FM/I | 679.22(b)(3)(iii) | TRAWL FOR P-COD INSIDE SEA LION PROTECT AREA |
| AK021077A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021077A | 2 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021079A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021080A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021080A | 2 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021081A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021082A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021083A | 1 | FM/W | 679.7(f)(1) | DOUBLE ENTRY ON PERMIT |
| AK021083A | 2 | SR/I | STATE REGS | NO CFEC - REFERRED TO TROOPERS |
| AK021084A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK021085A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021085A | 2 | FM/V | 679.7(a)(10) | PACIFIC COD BYCATCH OVERAGE |
| AK021087A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021088A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021089A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021090A | 1 | FO/C | 16.10.267 | LANDING FISH W/O AN INTERIM USE PERMIT |
| AK021091A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK021092A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021092A | 2 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021093A | 1 | FM/I | 679.7(a)(2) | DIRECTED FISHING FOR P-COD WHEN FED WATERS CLOSED |

| Case Number | Cnt | Type | Regulation | Description of Violation |
|-------------|-----|------|----------------|---|
| AK021094A | 1 | FM/I | 679.7(a)(2) | DIRECTED FISHING FOR P-COD WHEN FED WATERS CLOSED |
| AK021095A | 1 | FM/I | 679.7(a)(2) | DIRECTED FISHING FOR P-COD WHEN FED WATERS CLOSED |
| AK021096A | 1 | FM/I | 679.7(a)(2) | DIRECTED FISHING FOR P-COD WHEN FED. WATERS CLOSED |
| AK021097A | 1 | FM/I | 679.7(a)(2) | DIRECTED FISHING FOR P-COD WHEN FED. WATERS CLOSED |
| AK021098A | 1 | FM/I | 679.7(a)(2) | DIRECTED FISHING FOR P-COD WHEN FED. WATERS CLOSED |
| AK021099A | 1 | FM/I | 679.7(a)(2) | DIRECTED FISHING FOR P-COD WHEN FED. WATERS CLOSED |
| AK021100A | 1 | FM/I | 679.7(a)(2) | DIRECTED FISHING FOR P-COD WHEN FED. WATERS CLOSED |
| AK021101A | 1 | FM/I | 679.7(a)(2) | DIRECTED FISHING FOR P-COD WHEN FED. WATERS CLOSED |
| AK021102A | 1 | FM/I | 679.7(a)(2) | DIRECTED FISHING FOR P-COD WHEN FED. WATERS CLOSED |
| AK021103A | 1 | FM/I | 600.505(a)(1) | UNLAWFUL PURCHASE OF FISH TAKEN IN VIOLATION OF MAG-STEVENSON |
| AK021104A | 1 | FM/I | 600.505(a)(1) | UNLAWFUL PURCHASE OF FISH TAKEN IN VIOLATION OF MAGNUSON-STE |
| AK021105A | 1 | FM/I | 600.505(a)(1) | UNLAWFUL PURCHASE OF FISH TAKEN IN VIOLATION OF MAGNUSON-STE |
| AK021106A | 1 | FM/I | 600.505(a)(1) | UNLAWFUL PURCHASE OF FISH TAKEN IN VIOLATION OF MAGNUSON-STE |
| AK021107A | 1 | FM/I | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021108A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021109A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021110A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021111A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021112A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021113A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021114A | 1 | FM/V | 679.7(f)(12) | EARLY IFQ OFFLOAD |
| AK021115A | 1 | FM/I | 679.7(f)(3)(I) | RETAIN HALIBUT W/O VALID IIFQ PERMIT IN NAME OF INDV ABOARD |
| AK021116A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021117A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021118A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021119A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021120A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021121A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE (3RD FOR 2002) |
| AK021122A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021123A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE (4TH OVERAGE 2002) |
| AK021124A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021124A | 2 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021125A | 1 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021125A | 2 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021126A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021127A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021127A | 2 | FM/V | 679.7(a)(16) | SABLEFISH BYCATCH OVERAGE |
| AK021129A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE (3RD IN 2002) |
| AK021130A | 1 | FM/V | 679.7(a)(2) | VIOLATION OF IN-SEASON ACTION/ADJUSTMENT(PACIFC OCEAN PERCH) |
| AK021130A | 2 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE (5TH OVERAGE 2002) |
| AK021131A | 1 | FM/V | 679.7(a)(16) | PACIFIC CODE BYCATCH OVERAGE (4TH OVERAGE IN 2002) |
| AK021132A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE (4TH OVERAGE IN 2002) |
| AK021133A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE (4TH OVERAGE IN 2002) |
| AK021134A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK021135A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021136A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE (4TH OVERAGE IN 2002) |
| AK021137A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021138A | 1 | FM/V | 679.22(f)(5) | LAND PRIOR TO PNOL TIME |

| Case Number | Cnt | Type | Regulation | Description of Violation |
|-------------|-----|------|------------------|--|
| AK021139A | 1 | MM/V | 223.202(a)(2)(i) | APPROACH W/IN 3 NM OF STELLAR SEA LION ROOKERY |
| AK021140A | 1 | FM/V | 679.7(f)(1) | SUBMIT INACCURATE IFQ INFORMATION |
| AK021141A | 1 | FM/V | 679.7(g)(6) | INSUFFICIENT OBSERVER COVERAGE |
| AK021141A | 2 | FM/V | 679.7(g)(6) | INSUFFICIENT OBSERVER COVERAGE |
| AK021142A | 1 | FO/I | 679 | FOREIGN PROCESSING OF GROUND FISH |
| AK021143A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK021144A | 1 | FM/I | 371 | CONSPIRACY |
| AK021144A | 2 | FM/I | 679.7(f)(2) | INTENTIONALLY SUBMIT FALSE IFQ INFO |
| AK021144A | 3 | FM/I | 679.7(f)(5) | POSSESS/TRANSPORT IFQ |
| AK021145A | 1 | FR/I | CFR | OFFICER SAFETY |
| AK021146A | 1 | ES/I | 216.11(b) | UNLAWFUL TAKE OF THREATENED SPECIES (STELLER SEA LION) |
| AK021147A | 1 | FM/X | 679.4(b)(3)(i) | GROUND FISH TENDER VESSEL OPERATING WITHOUT AN FFP |
| AK021149A | 1 | FM/W | 679.7(f)(5) | POSSES IFQ FISH LANDED IN VIOLATION OF THIS PART |
| AK021150A | 1 | FM/W | 679.7(f)(1) | SUBMIT INACCURATE IFQ INFO ON LANDING REPORT |
| AK021151A | 1 | ES/I | 223.202(a)(2)(i) | NO APPROACH IN LISTED SSL BUFFER AREA |
| AK021152A | 1 | ES/I | 223.202(a)(2)(i) | NO APPROACH IN LISTED SSL BUFFER AREAS |
| AK021153A | 1 | ES/I | 223.202(a)(2)(i) | NO APPROACH IN LISTED SSL BUFFER AREAS |
| AK021154A | 1 | FM/V | 679.7(j)(2) | POLLOCK BYCATCH OVERAGE |
| AK021155A | 1 | FH/V | 300.62-13(2) | POSSESSION OF FILLETED HALIBUT |
| AK021155A | 2 | FH/V | 300.62-17(9) | POSSESSION OF FILLETED HALIBUT |
| AK021155A | 3 | FH/V | 300.62-17(10) | HALIBUT TAKEN AND NOT REPORTED ON ADFG FISH TICKET |
| AK021155A | 4 | FM/V | 679.7(f)(5) | HALIBUT TAKEN AND NOT REPORTED ON IFQ LANDING REPORT |
| AK021155A | 5 | FM/V | 679.7(f)(5) | SABLEFISH TAKEN AND NOT REPORTED ON IFQ LANDING REPORT |
| AK021155A | 6 | FM/V | 679.7(f)(5) | OFFLOADING HALIBUT/SABLEFISH BTWN 1800 AND 0600 W/O AUTHORIZ |
| AK021155A | 7 | FM/V | 679.7(f)(9) | EXCEEDED 2002 VESSEL CAP FOR IFQ HALIBUT |
| AK021155A | 8 | FM/V | 5AAC 39.130 | FAILURE TO REPORT ALL FISH ON ADFG FISH TICKET |
| AK021157A | 1 | FM/V | 679.7(f)(4) | IFQ OVERAGE |
| AK021158A | 1 | FM/W | 679.7(f)(12) | NO IFQ PNOL |
| AK021160A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK021161A | 1 | ES/I | 223.202(a)(2)(i) | APPROACH INSIDE STELLER SEA LION BUFFER AREA |
| AK021162A | 1 | FM/V | 679.7(f)(4) | IFQ SABLEFISH OVERAGE |
| AK022081A | 1 | SR/V | 5AAC 47.022(84) | ILLEGAL GEAR, THOMAS BASIN |
| AK022095A | 1 | FM/W | 679.7 (a)(16) | EXCEED MAXIMUM RETAINABLE BYCATCH LIMIT |
| AK022098A | 1 | FM/W | iPHC 16 (1) | FIVE IFQ TRIPS NOT DOCUMENTED IN APPROVED IPHC LOGBOOK |
| AK022099A | 1 | FM/V | 679.7(f)(12) | NO IFQ PNOL |
| AK022099A | 2 | FM/V | 679.7(f)(1) | FAIL TO SUBMIT INFORMATION ON IFQ LANDING REPORT |
| AK022099A | 2 | FM/V | 679.7(f)(1) | FAIL TO SUBMIT INFORMATION ON IFQ LANDING REPORT |
| AK022100A | 1 | MM/I | 216.11(b) | UNLAWFUL TAKE OF MARINE MAMMAL (HUMPBACK WHALE) |
| AK022101A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022102A | 1 | FM/W | 679.7(f)(12) | NO IFQ PNOL |
| AK022103A | 1 | MM/I | 1372(a)(2) | ILLEGAL TAKE OF MARINE MAMMAL (POSSIBLE HUMPBACK WHALES) |
| AK022104A | 1 | FM/V | 679.7(f)(4) | IFQ OVERAGE |
| AK022105A | 1 | ES/W | 224.103(b) | APPROACH HUMPBACK WHALE WITHIN 100 YARDS |
| AK022106A | 1 | FM/V | 679.7(f)(4) | IFQ OVERAGE |
| AK022107A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022108A | 1 | ES/C | 224.103(b)(1) | APPROACH HUMPBACK WHALE WITHIN 100 YARDS |
| AK022109A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022110A | 1 | FM/V | 671.7(f)(4) | IFQ OVERAGE |

| Case Number | Cnt | Type | Regulation | Description of Violation |
|-------------|-----|------|---------------------|---|
| AK022111A | 1 | FM/V | 679.7(f)(4) | IFQ OVERAGE |
| AK022112A | 1 | FM/I | 679.7(f)(12) | NO IFQ PNOL |
| AK022113A | 1 | FM/V | 679.7(f)(1) | SUBMIT INACCURATE IFQ INFO ON REQUIRED REPORT |
| AK022114A | 1 | FM/V | 679.7(f)(12) | MAKE LANDING W/O IFQ PNOL |
| AK022115A | 1 | FM/V | 679.7(f)(1) | SUBMIT INACCURATE IFQ INFO ON REQUIRED REPORT |
| AK022116A | 1 | FM/V | 679.7(f)(4) | IFQ OVERAGE |
| AK022117A | 1 | FM/V | 679.7(f)(12) | NO IFQ PNOL |
| AK022118A | 1 | FM/I | 679.7(f)(4) | IFQ OVERAGE |
| AK022119A | 1 | FM/V | 679.7(f)(9) | IFQ OVERAGE |
| AK022120A | 1 | MM/I | SR | DEAD SEA LION |
| AK022121A | 1 | MM/I | 216.11(b) | UNLAWFUL TAKE OF HUMPBACK WHALE |
| AK022122A | 1 | MM/I | 216.11(b) | UNLAWFUL TAKE OF STELLER SEA LION |
| AK022123A | 1 | MM/I | 216.11(b) | UNLAWFUL TAKE OF HUMPBACK WHALE |
| AK022124A | 1 | FM/I | 679.7(f)(12) | NO IFQ PNOL |
| AK022125A | 1 | FM/I | 679.7(f)(12) | NO IFQ PNOL |
| AK022126A | 1 | FM/I | 679.7(f)(4) | NO IFQ PNOL |
| AK022127A | 1 | FM/W | 679.7(f)(12) | NO IFQ PNOL |
| AK022128A | 1 | FM/W | 679.7(f)(14) | LEAVING SITE BEFORE IFQ ACCOUNT PROPERLY DEBITED |
| AK022129A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022130A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022131A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022132A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022133A | 1 | FM/V | 679.7(f)(4) | EXCEED REMAINING IFQ BALANCE |
| AK022134A | 1 | FM/I | IFQ OVERAGE | 679.7(F)(4) |
| AK022135A | 1 | FM/I | 679.7(f)(19) | IFQ LANDING BY HIRED SKIPPER |
| AK022136A | 1 | FM/W | 679.7(f)(5) | FISH D CLASS PERMIT ON C CLASS VESSEL |
| AK022137A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022138A | 1 | FM/V | 679.7(f)(1) | SUBMIT INACCURATE INFO ON IFQ LANDING REPORT |
| AK022139A | 1 | FM/I | 679.7(f)(3)(i) | FISH FOR IFQ HALIBUT WITHOUT AN IFQ PERMIT |
| AK022140A | 1 | FM/V | 679.7(f)(4) | IFQ SABLEFISH OVERAGE |
| AK022140A | 2 | FM/W | 679.7(f)(12) | NO IFQ PNOL |
| AK022141A | 1 | FM/V | 679.7(F)(4) | IFQ HALIBUT OVERAGE |
| AK022142A | 1 | FM/V | 679.7(f)(4) | IFQ SABLEFISH OVERAGE |
| AK022142A | 2 | FM/W | 679.7(F)(1) | FAILURE TO SUBMIT ACCURATE IFQ INFO ON REPORT |
| AK022143A | 1 | MM/I | 216.11(b) | UNLAWFUL TAKE OF MARINE MAMMAL (HUMPBACK) |
| AK022144A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022145A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022146A | 1 | FM/W | 679.24(a) | GEAR MARKINGS NOT IN COMPLIANCE |
| AK022146A | 2 | FR/W | 67.313 | CERTIFICATE OF DOCUMENTATION NOT ON BOARD |
| AK022147A | 1 | SR/W | 5 AAC 39.110 | CREW MEMBER FISHING LICENSE NOT IN POSSESSION |
| AK022148A | 1 | SR/V | WARRANT NO. W606660 | FAILURE TO RESPOND TO CITATION OF FISHING W/O LICENSE |
| AK022149A | 1 | SR/V | 5 AAC 28.171 | DSR BYCATCH OVERAGE |
| AK022149A | 2 | FM/W | 679.24(a) | FAILURE TO MARK ALL GEAR BUOYS |
| AK022150A | 1 | FM/W | 300.65-16(1) | FAILURE TO MAINTAIN ACCURATE RECORDS |
| AK022151A | 1 | SR/W | AS 16.056.520 | NUMBER PLATE NOT FASTENED TO VESSEL AS REQUIRED |
| AK022152A | 1 | FM/W | 679.42(b)(2) | FAILURE TO COMPLY WITH SEABIRD AVOIDANCE REQMTS. |
| AK022152A | 2 | SR/W | 5 AAC 39.119(a) | VESSEL IDENTIFICATION NOT VISIBLE |
| AK022153A | 1 | FM/I | 679.7(a)3 | FAILURE TO COMPLY WITH GROUND FISH OBSERVER PROGRAM |

| Case Number | Cnt | Type | Regulation | Description of Violation |
|-------------|-----|------|-----------------|---|
| AK022153A | 2 | FM/I | 679.7(a)(10) | FAILURE TO COMPLY WITH RECORDKEEPING AND REPORTING |
| AK022154A | 1 | FM/I | 600.725(a) | IFQ OVERAGE |
| AK022155A | 1 | FM/W | 679.7(f)(3)(I) | IFQ PERMIT NOT ON BOARD |
| AK022156A | 1 | FM/W | 679.24(a)(2) | GEAR BUOY MARKINGS NOT IN COMPLIANCE |
| AK022157A | 1 | FM/I | 679.7(f)(2)(B) | FAIL TO DEBIT IFQ CATCH FROM IFQ PERMIT ACCOUNT |
| AK022158A | 1 | FM/V | 679.7(f)(4) | IFQ OVERAGE |
| AK022159A | 1 | FM/V | 679.7(f)(4) | IFQ OVERAGE |
| AK022160A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022161A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022162A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022163A | 1 | MM/I | 216.11(b) | UNLAWFUL TAKE OF MARINE MAMMAL (HUMPBACK) |
| AK022164A | 1 | FM/I | 679.5(h)(2) | LATE SUBMISSION OF SHORESIDE CHECK-IN/OUT REPORT |
| AK022165A | 1 | FM/X | 679.7(a)(1) | NO FFP ON BOARD VESSEL |
| AK022165A | 2 | FM/W | 679.24(a)(2) | IMPROPERLY MARKED LONGLINE BUOYS |
| AK022166A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK022167A | 1 | FM/V | 649.7(f)(9) | EXCEED VSL IFQ CAP |
| AK022169A | 1 | FM/V | 679.7(f)(6) | MAKE IFQ LANDING W/O IFQ CARD IN NAME OF INDIVIDUAL |
| AK022172A | 1 | FM/I | 300.65-24(1) | ILLEGAL SPORT FISHING GEAR |
| AK022173A | 1 | FM/V | 679.7(f)(4) | EXCEED REMAINING BALANCE ON IFQ PERMIT |
| AK023141A | 1 | FM/I | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK023142A | 1 | FM/W | 679.7(a)(1) | FISHED FOR GROUND FISH IN GOA W/O FFP |
| AK023143A | 1 | FM/W | 679.7(a)(10)(i) | FAILURE TO SUBMIT REQUIRED QTRLY CATCHER VESSEL LOGSHEET |
| AK023144A | 1 | MM/V | 216.11 | UNLAWFUL TAKE OF MARINE MAMMAL (PORPOISES) |
| AK023145A | 1 | MM/I | 1372(a)(2)(A) | LEVEL B HARASSMENT (SEA LIONS) |
| AK023146A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK023147A | 1 | FM/W | 679.24(a) | UNMARKED GEAR BUOYS |
| AK023148A | 1 | FM/W | 679.7(a)(18) | OPERATE W/O NMFS APPROVED VMS TRANSMITTER |
| AK023149A | 1 | FM/W | 679.24(a) | FAILURE TO PROPERLY MARK GEAR BUOYS |
| AK023150A | 1 | FM/I | 679.7(a)(16) | BYCATCH OVERAGE |
| AK023151A | 1 | FM/I | 600.725(a) | POSSESS FISH TAKEN IN VIOLATION OF FED. FISH REGS |
| AK023152A | 1 | MM/I | 216.11 | UNLAWFUL TAKE OF MARINE MAMMALS (STELLAR SEALION; HUMPBACK) |
| AK023153A | 1 | MM/I | 216.11 | UNLAWFUL TAKE OF MARINE MAMMAL (HARBOR SEALS) |
| AK023154A | 1 | FM/V | 679.7(a)(5) | ROCKFISH BYCATCH OVERAGE |
| AK023155A | 1 | MM/I | 216.23(a)(3) | NATIVE MARINE MAMMAL SUBSISTENCE IN WASTEFUL MANNER |
| AK023156A | 1 | FM/W | 679.7(f)(3) | CURRENT YEAR IFQ CARD AND PERMIT NOT ON BOARD |
| AK023156A | 2 | SR/W | AS 16.05.675 | CURRENT YEAR LANDING PERMIT NOT ON BOARD |
| AK023157A | 1 | FM/W | 679.24(a) | UNMARKED GEAR BUOYS |
| AK023158A | 1 | SR/W | AS 16.05.520(A) | NUMBER PLATE MOUNTED ON WRONG SIDE |
| AK023158A | 2 | SR/W | 5 AAC 75.076(b) | FAIL TO COMPLETE SALTWATER CHARTER VESSEL LOGBOOK |
| AK023159A | 1 | SR/W | AS 16.05.520(A) | NUMBER PLATE MOUNTED ON WRONG SIDE |
| AK023160A | 1 | FM/W | 679.24(a) | FAILURE TO PROPERLY MARK GEAR BUOYS |
| AK023161A | 1 | FM/V | 679.7(f)(4) | IFQ SABLEFISH OVERAGE |
| AK023161A | 2 | FM/V | 679.7(f)(12) | NO IFQ PNOL |
| AK023161A | 3 | FM/V | 679.7(f)(1) | INTENTIONALLY SUBMIT FALSE INFORMATION |
| AK023162A | 1 | FM/V | 679.7(a)(16) | ROCKFISH BYCATCH OVERAGE |
| AK023163A | 1 | FH/I | 300.65 | BARTER SPORT CAUGHT HALIBUT |
| AK023164A | 1 | FH/I | 300.65 | PROHIBITED SALE OF HALIBUT |
| AK023165A | 1 | FM/V | 679.7(f)(14) | NO IFQ PNOL |

| Case Number | Cnt | Type | Regulation | Description of Violation |
|-------------|-----|------|---------------------|--|
| AK023165A | 2 | FM/V | 679.7(f)(1) | FAILURE TO REPORT IFQ LANDING |
| AK023165A | 3 | FM/V | 679.7(f)(4) | SABLEFISH IFQ OVERAGE |
| AK023165A | 4 | FM/V | 679.7(f)(12) | NO IFQ PNOL |
| AK023167A | 1 | MM/I | 216.62 | UNLAWFULLY ALLOW DOG ON PRIBILOF ISLANDS |
| AK023168A | 1 | FM/W | 16(1) | NO APPROVED HALIBUT LOGBOOK ON BOARD |
| AK023169A | 1 | FR/I | 668(a) | UNLAWFUL TAKE OF BALD EAGLE |
| AK023170A | 1 | MM/I | 216.11 | UNLAWFUL TAKE OF MARINE MAMMAL (PORPOISE) |
| AK023171A | 1 | FM/I | 300.65 24(6) | CUTTING UP HALIBUT PREVENTING DETERMINATION OF NO. OF FISH |
| AK023172A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK023173A | 1 | FM/I | 679.7(f) | INACCURATE IFQ INFORMATION |
| AK023174A | 1 | MM/C | 216.11 | MARINE MAMMAL (HARBOR PORPOISE) STRANDING, UNKNOWN CAUSE |
| AK023175A | 1 | MM/I | 216.11 | UNLAWFUL TAKE OF MARINE MAMMAL (STELLER SEA LION) |
| AK023176A | 1 | MM/I | 216.11 | UNLAWFUL TAKE OF MARINE MAMMAL (HARBOR SEAL) |
| AK023177A | 1 | MM/I | 216.11 | UNLAWFUL TAKE OF MARINE MAMMAL (HARBOR PORPOISE) |
| AK023178A | 1 | FM/W | 679.7(f)(1) | INACCURATE IFQ INFO |
| AK023179A | 1 | ES/I | 223.202(a) | APPROACH WITHIN 3NM OF STELLAR SEA LION ROOKERY |
| AK023180A | 1 | FM/V | 679.7(f)(9) | IFQ HALIBUT OVERAGE |
| AK023180A | 2 | FM/W | 679.5(L)(2)(c) | LEFT LANDING SITE BEFORE LANDING COMPLETED |
| AK023181A | 1 | FM/W | 679.5(2)(C) | DEPART LANDING SITE BEFORE LEGAL LANDING COMPLETED |
| AK023182A | 1 | FM/I | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK023183A | 1 | FM/W | 679.7(a)(10)(i) | FAILURE TO SUBMIT DFL PAGES |
| AK023184A | 1 | FM/I | 679.7(f)(4) | IFQ SABLEFISH OVERAGE |
| AK023184A | 2 | FM/C | 679.7(f)(1) | INACCURATE IFQ INFO ON PNOL |
| AK023185A | 1 | SR/I | STATE REGULATIONS | OUTSTANDING WARRANTS |
| AK023186A | 1 | FM/V | 679.7(a)(10) | FAILURE TO SUBMIT LOGBOOKS ON QUARTERLY BASIS |
| AK023186A | 2 | FM/W | 679.6(a)(10) | ILLEGIBLE ENTRIES/ALTERATIONS TO LOGBOOK |
| AK023186A | 3 | SR/W | 5 AAC 28.051(b) | FAILURE TO MARK GEAR BUOYS WITH ADF&G NUMBER |
| AK023187A | 1 | SR/V | ARREST WARRANT | FTA FOR STATUS HEARING ON DWI CHARGE |
| AK023187A | 2 | SR/V | ARREST WARRANT | FTA FOR STATUS HEARING ON CHARGE OF DWI AND DWLS |
| AK023188A | 1 | SR/V | ARREST WARRANT | FAILURE TO COMPLY WITH CONDITIONS OF PROBATION |
| AK023188A | 2 | SR/V | ARREST WARRANT | FAILURE TO RETURN TO JAIL |
| AK023189A | 1 | FM/W | 679.7(f)(12) | MADE IFQ LANDING W/O PNOL |
| AK023189A | 2 | FM/W | 679.7(f)(1) | INACCURATE INFO IN HALIBUT LOG |
| AK023190A | 1 | MM/W | 216.13(b) | TRANSPORT AND SALE OF MARINE MAMMAL PARTS |
| AK023191A | 1 | FM/W | 679.7(a)(10)(i) | FAILURE TO SUBMIT DFL YELLOW PAGES, 2ND QUARTER |
| AK023192A | 1 | FM/V | 679.7(f)(4) | IFQ OVERAGE |
| AK023193A | 1 | FM/I | 679.7(f)(3)(i) | RETAIN HALIBUT CAUGHT W/FIXED GEAR W/O VALID IFQ PERMIT |
| AK023194A | 1 | FM/W | 679.7(f)(1) | SUBMIT INACCURATE INFO ON IFQ LANDING REPORT |
| AK023195A | 1 | FM/I | 679.7(f)(1) | SUBMIT INACCURATE INFO ON IFQ PNOL |
| AK023196A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK023197A | 1 | FM/W | 679.7(f)(2) | HIRED SKIPPER CARD NOT VALID FOR VESSEL |
| AK023197A | 2 | FM/W | 679.7(10)(i) | COPY OF IFQ PERMITS NOT ON BOARD |
| AK023199A | 1 | FM/I | 679.7(f)(1) | SUBMIT INACCURATE IFQ INFO |
| AK024060A | 1 | FM/I | 679.7 (g)(1) | INTIMIDATING AN OBSERVER |
| AK024061A | 1 | DS/C | AMMONIA LEAK | |
| AK024062A | 1 | FM/I | 679.7(g)(2) | BIAS OBSERVER SAMPLE |
| AK024062A | 2 | FM/I | 679.21(b)2(ii) | FAIL TO RETURN PROHIBS TO SEA W/MINIMUM INJURY |
| AK024063A | 1 | FM/I | 679.50(f)(1)(ii)(A) | FAIL TO HAVE VALID CFV SAFETY DECAL ON BOARD |

| Case Number | Cnt | Type | Regulation | Description of Violation |
|-------------|-----|------|------------------|--|
| AK024064A | 1 | FM/I | 679.27(e) | DISCARD IR/IU SPECIES |
| AK024065A | 1 | DS/C | USCG | UNSAFE CONDITIONS (INSTABILITY) |
| AK024066A | 1 | FM/W | 679.27(e) | DISCARD IR/IU SPECIES |
| AK024067A | 1 | DS/C | USCG | COLLISION W/FV MISTY BLUE |
| AK024068A | 1 | DS/C | USCG MARPOL | ALLEGED DISCARD OF PLASTICS |
| AK024069A | 1 | DS/C | 4.05-01(A)(3) | LOSS OF MAIN PROPULSION |
| AK024070A | 1 | FM/V | 679.78(f)(11) | DISCARD SABLEFISH WITH UNUSED IFQ |
| AK024073A | 1 | FM/I | 679.7(a)3 | FAILURE TO COMPLY WITH OBSERVER COVERAGE REQMTS. |
| AK024074A | 1 | DS/I | USCG MARPOL | DISCHARGE OIL |
| AK024202A | 1 | FM/W | 679.5(a)(6)(i) | ALTERATION OF RECORDS |
| AK025041A | 1 | FM/V | 679.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK025042A | 1 | FM/W | 679.7(f)(6) | LAND IFQ W/O CARD |
| AK025043A | 1 | MM/I | 223.202(a)(2) | ANCHOR INSIDE STELLER SEA LION ROOKERY |
| AK025044A | 1 | FM/W | 679.5(i)(vi) | FAILURE TO ENTER ACCURATE IFQ INFORMATION |
| AK025045A | 1 | FM/W | 679.7(f)I | FAIL TO CALL IN CORRECT IFQ PERMIT NO. |
| AK025046A | 1 | FM/W | 679.7(14) | FAILURE TO SUBMIT IFQ LANDING REPORT IN A TIMELY MANNER |
| AK025047A | 1 | FM/V | 679.7(a)(2) | FISHING AFTER SEASON CLOSED |
| AK025048A | 1 | FM/I | 679.7(F)(1) | FAIL TO OBTAIN 24HR TRANSHIPMENT AUTHORIZATION |
| AK025049A | 1 | FM/V | 67.7(f)(4) | IFQ HALIBUT OVERAGE |
| AK025050A | 1 | FM/V | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE |
| AK025051A | 1 | FM/V | 679.7(a)(10) | FAILURE TO SUBMIT SHIPMENT REPORT REQUIRED BY 679.5 |
| AK025052A | 1 | FM/I | 679.7(f)(4) | IFQ OVERAGE |
| AK025053A | 1 | FM/I | 223.202(a)(2)(i) | TRANSIT THROUGH ROOKERY |
| AK025054A | 1 | FM/I | 679.7(f)(12) | NO IFQ PNOL |
| AK025055A | 1 | FM/W | 679.7(f)(1) | FAIL TO LAND IFQ W/IN 6 HOUR AFTER COMPLETION OF OFFLOAD |
| AK025056A | 1 | ES/I | INTEL | INTEL ONLY |
| AK025057A | 1 | FM/I | 679.7(a)(5) | POLLOCK BYCATCH OVERAGE |
| AK025058A | 1 | FM/W | 679.7(f)(1) | PERMIT NOT REPORTED ON PNOL |
| AK025059A | 1 | FM/I | 223.202(a)(2)(i) | TRANSIT THROUGH ROOKERY |
| AK025060A | 1 | FM/W | 679.7(f) | FAILURE TO DO AREA 4A CLEARANCE PRIOR TO FISHING |
| AK025061A | 1 | FM/I | 216.26 | TAKE MARINE MAMMAL PART |
| AK025062A | 1 | FM/I | 1857(2)(b) | VESSEL FISHING IN US EEZ |
| AK025063A | 1 | FM/I | 679.7(f)(14) | FAILURE TO SUBMIT IFQ LANDING REPORT TIMELY |
| AK025064A | 1 | FM/I | 1857(1)(K)(i) | NEGLIGENTLY DAMAGE AND TAMPER WITH FISHING GEAR |
| AK025065A | 1 | MM/C | 1372.102(1)(A) | UNLAWFUL TAKE OF MARINE MAMMAL (ORCA) |
| AK025066A | 1 | FM/I | 679.7(a)(2) | RETAIN PROHIBITED SPECIES |
| AK025066A | 2 | PF/I | IPHC 18.(2) | POSSESS HALIBUT TAKEN WITH TRAWL NETS |
| AK025067A | 1 | FM/W | 679.7(f)(1) | SUBMIT INACCURATE IFQ INFO |
| AK025068A | 1 | FM/I | 223.202(a)(2)(1) | SSL 3-MIL NO INCURSION |
| AK025068A | 2 | FM/W | 223.202(a)(2) | TRANSIT IN ROOKERY |
| AK025069A | 1 | FM/W | 679.7(12) | NO IFQ PNOL |
| AK025070A | 1 | FM/I | 679.5(i)(2) | LATE SUBMISSION OF 4 WPRS |
| AK025071A | 1 | FM/I | 679.9(a)(10) | INACCURATE RECORDKEEPING AND REPORTING |
| AK025071A | 2 | FM/I | 679.7(g)(6) | LACK OF OBSERVER COVERAGE |
| AK025072A | 1 | LA/I | 3373.(d)(1)(A) | IMPORT FISH ILLEGALLY |
| AK025074A | 1 | FM/W | 679.7(f)(1) | FAIL TO SUBMIT/SUBMIT INACCURATE INFO ON REQUIRED REPORT |
| AK025075A | 1 | FM/I | 679.7(f)(1) | FAIL TO SUBMIT/SUBMIT INACCURATE INFO ON REQUIRED REPORT |

CATCH SEIZURES

07/01/2002 - 09/30/2002

Total Number of Catch Seizures = 50

CATCH SEIZURES

01-JUL-2002 - 30-SEP-2002

| Case Number | Date of Seizure | Vessel | Description of Seizure | Value of Seizure |
|-------------|-----------------|------------------|---|------------------|
| AK021084A | 03-JUL-2002 | ALASKAN FRONTIER | PROCEEDS FROM IFQ HALIBUT OVERAGE | 2,360.62 |
| AK021091A | 10-JUL-2002 | ALEUT MISTRESS | PROCEEDS FROM IFQ HALIBUT OVERAGE | 595.19 |
| AK021130A | 22-JUL-2002 | ALASKA BEAUTY | PROCEEDS FROM SALE OF POP | 5,328.02 |
| AK021134A | 30-JUL-2002 | ALEUTIAN ISLE | PROCEEDS FROM IFQ HALIBUT OVERAGE | 12,145.85 |
| AK021155A | 09-SEP-2002 | CLYDE | 1 HALIBUT, 1 SABLEFISH, 1 ROCKFISH FILLET | 1.00 |
| AK022101A | 10-JUL-2002 | NONE | PROCEEDS FROM IFQ HALIBUT OVERAGE | 125.74 |
| AK022104A | 24-JUL-2002 | ZAREMBO | PROCEEDS FROM IFQ SABLEFISH OVERAGE | 844.26 |
| AK022106A | 03-AUG-2002 | FISHNPOHL | PROCEEDS FROM IFQ HALIBUT OVERAGE | 390.32 |
| AK022107A | 05-AUG-2002 | HUNGRY JACK | PROCEEDS FROM IFQ HALIBUT OVERAGE | 1,952.65 |
| AK022109A | 08-AUG-2002 | MIXIE | PROCEEDS FROM IFQ HALIBUT OVERAGE | 17.81 |
| AK022110A | 07-AUG-2002 | VALLE LEE | PROCEEDS FROM IFQ HALIBUT OVERAGE | 181.35 |
| AK022111A | 07-AUG-2002 | NEW DAY | PROCEEDS FROM IFQ SABLEFISH OVERAGE | 1,455.74 |
| AK022116A | 08-AUG-2002 | LITTLE SIOUX | PROCEEDS FROM IFQ HALIBUT OVERAGE | 1,217.23 |
| AK022129A | 19-AUG-2002 | CHEROKEE | PROCEEDS FROM IFQ HALIBUT OVERAGE | 1,841.08 |
| AK022130A | 19-AUG-2002 | CHEROKEE | PROCEEDS FROM IFQ HALIBUT OVERAGE | 1,536.35 |
| AK022131A | 19-AUG-2002 | CARIBOU | PROCEEDS FROM IFQ HALIBUT OVERAGE | 4,974.65 |
| AK022132A | 19-AUG-2002 | LAZARO | PROCEEDS FROM IFQ HALIBUT OVERAGE | 1,147.13 |
| AK022133A | 19-AUG-2002 | MOANA | PROCEEDS FROM IFQ HALIBUT OVERAGE | 428.75 |
| AK022137A | 03-SEP-2002 | ANITA | PROCEEDS FROM IFQ HALIBUT OVERAGE | 503.25 |
| AK022140A | 06-SEP-2002 | ARLICE | PROCEEDS FROM SABLEFISH OVERAGE | 383.30 |
| AK022141A | 09-SEP-2002 | STIKINE SPIRIT | PROCEEDS FROM IFQ HALIBUT OVERAGE | 599.45 |
| AK022142A | 11-SEP-2002 | JOHNNY A | PROCEEDS FROM IFQ SABLEFISH OVERAGE | 743.09 |
| AK022144A | 16-SEP-2002 | ST. JO | PROCEEDS FROM IFQ HALIBUT OVERAGE | 193.80 |
| AK022145A | 16-SEP-2002 | JOHNNY A | PROCEEDS FROM IFQ HALIBUT OVERAGE | 2,585.03 |
| AK022158A | 06-SEP-2002 | SEA DOG | PROCEEDS FROM IFQ HALIBUT OVERAGE | 2,379.69 |
| AK022159A | 20-SEP-2002 | SEA DOG | PROCEEDS FROM IFQ HALIBUT OVERAGE | 1,251.51 |
| AK022160A | 20-SEP-2002 | DESIRE | PROCEEDS FROM IFQ HALIBUT OVERAGE | 890.15 |
| AK022161A | 20-SEP-2002 | BILLY MARIE | PROCEEDS FROM IFQ HALIBUT OVERAGE | 55.98 |
| AK022162A | 23-SEP-2002 | SENTINEL | PROCEEDS FROM IFQ OVERAGE | 778.78 |
| AK022166A | 25-SEP-2002 | JANIS M | PROCEEDS FROM IFQ HALIBUT OVERAGE | 2,487.97 |
| AK022173A | 30-SEP-2002 | WIND CHIME | PROCEEDS FROM IFQ HALIBUT OVERAGE | 437.65 |
| AK023141A | 01-JUL-2002 | SWEET SAGE | PROCEEDS FROM IFQ HALIBUT OVERAGE | 797.65 |
| AK023146A | 09-JUL-2002 | MISS CHARLOTTE | PROCEEDS FROM IFQ HALIBUT OVERAGE | 1,615.53 |
| AK023161A | 23-JUL-2002 | F/V AUTOMATIC | PROCEEDS FROM IFQ SABLEFISH OVERAGE | 13,157.03 |
| AK023165A | 23-JUL-2002 | F/V ZIG ZAG | PROCEEDS FROM IFQ SABLEFISH OVERAGE | 6,692.32 |

CATCH SEIZURES cont'd

| Case Number | Date of Seizure | Vessel | Description of Seizure | Value of Seizure |
|-------------|-----------------|------------|--|------------------|
| AK023172A | 26-JUL-2002 | NIGHTWATCH | PROCEEDS FROM IFQ HALIBUT OVERAGE | 3,382.56 |
| AK023180A | 14-AUG-2002 | ELEON | PROCEEDS FROM IFQ HALIBUT OVERAGE | 1,040.79 |
| AK023182A | 19-AUG-2002 | POLARIS | PROCEEDS FROM IFQ HALIBUT OVERAGE | 12,314.71 |
| AK023196A | 20-SEP-2002 | COPA | PROCEEDS FROM IFQ HALIBUT OVERAGE | 174.90 |
| AK025047A | 09-AUG-2002 | VAERDAL | PROCEEDS FROM SALE OF 309 CASES FLOUNDER | 5,158.94 |

TOTAL: \$153,385.98

CASES FORWARDED TO GENERAL COUNSEL FOR FURTHER ACTION

07/01/2002 - 09/30/2002

Total Number of Cases Forwarded to GC = 21

| Case Number | Cnt | Regulation | Description of Violation | Seized Property |
|-------------|-----|-----------------------|---|-----------------|
| AK020018A | 1 | 223.202(a)(2)(i) | APPROACH WITH 3NM OF A STELLAR SEA LION ROOKERY | |
| AK020019A | 1 | 679.7(a)(10) | FAILURE TO SUBMIT CHECK-IN REPORT PRIOR TO HARVESTING POTS | |
| AK020020A | 1 | 679.7(a)(10) | FAILURE TO MAINTAIN SUBSTANTIVE LOGBOOK ENTRIES | |
| AK020021A | 1 | 679.7(a)(10) | FAILURE TO SUBMIT QUARTERLY LOG SHEETS | |
| AK020022A | 1 | 679.24(e) | FAILURE TO EMPLOY REQUIRED SEABIRD AVOIDANCE MEASURES | |
| AK020022A | 2 | 679.5(c)(1) | FAILURE TO MAINTAIN HALIBUT FISHING LOG IN A TIMELY MANNER | |
| AK020022A | 3 | 679.42(c)(3) | FAILURE TO DEBIT HALIBUT FROM IFQ ACCOUNT | |
| AK020022A | 4 | 679.7(f)(10) | FAILURE TO LAND HALIBUT TO A QUALIFIED REGISTERED BUYER | |
| AK020029A | 1 | 679.7(a)(10) | FAILURE TO LOG REQUIRED INFO IN A TIMELY MANNER | |
| AK020029A | 2 | 679.7(a)(10) | FAILURE TO SUBMIT WPR MORE THAN 24 HRS | |
| AK020029A | 3 | 679.7(a)(10) | FAIL TO SUBMIT CHECK-IN RPT PRIOR TO HARVEST OF GROUND FISH | |
| AK020030A | 1 | 679.5(a)(7)(i)&(III) | FAILURE TO MAINTAIN SUBSTANTIVE LOGBOOK ENTRIES | |
| AK020031A | 1 | 679.27 | FAILURE TO RETAIN POLLOCK IN ACORDANCE WITH IR/IU REGS | |
| AK020032A | 1 | 300.65-E(18) | FISHING IN MULTIPLE REGULATORY AREAS W/O SEPARATING CATCH | |
| AK020032A | 2 | 679.24(e) | DEPLOYING HOOK-N-LINE GEAR W/O SEABIRD AVOIDANCE GEAR | |
| AK020033A | 1 | 679.4(b)(2) | NO FFP ONBOARD | |
| AK020033A | 2 | 679.7(f)(8) | DISCARD ROCKFISH TAKEN WHILE IFQ HALIBUT FISHING | |
| AK020033A | 3 | 679.7(f)(11) | DISCARDING LEGAL SIZED HALIBUT | |
| AK020034A | 1 | 300.62(14)(1) | FAILURE TO RELEASE HALIBUT UNDER 32 IN BY APPROVED METHODS | |
| AK021001A | 1 | 679.5(a)(14)(iii)(B) | FAILURE TO SUBMIT QTRLY DFL SHEETS OF NMFS | |
| AK021001A | 2 | 679.5(a)(13)(ii) | FAILURE TO MAKE ORIG. DFL PAGES AVAIL/MAINTAIN FOR 3 YRS | |
| AK021057A | 1 | 679.50(c)(1)(v) & | FAIL TO COMPLY WITH OBSERVER REGS AT 679.50 | |
| AK021071A | 1 | 300.65(a):13(2)&24(6) | FILLETING OF HALIBUT PRIOR TO SIZING | |
| AK021071A | 2 | 300.65(a):13(1)(a) | POSSESSION UNDERSIZED HALIBUT | |
| AK021071A | 3 | 300.65(a):17(2) | OFFLOAD HALIBUT WITH GILLS AND ENTRAILS STILL IN TACT | |
| AK021071A | 4 | 679.7(f)(5) | SPORT CAUGHT HALIBUT ONBOARD WITH IFQ HALIBUT | |
| AK021071A | 5 | 679.42(c)(3) | FAILURE TO DEBIT ALL CATCH FROM IFQ PERMIT HOLDER'S ACCOUNT | |
| AK021130A | 1 | 679.7(a)(2) | VIOLATION OF IN-SEASON ACTION/ADJUSTMENT(PACIFIC OCEAN PERCH) | 5,328.02 |
| AK021130A | 2 | 679.7(a)(16) | PACIFIC COD BYCATCH OVERAGE (5TH OVERAGE 2002) | |
| AK023091A | 1 | 216.11(b) | UNLAWFUL TAKE OF MARINE MAMMAL (FEEDING STELLAR SEA LIONS) | |
| AK023161A | 2 | 679.7(f)(12) | NO IFQ PNOL | |
| AK023161A | 3 | 679.7(f)(1) | INTENTIONALLY SUBMIT FALSE INFORMATION | |
| AK023165A | 1 | 679.7(f)(14) | NO IFQ PNOL | 6,692.32 |
| AK023165A | 2 | 679.7(f)(1) | FAILURE TO REPORT IFQ LANDING | |
| AK023165A | 3 | 679.7(f)(4) | SABLEFISH IFQ OVERAGE | |
| AK023165A | 4 | 679.7(f)(12) | NO IFQ PNOL | |
| AK025032A | 1 | 679.7(f)(4) | IFQ HALIBUT OVERAGE | |
| AK025048A | 1 | 679.7(F)(1) | FAIL TO OBTAIN 24HR TRANSHIPMENT AUTHORIZATION | |
| AK025062A | 1 | 1857(2)(b) | VESSEL FISHING IN US EEZ | |

OTHER CASE ACTIONS
07/01/2002 - 0930/2002

| Number of Cases | Action | Summary Settlement Amount | NOVA Amount | Final Penalty | Forfeited Proceeds and/or Property |
|-----------------|--|---------------------------|--------------|---------------|------------------------------------|
| 1 | Declined by ENF | | | | |
| 25 | Dismissed by ENF no violation | | | | |
| 18 | Closed by ENF due to lack of evidence | | | | |
| 1 | Closed by ENF due to lack of resources | | | | |
| 10 | Transferred to another Region or agency | | | | |
| 38 | Verbal Warning Issued | | | | |
| 27 | Written warning issued by ENF | | | | |
| 4 | Written warning issued by GC | | | | |
| 2 | Written warning (FIX-IT) issued by F/EN | | | | |
| 2 | Written warning (FIX-IT) issued by USCG/GC | | | | |
| 39 | Property forfeited or abandoned | | | | \$82,499.57 |
| 10 | Summary Settlement issued by field or USCG | | | | |
| 57 | Summary settlement paid | \$184,475.20 | | | |
| 6 | Case Merged | | | | |
| 13 | Intel only | | | | |
| 1 | Dismissed by GC | | | | |
| 23 | NOVA issued | | \$443,237.00 | | |
| 23 | Settlement agreement signed by GC | | | \$123,096.00 | |
| 3 | Settlement agreement conditions met | | | | |
| 1 | Extension of time granted to respondent | | | | |
| 1 | Referred to USA for criminal prosecution | | | | |
| 1 | Request for hearing sent to ALJ | | | | |
| 3 | PPIP filed | | | | |
| 1 | Sent to GC | | | | |
| 3 | Suspended; case remains open | | | | |
| 313 | Total | | | | |