

FOUNDATION and GRANT PROPOSAL PACKAGE

**Los Alamos National Laboratory
Principal Investigator Questionnaire**

1.

External Partner(s) Name		
Do you anticipate applying for Q or L clearance badges for Partner employees?	Yes	No
	<input type="checkbox"/>	<input type="checkbox"/>

2. LANL Core Competencies

Choose all the LANL Core Competencies that are best supported by this technology transfer from the list below.

- | | |
|--|--|
| <input type="checkbox"/> Analysis & Assessment | <input type="checkbox"/> Nuclear & Advanced Materials |
| <input type="checkbox"/> Bioscience & Biotechnology | <input type="checkbox"/> Nuclear Science, Plasmas & Beams |
| <input type="checkbox"/> Complex Experimentation & Measurement | <input type="checkbox"/> Nuclear Weapons Science & Technology |
| <input type="checkbox"/> Earth & Environmental Systems | <input type="checkbox"/> Theory, Modeling & High Performance Computing |

3. Industrial Sector

Choose the **primary** (only one) Industrial Sector that is best supported by this request.

- | | |
|---|--|
| <input type="checkbox"/> Aerospace/Planes | <input type="checkbox"/> Insurance |
| <input type="checkbox"/> Agriculture | <input type="checkbox"/> Manufacturing |
| <input type="checkbox"/> Auto/Vehicles | <input type="checkbox"/> Materials |
| <input type="checkbox"/> Biotechnology | <input type="checkbox"/> Microelectronics |
| <input type="checkbox"/> Chemical | <input type="checkbox"/> Mining |
| <input type="checkbox"/> Computing | <input type="checkbox"/> Pharmaceutical |
| <input type="checkbox"/> Data Processing | <input type="checkbox"/> Refining |
| <input type="checkbox"/> Defense Industry | <input type="checkbox"/> Telecommunications |
| <input type="checkbox"/> Engineering | <input type="checkbox"/> Trains/Rail |
| <input type="checkbox"/> Finance | <input type="checkbox"/> Transportation Infrastructure |
| <input type="checkbox"/> Geothermal | <input type="checkbox"/> Upstream Oil/Gas |
| <input type="checkbox"/> Healthcare | <input type="checkbox"/> Utilities |
| <input type="checkbox"/> Instrumentation | <input type="checkbox"/> Water Transportation |

Choose any **additional** applicable Industrial Sector(s) that are supported by this request.

- | | |
|---|--|
| <input type="checkbox"/> Aerospace/Planes | <input type="checkbox"/> Insurance |
| <input type="checkbox"/> Agriculture | <input type="checkbox"/> Manufacturing |
| <input type="checkbox"/> Auto/Vehicles | <input type="checkbox"/> Materials |
| <input type="checkbox"/> Biotechnology | <input type="checkbox"/> Microelectronics |
| <input type="checkbox"/> Chemical | <input type="checkbox"/> Mining |
| <input type="checkbox"/> Computing | <input type="checkbox"/> Pharmaceutical |
| <input type="checkbox"/> Data Processing | <input type="checkbox"/> Refining |
| <input type="checkbox"/> Defense Industry | <input type="checkbox"/> Telecommunications |
| <input type="checkbox"/> Engineering | <input type="checkbox"/> Trains/Rail |
| <input type="checkbox"/> Finance | <input type="checkbox"/> Transportation Infrastructure |
| <input type="checkbox"/> Geothermal | <input type="checkbox"/> Upstream Oil/Gas |
| <input type="checkbox"/> Healthcare | <input type="checkbox"/> Utilities |
| <input type="checkbox"/> Instrumentation | <input type="checkbox"/> Water Transportation |

4. Previous Activities

If applicable, select previous activities regarding the technology covered by this project, that may have led to this project and indicate the number of each and the agreement number. Previous activities may be with Partners different from this project.

√	Type of Agreement	Agreement Identification #
<input type="checkbox"/>	CRADA	
<input type="checkbox"/>	Proprietary Information Agreement	
<input type="checkbox"/>	Technical Assistance	
<input type="checkbox"/>	License	
<input type="checkbox"/>	Work for Others/Funds-In Agreement (WFO/FIA)	
<input type="checkbox"/>	User Facility	
<input type="checkbox"/>	Materials Transfer Agreement	
<input type="checkbox"/>	Bailment	
<input type="checkbox"/>	Personnel Exchange	
<input type="checkbox"/>	Memorandum of Understanding	
<input type="checkbox"/>	Other:	
√	Type of Intellectual Property	Identification #
<input type="checkbox"/>	Invention Disclosures	
<input type="checkbox"/>	Patent Application/Patent	US: Non-US:
<input type="checkbox"/>	Copyrighted Materials	
<input type="checkbox"/>	Other:	

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5. Rights and Inventions

		Yes	No
1.	Will any patentable processes (LANL inventions) come out of this work?		
2.	Are there any conceived invention status notes, etc. related to this work that have not been reported to LANL Patent Council?		
3.	Is there an Intellectual Property portfolio with patents, copyrights etc. in existence for the technology?		
4.	Are you currently, or have you in the past worked with the Technology Transfer Division Licensing team on a commercialization strategy for existing intellectual property which will be used during the course of work?		
5.	Do you feel that it is reasonable for LANS to give up rights to patents, copyrights or generated information to the Sponsor? If No, then provide a statement of explanation (ex: detrimental to ongoing work).		
6.	Will any materials or equipment be transferred out from LANL to the Sponsor? A list of all equipment, identify piece, and identifying numbers (serial, etc.) This list must be identified in the Statement of Work and Steve Remde and Sarah Maynard need to be notified with a copy of the Statement of Work.		

6. Conflict of interest

If any of these questions are answered ‘Yes’ a conflict of interest review must be conducted.

1.	Are you a Director, Officer, Partner, Trustee or Employee of the Sponsor?		X
2.	Do you, your spouse or dependent children have any investments of \$1,000 or more with the Sponsor?		X
3.	Have you, your spouse or dependent children received income, including payment such as salary or consulting fees, of \$250 or more in the last 12 months?		X
4.	Have you, your spouse or dependent children received gifts valued at \$40 or more from the Sponsor?		X

Estimated Cost	Estimated Period of Performance (Months)

OSTI Abstract -- *A brief non-proprietary, non-sensitive description of work to be performed under this Agreement for reporting to OSTI.*

Notice to LANL PI: No Laboratory Employee who is a foreign national may work on this project until applicable export determinations have been made and required export licenses obtained when the technology is export controlled.

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