Exhibit 300 (BY2009)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-09-10
2. Agency:	015
3. Bureau:	45
4. Name of this Capital Asset:	Criminal Investigation Management Information System (CIMIS) - Major
5. Unique Project Identifier:	015-45-01-13-01-2469-00

6. What kind of investment will this be in FY2009?

Mixed Life Cycle

7. What was the first budget year this investment was submitted to OMB?

FY2008

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

The Criminal Investigation Management Information System (CIMIS) was a new major investment beginning in FY 2008, having previously been a non-major investment. An E53 was previously submitted and CIMIS received MITS funding and reported status through the Capital Planing and Investment Control office. It is a mixed life cycle project, because CIMIS includes Operations & Maintenance (O&M) and Development/Modernization/Enhancement (DME) activities. There is no data or cost information available from that timeframe. CIMIS is a management and information system for tracking the status and progress of CI investigations, time expended by CI employees, Employee information, and IRS CI investigative Equipment. Capabilities include direct data entry from the field, real time query, and report features. Data from this application is also exported to other IRS CI applications. The management reports provide special reporting capabilities required for enforcement activities, e.g. search warrants and seizures. CIMIS' objective is to provide the vehicle to collect, compile and deliver accurate real time information to all levels of Criminal Investigation management and internal/external stakeholders. Much of the information collected is required by congressional mandate, Treasury Regulations, Office of Management and Budget (OMB) requirements, and IRS Directives. CIMIS addresses current deficiencies by using a flexible architecture built on modernized hardware and software platforms. By complying with the Architecture requirements, the applications will be able to take advantage of the benefits of the Enterprise Infrastructure.

9. Did the Agency's Executive/Investment Committee approve this request?

yes

9.a. If "yes," what was the date of this approval?

2007-08-16

10. Did the Project Manager review this Exhibit?

yes

11. Project Manager Name:

Leahy, Robert

Project Manager Phone:

202-622-5556

Project Manager Email:

11.a. What is the current FAC-P/PM certification level of the project/program manager?

TBD

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

No

12.a. Will this investment include electronic assets (including computers)?

Yes	
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
No	
13. Does this investment directly support one of the PMA initiatives?	
Yes	
If yes, select the initiatives that apply:	
Expanded E-Government	
13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)	
CIMIS supports the PMA in the eGov initiative. CIMIS falls within Case Management. CIMIS is critical in focusing resources on detection and deterrence of crimes. CIMIS facilitates the exchange of information with partners by providing current statuses. It meets G2G aims. CIMIS streamlines management reviews and activities. One time data entry will be in CIMIS. Increases in quality of CI work, productivity and employee job satisfaction will result with the deployment of CIMIS.	
14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?	
Yes	
14.a. If yes, does this investment address a weakness found during the PART review?	
Yes	
14.b. If yes, what is the name of the PARTed program?	
Internal Revenue Service Criminal Investigations	
14.c. If yes, what rating did the PART receive?	
Moderately Effective	
15. Is this investment for information technology?	
Yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 2	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2007 agency high risk report (per OMB memorandum M-05-23)?	
Yes	
19. Is this a financial management system?	
No	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	

Hardware	0
Software	25
Services	75
Other	0

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	
No	
22. Contact information of individual responsible for privacy related questions.	
Name	
Carlos Moura	
Phone Number	
202- 927-0730	

Title
Management and Program Analyst
Email
carlos.moura@irs.gov
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?
Yes
24. Does this investment directly support one of the GAO High Risk Areas?
Yes

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

	PY-1 & Earlier	PY	CY
	-2006	2007	2008
Planning Budgetary Resources	0.000	0.000	0.087
Acquisition Budgetary Resources	0.000	0.000	0.348
Maintenance Budgetary Resources	0.000	0.000	0.436
Government FTE Cost	0.000	0.000	0.490
# of FTEs	0	0	4

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

No

3. If the summary of spending has changed from the FY2008 President's budget request, briefly explain those changes.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond FY 2009.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2008	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Mission and Business Results	Taxation Management	Average cycle time on legal and illegal investigations on a quarterly basis.	453.9 average elapsed days	Reduce cycle time 1% in FY08 based on level staffing.	
2	2008	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Customer Results	Accuracy of Service or Product Delivered	Percent of cases with one invalid key field being reviewed.	68%	Performed on a bi-annual basis, improvement to 71%	
3	2008	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Processes and Activities	Timeliness	Percent of status updates done with in five calendar days.	80%	2% increase	
4	2008	Ensure Professionalism, Excellence, Integrity, and Accountability in the Management and Conduct of the Depart. of Treasury	Technology	Availability	Percent system availability100% of the time during normal business hours (7AM to 10PM EST).	86%	87% availability	

EA
In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.
1. Is this investment included in your agency's target enterprise architecture?
Yes
2. Is this investment included in the agency's EA Transition Strategy?
Yes
2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.
The CIMIS project is identified in the Treasury As-Built-Architecture and the Enterprise Transition Strategy parts of the EA. CIMIS predates the Treasury EA and was "grandfathered" by explicitly adopting CIMIS in the ABA sections of - SYS

2.3 Tax Case & Issue Mgmt System and SYS 2.1.3 Submissions Perfection systems (Treas. Target EA). Also in Taxpayer Case Database (2.7.7) planning investment control process to ensure project's conformance to the Treasury EA/TEAF.

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?

Yes

3.a. If yes, provide the name of the segment architecture as provided in the agency's most recent annual EA Assessment.

Enterprise Transition Plan, Volume 1: Enterprise Transition Strategy (IRS)

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.whitehouse.gov/omb/egov/.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Tax Account Management Business Logic for CI	Manages the lifecycle of cases or data for Criminal Investigations	Data Management	Data Exchange			No Reuse	95
2	CI Asset Cataloging /Indexing	Criminal Investigation Management Information System (CIMIS) tracks investigative assets (their ownership, accountability and custody)	Asset / Materials Management	Asset Cataloging / Identification			No Reuse	2
3	CI Asset Transfer, Allocation and Maintenance	Criminal Investigation Management Information System (CIMIS) tracks transfers, shipments, disposal of assets, and replacements of such.	Asset / Materials Management	Asset Transfer, Allocation, and Maintenance			No Reuse	2

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Data Exchange	Service Access and Delivery	Access Channels	Web Browser	Microsoft Internet Explorer
2	Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	Uniform Resource Locator (URL)
3	Data Exchange	Service Access and Delivery	Delivery Channels	Virtual Private Network (VPN)	CISCO
4	Data Exchange	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft - Windows 2000
5	Asset Cataloging / Identification	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Microsoft - Windows 2000
6	Asset Transfer, Allocation, and Maintenance	Component Framework	Business Logic	Platform Dependent	Microsoft - Windows 2000
7	Data Exchange	Service Platform and Infrastructure	Database / Storage	Database	Microsoft SQL
8	Data Exchange	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Microsoft SQL Server 2000/Enterprise Server
9	Data Exchange	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	Printers - multi-vendors
10	Data Exchange	Service Interface and Integration	Integration	Middleware	Database Access: OPEN ANSI SQL/92

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

Yes

1.a. If yes, what is the date of the plan?

2007-05-31

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Life cycle costs are risk adjusted. The schedule also incorporates risk.

COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

No

2. Is the CV% or SV% greater than Â± 10%?

No
3. Has the investment re-baselined during the past fiscal year?
No