

Exhibit 300 (BY2009)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-06-01
2. Agency:	015
3. Bureau:	35
4. Name of this Capital Asset:	SaBRe
5. Unique Project Identifier:	015-35-01-14-01-1005-00
<i>6. What kind of investment will this be in FY2009?</i>	
Mixed Life Cycle	
<i>7. What was the first budget year this investment was submitted to OMB?</i>	
FY2001 or earlier	
<i>8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.</i>	
<p>SaBRe processes cash and security transactions (created when FRB processing sites sell or redeem accrual savings bonds), updates and maintains daily customer service records, and reports financial transactions for the Daily Treasury Statement. Our customer service agents have online access to this data, which allows quick responses to customer inquiries. At inception, SaBRe merged 23 savings bond applications into a single platform, providing greater efficiency and reducing Public Debt's customer service costs. Future updates to SaBRe will allow seamless online switching with TreasuryDirect, which will further improve customer service, encourage retail owners of outstanding Treasury securities to convert their holdings to TreasuryDirect, and move Public Debt toward its ultimate goal of maximizing customer self-sufficiency. The SaBRe system supports Treasury's strategic goal of effectively financing government operations and brings Public Debt's accrual savings bond cash accounting and reporting into compliance with generally accepted accounting principles. Moreover, SaBRe provides enhanced audit trails and internal control mechanisms, daily cash and security reporting, automated calculation of monthly and daily interest accruals, and expedited calendar and transaction month reconciliations. In Addition, SaBRe indirectly supports many of Public Debt's strategic objectives, such as: 1) Maximize investor self-sufficiency, provide quality service to investors and, when needed, provide personal customer service. SaBRe provides reliable and timely information to customer service reps, enabling quality customer service. SaBRe also accommodates electronic interfaces with TreasuryDirect so customers will be able to view transactional history, authorize redemptions, change account inscription, request tax information, and view images of securities on their own computers. The customer will be truly accountable for his/her holdings and account maintenance activities; 2) Foster strategic relationships and partnerships that support our evolving retail programs by sharing development and maintenance data, reporting formats, and edit routines with business partners. This minimizes discrepancies in data, simplifies communication, and expedites problem resolution. Investing in SaBRe will provide the benefits listed above, allowing Public Debt to meet bureau and Treasury objectives, provide a quality experience for investors, and meet customer expectations.</p>	
<i>9. Did the Agency's Executive/Investment Committee approve this request?</i>	
Yes	
<i>9.a. If "yes," what was the date of this approval?</i>	
2007-08-16	
<i>10. Did the Project Manager review this Exhibit?</i>	
Yes	
<i>11. Project Manager Name:</i>	
<i>Project Manager Phone:</i>	
<i>Project Manager Email:</i>	

11.a. What is the current FAC-P/PM certification level of the project/program manager?
Senior/Expert-level
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
Yes
12.a. Will this investment include electronic assets (including computers)?
Yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)
No
13. Does this investment directly support one of the PMA initiatives?
Yes
If yes, select the initiatives that apply:
Expanded E-Government
Financial Performance
13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)
FP: SaBRe provides financial transaction summaries to the automated system that collects/validates financial data used to close the books and formulate daily financial statements for the Daily Treasury Statement. E-Gov: SaBRe electronically interfaces with TreasuryDirect to convert paper savings bonds to electronic TreasuryDirect accounts. TreasuryDirect customers can view/change account information, authorize transactions, request tax information, and view account history via the Internet.
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?
Yes
14.a. If yes, does this investment address a weakness found during the PART review?
No
14.b. If yes, what is the name of the PARTed program?
Administering the Public Debt
14.c. If yes, what rating did the PART receive?
Effective
15. Is this investment for information technology?
Yes
16. What is the level of the IT Project (per CIO Council's PM Guidance)?
Level 2
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)
(1) Project manager has been validated as qualified for this investment
18. Is this investment identified as high risk on the Q4 - FY 2007 agency high risk report (per OMB memorandum M-05-23)?
Yes
19. Is this a financial management system?
Yes
19.a. If yes, does this investment address a FFMA compliance area?
Yes
19.a.1. If yes, which compliance area:
Financial System Requirements, Federal Accounting Standards, and Standard General Ledger at the transaction level.
19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.

Savings Bond Replacement (SABRE)

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware	5
Software	4
Services	72
Other	20

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

N/A

22. Contact information of individual responsible for privacy related questions.

Name

Division of Administrative Services
 Avery 4A, Disclosure Officer
 Department of the Treasury
 Bureau of the Public Debt
 200 Third Street
 Parkersburg, WV 26106-5312

Send fax requests to 304-480-8188

Phone Number

Title

Email

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

24. Does this investment directly support one of the GAO High Risk Areas?

No

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.146	0.000
Maintenance Budgetary Resources	10.563	1.310	1.330
Government FTE Cost	6.004	3.417	3.310

of FTEs

58 31 32

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes.

There have been no changes to the summary of spending since the FY2008 President's budget request.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of time with 24/7 system access	Maintain 24/7 online system access 99.7% of the time	99.000	99.826
2	2007	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	% of internal transactions processed efficiently	98% of internal transactions processed within 15 business days	98.000	98.199
3	2007	Manage the U.S. Government's Finances Effectively	Customer Results	Response Time	% of customer response time with Government Performance Results Act (GPRA) customer service transactions handled within an acceptable timeframe	98% of GPRA customer service transactions handled within 12 business days	98.000	99.452
4	2007	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of time to provide classified savings bond data to PARS	SaBRe provides savings bond classified	100.000	100.000

					by 7:00 a.m.	data to PARS by 7:00 a.m. 100% of the time		
5	2008	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of time with 24/7 system access	Maintain 24/7 online system access 99.7% of the time	99.000	TBD
6	2008	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	% of internal transactions processed efficiently	98% of internal transactions processed within 15 business days	98.000	TBD
7	2008	Manage the U.S. Government's Finances Effectively	Customer Results	Response Time	% of customer response time with Government Performance Results Act (GPRA) customer service transactions handled within an acceptable timeframe	98% of GPRA customer service transactions handled within 12 business days	98.000	TBD
8	2008	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of time to provide classified savings bond data to PARS by 7:00 a.m.	SaBRe provides savings bond classified data to PARS by 7:00 a.m. 100% of the time	100.000	TBD
9	2009	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of time with 24/7 system access	Maintain 24/7 online system access 99.7% of the time	99.000	TBD
10	2009	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	% of internal transactions processed efficiently	98% of internal transactions processed within 15 business days	98.000	TBD
11	2009	Manage the U.S. Government's Finances Effectively	Customer Results	Response Time	% of customer response time with Government Performance Results Act (GPRA)	98% of GPRA customer service transactions handled within 12	98.000	TBD

					customer service transactions handled within an acceptable timeframe	business days		
12	2009	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of time to provide classified savings bond data to PARS by 7:00 a.m.	SaBRe provides savings bond classified data to PARS by 7:00 a.m. 100% of the time	100.000	TBD
13	2010	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of time with 24/7 system access	Maintain 24/7 online system access 99.7% of the time	99.000	TBD
14	2010	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	% of internal transactions processed efficiently	98% of internal transactions processed within 15 business days	98.000	TBD
15	2010	Manage the U.S. Government's Finances Effectively	Customer Results	Response Time	% of customer response time with Government Performance Results Act (GPRA) customer service transactions handled within an acceptable timeframe	98% of GPRA customer service transactions handled within 12 business days	98.000	TBD
16	2010	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of time to provide classified savings bond data to PARS by 7:00 a.m.	SaBRe provides savings bond classified data to PARS by 7:00 a.m. 100% of the time	100.000	TBD
17	2011	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of time with 24/7 system access	Maintain 24/7 online system access 99.7% of the time	99.000	TBD
18	2011	Manage the U.S. Government's	Processes and Activities	Efficiency	% of internal transactions processed	98% of internal transactions	98.000	TBD

		Finances Effectively			efficiently	processed within 15 business days		
19	2011	Manage the U.S. Government's Finances Effectively	Customer Results	Response Time	% of customer response time with Government Performance Results Act (GPRA) customer service transactions handled within an acceptable timeframe	98% of GPRA customer service transactions handled within 12 business days	98.000	TBD
20	2011	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of time to provide classified savings bond data to PARS by 7:00 a.m.	SaBRe provides savings bond classified data to PARS by 7:00 a.m. 100% of the time	100.000	TBD
21	2012	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of time with 24/7 system access	Maintain 24/7 online system access 99.7% of the time	99.000	TBD
22	2012	Manage the U.S. Government's Finances Effectively	Processes and Activities	Efficiency	% of internal transactions processed efficiently	98% of internal transactions processed within 15 business days	98.000	TBD
23	2012	Manage the U.S. Government's Finances Effectively	Customer Results	Response Time	% of customer response time with Government Performance Results Act (GPRA) customer service transactions handled within an acceptable timeframe	98% of GPRA customer service transactions handled within 12 business days	98.000	TBD
24	2012	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of time to provide classified savings bond data to PARS by 7:00 a.m.	SaBRe provides savings bond classified data to PARS by 7:00 a.m. 100% of	100.000	TBD

						the time		
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EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

Yes

2. Is this investment included in the agency's EA Transition Strategy?

Yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

SaBRe

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

No

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Auditing	Audits savings bond transactions.	Financial Management	Auditing			No Reuse	45
2	Standardized/Canned	Supports the use of pre-written reports	Reporting	Standardized / Canned			No Reuse	25
3	Debt Collection	Accounts receivable.	Financial Management	Debt Collection			No Reuse	15
4	Internal Controls	Standard reports and ad-hoc reporting capability.	Financial Management	Internal Controls			No Reuse	15

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple

rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Auditing	Service Platform and Infrastructure	Database / Storage	Database	
2	Standardized / Canned	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
3	Standardized / Canned	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	
4	Standardized / Canned	Service Interface and Integration	Integration	Middleware	
5	Debt Collection	Service Platform and Infrastructure	Database / Storage	Database	
6	Internal Controls	Service Access and Delivery	Service Requirements	Legislative / Compliance	

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

Yes

1.a. If yes, what is the date of the plan?

2007-09-30

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

SaBRe is a mature investment that has been in operation since 1998. While minimal, the risks associated with SaBRe's Operations and Maintenance component are well known, continuously monitored, and factored in to the lifecycle costs and schedule via the project plan and existing milestone plan. Investment risks are cost and schedule adjusted by incorporating flexibility into the start and end dates of milestones and corresponding planned costs of the investment DME milestones. The risks associated with SaBRe's planned enhancements are mitigated by the use of the Rapid Application Development (RAD) methodology technique, which allows SaBRe to complete only the amount of work that can be accomplished during a specified, pre-determined timeframe, given a pre-determined amount of funding. This incremental, phased approach minimizes SaBRe's investment risks, while the project plan and baseline reflect risk-adjusted cost estimates and schedule goals. For more detailed risk information, please see the SaBRe Risk Management Plan.

COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

No

2. Is the CV% or SV% greater than $\hat{A} \pm 10\%$?

Yes

2.a. If yes, was it the?

CV

2.b. If yes, explain the variance.

As of 31-Mar-07, the open DME design milestone was of very minimal cost value and of extremely brief schedule timeframe (e.g., less than 1 month). When the amounts and timeframes are so small/brief, even the most minuscule variance results in an overly large percentage variance being calculated, which is what happened with SaBRe. The cost variance is also the result of having estimated costs 2 years ago using a methodology that did not capture all types of costs.

2.c. If yes, what corrective actions are being taken?

Our corrective action plan will be to monitor IT spending on a monthly basis. In addition, SaBRe is enhanced/updated using RAD methodology. This process reduces investment cost, schedule, and staffing risks since each release is designed to do only the amount of work that can be accomplished during the timeframe set out with the staff on hand. This incremental phase approach will alleviate the risk of funding shortfalls and schedule variances.

3. Has the investment re-baselined during the past fiscal year?

No