

Exhibit 300 (BY2009)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-09-10
2. Agency:	015
3. Bureau:	45
4. Name of this Capital Asset:	Service Center Recognition Image Processing System (SCRIPS)
5. Unique Project Identifier:	015-45-01-14-01-2223-00
6. What kind of investment will this be in FY2009?	
Mixed Life Cycle	
7. What was the first budget year this investment was submitted to OMB?	
FY2004	
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	
<p>The Service Center Recognition/Image Processing System (SCRIPS) is a data capture, management, and storage system, which is a source of critical funds from Federal Tax Deposits (FTDs) for the Department of the Treasury. It uses high speed scanning and digital imaging technology to process tax documents. The system processes paper returns, such as, IRP, K1, 940s and 941s. The system does character recognition from the imaged documents. If characters are not read, they are sent to an operator to repair. The systems stores images of the returns for access from internal IRS organizations. The perfected data is sent downstream for processing on the IRS Mainframe systems and Master File storage. The system utilizes an integrated suite of hardware and software components to process tax forms automatically. The system provides a modular, redundant architecture in order to meet mandated timelines and processing requirements for IRP forms, FTDs, K-1s, 940s and 941s. The processing ability of SCRIPS directly impacts revenue brought into the federal treasury. SCRIPS processes all tax forms within established timeframe's in order to meet Service Center Program Completion Dates (PCDs). In addition, SCRIPS must process FTDs within 24 hours. The system's effectiveness level, which has consistently exceeded 99%, coupled with the high speed scanning capability enables SCRIPS to process approximately 54 million FTDs and 45 million IRP forms, 18 million K-1s, 8 million Form 940s and 18 million Form 941s annually. SCRIPS has processed, over the life of the system, a total of 1 billion documents. SCRIPS and the Internal Revenue Service (IRS) have added 2-D barcode and File Transfer Protocol (FTP) technology to this system. As well as bulk transfer of K-1 images to the LIN System. SCRIPS maintains archived images of FTD, K-1s, Form 941, Form 940 and IRP Form 1096 documents. Images of these documents are used for research while resolving tax liability issues. SCRIPS provides a Quality Review function allowing supervisory employees to review operator's actions.</p>	
9. Did the Agency's Executive/Investment Committee approve this request?	
yes	
9.a. If "yes," what was the date of this approval?	
2007-08-16	
10. Did the Project Manager review this Exhibit?	
yes	
11. Project Manager Name:	
Henby, Ramona	
Project Manager Phone:	
(202) 283-5383	
Project Manager Email:	
Ramona.C.Henby@irs.gov	
11.a. What is the current FAC-P/PM certification level of the project/program manager?	
TBD	
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for	

<i>this project.</i>	
no	
12.a. Will this investment include electronic assets (including computers)?	
yes	
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
no	
13. Does this investment directly support one of the PMA initiatives?	
yes	
If yes, select the initiatives that apply:	
Expanded E-Government	
Financial Performance	
13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)	
Expanded E-Government: SCRIPS automates internal processes to reduce costs internally and within the Federal Government, by disseminating best practices across agencies. We automates labor-intensive, error-prone processes using modern IT with a cost savings of millions of dollars. SCRIPS is a source of critical funds from FTDs for treasury with mandated timelines. This impacts revenue brought into treasury so the money can be classified and distributed into the appropriate government accounts.	
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?	
yes	
14.a. If yes, does this investment address a weakness found during the PART review?	
no	
14.b. If yes, what is the name of the PARTed program?	
Internal Revenue Service Submission Processing	
15. Is this investment for information technology?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 2	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2007 agency high risk report (per OMB memorandum M-05-23)?	
yes	
19. Is this a financial management system?	
no	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	
Hardware	0
Software	0
Services	95
Other	5
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	
n/a	
22. Contact information of individual responsible for privacy related questions.	
Name	
Carlos Moura	

Phone Number

202- 927-0730

Title

Management and Program Analyst

Email

carlos.moura@irs.gov

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

24. Does this investment directly support one of the GAO High Risk Areas?

no

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.550	0.750
Maintenance Budgetary Resources	38.055	13.667	13.039
Government FTE Cost	4.310	1.777	1.750
# of FTEs	27	14	15

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2004	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Technology	Reliability	Increase accuracy of K-1 returns processed.	Error Rate to 26%	Customer tracks error rates thru monthly reports from downstream processing.	Monthly reports shows the error rate to 20%.
2	2004	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Technology	Reliability	Increase volume of K-1 returns processing	Processing time 100 documents per hour (dph)	SCRIPS produces daily/weekly/monthly Throughput Statistics Reports.	The report shows processing 250 dph.
3	2004	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Customer Results	Customer Impact or Burden	Decrease costs associated with processing K-1 returns.	Numbering cost \$.10 per document.	SCRIPS eliminated all labor associated with numbering documents.	Eliminated labor associated with numbering documents.
4	2004	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Customer Results	Customer Impact or Burden	Decrease costs associated with coding and editing K-1 documents.	Code & Edit Cost \$.40	Code & Edit Cost \$.40	WP&C depicts Automated Code and Edit performed at a reduction to \$.10 per document.
5	2004	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Technology	Reliability	Reduce time required for internal IRS areas to receive images of returns.	7 days to receive a print of an image.	SCRIPS tracks the number of request vs number of prints.	The daily service request vs print depicts amount of time to receive images reduced to 5 days.
6	2004	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of	Technology	Reliability	Processes FTDs within 24 hours.	Processing 99% of daily FTD receipts.	Produces a daily/weekly/monthly Throughput Statistics Report.	SCRIPS processed 100% of daily receipts as depicted on the Throughput Statistics Reports.

		Treasury						
7	2005	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Mission and Business Results	Taxation Management	Enhance Enforcement of the Tax Law.	Error Rate to 20%.	Customer tracks error rates thru monthly reports from downstream processing.	Monthly reports shows the error rate to 15%.
8	2005	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Mission and Business Results	Taxation Management	Enhance Enforcement of the Tax Law.	Processing time 200 documents per hour (dph).	SCRIPS produces daily/weekly/monthly Throughput Statistics Reports.	The report shows processing 300 dph.
9	2005	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Mission and Business Results	Taxation Management	Improve Taxpayer Service.	5 days to receive a print of an image.	SCRIPS tracks the number of request vs number of prints.	The daily service request vs print depicts amount of time to receive images reduced to 3 days.
10	2006	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Mission and Business Results	Taxation Management	Enhance Enforcement of the Tax Law for K1.	Error Rate to 15%	Customer tracks error rates thru monthly reports from downstream processing.	Monthly reports shows the error rate under 10%
11	2006	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Mission and Business Results	Taxation Management	Enhance Enforcement of the Tax Law for K1.	Processing time 250 documents per hour (dph)	SCRIPS will improve the time to process to 300 dph.	The report shows processing over 400 dph.
12	2006	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Mission and Business Results	Taxation Management	Improve Taxpayer Service for K1.	3 days to receive a print of an image.	SCRIPS will reduce the amount of time for internal IRS areas to received images of returns to 2 days.	The daily service request vs print depicts amount of time to receive images reduced to 2 days. This can not be further reduced until a hardware/software upgrade is done.

13	2006	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Technology	Reliability	Increase accuracy of 941 returns processed.	Reduce the error rate on 941 by 20%	SCRIPS will reduce the error rate by 20%. Processing begins 8/05.	The error rate has been reduced to 18%.
14	2006	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Technology	Reliability	Increase volume of 941 returns processing.	Processing time 100 documents per hour (dph).	SCRIPS will improve the time to process to 200 dph.	The report shows processing of over 200 dph.
15	2006	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Customer Results	Customer Impact or Burden	Decrease costs associated with processing 941 returns.	Numbering cost \$.10 per document.	SCRIPS will eliminate all labor associated with numbering documents.	Scanning of forms has eliminated manual numbering of the 941 forms.
16	2006	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Customer Results	Customer Impact or Burden	Decrease costs associated with coding and editing 941 documents.	Code & Edit Cost \$.40	SCRIPS will reduce Code & Edit cost to \$.10 by increasing the volume and accuracy of automated scanning.	SCRIPS has reduced the cost of Code & Edit.
17	2006	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Customer Results	Customer Impact or Burden	Reduce time required for internal IRS areas to receive images of 941 returns.	7 days to receive a print of an image.	SCRIPS will reduce the amount of time for internal IRS areas to received images of returns to 5 days.	SCRIPS has reduced the amount of time to deliver the images to 5 days.
18	2007	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Customer Results	Customer Impact or Burden	Reduce time required for internal IRS areas to receive images of 940 returns.	7 days to receive a print of an image.	SCRIPS will reduce the amount of time for internal IRS areas to received images of returns to 5 days.	SCRIPS has reduced the amount of time to deliver the images to 5 days.
19	2007	Ensure Professionalism,	Mission and Business	Taxation Management	Enhance Enforcement of	Error Rate to 10%	SCRIPS will reduce the error rate by	The report shows rate at just over

		Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Results		the Tax Law for K1.		5%.	4%.
20	2007	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Mission and Business Results	Taxation Management	Enhance Enforcement of the Tax Law on K1.	Processing time 300 documents per hour (dph).	SCRIPS will improve the time to process to 350 dph.	The report shows processing at 347 dph.
21	2007	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Mission and Business Results	Taxation Management	Improve Taxpayer Service for K1.	2 days to receive a print of an image.	SCRIPS will reduce the amount of time for internal IRS areas to received images of returns to 1 day.	Report shows received images of returns is 2 day
22	2007	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Customer Results	Customer Impact or Burden	Decrease costs associated with coding and editing 940 documents.	Code & Edit Cost \$.40	Code & Edit Cost \$.40	WP&C depicts Automated Code and Edit performed at a reduction to \$.40 per document.
23	2007	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Technology	Reliability	Increase volume of 940 returns processing.	Processing time 100 documents per hour (dph).	SCRIPS will improve the time to process to 200 dph.	The report shows processing 139 dph.
24	2007	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Processes and Activities	Productivity	Enhance Enforcement of the Tax Law for 941.	Processing time 200 documents per hour (dph).	SCRIPS produces daily/weekly/monthly Throughput Statistics Reports.	The report shows processing 150 dph.
25	2007	Ensure Professionalism, Excellence, Integrity, and	Mission and Business Results	Taxation Management	Enhance Enforcement of the Tax Law for 941.	Error Rate to 15%	Customer tracks error rates thru monthly reports from downstream	The report shows rate at just over 10%.

		Accountability in the Management and Conduct of the Depart. of Treasury					processing.	
26	2007	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Customer Results	Customer Impact or Burden	Improve Taxpayer Service for 941.	3 days to receive a print of an image.	SCRIPS will reduce the amount of time for internal IRS areas to received images of returns to 2 days.	SCRIPS will reduce the amount of time for internal IRS areas to received images of returns to 2 days.
27	2007	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Mission and Business Results	Taxation Management	Enhance Enforcement of the Tax Law for 940.	Error Rate to 20%.	Customer tracks error rates thru monthly reports from downstream processing.	The report shows rate at 10.52%.
28	2008	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Customer Results	Customer Impact or Burden	Improve Taxpayer Service for 941.	2 days to receive a print of an image.	SCRIPS will reduce the amount of time for internal IRS areas to received images of returns to 1 day.	SCRIPS will reduce the amount of time for internal IRS areas to received images of returns to 2 days.
29	2008	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Processes and Activities	Productivity	Enhance Enforcement of the Tax Law for 941.	Processing time 250 documents per hour (dph)	SCRIPS will improve the time to process to 300 dph.	Report shows processing at 250 dph
30	2008	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Customer Results	Customer Impact or Burden	Improve Taxpayer Service for 940.	3 days to receive a print of an image.	SCRIPS will reduce the amount of time for internal IRS areas to received images of returns to 2 days.	SCRIPS will reduce the amount of time for internal IRS areas to received images of returns to 3 days.
31	2008	Ensure Professionalism, Excellence, Integrity, and Accountability in the	Mission and Business Results	Taxation Management	Enhance Enforcement of the Tax Law for 940.	Error Rate to 15%	Customer tracks error rates thru monthly reports from downstream processing. Reduce rate to 10%.	The report shows rate at 10%.

		Management and Conduct of the Depart. of Treasury						
32	2008	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Technology	Reliability	Enhance Enforcement of the Tax Law for 940.	Processing time 200 documents per hour (dph).	SCRIPS produces daily/weekly/monthly Throughput Statistics Reports.	
33	2008	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Mission and Business Results	Taxation Management	Enhance Enforcement of the Tax Law for 941.	Error Rate to 10%	SCRIPS will reduce the error rate to 5%.	The report shows rate at 15%.
34	2009	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Technology	Reliability	Enhance Enforcement of the Tax Law for 940.	Processing time 250 documents per hour (dph)	SCRIPS will improve the time to process to 300 dph.	
35	2009	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Processes and Activities	Productivity	Enhance Enforcement of the Tax Law for 941.	Processing time 300 documents per hour (dph).	SCRIPS will improve the time to process to 350 dph.	
36	2009	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Mission and Business Results	Taxation Management	Enhance Enforcement of the Tax Law for 940.	Error Rate to 10%	SCRIPS will reduce the error rate by 5%.	
37	2009	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of	Customer Results	Customer Impact or Burden	Improve Taxpayer Service for 940.	2 days to receive a print of an image.	SCRIPS will reduce the amount of time for internal IRS areas to received images of returns to 1 day.	

		the Depart. of Treasury					
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EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Service Center Recognition/Image Processing System (SCRIPS)

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

yes

3.a. If yes, provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

Enterprise Transition Plan, Volume 1: Enterprise Transition Strategy (IRS)

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Tax Document Imaging and Data Extract	Tax Data Management and storage system. Uses high speed scanning and digital imaging technology to process tax documents	Document Management	Document Imaging and OCR			No Reuse	10
2	Data Mart	Archival system that maintains tax and information return images of returns and deletes images after form type expiration	Data Management	Data Mart			No Reuse	5

		defined by NARA.						
3	Data Exchange	FTP capability that transmit return data to downstream processing. Also, receives data for image print request.	Data Management	Data Exchange			No Reuse	5
4	Tax Account Management, Business Logic	Manages the electronic or paper capture of taxpayer specific data	Data Management	Data Exchange			No Reuse	80

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Document Imaging and OCR	Service Access and Delivery	Delivery Channels	Intranet	Scan-Optics, Transport
2	Data Exchange	Service Access and Delivery	Service Transport	Service Transport	EFNS/EFTU Server
3	Data Mart	Service Access and Delivery	Service Transport	Service Transport	EFNS/EFTU Server
4	Data Exchange	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	HP Itanium Server

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2007-10-25

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

The SCRIPS risks are reflected in the mixed life-cycle cost estimate and investment schedule as part of the Legislative RIS mandated tax law changes that are received late and must be made in a very short turnaround to meet SAT and

Production schedules. Also, we include cost for legislative changes to our DME for the SCRIPS investment. Risks are reviewed at weekly Project Status meetings. Contingency Plan was tested during C&A - POAM is tracked on TAF. Mitigation of significant risk has not been identified for this project. The highest risk is moderate/with a low probability of occurrence. Risk Mitigation alternatives are being discussed in the Project Office, should they become necessary (including monetary=using project/domain financial reserve or increase project planned resource uses).

COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

yes

2. Is the CV% or SV% greater than $\hat{A} \pm 10\%$?

no

3. Has the investment re-baselined during the past fiscal year?

no