

Exhibit 300 (BY2009)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-06-01
2. Agency:	015
3. Bureau:	35
4. Name of this Capital Asset:	GAISS
5. Unique Project Identifier:	015-35-01-01-01-1007-00
6. What kind of investment will this be in FY2009?	
Mixed Life Cycle	
7. What was the first budget year this investment was submitted to OMB?	
FY2008	
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	
<p>Government Agency Investment Services (GAIS), one of the Bureau's primary Lines of Business (LOB), is responsible for the accounting of the Federal Investments, Special Purpose Securities, and Loans Receivable programs. In July 2005, Public Debt management announced a strategic direction to reduce the number of systems used to support GAIS into a single investment. Through systems reduction, Public Debt will streamline the diversity of technology involved in supporting this business line. Additionally, this effort will allow us to consolidate and standardize the internal controls over processes common to all GAIS programs. The control environment consists of 18 processes that will be transformed into 6 standardized processes. Listed below are the six processes common to all GAIS programs that will be consolidated into a single environment: Funds Management * reconciling investment activity to increases/decreases in funds Investment Accounting * calculating amortization and interest accruals * calculating accounts receivable and accounts payable * generating payment information Standard Reporting * generating common accounting reports including periodic investment statements of account Customer Interface * allowing investment activity to be managed by on-line customers Account Maintenance * ensuring proper management of user access/security * managing account information Enhanced Reporting * creating customized accounting reports that will further the customer's understanding of their investment activity The system consolidation strategy involves the aforementioned core business functions residing in a single off-the-shelf solution. Public Debt will use a commercially available solution to perform these business functions with all modifications/enhancements being performed via coordination with the vendor. Consolidating the systems that house GAIS data into a single, integrated control environment, Government Agency Investment Services System (GAISS), will provide one location for all investment activities. Ultimately, BPD will standardize system, business, and data elements; lower operational risks/costs; and provide more timely and accurate data. The effort of system reduction is consistent with Treasury's Portfolio Alignment Summary and Strategic Portfolio Review.</p>	
9. Did the Agency's Executive/Investment Committee approve this request?	
Yes	
9.a. If "Yes," what was the date of this approval?	
2007-08-16	
10. Did the Project Manager review this Exhibit?	
Yes	
11. Project Manager Name:	
Project Manager Phone:	
Project Manager Email:	
11.a. What is the current FAC-P/PM certification level of the project/program manager?	

Senior/Expert-level
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.
Yes
12.a. Will this investment include electronic assets (including computers)?
Yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to Non-IT assets only)
No
13. Does this investment directly support one of the PMA initiatives?
Yes
If Yes, select the initiatives that apply:
Expanded E-Government
Financial Performance
13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)
EGov-Federal, State, and Local governments are able to manage their accounts on-line by submitting transactions, retrieving acct balances, and viewing payment info. Over 96% of GAIS customers conduct transactions on-line. FP - Provides timely reporting of A/R & A/P info in compliance with financial and congressional laws. Provides centralized amortization, accrual info government-wide, and generates info so Special Purpose Securities payments can be made in a timely, accurate manner.
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?
Yes
14.a. If Yes, does this investment address a weakness found during the PART review?
No
14.b. If Yes, what is the name of the PARTed program?
Administering the Public Debt
14.c. If Yes, what rating did the PART receive?
Effective
15. Is this investment for Information Technology?
Yes
16. What is the level of the IT Project (per CIO Council's PM Guidance)?
Level 2
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)
(1) Project manager has been validated as qualified for this investment
18. Is this investment identified as high risk on the Q4 - FY 2007 agency high-risk report (per OMB memorandum M-05-23)?
Yes
19. Is this a financial management system?
Yes
19.a. If Yes, does this investment address a FFMA compliance area?
Yes
19.a.1. If Yes, which compliance area:
Financial System Requirements, Federal Accounting Standards, and Standard General Ledger at the transaction level.
19.b. If Yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.
Government Agency Investment Services System - GAISS

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware	5
Software	6
Services	86
Other	3

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

Yes

22. Contact information of individual responsible for privacy related questions.

Name

Send FOIA requests to the following address:
 Division of Administrative Services
 Avery 4A, Disclosure Officer
 Department of the Treasury
 Bureau of the Public Debt
 200 Third Street
 Parkersburg, WV 26106-5312
 Fax request to: 304-480-8188

Phone Number

Title

Email

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

24. Does this investment directly support one of the GAO High Risk Areas?

No

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.454	0.467
Maintenance Budgetary Resources	0.000	1.852	1.816
Government FTE Cost	0.000	3.068	2.528
# of FTEs	0	22	17

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should Not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes.

There have been no changes to the summary of spending since the FY2008 President's budget request.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do Not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do Not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Asset and Liability Management	% of interest/principal payments for Federal Investments made accurately.	100	100	100% through Q4
2	2007	Manage the U.S. Government's Finances Effectively	Customer Results	Availability	Total number of accounts for which Public Debt is performing centralized SF224 reporting for investment activity on a quarterly basis. There are 219 possible accounts.	85	110	114 through Q4
3	2007	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of Federal Investment transactions processed timely.	100	100	100% through Q4
4	2007	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	Calculate the Federal funds investments cost per transaction for accurate financial reporting on a	65	65	\$59.93 through Q4

					quarterly basis. (\$ per item)			
5	2007	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of Application Availability During Published Business Hours.	100	100	100.00% through Q4
6	2008	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Asset and Liability Management	% of interest/principal payments for Federal Investments made accurately.	100	100	To Be Determined
7	2008	Manage the U.S. Government's Finances Effectively	Customer Results	Availability	Total number of accounts for which Public Debt is performing centralized SF224 reporting for investment activity on a quarterly basis. There are 219 possible accounts.	114	153	To Be Determined
8	2008	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of Federal Investment transactions processed timely.	100	100	To Be Determined
9	2008	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	Number of separate control processes used to support GAIS activity. Through the system consolidation effort, GAIS will live in a single, integrated environment by 2012. At its completion the 18 processes that support GAIS will be reduced to 6.	15	13	To Be Determined
10	2008	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of Application Availability During Published Business Hours.	100	100	To Be Determined
11	2009	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Asset and Liability Management	% of interest/principal payments for Federal Investments	100	100	To Be Determined

					made accurately.			
12	2009	Manage the U.S. Government's Finances Effectively	Customer Results	Availability	Total number of accounts for which Public Debt is performing centralized SF224 reporting for investment activity on a quarterly basis. There are 219 possible accounts.	153	197	To Be Determined
13	2009	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of Federal Investment transactions processed timely.	100	100	To Be Determined
14	2009	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	Number of separate control processes used to support GAIS activity. Through the system consolidation effort, GAIS will live in a single, integrated environment by 2012. At its completion the 18 processes that support GAIS will be reduced to 6.	13	10	To Be Determined
15	2009	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of Application Availability During Published Business Hours.	100	100	To Be Determined
16	2010	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Asset and Liability Management	% of interest/principal payments for Federal Investments made accurately.	100	100	To Be Determined
17	2010	Manage the U.S. Government's Finances Effectively	Customer Results	Availability	Total number of accounts for which Public Debt is performing centralized SF224 reporting for investment activity on a quarterly basis. There are 219 possible	197	219	To Be Determined

					accounts.			
18	2010	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of Federal Investment transactions processed timely.	100	100	To Be Determined
19	2010	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	Number of separate control processes used to support GAIS activity. Through the system consolidation effort, GAIS will live in a single, integrated environment by 2012. At its completion the 18 processes that support GAIS will be reduced to 6.	10	10	To Be Determined
20	2010	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of Application Availability During Published Business Hours.	100	100	To Be Determined
21	2011	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Asset and Liability Management	% of interest/principal payments for Federal Investments made accurately.	100	100	To Be Determined
22	2011	Manage the U.S. Government's Finances Effectively	Customer Results	Availability	Total number of accounts for which Public Debt is performing centralized SF224 reporting for investment activity on a quarterly basis. There are 219 possible accounts.	219	219	To Be Determined
23	2011	Manage the U.S. Government's Finances Effectively	Mission and Business Results	Reporting and Information	% of Federal Investment transactions processed timely.	100	100	To Be Determined
24	2011	Manage the U.S. Government's Finances Effectively	Processes and Activities	Financial Management	Number of separate control processes used to support GAIS activity. Through the system	10	8	To Be Determined

					consolidation effort, GAIS will live in a single, integrated environment by 2012. At its completion the 18 processes that support GAIS will be reduced to 6.			
25	2011	Manage the U.S. Government's Finances Effectively	Technology	Availability	% of Application Availability During Published Business Hours.	100	100	To Be Determined

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

Yes

2. Is this investment included in the agency's EA Transition Strategy?

Yes

2.a. If Yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

The entries for Invest One (UPI 015-35-01-14-01-1001-00-407-187) and Special Purpose Securities System (SPSS) (UPI 015-35-01-14-01-1006-00-407-187) referred to in item #1 above have been consolidated into one investment named GAISS (UPI 015-35-01-01-01-1007-00-402-128).

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

No

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one Not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer Yes or No, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Portfolio Management	Supports the admin. of a group of investments held by an organization.	Investment Management	Portfolio Management			No Reuse	75

2	Billing and Accounting	Supports the charging, collection and reporting of an organization's accounts.	Financial Management	Billing and Accounting			No Reuse	12
3	Internal Controls	Supports the methods and procedures used by BPD to safeguard its assets, produces accurate accounting data and reports, contributes to efficient operations, and encourages staff to adhere to management policies and mission requirements.	Financial Management	Internal Controls			No Reuse	12

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Billing and Accounting	Service Access and Delivery	Access Channels	Collaboration / Communications	
2	Billing and Accounting	Service Access and Delivery	Access Channels	Web Browser	
3	Billing and Accounting	Service Access and Delivery	Service Transport	Service Transport	
4	Billing and Accounting	Service Access and Delivery	Service Transport	Supporting Network Services	
5	Portfolio Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	
6	Portfolio Management	Service Access and Delivery	Service Requirements	Hosting	
7	Billing and Accounting	Service Platform and Infrastructure	Support Platforms	Platform Independent	
8	Billing and Accounting	Service Platform and Infrastructure	Support Platforms	Platform Dependent	
9	Billing and Accounting	Service Platform and Infrastructure	Delivery Servers	Web Servers	
10	Portfolio Management	Service Interface and Integration	Integration	Middleware	
11	Portfolio	Service Platform and	Software	Software Configuration	

12	Portfolio Management	Service Platform and Infrastructure	Software Engineering	Test Management	
13	Portfolio Management	Service Platform and Infrastructure	Software Engineering	Modeling	
14	Billing and Accounting	Service Platform and Infrastructure	Database / Storage	Database	
15	Portfolio Management	Service Platform and Infrastructure	Database / Storage	Storage	
16	Portfolio Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
17	Billing and Accounting	Component Framework	Presentation / Interface	Static Display	
18	Portfolio Management	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)	
19	Portfolio Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	
20	Portfolio Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
21	Billing and Accounting	Component Framework	Security	Certificates / Digital Signatures	
22	Portfolio Management	Component Framework	Presentation / Interface	Content Rendering	
23	Internal Controls	Component Framework	Security	Certificates / Digital Signatures	
24	Internal Controls	Service Access and Delivery	Service Requirements	Legislative / Compliance	
25	Portfolio Management	Component Framework	Security	Certificates / Digital Signatures	

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

Yes

6.a. If Yes, please describe.

Yes. Portions of GAISS will leverage existing components and/or applications across the Government. The Invest One system uses the ASAP disbursement system operated by FMS and the PARS system at Public Debt with online automated data feeds, as well as Ca\$hlink II and IPAC. There are additional interfaces with other less visible government entities. The flexibility of Invest One has allowed for an interface to the Government-wide Accounting System. The investment accounting services that Public Debt offers to agencies can greatly reduce their operating costs. Invest One delivers those services via the Internet with FedInvest. Invest One has the potential of being a system that 70 federal agencies leverage for their SGL accounting systems, across the government for investment accounting.

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

Yes

1.a. If Yes, what is the date of the plan?

2007-09-30

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Investment risks are adjusted for cost and schedule by utilizing flexible start and end dates of investment milestones and by correlating planned costs to the investment DME milestones. This flexibility enables the investment to adjust the critical start and end dates of tasks and milestones, along with the associated life-cycle costs. This approach allows time for satisfactory rework, if required, and final testing of each completed module prior to implementation or early commencement of follow-on tasks due to early completion of prerequisite tasks. The risks associated with GAISS's planned enhancements are mitigated by the use of a Rapid Application Development (RAD) methodology technique that allows completion of only a specified amount of work in a pre-determined amount of time, given a fixed amount of funding. This incremental, phased approach minimizes investment risks, while the project plan and baseline reflect risk-adjusted cost estimates and schedule goals.

COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

No

2. Is the CV% or SV% greater than $\hat{A} \pm 10\%$?

Yes

2.a. If Yes, was it the?

CV

3. Has the investment re-baselined during the past fiscal year?

No