

**Department of Commerce (DoC)
Research Terms & Conditions
Agency-Specific Requirements
Effective October 1, 2008**

DoC Website: <http://www.commerce.gov>

Research Terms & Conditions Website: <http://www.nsf.gov/bfa/dias/policy/rtc/index.jsp>

**Office of Management and Budget (OMB) Circulars referenced in the
Research Terms & Conditions** are available at the following address:

<http://www.whitehouse.gov/OMB/circulars/index.html>

15 CFR Part 14 is available electronically at: http://oam.ocs.doc.gov/gmd_gforms.html

- (a) State which awards are covered by the Research Terms and Conditions. (If an agency wants to make a point of the fact that a particular class of awards is not covered, it should state these, too.)**

With the exception of the National Institute of Standards and Technology's (NIST's) Technology Innovation Program (TIP), commencing October 1, 2008, the Department of Commerce (DoC) will implement the Government-wide core set of research terms and conditions for all domestic research grants and cooperative agreements subject to 15 CFR 14, the Department's implementation of OMB Circular A-110 (2 CFR 215), in addition to any bureau-specific terms and conditions that may apply. Until such time that 2 CFR 215 is adopted through a *Federal Register* notice by the DoC, only 15 CFR 14 has the force and effect of law for DoC financial assistance awards. Awards made prior to that date shall use the terms and conditions stipulated in the award. Upon the issuance of a funded amendment or renewal, the Government-wide core set of research terms and conditions will be incorporated into the award.

- (b) State any additional prior approval requirements not included in the general T&Cs.**

Unless otherwise specified in the award, no additional prior approvals are required.

- (c) State which categories of costs aside from those in A-21 are unallowable as direct charges.**

None, unless specified in an individual award.

- (d) Provide contact information for technical matters (titles, not individuals).**

Questions of a programmatic or technical nature should be directed to the Federal Program Officer (FPO) identified in the award.

(e) Provide contact information for administrative matters (titles, not individuals).

Questions of an administrative nature should be directed to the Grants Specialist identified in the award.

(f) Provide contact information for intellectual property (titles, not individuals).

Questions regarding intellectual property matters should be directed to the Grants Specialist identified in the award, who may forward questions to the DoC Federal Assistance Law Division, as appropriate.

(g) State other agency-specific requirements, with reference to general requirements if possible.

DoC Financial Assistance Standard Terms and Conditions (available at: http://oamweb.osec.doc.gov/aboutOAM_organization_GMD.html) and any Special Award Conditions (SACs) instituted by the Grants Officer are incorporated by reference.

(h) State whether revised budgets must be submitted on agency forms.

Unless otherwise specified in the award, revised budgets do not need to be submitted on agency forms. Any revision must contain information in accordance with 15 CFR 14.25, "Revision of Budget and Program Plans."

(i) Specify format, content, and timing of technical reporting.

Format and Content: Performance/Progress Reports are to be completed in the format and content specified in the award.

Frequency: Performance reports are due as specified in the award.

(j) Specify form and timing of final financial reporting.

Financial Status Reports (FSRs) are due as specified in the award. Reports are due no later than 30 days following the end of each reporting period. A final SF-269 shall be submitted within 90 days after the expiration date of the award.

Note: The Office of Management and Budget (OMB) is replacing the SF-269 and SF-272 with the Federal Financial Report (FFR) in the near future. When that occurs, the FFR must be used in lieu of the SF-269 and SF-272 as stipulated by OMB. Reporting requirements, including due dates, may change, depending on regulatory changes directed by OMB.

Request for Advance or Reimbursement (SF-270), Designated High Risk and/or Corrective Action Recipients Only

The SF-270 shall NOT be submitted by recipients using the Department of Treasury Automated Standard Application for Payments (ASAP) system unless specifically directed by a special award condition.

(k) State any additional documentation besides progress reports needed to trigger incremental funding.

None.