NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 08/29/2008

Department of Commerce
National Oceanic and Atmospheric Administration
FOR CERTIFYING OFFICIAL: Suzanne Hilding
FOR CLEARANCE OFFICER: Diana Hynek

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received 05/27/2008

ACTION REQUESTED: New collection (Request for a new OMB Control Number)
TYPE OF REVIEW REQUESTED: Regular
ICR REFERENCE NUMBER: 200805-0648-007
AGENCY ICR TRACKING NUMBER:
TITLE: West Coast Community Economic Data Collection
LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: Approved with change
OMB CONTROL NUMBER: 0648-0579
The agency is required to display the OMB Control Number and inform respondents of its legal significance in accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 03/31/2010 DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	0	0	0
New	5,143	1,760	0
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	5,143	1,760	0
Change due to Agency Adjustment	0	0	0
Change Due to Potential Violation of the PRA	0	0	0

TERMS OF CLEARANCE: Approval is granted for a one-time collection. The primary purpose of this collection is to construct and test a regional economic simulation model. As such, any use of data outside the intended purpose must be accompanied by assessments of data quality including response rates, item non-response rate to gauge appropriate generalizability of the data.

OMB Authorizing Official: Kevin F. Neyland
Deputy Administrator,
Office Of Information And Regulatory Affairs

List of ICs			
IC Title	Form No.	Form Name	CFR Citation
West Coast Community Economic Business Questionnaire	NA	Community survey business survey cover letter and questionnaire	
West Coast Community Household Survey	NA	Community survey household questionnaire	
2008 Community Visitor Survey prequestions	NA	Community Survey Visitor Questionnaire	
2008 Coastal Community Visitor Survey - following the initial four questions	NA	Community survey visitor questionnaire	

PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503.

1. Agency/Subagency originating request

DOC/NOAA/NMFS

2. OMB control number

a. 0648 - ______ b. [✔] None

3. Type of information collection (*check one*)

a. [✔] New Collection
b. [ ] Revision of a currently approved collection
c. [ ] Extension of a currently approved collection
d. [ ] Reinstatement, without change, of a previously approved collection for which approval has expired
e. [ ] Reinstatement, with change, of a previously approved collection for which approval has expired
f. [ ] Existing collection in use without an OMB control number

For b-f, note Item A2 of Supporting Statement instructions

4. Type of review requested (*check one*)

a. [✔] Regular submission
b. [ ] Emergency - Approval requested by ___/___/___
c. [ ] Delegated

5. Small entities

Will this information collection have a significant economic impact on a substantial number of small entities? [ ] Yes [✔] No

6. Requested expiration date

a. [✔] Three years from approval date b. [ ] Other Specify: ___/___

7. Title West Coast Community Economic Data Collection

8. Agency form number(s) (*if applicable*)

9. Keywords Community Development, Economics,

10. Abstract

NOAA Fisheries proposes to collect information pertaining to the economic utilization of marine resources by coastal communities on the West Coast that will improve fishery management; satisfy legal mandates under Executive Order 12866 and Standard 8 of the Magnuson Steven Fishery Conservation and Management Act (U.S.C. 1801 et seq.); and quantify achievement of the performances measures in the NMFS Strategic Operating Plans. To this end, economic data from eight representative small communities will be surveyed to determine the communities economic structure and utilization of marine resources. Households, businesses, and visitors to the communities will be surveyed as to their regional economic impact in the community.

11. Affected public (*Mark primary with "P" and all others that apply with "x"*)

a. P Individuals or households
b. x Business or other for-profit
c. ___ Not-for-profit institutions
d. ___ Farms
e. ___ Federal Government
f. ___ State, Local or Tribal Government

12. Obligation to respond (*check one*)

a. [✔] Voluntary
b. [ ] Required to obtain or retain benefits
c. [ ] Mandatory

13. Annual recordkeeping and reporting burden

a. Number of respondents 4,055
b. Total annual responses 5,143
1. Percentage of these responses collected electronically 0 %
c. Total annual hours requested 1,760
d. Current OMB inventory 0
e. Difference 1,760
f. Explanation of difference
1. Program change 1,760
2. Adjustment ______

14. Annual reporting and recordkeeping cost burden *(in thousands of dollars)*

a. Total annualized capital/startup costs 0
b. Total annual costs (O&M) 0
c. Total annualized cost requested 0
d. Current OMB inventory 0
e. Difference 0
f. Explanation of difference
1. Program change ______
2. Adjustment ______

15. Purpose of information collection (*Mark primary with "P" and all others that apply with "X"*)

a. ___ Application for benefits
b. ___ Program evaluation
c. ___ General purpose statistics
d. ___ Audit
e. X Program planning or management
f. P Research
g. ___ Regulatory or compliance

16. Frequency of recordkeeping or reporting *(check all that apply)*

a. [ ] Recordkeeping b. [ ] Third party disclosure
c. [✔] Reporting
1. [ ] On occasion 2. [ ] Weekly 3. [ ] Monthly
4. [ ] Quarterly 5. [ ] Semi-annually 6. [ ] Annually
7. [ ] Biennially 8. [✔] Other (describe) One Time

17. Statistical methods

Does this information collection employ statistical methods

[✔] Yes [ ] No

18. Agency Contact (person who can best answer questions regarding the content of this submission)

Name: Carl Lian
Phone: 206-302-2414

19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

NOTE: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

(a) It is necessary for the proper performance of agency functions;

(b) It avoids unnecessary duplication;

(c) It reduces burden on small entities;

(d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;

(e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;

(f) It indicates the retention period for recordkeeping requirements;

(g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):

- (i) Why the information is being collected;
- (ii) Use of information;
- (iii) Burden estimate;
- (iv) Nature of response (voluntary, required for a benefit, mandatory);
- (v) Nature and extent of confidentiality; and
- (vi) Need to display currently valid OMB control number;

(h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);

(i) It uses effective and efficient statistical survey methodology; and

(j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee	Date

Agency Certification (signature of Assistant Administrator, Deputy Assistant Administrator, Line Office Chief Information Officer, head of MB staff for L.O.s, or of the Director of a Program or StaffOffice)	
Signature signed by David Detlor	Date 07/25/2007
Signature of NOAA Clearance Officer	
Signature signed by Sarah Brabson	Date 07/30/2007

SUPPORTING STATEMENT
West Coast Community Economic Data Collection
NOAA Fisheries - Northwest Fisheries Science Center
OMB CONTROL NO. 0648-xxxx

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary.

NOAA Fisheries proposes to collect information, pertaining to the economic utilization of marine resources by coastal communities on the West Coast, that will improve fishery management; satisfy legal mandates under Executive Order 12866 and National Standard 8 of the Magnuson Steven Fishery Conservation and Management Act (U.S.C. 1801 et seq.); and quantify achievement of the performances measures in the NMFS Strategic Operating Plans. National Standard 8 of the MSFCMA states:

> "Conservation and management measures shall, consistent with the conservation requirements of this Act (including the prevention of overfishing and rebuilding of overfished stocks), take into account the importance of fishery resources to fishing communities in order to (A) provide for the sustained participation of such communities, and (B) to the extent practicable, minimize adverse economic impacts on such communities".

Economic data for selected U.S. coastal communities will be collected for each of the following groups of operations: (1) locally operated businesses; (2) resident households; and (3) visitors. Data collected from each of these groups will focus on determining the impact of marine resources on community economies. In general, local businesses will be asked questions concerning their sources of revenue, location and levels of expenditures, ownership, dependence on the fisheries and other marine resources, and fishery employment. Households will be asked questions concerning their sources of income, the location of expenditures made, and their dependence on fishing and other marine resources. Visitors will be asked questions concerning region of residence, expenditures made while visiting, and reasons for visiting. The data collection efforts will be coordinated to reduce the additional burden for those who own multiple businesses. Participation in these data collections will be voluntary.

The data will be used to construct a regional economic simulation model to analyze the economic impacts of fishery management alternatives and to investigate the degree of economic dependence on marine resources in the respective communities. Regional economic impact modeling involves examining the linkages that a given industry has in the broader regional economy. For example, expansion of charter boat activity in a coastal community generates additional activity in businesses who sell tackle, fuel and other goods to charter boats and who, in turn, buy additional inputs and hire more labor in order to meet increased charter boat demand. If charter boat operations contract, the multiplier effect works in reverse. This survey seeks to collect data for a single year, which is sufficient for construction and testing of a regional economic simulation model.

2. **<u>Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines</u>.**

Data will be collected from a random sample of the owners and operators of businesses, households, and visitors to 8 small fishing engaged communities on the West Coast. This sample of 8 communities has been selected from the population of 41 small fishing engaged communities on the West Coast[1], through the use of a stratified weighted random sampling method. Two communities have been selected from each of four strata (Washington, Oregon, Northern California, and Southern California).

Each community's probability of selection into the study will be weighted by the percentage of the total value of landings that are accounted for by the ports with populations fewer than 10,000 inhabitants. The probability of each port being selected with in each region is:

$$P_{nr}=l_n/L_r \qquad (1)$$

where P is the probability of selection, *l* is the total landings in each port *n* within the given region *r*, and *L* is the total regional landings within region *r*. The total coast wide probability that any given port was selected for inclusion is:

$$P_n=(l_n/L_r)/k \qquad (2)$$

where *k* is the number of strata (in this case 4). The communities will be randomly selected for inclusion in the study based on these probabilities.

Data collection will involve in-person interviews and/or mail questionnaires sent to selected members of each of the different survey groups. In many cases, individuals may receive the questionnaire in advance to allow them to prepare their responses but may be interviewed via telephone or in person to ensure the clarity of their responses. To the extent practicable, the data collected will be that which the respondents maintain for their own business purposes. Therefore, the collection burden will consist principally of transcribing data from their internal records to the survey instrument and participating in personal interviews. In addition, current data reporting requirements will be evaluated to determine if they can be modified to provide improved economic data at a lower cost to the Agency and with reduced burden on potential respondents.

As explained in the preceding paragraphs, the information gathered has utility. NOAA Fisheries will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See response #10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to Section 515 of Public Law 106-554.

[1] Small communities are defined as having a population of less than 10,000 in 2006. Fishing engaged communities are defined as having a port which received commercial fish landings during 2006. Table 1 of section B1 lists all fishing engaged communities on the West Coast (both small and not small).

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.

Survey questionnaires for businesses and households will be available in both paper and electronic forms. The electronic forms will be available for download online and will be available in both a fillable PDF and a fillable and savable MS Word format.

4. Describe efforts to identify duplication.

This program represents the only known effort to collect regional economic and visitor use data from coastal communities on the West Coast. Additionally, state and other federal agencies will be notified of this project to insure that no other agency is collecting similar data. No other process for obtaining statistically valid descriptions of community economic and visitor use data of coastal communities exists. No other previous research initiative has attempted to gather this level of community economic data. This project fills a critical void for NOAA Fisheries and its mandate to fulfill the requirements of National Standard 8. Data collected in this study will be combined with other publicly available data. No data will be collected that can be obtained from other publicly available sources.

5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.

The support and backing of the local city government will be a prerequisite to conducting this data collection in a specific coastal city. City Council and Chamber of Commerce officials have been consulted and their suggestions have been incorporated into the survey instrument as it was developed.

6. Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.

Availability of this data would substantially enhance the amount and quality of information available to fishery managers, fishery participants and the public. If this data is not collected, the ability of managers to fulfill the requirements of National Standard 8 of the MSFCMA with respect to the impacts of management actions on coastal communities would be greatly diminished and quite possibly eliminated.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

This collection is consistent with the guidelines.

8. <u>Provide a copy of the PRA Federal Register Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported</u>.

A <u>Federal Register</u> Notice published on March 21, 2007 (72 FR 13250-13251) solicited public comment on this proposed survey.

One public comment was received. The comment stated that data should be collected from a wide range of communities and that data should be as recent as possible.

Response: The data will be collected in 2008 and will be for records kept in 2007. Eight small to medium sized communities along the West Coast were chosen to be surveyed in this study. Budget constraints preclude surveying additional communities at this time. The eight communities chosen do represent a broad range of small to medium sized communities along the West Coast.

9. <u>Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees</u>.

Visitors to these communities are potentially going to be intercepted while they are on vacation or while visiting an attraction. It is reasonable to assume that they will be reluctant to take time, even 15 minutes, out of their day to fill out a survey. To encourage responses, all people intercepted will be asked the 4 questions on page one of the visitor survey. These questions are expected to take less than a minute to respond to. Then, if the person is there from out of town for the purpose of recreation/vacation, they will be asked to complete a short, 3-page, 15 minute questionnaire about their visit. In return, they will be offered a NOAA Fisheries tee shirt. The tee shirt will be designed to be attractive and unique to provide a strong incentive to the respondents to take 15 minutes out of their visit to complete the survey. It is estimated that the shirts will cost between $4 and $5 dollars to produce. This value is consistent with other token gifts that have been offered to survey respondents.

10. <u>Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy</u>.

Personally identifiable data (business contact information) will be collected only on the businesses survey. No personally identifiable date will be collected on the household survey or the visitor survey. For the business survey, data that are deemed financial in nature will be held confidential under the provisions of Exemption 4 of the Freedom of Information Act.

11. <u>Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private</u>.

No sensitive questions of this nature will be asked.

12. Provide an estimate in hours of the burden of the collection of information.

Estimated Number of Respondents: A total of 8 communities will be surveyed for a total of 1,378 household respondents, 864 business respondents, 1,813 visitors who complete only the initial 4-item questionnaire and 1,088 visitor respondents (of the 1,813) who complete the remainder of the questionnaire, after completing the first four questions. A total of 5,143 survey responses will be received from 4, 055 survey respondents.
Estimated Time Per Response: 30 minutes per survey of households, 45 minutes per survey of businesses, and 20 minutes per survey of individual recreational visitors (5 minutes for initial 4 questions and 15 minutes for follow-up questionnaire). Each respondent will only be surveyed once.
Estimated Total Annual Burden Hours: 1,760 (1,378 x 30 minutes) + (864 x 45 minutes) + (1,813 x 5 minutes) + (1,088 x 15 minutes).

13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in #12 above).

There are no expected costs to respondents to complete the postage-paid survey.

14. Provide estimates of annualized cost to the Federal government.

The response to this question covers the actual costs the agency will incur as a result of implementing the information collection. The estimate covers the entire life cycle of the collection and include costs, if applicable, for:

- Employee labor and materials for developing, printing, storing forms
- Employee labor and materials for developing computer systems, screens, or reports to support the collection
- Employee travel costs
- Cost of contractor services or other reimbursements to individuals or organizations assisting in the collection of information
- Employee labor and materials for collecting the information
- Employee labor and materials for analyzing, evaluating, summarizing, and/or reporting on the collected information

This research initiative is funded as a part of the NMFS economic data collection efforts. The total amount allocated to this project is $120,000, or an annualized cost of $40,000. These monies have already been requested and authorized.

15. Explain the reasons for any program changes or adjustments reported in Items 13 or 14 of the OMB 83-I.

This is a new collection.

16. <u>For collections whose results will be published, outline the plans for tabulation and publication</u>.

Data from this survey will be used to estimate the economic dependence of selected communities on marine resources. The following data will be reported:

1. Total revenue of businesses aggregated by 2-digit NAICS code
2. Average revenue collected by businesses aggregated by 2-digit NAICS code
3. Total expenditures by category for businesses aggregated by 2-digit NAICS code
4. Average expenditures by category for businesses aggregated by 2-digit NAICS code
5. Average proportion of expenditures by category that were purchased out of the home city and proportion that were purchased out of home city.
6. Household use of marine resources
7. Location of household expenditures
8. Visitor utilization of marine resources
9. Visitor expenditure profiles

If there are enough observations (more than 3) for individual NAICS codes in an individual county and we get an adequate response rate, the data may be used to estimate the economic contributions of specific fishery related sectors in individual counties. However, data will never be reported where there are less than three observations or in other potential cases where individual respondents could be personally identifiable. In these cases, data will be aggregated at the industry code level and at a geographic level to protect respondent anonymity.

17. <u>If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate</u>.

Not applicable.

18. <u>Explain each exception to the certification statement identified in Item 19 of the OMB 83-I</u>.

There are no exceptions.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

1. Describe (including a numerical estimate) the potential respondent universe and any sampling or other respondent selection method to be used. Data on the number of entities (e.g. establishments, State and local governmental units, households, or persons) in the universe and the corresponding sample are to be provided in tabular form. The tabulation must also include expected response rates for the collection as a whole. If the collection has been conducted before, provide the actual response rate achieved.

Data will be collected from a random sample of the owners and operators of businesses, households, and visitors to 8 small fishing engaged communities on the West Coast. Table 1 provides population and commercial fish landings for all West Coast ports with commercial fish landings in 2006. The data in Table 1 indicates that there were 41 small (population less than 10,000) fishing engaged communities on the West Coast in 2006.

Table 1 --- Population and Commercial Fish Landings for all West Coast Fishing Engaged Communities

Region	Port Name	Population (2006)	Total Value of Commercial Fish Landings in 2006
N CA	ALBION	5,000	$34,861.80
N CA	ALAMEDA	70,699	$28,134.92
N CA	POINT ARENA	473	$432,434.19
N CA	BERKELEY	101,555	$55,716.24
N CA	BOLINAS	1,246	$172,427.05
N CA	FORT BRAGG	6,785	$5,326,336.88
N CA	CRESCENT CITY	4,006	$22,755,525.73
N CA	EUREKA	25,435	$11,662,259.10
N CA	FIELDS LANDING	5,000	$53,195.10
N CA	OAKLAND	397,067	$19,773.84
N CA	OTHER HUMBOLDT COUNTY PORTS	NA	$84,126.80
N CA	OTHER MENDOCINO COUNTY PORTS	NA	$5,835.08
N CA	OTHER S. F. BAY AND SAN MATEO COUNTY PORTS	NA	$228,457.74
N CA	OTHER SONOMA AND MARIN COUNTY OUTER COAST PORTS	NA	$61,607.61
N CA	PRINCETON / HALF MOON BAY	12,308	$4,779,232.54
N CA	RICHMOND	102,120	$11,955.25
N CA	POINT REYES	5,000	$93,941.04
N CA	SAN FRANCISCO	744,041	$6,962,700.82
N CA	SAUSALITO	7,207	$31,026.08
N CA	TOMALES BAY	5,000	$4,780.40
N CA	TRINIDAD	314	$3,074,629.96
S CA	AVILA	5,000	$1,022,452.63
S CA	BODEGA BAY	1,423	$5,453,483.26
S CA	SANTA CRUZ	54,778	$609,372.11
S CA	DANA POINT	35,945	$1,547,747.92
S CA	PORT HUENEME	21,814	$4,266,545.86
S CA	LONG BEACH	472,494	$562,317.00
S CA	MONTEREY	28,803	$869,063.04
S CA	MOSS LANDING	300	$4,876,692.76
S CA	MORRO BAY	9,998	$1,911,555.30
S CA	NEWPORT BEACH	70,032	$724,598.06
S CA	OTHER SANTA BARBARA AND VENTURA COUNTY PORTS	NA	$27,089.26
S CA	OTHER OR UNKNOWN CALIFORNIA PORTS	NA	$65,693.46

S CA	OTHER SANTA CRUZ AND MONTEREY COUNTY PORTS	NA	$35,264.49
S CA	OCEANSIDE	165,803	$1,584,437.16
S CA	Other LA and Orange Cnty Ports	NA	$940,495.66
S CA	OTHER SAN DIEGO COUNTY PORTS	NA	$2,964,186.94
S CA	OTHER SAN LUIS OBISPO COUNTY PORTS	NA	$7,752.75
S CA	OXNARD	184,463	$2,927,576.59
S CA	SANTA BARBARA	85,681	$6,499,934.72
S CA	SAN DIEGO	1,256,951	$2,565,696.46
S CA	SAN PEDRO	100,000	$18,217,183.39
S CA	TERMINAL ISLAND	100,000	$10,880,334.54
S CA	VENTURA	106,000	$5,255,403.88
S CA	WILLMINGTON	50,000	$148,047.76
OR	ASTORIA	9,917	$32,971,394.46
OR	BANDON	2,901	$11,047.00
OR	BROOKINGS	6,344	$8,067,632.89
OR	CANNON BEACH	1,720	$19,025.25
OR	Charleston (Coos Bay)	15,999	$20,187,661.01
OR	PSUEDO PORT CODE FOR COLUMBIA RIVER	NA	$2,633,705.11
OR	DEPOE BAY	1,361	$146,646.25
OR	FLORENCE	8,122	$149,356.08
OR	GOLD BEACH	1,907	$316,666.54
OR	GEARHART - SEASIDE	1,106	$99,885.15
OR	NEWPORT	9,896	$33,014,185.19
OR	NEHALEM BAY	208	$5,303.25
OR	NETARTS BAY	744	$3,304.80
OR	PORT ORFORD	1,164	$3,155,756.49
OR	PACIFIC CITY	1,027	$73,090.71
OR	TILLAMOOK/GARIB ALDI	4,424	$4,120,818.90
OR	WINCHESTER BAY	488	$1,298,485.38
OR	WALDPORT	2,051	$65,409.85
WA	ANACORTES	16,633	$7,022,950.28
WA	BELLINGHAM BAY	75,150	$25,249,191.93
WA	BLAINE	4,508	$6,009,712.51
WA	COPALIS BEACH	489	$2,129,393.03
WA	EVERETT	98,514	$1,968,435.94
WA	FRIDAY HARBOR	2,103	$624,210.65
WA	GRAYS HARBOR	70,900	$515,669.96
WA	LA CONNER	791	$2,687,221.71
WA	LA PUSH	500	$2,975,957.21
WA	ILWACO/CHINOOK	997	$19,787,492.06
WA	NEAH BAY	794	$6,610,814.68
WA	OTHER COLUMBIA RIVER PORTS	NA	$2,761,172.79
WA	OLYMPIA	44,645	$10,679,761.57

WA	OTHER NORTH PUGET SOUND PORTS	NA	$2,061,058.97
WA	OTHER SOUTH PUGET SOUND PORTS	NA	$10,675,507.53
WA	OTHER OR UNKNOWN WASHINGTON PORTS	NA	$339,380.01
WA	OTHER WASHINGTION COASTAL PORTS	NA	$6,942,789.90
WA	PORT ANGELES	18,984	$419,800.34
WA	SEATTLE	582,454	$9,391,682.60
WA	SEQUIM	5,688	$1,355,369.58
WA	SHELTON	9,236	$24,139,614.45
WA	TACOMA	196,532	$3,731,873.14
WA	PORT TOWNSEND	9,134	$3,078,973.90
WA	WILLAPA BAY	50,000	$19,245,946.68
WA	WESTPORT	2,499	$27,710,594.39

The 8 communities surveyed in this project were selected from the population of 41 small fishing engaged communities through the use of a stratified weighted random sampling method. Two communities were selected from each of four strata (Washington, Oregon, Northern California, and Southern California).

Each community's probability of selection into the study was weighted by the percentage of the total value of landings that are accounted for by the ports with populations fewer than 10,000 inhabitants. The probability of each port being selected with in each region was:

$$P_{nr}=l_n/L_r \qquad (1)$$

where P is the probability of selection, *l* is the total landings in each port *n* within the given region *r*, and *L* is the total regional landings within region *r*. The total coast wide probability that any given port was selected for inclusion was:

$$P_n=(l_n/L_r)/k \qquad (2)$$

where *k* is the number of regions (in this case 4).

Table 2 presents the total coast wide probability that any community will be selected for inclusion in the study. The communities will be randomly selected for inclusion in the study based on these probabilities.

Table 2 --- Probability of Selection for Small West Coast Fishing Engaged Communities

Region	Port Name	Overall (Coastwide) Probability of Selection
N CA	ALBION	0.000273
N CA	POINT ARENA	0.003381
N CA	BOLINAS	0.001348
N CA	FORT BRAGG	0.041639
N CA	CRESCENT CITY	0.177893
N CA	FIELDS LANDING	0.000416
N CA	POINT REYES	0.000734
N CA	SAUSALITO	0.000243
N CA	TOMALES BAY	0.000037
N CA	TRINIDAD	0.024036
S CA	AVILA	0.019271
S CA	BODEGA BAY	0.102786
S CA	MOSS LANDING	0.091915
S CA	MORRO BAY	0.036029
OR	ASTORIA	0.098695
OR	BANDON	0.000033
OR	BROOKINGS	0.024149
OR	CANNON BEACH	0.000057
OR	DEPOE BAY	0.000439
OR	FLORENCE	0.000447
OR	GOLD BEACH	0.000948
OR	GEARHART - SEASIDE	0.000299
OR	NEWPORT	0.098824
OR	NEHALEM BAY	0.000016
OR	NETARTS BAY	0.000010
OR	PORT ORFORD	0.009446
OR	PACIFIC CITY	0.000219
OR	TILLAMOOK/GARIBALDI	0.012335
OR	WINCHESTER BAY	0.003887
OR	WALDPORT	0.000196
WA	BLAINE	0.015472
WA	COPALIS BEACH	0.005482
WA	FRIDAY HARBOR	0.001607
WA	LA CONNER	0.006918
WA	LA PUSH	0.007661
WA	ILWACO/CHINOOK	0.050941
WA	NEAH BAY	0.017019
WA	SEQUIM	0.003489
WA	SHELTON	0.062145
WA	PORT TOWNSEND	0.007927
WA	WESTPORT	0.071339

Data collection will involve in-person interviews and/or mail questionnaires sent to selected members of each of the different survey groups. In many cases, individuals may receive the questionnaire in advance to allow them to prepare their responses but may be interviewed via telephone or in person to ensure the clarity of their responses. To the extent practicable, the data collected will be that which the respondents maintain for their own business purposes. Therefore, the collection burden will consist principally of transcribing data from their internal records to the survey instrument and participating in personal interviews. In addition, current data reporting requirements will be evaluated to determine if they can be modified to provide improved economic data at a lower cost to the Agency and with reduced burden on potential respondents.

The eight communities selected with this methodology were Westport, Blaine, Newport, Brookings, Crescent City, Fort Bragg, Bodega Bay, and Moss Landing. Table 3 provides population, number of households, number of businesses, total employment, payroll, and recreational visitors for each of these eight communities.

Table 3 --- Eight Communities Selected for West Coast Community Economic Survey

Zip Code - City	Population	Households	Businesses	Employ ment	Payroll	Recreational Visitation
98595 - Westport, WA	2,856	1,347	106	1,357	$39,162,000	35,000
98230 - Blaine, WA	4,508	1,818	377	3,313	$143,117,000	32,000
97365 - Newport, OR	9,896	4,398	634	5,609	$134,103,000	64,220
97415 - Brookings, OR	6,344	2,758	480	4,293	$103,766,000	16,000
95531 - Crescent City, CA	4.006	1,669	416	3,689	$89,233,000	20,000
95437 – Fort Bragg, CA	6,785	2,887	535	4,203	$102,290,000	24,500
94923 – Bodega Bay, CA	1,423	674	49	537	$12,687,000	70,000
95039 –Moss Landing, CA	300	125	47	672	$47,925,000	8,400

Data Source: Population figures are 2006 estimates prepared by each state, based upon 2000 Census values. Household figures were obtained by taking the persons per household from the 2000 Census and applying the figure to the 2006 population estimate to obtain an estimate of the number of households. Data on number of businesses, employment, and payroll was obtained from the Census Bureau's 2005 Zip Code Business Patterns. Visitation data is estimated from data taken from Wen-Huei Chang and R. Scott Jackson, Economic Impacts of Recreation Activities at Oregon Coastal and River Ports, ERD/EL TR-03-12, U.S. Army Corps of Engineers, August 2003.

The total sample universes for businesses and households are the total numbers of each in each of eight small fishing engaged communities. Total number of households have been determined from U.S. Census records and addresses were obtained from public records searches. The total number of businesses by ZIP code and by 2-digit North American Industry Classification System (NAICS) was obtained from the U.S. Economic Census and from County Business Patterns. The sample universe of recreational visitors is estimated from a study of visitors to Oregon ports done by the U.S. Army Corps of Engineers (Wen-Huei Chang and R. Scott Jackson, Economic Impacts of Recreation Activities at Oregon Coastal and River Ports, ERD/EL TR-03-12, U.S. Army Corps of Engineers, August 2003).

2. Describe the procedures for the collection, including: the statistical methodology for stratification and sample selection; the estimation procedure; the degree of accuracy needed for the purpose described in the justification; any unusual problems requiring specialized sampling procedures; and any use of periodic (less frequent than annual) data collection cycles to reduce burden.

Households and business

Households and businesses within each of the selected communities will be randomly selected for inclusion in the study. Names, address, and telephone numbers for businesses and households will be obtained from local government records and from public record searches. The formula for calculating the sample size for a simple random sample without replacement is as follows:

$$n = \left(\frac{z\sigma}{E}\right)^2 = \left(\frac{z}{m}\right)^2$$

where,

z is the z value (e.g., 1.645 for 90% confidence level, 1.96 for 95% confidence level, and 2.575 for 99% confidence level);

σ is the standard deviation of the population;

E is the acceptable bound on the error or the "margin of error"

m is the margin of error expressed as a proportion of the standard deviation (e.g., .05 = + or – 5%, .07 = + or – 7%, and .1 = + or – 10%);

For the purposes of this study, we are using a 95% confidence level and a allowable error of +/- 10%.

The Finite Population Correction (FPC) factor is routinely used in calculating sample sizes for simple random samples. In fact, many sample size formulas for simple random samples include the FPC as part of the formula. It has very little effect on the sample size when the sample is small relative to the population but it is important to apply the FPC when the sample is large (10% or more) relative to the population. The sample size equation solving for n' (new sample size) when taking the FPC into account is:

$$n' = \frac{n}{1 + \frac{n}{N}}$$

where,

n is the sample size based on the calculations above, and

N is population size.

The n' estimate of sample size will then be multiplied by the estimated response rate to obtain the actual number of surveys that will need to be mailed out.

Table 4 provides the number of households, the household sample size n' calculated using the FPC factor, the expected response rate, and the corresponding number of expected respondents to the household survey in each community. Table 5 provides the number of business establishments, the business establishment sample size n' calculated using the FPC factor, the expected business response rate, and the corresponding number of expected respondents to the business survey in each community.

Table 4 --- Household Survey Sample Size, Response Rate, and Respondents

Zip Code - City	Number of Households	Household Sample Size	Household Response Rate	Number of Responses
98595 - Westport, WA	1,347	299	.6	179
98230 - Blaine, WA	1,818	317	.6	190
97365 - Newport, OR	4,398	353	.6	212
97415 - Brookings, OR	2,758	337	.6	202
95531 - Crescent City, CA	1,669	312	.6	187
95437 – Fort Bragg, CA	2,887	339	.6	203
94923 - Bodega Bay, CA	674	245	.6	147
95039 – Moss Landing, CA	125	84	.6	57
TOTAL	15,676	2,297	.6	1,378

Table 5 --- Business Survey Sample Size, Response Rate, and Respondents

Zip Code - City	Number of Business Establishments	Business Sample Size	Business Response Rate	Number of Responses
98595 - Westport, WA	106	83	.7	58
98230 - Blaine, WA	377	190	.7	133
97365 - Newport, OR	634	239	.7	167
97415 - Brookings, OR	480	213	.7	149
95531 - Crescent City, CA	416	200	.7	140
95437 – Fort Bragg, CA	535	224	.7	157
94923 - Bodega Bay, CA	49	43	.7	30
95039 – Moss Landing, CA	47	42	.7	29
TOTAL	2.644	.1235	1,201	864

Visitors

Estimates of the total number of recreational visitors will be determined by collecting data on total visitor occupancy in local hotels and then surveying respondents at numerous locations and times throughout the city to determine the ratio of visitors staying in hotels and those not staying in hotels. The total number of visitors (*N*) can then be determined by the following calculation:

$$N = HT * \frac{TS}{HS}$$

Where *HT* is the total number of visitors staying in hotels, *TS* is the total number of visitors surveyed, and *HS* is the number of visitors surveyed that stayed in hotels. This method uses two pieces of information --- the number of visitors staying in hotels and the percentage of visitors staying in hotels --- to estimate the total number of visitors. The total number of visitors staying in hotels will be determined from locally available hotel occupancy rates and by surveying hotel guests (to determine the number of visitors per occupied hotel room). The percentage of visitors staying in hotels will be determined from the visitor survey. It is important that the sample for the visitor survey be representative of the visitor population in terms of the percentage of visitors staying in hotels. As a result, the visitor survey will be fielded in each community at multiple locations and at multiple times of the day and days of the week.

The initial questionnaire for visitors contains only four short questions which are estimated to lake less than a minute to answer in total. If the respondent is willing the surveyor would ask the individual the four questions. The respondent would then be asked if they would be willing to answer an additional longer 15 minute questionnaire in exchange for a token gift (NOAA Fisheries tee shirt). If the respondent is not willing they will be asked if they would take the questionnaire home and complete it at their leisure, then return it in a prepaid envelope that is provided. If they are not willing to do this, we thank them for their time and wish them a pleasant day. Impartiality in selection for interviewing is stressed in interviewer training.

Table 6 provides the estimated number of visitors, the visitor sample size, the expected visitor response rate to the initial short questionnaire, the number of short survey respondents, and the number of longer questionnaire respondents for each community. Using the same sample size calculation from above, the total number of visitor interviews needed is as follows (potential universe size is estimated from Wen-Huei Chang and R. Scott Jackson, Economic Impacts of Recreation Activities at Oregon Coastal and River Ports, ERD/EL TR-03-12, U.S. Army Corps of Engineers, August 2003). The response rate for the longer survey (not shown in the table) is assumed to be the same 60% as the response rate for the initial short questionnaire. That is, the estimates in Table 6 assume that 60% of the visitors contacted will complete the short questionnaire, and that *of those visitors completing the short questionnaire,* 60% will complete the longer follow-up questionnaire.

Table 6 --- Visitor Survey Sample Size, Response Rates, and Respondents

Zip Code - City	Annual Recreational Visitors	Visitor Sample Size	Visitor Response Rate	Initial Visitor Questionnaire Responses	Longer Visitor Questionnaire Responses
98595 - Westport, WA	35,000	380	.6	228	137
98230 - Blaine, WA	32,000	380	.6	228	137
97365 - Newport, OR	64,220	382	.6	229	137
97415 - Brookings, OR	16,000	375	.6	225	135
95531 - Crescent City, CA	20,000	377	.6	226	136
95437 – Fort Bragg, CA	24,500	378	.6	227	136
94923 - Bodega Bay, CA	70,000	382	.6	229	138
95039 – Moss Landing, CA	8,400	367	.6	220	132
TOTAL	270,120	3,021	.6	1,813	1,088

<u>Expected Response Rates:</u>

Based on previous studies of households and businesses, a response rate of about 60% for households and 70% for businesses is expected. These response rates are consistent with those reported in Dillman (1974), Dillman (2007), and Fox et al. (1988). For visitors, it is expected that 60% of the people contacted will be willing to answer the short four question survey. It is then expected that 60% of the people who answer the initial questionnaire will respond to the longer survey. These are similar to response rates that the USDA Forest Service (2002) received with their National Visitor Use Monitoring (NVUM) study. Additionally, the aforementioned Wen-Huei Chang and R. Scott Jackson study also received a 60% response rate for visitors to Oregon ports.

Additionally, adherence to the Dillman method, the use of social exchange, and garnered support from local officials and business leaders will ensure high response rates.

3. Describe the methods used to maximize response rates and to deal with nonresponse. The accuracy and reliability of the information collected must be shown to be adequate for the intended uses. For collections based on sampling, a special justification must be provided if they will not yield "reliable" data that can be generalized to the universe studied.

Cooperation from industry representatives has been garnered as well as support of government officials, commercial leaders, and the local population. A “social exchange” framework was utilized to emphasize the potential benefits of responding (greater understanding of the local economy and how to foster desired levels of economic growth) and to reduce the potential time cost to the boat owners. Social exchange is mentioned by Dillman (2007) as a crucial component of any social research survey and is intended to highlight the benefits of responding to the survey while stating how the survey has been designed to reduce the time and effort costs to the respondents.

A modified Dillman Tailored Design Method (Dillman 2007) will be employed to for the household survey and the business survey. Personalizing correspondence, a respondent friendly questionnaire, multiple contacts with survey participants through multiple modes, and a stamped return envelope will be utilized to increase response rates. The business survey and the household survey will utilize the following protocols:

1. Mailing of an information letter three to five days prior to the mailing of the survey. This letter describes the kind of information that the survey will ask, describes how the information will be used, and highlights the benefits of the survey to the respondent. Correspondence will be personalized wherever possible. The household survey correspondence will be addressed to the head of household. The business survey correspondence will be directed (where appropriate) to the business owner. In cases where the business owner is deemed unlikely to be at the local mailing address (such as a large national chain store), the letter will be sent to the store manager rather than a specific individual.
2. Three to five days after the information letter is mailed, the actual survey instrument will be mailed with a detailed cover letter explaining the purpose of the study, the survey population, and the expected benefits.
3. Two weeks after the survey is mailed, a thank you/reminder post card is mailed
4. Two weeks after the post card is mailed, a replacement survey and cover letter will be mailed to nonrespondents
5. Two weeks after the replacement surveys are mailed, calls will be made to nonrespondents. Nonrespondents to the household survey will be asked if 1) they have received the survey, 2) whether the survey was sent to the correct person in the household, and 3) if they need help in completing the survey. Up to a maximum of five attempts (made at different times of the day on different days of the week) will be made to contact household survey non-respondents. Messages will be left only on odd numbered attempts. Nonrespondents to the business survey will be asked if 1) they have received the survey, 2) whether the survey has been sent to the correct contact person, and 3) if they need any help in completing the survey. If the survey was not initially sent to the correct contact person, information on the correct contact person will be collected and survey materials will be mailed directly to that person. While only five attempts will be made to contact household survey non-respondents when no answer is obtained, more than five calls may be made to business survey recipients in cases where improved contact information is obtained.

To reduce the possibility of unit non-response bias, a chi square test for structural differences will be employed to ensure that non-respondents from the survey of businesses are not systematically different from the population as a whole in known attributes such as business size (as measured by number of employees) and business type (as measured by NAICS code). A similar analysis will be performed on households to ensure that respondents are not systematically different from non-respondents in known attributes such as household size and income stratification.

Sample post-stratification methods will then be used to generate weighting classes if structural differences are found.

For the visitor survey, a token gift will be offered to respondents willing to fill out the 15 minute survey. The token gift will be a tee shirt designed for this project, the total value not exceeding $5.

Data collection will begin approximately two months after OMB approval is received. If approval is received by September 1, 2008, data collection will begin in November 2008. Data collection will be completed in all eight communities by the end of summer 2009.

4. Describe any tests of procedures or methods to be undertaken. Tests are encouraged as effective means to refine collections, but if ten or more test respondents are involved OMB must give prior approval.

None

5. Provide the name and telephone number of individuals consulted on the statistical aspects of the design, and the name of the agency unit, contractor(s), grantee(s), or other person(s) who will actually collect and/or analyze the information for the agency.

Carl Lian, Ph.D.
Economist
NOAA Fisheries
206-302-2414

Philip Watson, Ph.D.
Economist
University of Idaho
208-885-6934

Don English, Ph.D.
Economist
US Forest Service
202-205-9595

Eric White, Ph.D.
Economist
US Forest Service
541-750-7422.

NOAA Fisheries
Northwest Fisheries Science Center
2725 Montlake Blvd East
Seattle, WA 98112

Mr. John Doe
Acme Grocers
456 Oceanside Drive
Newport, OR 97365

Dear Mr. Doe,

Last week I sent you a letter inviting Acme Grocers to participate in a community economic survey being conducted by NOAA Fisheries. This letter contains the survey questionnaire, which will take about 45 minutes to complete. After completing the survey questionnaire, please place it in the enclosed self-addressed stamped envelope and deposit the envelope in the mail.

I hope you choose to participate in this voluntary survey. By collecting information from businesses such as yours, we can better understand the role of fisheries in coastal economies and the economic impact of fishery management policies. Data collected through this survey will be held confidential under the provisions of Exemption 4 of the Freedom of Information Act.

Thank you for your consideration. Please contact me to discuss any concerns or questions you have regarding the survey.

Sincerely,

Carl Lian
Economist
NOAA Fisheries
carl.lian@noaa.gov
(206)-302-2414

NOAA Fisheries
Northwest Fisheries Science Center
2725 Montlake Blvd East
Seattle, WA 98112

Mr. John Doe
Acme Grocers
123 Oceanside Drive
Newport, OR 97365

Dear Mr. Doe,

Last week I sent you a letter inviting Acme Grocers to participate in a community economic survey being conducted by NOAA Fisheries. This letter contains the survey questionnaire, which will take about 45 minutes to complete. After completing the survey questionnaire, please place it in the enclosed self-addressed stamped envelope and deposit the envelope in the mail.

This survey is being conducted in eight coastal communities, including Newport. This survey will include collection of data from households, businesses, and visitors to the community. Data collected through this survey will enable the NOAA Fisheries to better estimate the impact of the fishing industry on coastal community economies and estimate the community economic impact of fishery management policies.

I hope you choose to participate in this voluntary survey. By collecting information from businesses such as yours, we can better understand the role of fisheries in coastal economies and the economic impact of fishery management policies. Data collected through this survey will be held confidential under the provisions of Exemption 4 of the Freedom of Information Act.

Thank you for your consideration.

Sincerely,

Carl Lian
Economist
NOAA Fisheries
carl.lian@noaa.gov
(206)-302-2414

WEST COAST COMMUNITY BUSINESS SURVEY

NOAA Fisheries – Northwest Fisheries Science Center

Please provide the following information about the person completing this questionnaire:

Name:____
Title/Position:____
Address:____
Phone: ____________________________ Email:___________________________

I. Business Characteristics and Ownership

1. Please provide the following information about this business.

Name of Business	
Business Address (Street, City, State, Zip Code)	
Business Telephone Number	
If know, please provide the NAICS or SIC code of this business.	
What are the primary goods or services that your business sells?	

2. Please provide the most recent assessed value of the capital associated with this business.
   a. Buildings $___________
   b. Industrial Equipment $___________
   c. Office equipment/Computers $___________
   d. Vehicles $___________
   e. Other_________________________ $___________

   What year was the most recent assessment? ____________ (year)

II. Expenditure Data

3. Please provide the number of full and part time employees you employed in 2006.
   a. Full Time Employees (25+ hours/week) ___________(employees)
   b. Part Time Employees (Less than 25 hours/week) ___________(employees)

4. Please provide the total wages paid to employees in 2006.
   a. Total wages paid in 2006 $___________

CONFIDENTIAL
NOAA Fisheries – Northwest Fisheries Science Center

5. Please provide the following information about amount and location of non-labor related expenditures in 2006. The sum of the Total Expenditure column should equal your total non-labor related expenditures.

Input	**Total Expenditure**	Amount or % purchased in the city this business is located	Amount or % purchased in same state but not same city	Amount or % purchased on West Coast but not same state	Amount or % purchased outside of West Coast but within the U.S.	Amount or % purchased outside the U.S.
Wholesale Merchandise	$					
Wholesale Inputs or Ingredients	$					
Solid Waste	$					
Electricity	$					
Water	$					
Sewer	$					
Packing Materials	$					
Shipping Expenses	$					
Financial Services and Insurance	$					
Maintenance or Repairs	$					
State property taxes	$					
State income taxes	$					
State sales taxes						
Local property taxes	$					
Local sales taxes	$					
Local utility taxes	$					
Other local taxes	$					
Other Operational Expenses	$					

III. Revenue Data

6. Please provide the Total 2006 revenue associated with this business.

   a. **Total Sales** $___________

7. Please provide the 2006 revenue associated with each category listed below. The sum of all the categories should equal total revenue of this business, or if percentages are reported, then the sum of all categories will sum to 100%.

	Revenue from:						
	Direct sales to consumers in the city this business is located	Sales to retailers in the city this business is located	Sales to distributors or processors in the city this business is located	Sales in the state, but outside the city this business is located	Sales in CA, OR, or WA, but outside the state this plant is located	Sales inside the U.S. but outside CA, OR, or WA	Sales outside the U.S.
	($) or (%)	**($) or (%)**	**($) or (%)**	**($) or (%)**	**($) or (%)**	**($) or (%)**	**($) or (%)**
Sales							

NOAA Fisheries is conducting a community economic survey in Newport. Data collected through this survey will enable the NOAA Fisheries to better estimate the impact of the fishing industry on coastal community economies and estimate the community economic impact of fishery management

Our records indicate that we have not yet received your response to this voluntary survey. We would greatly appreciate your response, as only businesses such as yours can provide much of the information needed to estimate the economic impact of fisheries on coastal communities.

If you did not receive the survey, or would like another copy of the survey, please contact:

Carl Lian
NOAA Fisheries/Northwest Fisheries Science Center
2725 Montlake Boulevard East
Seattle, WA 98112
206-302-2414   carl.lian@noaa.gov

NOAA Fisheries is conducting a community economic survey in Newport. Data collected through this survey will enable the NOAA Fisheries to better estimate the impact of the fishing industry on coastal community economies and estimate the community economic impact of fishery management

Our records indicate that we have not yet received your response to this voluntary survey. We would greatly appreciate your response, as only businesses such as yours can provide much of the information needed to estimate the economic impact of fisheries on coastal communities.

If you did not receive the survey, or would like another copy of the survey, please contact:

Carl Lian
NOAA Fisheries/Northwest Fisheries Science Center
2725 Montlake Boulevard East
Seattle, WA 98112
206-302-2414   carl.lian@noaa.gov

205 SE Spokane St, Suite 100
Portland, Or 97202

Pacific States Marine Fisheries Commission
Economic Fisheries Information Network
205 SE Spokane St, Suite 100
Portland, OR 97202

205 SE Spokane St, Suite 100
Portland, Or 97202

Pacific States Marine Fisheries Commission
Economic Fisheries Information Network
205 SE Spokane St, Suite 100
Portland, OR 97202

NOAA Fisheries
Northwest Fisheries Science Center
2725 Montlake Blvd East
Seattle, WA 98112

Mr. John Doe
123 Oceanside Drive
Newport, OR 97365

Dear Mr. Doe,

Last week I sent you a letter inviting you to participate in a community economic survey being conducted by NOAA Fisheries. This letter contains the survey questionnaire, which will take about 30 minutes to complete. After completing the survey questionnaire, please place it in the enclosed self-addressed stamped envelope and deposit the envelope in the mail.

I hope you choose to participate in this voluntary survey. By collecting information from households such as yours, we can better understand the role of fisheries in coastal economies and the economic impact of fishery management policies. Please note that the survey does not ask for the submission of any information that could be used to identify your household.

Thank you for your consideration. Please contact me to discuss any concerns or questions you have regarding the survey.

Sincerely,

Carl Lian
Economist
NOAA Fisheries
carl.lian@noaa.gov
(206)-302-2414

NOAA Fisheries
Northwest Fisheries Science Center
2725 Montlake Blvd East
Seattle, WA 98112

Mr. John Doe
123 Oceanside Drive
Newport, OR 97365

Dear Mr. Doe,

Last week I sent you a letter inviting you to participate in a community economic survey being conducted by NOAA Fisheries. This letter contains the survey questionnaire, which will take about 30 minutes to complete. After completing the survey questionnaire, please place it in the enclosed self-addressed stamped envelope and deposit the envelope in the mail.

This survey is being conducted in eight coastal communities, including Newport. This survey will include collection of data from households, businesses, and visitors to the community. Data collected through this survey will enable the NOAA Fisheries to better estimate the impact of the fishing industry on coastal community economies and estimate the community economic impact of fishery management policies.

I hope you choose to participate in this voluntary survey. By collecting information from households such as yours, we can better understand the role of fisheries in coastal economies and the economic impact of fishery management policies. While the survey collects information on your individual household, it does not ask for the submission of any specific information that could be used to identify your household.

Thank you for your consideration.

Sincerely,

Carl Lian
Economist
NOAA Fisheries
carl.lian@noaa.gov
(206)-302-2414

West Coast Community Household Survey

NOAA Fisheries
Northwest Fisheries Science Center

The following questions relate to your opinions about your community and how you value and use your community's marine resources. Your views on your community and its future are important and this is an opportunity for you to make your thoughts know.

#	Question	Response	
1.	Compared to 5 years ago, how would you rate the overall quality of life in your community?	Much better	☐
		A little better	☐
		About the same	☐
		A little worse	☐
		Much worse	☐
2.	How would you rate the coastal and marine environment of your city (beach and near shore water)?	Very pristine	☐
		Fairly clean	☐
		Fair	☐
		Somewhat dirty	☐
		Very polluted	☐
3.	How important is a clean coastal and marine environment to you?	Very important	☐
		Fairly important	☐
		I am neutral	☐
		Fairly unimportant	☐
		Very important	☐
4.	How would you rate the following statement? A thriving fishing industry is vital to the **economic well-being** of this community.	Strongly agree	☐
		Somewhat agree	☐
		Uncertain	☐
		Somewhat disagree	☐
		Strongly disagree	☐
5.	How would you rate the following statement? A thriving fishing industry is vital to the **cultural identity** of this community.	Strongly agree	☐
		Somewhat agree	☐
		Uncertain	☐
		Somewhat disagree	☐
		Strongly disagree	☐

6.	How would you rate the following statement? It is important for your community to grow economically over the next decade?	Strongly agree ☐ Somewhat agree ☐ Uncertain ☐ Somewhat disagree ☐ Strongly disagree ☐
7.	How would you rate the following statement? It is important for your community's population to grow over the next decade?	Strongly agree ☐ Somewhat agree ☐ Uncertain ☐ Somewhat disagree ☐ Strongly disagree ☐
8.	What do you like best about living in this community?	
9.	What would you like to see improved in this community to make it a better place to live?	
10.	How long has your permanent residence been in this community?	Less than 1 year ☐ Between 1 and 5 years ☐ Between 5 and 10 years ☐ Between 10 and 20 years ☐ Between 20 and 40 years ☐ Over 40 years ☐

The following questions are designed to determine how coastal communities use their marine resources in relation to all the activities the community engages in. It is important to know how marine resources are used in a community to help inform how these resources should be managed.

#	Question	Response		
11.	Approximately how many days **in the past 12 months** did you engage in any of the following activities? If you did not engage in an activity, feel free to leave those responses blank.	Activity	From an activity originating inside this city's limits	From an activity originating outside this city's limits
		Boating/Sailing	______________ (days)	______________ (days)
		Kayaking/Canoeing	______________ (days)	______________ (days)
		Fishing – Private boat	______________ (days)	______________ (days)
		Fishing – Charter boat	______________ (days)	______________ (days)
		Fishing – Shore/Dock	______________ (days)	______________ (days)
		Surfing	______________ (days)	______________ (days)
		Windsurfing	______________ (days)	______________ (days)
		Other ocean water sports	______________ (days)	______________ (days)
		Visiting the beach (non-sport related)	______________ (days)	______________ (days)
		Hiking/Camping	______________ (days)	______________ (days)
		Golfing	______________ (days)	______________ (days)
		Other participant sports	______________ (days)	______________ (days)
		Attending spectator sports	______________ (days)	______________ (days)
		Fine dining	______________ (days)	______________ (days)
		Other dining out	______________ (days)	______________ (days)
		Attending theater, symphony, or museums	______________ (days)	______________ (days)
		Attending movies	______________ (days)	______________ (days)
		Renting movies	______________ (days)	______________ (days)

12.	In the past year, approximately many times per week did you eat **seafood**?	Location	Frequency of seafood consumption
		At home	_______________ (days)
		At a restaurant in town	_______________ (days)
		At a restaurant outside of town	_______________ (days)

Please answer the following questions about yourself and your household. For statistical purposes, we need to know a little about you to provide context to your responses.

#	Question	Response			
13.	In the following categories, approximately what percentage of your household shopping takes place in the following locations? Each row should sum to 100%.	Expenditure category	Inside the city limits	Outside the city but within 30 miles	Online or further than 30 miles away
		Groceries	___________ (%)	___________ (%)	___________ (%)
		Restaurants	___________ (%)	___________ (%)	___________ (%)
		Clothes	___________ (%)	___________ (%)	___________ (%)
		Household goods	___________ (%)	___________ (%)	___________ (%)
		Durable goods (appliances/ hardware/ furniture etc.)	___________ (%)	___________ (%)	___________ (%)
		Cars	___________ (%)	___________ (%)	___________ (%)
		Boats/ Recreation equipment	___________ (%)	___________ (%)	___________ (%)
		Gasoline	___________ (%)	___________ (%)	___________ (%)
		Mechanic services	___________ (%)	___________ (%)	___________ (%)
		Home/Auto insurance	___________ (%)	___________ (%)	___________ (%)
		Medical care	___________ (%)	___________ (%)	___________ (%)
		Legal services	___________ (%)	___________ (%)	___________ (%)
		Financial services	___________ (%)	___________ (%)	___________ (%)

14.	Approximately what percent of total household comes from employment in the following?	Percent of Household Income:	Sector
		____________%	Commercial Fish harvesting
		____________%	Fish Processing
		____________%	Recreational Fishing (Charter/Guides)
		____________%	Agriculture
		____________%	Forestry
		____________%	Mining
		____________%	Manufacturing
		____________%	Transportation/Shipping
		____________%	Communications
		____________%	Utilities
		____________%	Wholesale Trade
		____________%	Retail Trade
		____________%	Hotel/Motel/Trailer Park
		____________%	Health/Legal Services
		____________%	Finance/Insurance
		____________%	Restaurant
		____________%	Other Services
		____________%	Tourism/Hospitality
		____________%	Education
		____________%	Government
		____________%	Other Sector (please specify) ____________________

15.	What is the range of the income of people in your household?	Household Income: ☐ <$10,000 ☐ 10,001 to 25,000 ☐ 25,001 to 40,000 ☐ 40,001 to 60,000 ☐ 60,001 to 75,000 ☐ 75,001 to 100,000 ☐ 100,001 to 150,000 ☐ 150,001 to 200,000 ☐ >$200,001

Thank you for your time and assistance. Please return this survey in the self addressed stamped envelope provided. If you have any comments or questions please contact:

Carl Lian
NOAA Fisheries
Northwest Fisheries Science Center - FRAMD
2725 Montlake Blvd E
Seattle, WA 98112
(206) 302-2414
philip.watson@noaa.gov

If you have any additional comments please feel free to include them here:

NOAA Fisheries is conducting a community economic survey in Newport. Data collected through this survey will enable the NOAA Fisheries to better estimate the impact of the fishing industry on coastal community economies and estimate the community economic impact of fishery management

Our records indicate that we have not yet received your response to this voluntary survey. We would greatly appreciate your response, as only households such as yours can provide much of the information needed to estimate the economic impact of fisheries on coastal communities.

If you did not receive the survey, or would like another copy of the survey, please contact:

Carl Lian
NOAA Fisheries/Northwest Fisheries Science Center
2725 Montlake Boulevard East
Seattle, WA 98112
206-302-2414   carl.lian@noaa.gov

NOAA Fisheries is conducting a community economic survey in Newport. Data collected through this survey will enable the NOAA Fisheries to better estimate the impact of the fishing industry on coastal community economies and estimate the community economic impact of fishery management

Our records indicate that we have not yet received your response to this voluntary survey. We would greatly appreciate your response, as only households such as yours can provide much of the information needed to estimate the economic impact of fisheries on coastal communities.

If you did not receive the survey, or would like another copy of the survey, please contact:

Carl Lian
NOAA Fisheries/Northwest Fisheries Science Center
2725 Montlake Boulevard East
Seattle, WA 98112
206-302-2414   carl.lian@noaa.gov

205 SE Spokane St, Suite 100
Portland, Or 97202

Pacific States Marine Fisheries Commission
Economic Fisheries Information Network
205 SE Spokane St, Suite 100
Portland, OR 97202

205 SE Spokane St, Suite 100
Portland, Or 97202

Pacific States Marine Fisheries Commission
Economic Fisheries Information Network
205 SE Spokane St, Suite 100
Portland, OR 97202

West Coast Community Visitor Survey

NOAA Fisheries
Northwest Fisheries Science Center

We are conducting a quick survey to help determine how many visitors are coming to this community; may I ask you four short questions?

#	Question	Response
1.	Is your permanent residence located **outside** of this zip code?	☐ Yes – What is the zip code of your permanent residence? ______________ **- go to 2** ☐ No – **Thank you for your time**
2.	Did you stay in a local hotel last night or will you tonight?	☐ Yes – Which night? ☐ Last night ☐ Tonight ☐ Both **- go to 3** ☐ No – **go to 3**
3.	What is the primary purpose of your visit?	☐ To visit family/friends – **Please go to 4** ☐ To utilize a service (gas station, restaurant, store, etc.) in the town – Please indicate what type of service: ______________________ – **Thank you for your time** ☐ Working or commuting to work – ➲**go to 3a** ☐ Just passing through to go somewhere else – ➲**go to 3a** ☐ Vacation/recreation (staying here for more than 2 hours) – ➲**go to 4** ☐ Other (please specify): ______________ ________________________________➲**go to 4**
3a.	What was the major reason you chose to take this route?	☐ View scenery – **Thank you for your time** ☐ Utilize services of town – **Thank you for your time** ☐ Quickest route – **Thank you for your time** ☐ Other: ______________________ – **Thank you for your time**
4.	Is your visit to this community a day trip or an overnight visit?	☐ Day trip – ➲ **Since you are a visitor, we would love to hear about your activities and opinions. Would you be willing to answer a short 10 minute survey on your activities while in this area?** ☐ Overnight visit – ➲ **Since you are a visitor, we would love to hear about your activities and opinions. Would you be willing to answer a short 10 minute survey on your activities while in this area?**

Coastal Community Visitor Survey

Visitor experience, preferences, and expenditure questionnaire

- If the primary purpose of your visit to this town is to visit family or friends, please proceed to question 6
- If your visit to this community is a day trip, please proceed to question 3
- If your visit to this community is an overnight trip, please proceed to question 1

#	Question	Response
1.	When did you arrive in this town?	______________________ (MM/DD/YYYY)
2.	When will you (or did you) leave this town?	______________________ (MM/DD/YYYY)
3.	Was your trip to this town: (check only one):	☐ the primary purpose or sole destination of your trip from home? ☐ one of many equally important reasons or destinations for your trip from home? ☐ just an incidental stop on a trip taken for other purposes or to other destinations?
4.	What was your **primary reason for choosing this location** for your recreation/vacation over other possible recreation/vacation locations? Please check only one.	☐ More natural beauty ☐ Better fishing opportunities ☐ Nicer beaches ☐ More/better attractions in or near this town ☐ Quieter/less people here ☐ Better services (hotels, restaurants, shops, etc.) in this town ☐ Other (please specify): ____________________________
5.	Which of these activities was the **primary reason for your visit**? Please check only one.	☐ Camping ☐ Visiting resort/spa ☐ Visiting the beach (picnicking, kite flying, relaxing) ☐ Surfing ☐ Fishing on a private/personal boat ☐ Fishing on a charter boat ☐ Fishing from the shore/pier ☐ Visiting a local attraction (please specify): ____________________________ ☐ Recreational boating (sailing, cruising, water skiing, etc.) ☐ Other (please specify): ____________________________

#	Question	Response
6.	What activities will you participate in while visiting this town? Please check all that apply.	☐ Camping ☐ Visiting resort/spa ☐ Visiting the beach (picnicking, kite flying, relaxing) ☐ Surfing ☐ Fishing on a private/personal boat ☐ Fishing on a charter boat ☐ Fishing from the shore/pier ☐ Visiting a local attraction (please specify): ______________________________ ☐ Recreational boating (sailing, cruising, water skiing, etc.) ☐ Other (please specify): ______________________________
7.	What is the most important thing that this community could improve to make your experience here better? Please check only one box.	☐ More fishing opportunities ☐ Better services (hotels, restaurants, shops, etc.) ☐ Cleaner beaches ☐ More developed beaches ☐ More attractions ☐ Less noise/people ☐ More budget alternatives ☐ Other: ________________________
8.	**NOT** including this visit, about how many times have visited this town for vacation/recreation in the past 12 months?	
9.	How many people, including you, traveled here in the same vehicle?	
10.	How many of these people are less than 16 years old?	
11.	What is your age range? Please check the appropriate box.	☐ 16 to 19 ☐ 20 to 29 ☐ 30 to 39 ☐ 40 to 49 ☐ 50 to 59 ☐ 60 to 69 ☐ 70 to 79 ☐ 80 and over

#	Question	Response
12.	For this trip, are you:	☐ Paying for yourself and others. If so how many others? ___________ **(in the next question, report what you spent for your entire party)** ☐ Sharing expenses with other people **(in the next question, report just what you personally spent)** ☐ Paying for just your own expenses **(in the next question, report just what you personally spent)** ☐ Someone else is paying for you **(in the next question, report your portion of the total that person spent)**
13.	Based on your response to question 12, please report the approximate amount you spent on this trip **within approximately 10 miles of here** (to the nearest dollar).	Camping/RV Park $_____________ Hotels/Bed & Breakfast $_____________ Restaurants and bars $_____________ Grocery stores $_____________ Gas and oil $_____________ Fishing supplies/licenses $_____________ Other recreation supplies $_____________ Charter fishing $_____________ Boat rentals $_____________ Other activities $_____________ Souvenirs/Clothes $_____________ All other local trip expenses $_____________
14.	Including **<u>all the costs associated with this trip</u>** and including amounts spent here, along the way, and at home; what was the approximate total cost of this trip to your household?	Total trip related expense: $____________________
15.	As you know, some of the costs of travel such as gasoline and hotels often increase. If the **total cost** of this most recent trip to the recreation area where you were contacted had been $______ **higher**, would you have made this trip to this town?	☐ Yes ☐ No
16.	If you had not made this trip to this town, how would you have spent the money that you did spend here?	☐ Would have gone to a different town on the coast ☐ Would have gone to a different town, not on the coast ☐ Would have spent the money on recreation activities in your local town

#	Question	Response
17.	For the activity indicated in question 16, would the total trip/activity related expense be:	☐ More expensive than your trip to this town. Approximately how much more expensive? ____________% ☐ Less expensive than you trip to this town. Approximately how much less expensive? ____________%
18.	What is the approximate range of your household income?	☐ < $10,000 ☐ $10,001 to $25,000 ☐ $25,001 to $40,000 ☐ $40,001 to $60,000 ☐ $60,001 to $75,000 ☐ $75,001 to $100,000 ☐ $100,001 to $150,000 ☐ $150,001 to $200,000 ☐ > $200,001

Thank you for your time. If you have any additional comments please include them here:

OMB Control #xxx-xxxx expires xx/xx/xx. Notwithstanding any other provisions of the law; no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with a collection of information subject to the requirement of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number. Public reporting burden for this survey is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Carl Lian, NWFSC FRAM, 2725 Montlake Blvd. E, Seattle, WA 98112-2097

Federal Register
Vol. 58, No. 190
Monday, October 4, 1993

Presidential Documents

Title 3—

The President

Executive Order 12866 of September 30, 1993

Regulatory Planning and Review

The American people deserve a regulatory system that works for them, not against them: a regulatory system that protects and improves their health, safety, environment, and well-being and improves the performance of the economy without imposing unacceptable or unreasonable costs on society; regulatory policies that recognize that the private sector and private markets are the best engine for economic growth; regulatory approaches that respect the role of State, local, and tribal governments; and regulations that are effective, consistent, sensible, and understandable. We do not have such a regulatory system today.

With this Executive order, the Federal Government begins a program to reform and make more efficient the regulatory process. The objectives of this Executive order are to enhance planning and coordination with respect to both new and existing regulations; to reaffirm the primacy of Federal agencies in the regulatory decision-making process; to restore the integrity and legitimacy of regulatory review and oversight; and to make the process more accessible and open to the public. In pursuing these objectives, the regulatory process shall be conducted so as to meet applicable statutory requirements and with due regard to the discretion that has been entrusted to the Federal agencies.

Accordingly, by the authority vested in me as President by the Constitution and the laws of the United States of America, it is hereby ordered as follows:

Section 1. *Statement of Regulatory Philosophy and Principles.*

(a) *The Regulatory Philosophy.* Federal agencies should promulgate only such regulations as are required by law, are necessary to interpret the law, or are made necessary by compelling public need, such as material failures of private markets to protect or improve the health and safety of the public, the environment, or the well-being of the American people. In deciding whether and how to regulate, agencies should assess all costs and benefits of available regulatory alternatives, including the alternative of not regulating. Costs and benefits shall be understood to include both quantifiable measures (to the fullest extent that these can be usefully estimated) and qualitative measures of costs and benefits that are difficult to quantify, but nevertheless essential to consider. Further, in choosing among alternative regulatory approaches, agencies should select those approaches that maximize net benefits (including potential economic, environmental, public health and safety, and other advantages; distributive impacts; and equity), unless a statute requires another regulatory approach.

(b) *The Principles of Regulation.* To ensure that the agencies' regulatory programs are consistent with the philosophy set forth above, agencies should adhere to the following principles, to the extent permitted by law and where applicable:

(1) Each agency shall identify the problem that it intends to address (including, where applicable, the failures of private markets or public institutions that warrant new agency action) as well as assess the significance of that problem.

(2) Each agency shall examine whether existing regulations (or other law) have created, or contributed to, the problem that a new regulation is

intended to correct and whether those regulations (or other law) should be modified to achieve the intended goal of regulation more effectively.

(3) Each agency shall identify and assess available alternatives to direct regulation, including providing economic incentives to encourage the desired behavior, such as user fees or marketable permits, or providing information upon which choices can be made by the public.

(4) In setting regulatory priorities, each agency shall consider, to the extent reasonable, the degree and nature of the risks posed by various substances or activities within its jurisdiction.

(5) When an agency determines that a regulation is the best available method of achieving the regulatory objective, it shall design its regulations in the most cost-effective manner to achieve the regulatory objective. In doing so, each agency shall consider incentives for innovation, consistency, predictability, the costs of enforcement and compliance (to the government, regulated entities, and the public), flexibility, distributive impacts, and equity.

(6) Each agency shall assess both the costs and the benefits of the intended regulation and, recognizing that some costs and benefits are difficult to quantify, propose or adopt a regulation only upon a reasoned determination that the benefits of the intended regulation justify its costs.

(7) Each agency shall base its decisions on the best reasonably obtainable scientific, technical, economic, and other information concerning the need for, and consequences of, the intended regulation.

(8) Each agency shall identify and assess alternative forms of regulation and shall, to the extent feasible, specify performance objectives, rather than specifying the behavior or manner of compliance that regulated entities must adopt.

(9) Wherever feasible, agencies shall seek views of appropriate State, local, and tribal officials before imposing regulatory requirements that might significantly or uniquely affect those governmental entities. Each agency shall assess the effects of Federal regulations on State, local, and tribal governments, including specifically the availability of resources to carry out those mandates, and seek to minimize those burdens that uniquely or significantly affect such governmental entities, consistent with achieving regulatory objectives. In addition, as appropriate, agencies shall seek to harmonize Federal regulatory actions with related State, local, and tribal regulatory and other governmental functions.

(10) Each agency shall avoid regulations that are inconsistent, incompatible, or duplicative with its other regulations or those of other Federal agencies.

(11) Each agency shall tailor its regulations to impose the least burden on society, including individuals, businesses of differing sizes, and other entities (including small communities and governmental entities), consistent with obtaining the regulatory objectives, taking into account, among other things, and to the extent practicable, the costs of cumulative regulations.

(12) Each agency shall draft its regulations to be simple and easy to understand, with the goal of minimizing the potential for uncertainty and litigation arising from such uncertainty.

Sec. 2. *Organization*. An efficient regulatory planning and review process is vital to ensure that the Federal Government's regulatory system best serves the American people.

(a) *The Agencies.* Because Federal agencies are the repositories of significant substantive expertise and experience, they are responsible for developing regulations and assuring that the regulations are consistent with applicable law, the President's priorities, and the principles set forth in this Executive order.

(b) *The Office of Management and Budget.* Coordinated review of agency rulemaking is necessary to ensure that regulations are consistent with applicable law, the President's priorities, and the principles set forth in this Executive order, and that decisions made by one agency do not conflict with the policies or actions taken or planned by another agency. The Office of Management and Budget (OMB) shall carry out that review function. Within OMB, the Office of Information and Regulatory Affairs (OIRA) is the repository of expertise concerning regulatory issues, including methodologies and procedures that affect more than one agency, this Executive order, and the President's regulatory policies. To the extent permitted by law, OMB shall provide guidance to agencies and assist the President, the Vice President, and other regulatory policy advisors to the President in regulatory planning and shall be the entity that reviews individual regulations, as provided by this Executive order.

(c) *The Vice President.* The Vice President is the principal advisor to the President on, and shall coordinate the development and presentation of recommendations concerning, regulatory policy, planning, and review, as set forth in this Executive order. In fulfilling their responsibilities under this Executive order, the President and the Vice President shall be assisted by the regulatory policy advisors within the Executive Office of the President and by such agency officials and personnel as the President and the Vice President may, from time to time, consult.

Sec. 3. *Definitions.* For purposes of this Executive order: (a) ''Advisors'' refers to such regulatory policy advisors to the President as the President and Vice President may from time to time consult, including, among others: (1) the Director of OMB; (2) the Chair (or another member) of the Council of Economic Advisers; (3) the Assistant to the President for Economic Policy; (4) the Assistant to the President for Domestic Policy; (5) the Assistant to the President for National Security Affairs; (6) the Assistant to the President for Science and Technology; (7) the Assistant to the President for Intergovernmental Affairs; (8) the Assistant to the President and Staff Secretary; (9) the Assistant to the President and Chief of Staff to the Vice President; (10) the Assistant to the President and Counsel to the President; (11) the Deputy Assistant to the President and Director of the White House Office on Environmental Policy; and (12) the Administrator of OIRA, who also shall coordinate communications relating to this Executive order among the agencies, OMB, the other Advisors, and the Office of the Vice President.

(b) ''Agency,'' unless otherwise indicated, means any authority of the United States that is an ''agency'' under 44 U.S.C. 3502(1), other than those considered to be independent regulatory agencies, as defined in 44 U.S.C. 3502(10).

(c) ''Director'' means the Director of OMB.

(d) ''Regulation'' or ''rule'' means an agency statement of general applicability and future effect, which the agency intends to have the force and effect of law, that is designed to implement, interpret, or prescribe law or policy or to describe the procedure or practice requirements of an agency. It does not, however, include:

(1) Regulations or rules issued in accordance with the formal rulemaking provisions of 5 U.S.C. 556, 557;

(2) Regulations or rules that pertain to a military or foreign affairs function of the United States, other than procurement regulations and regulations involving the import or export of non-defense articles and services;

(3) Regulations or rules that are limited to agency organization, management, or personnel matters; or

(4) Any other category of regulations exempted by the Administrator of OIRA.

(e) ''Regulatory action'' means any substantive action by an agency (normally published in the **Federal Register**) that promulgates or is expected to lead to the promulgation of a final rule or regulation, including notices of inquiry, advance notices of proposed rulemaking, and notices of proposed rulemaking.

(f) ''Significant regulatory action'' means any regulatory action that is likely to result in a rule that may:

(1) Have an annual effect on the economy of $100 million or more or adversely affect in a material way the economy, a sector of the economy, productivity, competition, jobs, the environment, public health or safety, or State, local, or tribal governments or communities;

(2) Create a serious inconsistency or otherwise interfere with an action taken or planned by another agency;

(3) Materially alter the budgetary impact of entitlements, grants, user fees, or loan programs or the rights and obligations of recipients thereof; or

(4) Raise novel legal or policy issues arising out of legal mandates, the President's priorities, or the principles set forth in this Executive order.

Sec. 4. *Planning Mechanism.* In order to have an effective regulatory program, to provide for coordination of regulations, to maximize consultation and the resolution of potential conflicts at an early stage, to involve the public and its State, local, and tribal officials in regulatory planning, and to ensure that new or revised regulations promote the President's priorities and the principles set forth in this Executive order, these procedures shall be followed, to the extent permitted by law:

(a) *Agencies' Policy Meeting.* Early in each year's planning cycle, the Vice President shall convene a meeting of the Advisors and the heads of agencies to seek a common understanding of priorities and to coordinate regulatory efforts to be accomplished in the upcoming year.

(b) *Unified Regulatory Agenda.* For purposes of this subsection, the term ''agency'' or ''agencies'' shall also include those considered to be independent regulatory agencies, as defined in 44 U.S.C. 3502(10). Each agency shall prepare an agenda of all regulations under development or review, at a time and in a manner specified by the Administrator of OIRA. The description of each regulatory action shall contain, at a minimum, a regulation identifier number, a brief summary of the action, the legal authority for the action, any legal deadline for the action, and the name and telephone number of a knowledgeable agency official. Agencies may incorporate the information required under 5 U.S.C. 602 and 41 U.S.C. 402 into these agendas.

(c) *The Regulatory Plan.* For purposes of this subsection, the term ''agency'' or ''agencies'' shall also include those considered to be independent regulatory agencies, as defined in 44 U.S.C. 3502(10). (1) As part of the Unified Regulatory Agenda, beginning in 1994, each agency shall prepare a Regulatory Plan (Plan) of the most important significant regulatory actions that the agency reasonably expects to issue in proposed or final form in that fiscal year or thereafter. The Plan shall be approved personally by the agency head and shall contain at a minimum:

(A) A statement of the agency's regulatory objectives and priorities and how they relate to the President's priorities;

(B) A summary of each planned significant regulatory action including, to the extent possible, alternatives to be considered and preliminary estimates of the anticipated costs and benefits;

(C) A summary of the legal basis for each such action, including whether any aspect of the action is required by statute or court order;

(D) A statement of the need for each such action and, if applicable, how the action will reduce risks to public health, safety, or the environment, as well as how the magnitude of the risk addressed by the action relates to other risks within the jurisdiction of the agency;

(E) The agency's schedule for action, including a statement of any applicable statutory or judicial deadlines; and

(F) The name, address, and telephone number of a person the public may contact for additional information about the planned regulatory action.

(2) Each agency shall forward its Plan to OIRA by June 1st of each year.

(3) Within 10 calendar days after OIRA has received an agency's Plan, OIRA shall circulate it to other affected agencies, the Advisors, and the Vice President.

(4) An agency head who believes that a planned regulatory action of another agency may conflict with its own policy or action taken or planned shall promptly notify, in writing, the Administrator of OIRA, who shall forward that communication to the issuing agency, the Advisors, and the Vice President.

(5) If the Administrator of OIRA believes that a planned regulatory action of an agency may be inconsistent with the President's priorities or the principles set forth in this Executive order or may be in conflict with any policy or action taken or planned by another agency, the Administrator of OIRA shall promptly notify, in writing, the affected agencies, the Advisors, and the Vice President.

(6) The Vice President, with the Advisors' assistance, may consult with the heads of agencies with respect to their Plans and, in appropriate instances, request further consideration or inter-agency coordination.

(7) The Plans developed by the issuing agency shall be published annually in the October publication of the Unified Regulatory Agenda. This publication shall be made available to the Congress; State, local, and tribal governments; and the public. Any views on any aspect of any agency Plan, including whether any planned regulatory action might conflict with any other planned or existing regulation, impose any unintended consequences on the public, or confer any unclaimed benefits on the public, should be directed to the issuing agency, with a copy to OIRA.

(d) Regulatory Working Group. Within 30 days of the date of this Executive order, the Administrator of OIRA shall convene a Regulatory Working Group (''Working Group''), which shall consist of representatives of the heads of each agency that the Administrator determines to have significant domestic regulatory responsibility, the Advisors, and the Vice President. The Administrator of OIRA shall chair the Working Group and shall periodically advise the Vice President on the activities of the Working Group. The Working Group shall serve as a forum to assist agencies in identifying and analyzing important regulatory issues (including, among others (1) the development of innovative regulatory techniques, (2) the methods, efficacy, and utility of comparative risk assessment in regulatory decision-making, and (3) the development of short forms and other streamlined regulatory approaches for small businesses and other entities). The Working Group shall meet at least quarterly and may meet as a whole or in subgroups of agencies with an interest in particular issues or subject areas. To inform its discussions, the Working Group may commission analytical studies and reports by OIRA, the Administrative Conference of the United States, or any other agency.

(e) Conferences. The Administrator of OIRA shall meet quarterly with representatives of State, local, and tribal governments to identify both existing and proposed regulations that may uniquely or significantly affect those governmental entities. The Administrator of OIRA shall also convene, from time to time, conferences with representatives of businesses, nongovernmental organizations, and the public to discuss regulatory issues of common concern.

Sec. 5. Existing Regulations. In order to reduce the regulatory burden on the American people, their families, their communities, their State, local, and tribal governments, and their industries; to determine whether regulations promulgated by the executive branch of the Federal Government have become unjustified or unnecessary as a result of changed circumstances; to confirm that regulations are both compatible with each other and not duplicative or inappropriately burdensome in the aggregate; to ensure that all regulations are consistent with the President's priorities and the principles set forth in this Executive order, within applicable law; and to otherwise improve the effectiveness of existing regulations: (a) Within 90 days of the date of this Executive order, each agency shall submit to OIRA a program, consistent with its resources and regulatory priorities, under which the agency will periodically review its existing significant regulations to determine whether any such regulations should be modified or eliminated so as to make the agency's regulatory program more effective in achieving the regulatory objectives, less burdensome, or in greater alignment with the President's priorities and the principles set forth in this Executive order. Any significant regulations selected for review shall be included in the agency's annual Plan. The agency shall also identify any legislative mandates that require the agency to promulgate or continue to impose regulations that the agency believes are unnecessary or outdated by reason of changed circumstances.

(b) The Administrator of OIRA shall work with the Regulatory Working Group and other interested entities to pursue the objectives of this section. State, local, and tribal governments are specifically encouraged to assist in the identification of regulations that impose significant or unique burdens on those governmental entities and that appear to have outlived their justification or be otherwise inconsistent with the public interest.

(c) The Vice President, in consultation with the Advisors, may identify for review by the appropriate agency or agencies other existing regulations of an agency or groups of regulations of more than one agency that affect a particular group, industry, or sector of the economy, or may identify legislative mandates that may be appropriate for reconsideration by the Congress.

Sec. 6. Centralized Review of Regulations. The guidelines set forth below shall apply to all regulatory actions, for both new and existing regulations, by agencies other than those agencies specifically exempted by the Administrator of OIRA:

(a) Agency Responsibilities. (1) Each agency shall (consistent with its own rules, regulations, or procedures) provide the public with meaningful participation in the regulatory process. In particular, before issuing a notice of proposed rulemaking, each agency should, where appropriate, seek the involvement of those who are intended to benefit from and those expected to be burdened by any regulation (including, specifically, State, local, and tribal officials). In addition, each agency should afford the public a meaningful opportunity to comment on any proposed regulation, which in most cases should include a comment period of not less than 60 days. Each agency also is directed to explore and, where appropriate, use consensual mechanisms for developing regulations, including negotiated rulemaking.

(2) Within 60 days of the date of this Executive order, each agency head shall designate a Regulatory Policy Officer who shall report to the agency head. The Regulatory Policy Officer shall be involved at each stage of the regulatory process to foster the development of effective, innovative, and least burdensome regulations and to further the principles set forth in this Executive order.

(3) In addition to adhering to its own rules and procedures and to the requirements of the Administrative Procedure Act, the Regulatory Flexibility Act, the Paperwork Reduction Act, and other applicable law, each agency shall develop its regulatory actions in a timely fashion and adhere to the following procedures with respect to a regulatory action:

(A) Each agency shall provide OIRA, at such times and in the manner specified by the Administrator of OIRA, with a list of its planned regulatory actions, indicating those which the agency believes are significant regulatory actions within the meaning of this Executive order. Absent a material change in the development of the planned regulatory action, those not designated as significant will not be subject to review under this section unless, within 10 working days of receipt of the list, the Administrator of OIRA notifies the agency that OIRA has determined that a planned regulation is a significant regulatory action within the meaning of this Executive order. The Administrator of OIRA may waive review of any planned regulatory action designated by the agency as significant, in which case the agency need not further comply with subsection (a)(3)(B) or subsection (a)(3)(C) of this section.

(B) For each matter identified as, or determined by the Administrator of OIRA to be, a significant regulatory action, the issuing agency shall provide to OIRA:

(i) The text of the draft regulatory action, together with a reasonably detailed description of the need for the regulatory action and an explanation of how the regulatory action will meet that need; and

(ii) An assessment of the potential costs and benefits of the regulatory action, including an explanation of the manner in which the regulatory action is consistent with a statutory mandate and, to the extent permitted by law, promotes the President's priorities and avoids undue interference with State, local, and tribal governments in the exercise of their governmental functions.

(C) For those matters identified as, or determined by the Administrator of OIRA to be, a significant regulatory action within the scope of section 3(f)(1), the agency shall also provide to OIRA the following additional information developed as part of the agency's decision-making process (unless prohibited by law):

(i) An assessment, including the underlying analysis, of benefits anticipated from the regulatory action (such as, but not limited to, the promotion of the efficient functioning of the economy and private markets, the enhancement of health and safety, the protection of the natural environment, and the elimination or reduction of discrimination or bias) together with, to the extent feasible, a quantification of those benefits;

(ii) An assessment, including the underlying analysis, of costs anticipated from the regulatory action (such as, but not limited to, the direct cost both to the government in administering the regulation and to businesses and others in complying with the regulation, and any adverse effects on the efficient functioning of the economy, private markets (including productivity, employment, and competitiveness), health, safety, and the natural environment), together with, to the extent feasible, a quantification of those costs; and

(iii) An assessment, including the underlying analysis, of costs and benefits of potentially effective and reasonably feasible alternatives to the planned regulation, identified by the agencies or the public (including improving the current regulation and reasonably viable nonregulatory actions), and an explanation why the planned regulatory action is preferable to the identified potential alternatives.

(D) In emergency situations or when an agency is obligated by law to act more quickly than normal review procedures allow, the agency shall notify OIRA as soon as possible and, to the extent practicable, comply with subsections (a)(3)(B) and (C) of this section. For those regulatory actions that are governed by a statutory or court-imposed deadline, the agency shall, to the extent practicable, schedule rulemaking proceedings so as to permit sufficient time for OIRA to conduct its review, as set forth below in subsection (b)(2) through (4) of this section.

(E) After the regulatory action has been published in the **Federal Register** or otherwise issued to the public, the agency shall:

(i) Make available to the public the information set forth in subsections (a)(3)(B) and (C);

(ii) Identify for the public, in a complete, clear, and simple manner, the substantive changes between the draft submitted to OIRA for review and the action subsequently announced; and

(iii) Identify for the public those changes in the regulatory action that were made at the suggestion or recommendation of OIRA.

(F) All information provided to the public by the agency shall be in plain, understandable language.

(b) OIRA Responsibilities. The Administrator of OIRA shall provide meaningful guidance and oversight so that each agency's regulatory actions are consistent with applicable law, the President's priorities, and the principles set forth in this Executive order and do not conflict with the policies or actions of another agency. OIRA shall, to the extent permitted by law, adhere to the following guidelines:

(1) OIRA may review only actions identified by the agency or by OIRA as significant regulatory actions under subsection (a)(3)(A) of this section.

(2) OIRA shall waive review or notify the agency in writing of the results of its review within the following time periods:

(A) For any notices of inquiry, advance notices of proposed rulemaking, or other preliminary regulatory actions prior to a Notice of Proposed Rulemaking, within 10 working days after the date of submission of the draft action to OIRA;

(B) For all other regulatory actions, within 90 calendar days after the date of submission of the information set forth in subsections (a)(3)(B) and (C) of this section, unless OIRA has previously reviewed this information and, since that review, there has been no material change in the facts and circumstances upon which the regulatory action is based, in which case, OIRA shall complete its review within 45 days; and

(C) The review process may be extended (1) once by no more than 30 calendar days upon the written approval of the Director and (2) at the request of the agency head.

(3) For each regulatory action that the Administrator of OIRA returns to an agency for further consideration of some or all of its provisions, the Administrator of OIRA shall provide the issuing agency a written explanation for such return, setting forth the pertinent provision of this Executive order on which OIRA is relying. If the agency head disagrees with some or all of the bases for the return, the agency head shall so inform the Administrator of OIRA in writing.

(4) Except as otherwise provided by law or required by a Court, in order to ensure greater openness, accessibility, and accountability in the regulatory review process, OIRA shall be governed by the following disclosure requirements:

(A) Only the Administrator of OIRA (or a particular designee) shall receive oral communications initiated by persons not employed by the executive branch of the Federal Government regarding the substance of a regulatory action under OIRA review;

(B) All substantive communications between OIRA personnel and persons not employed by the executive branch of the Federal Government regarding a regulatory action under review shall be governed by the following guidelines: (i) A representative from the issuing agency shall be invited to any meeting between OIRA personnel and such person(s);

(ii) OIRA shall forward to the issuing agency, within 10 working days of receipt of the communication(s), all written communications, regardless of format, between OIRA personnel and any person who is not employed by the executive branch of the Federal Government, and the dates and names of individuals involved in all substantive oral communications (including meetings to which an agency representative was invited, but did not attend, and telephone conversations between OIRA personnel and any such persons); and

(iii) OIRA shall publicly disclose relevant information about such communication(s), as set forth below in subsection (b)(4)(C) of this section.

(C) OIRA shall maintain a publicly available log that shall contain, at a minimum, the following information pertinent to regulatory actions under review:

(i) The status of all regulatory actions, including if (and if so, when and by whom) Vice Presidential and Presidential consideration was requested;

(ii) A notation of all written communications forwarded to an issuing agency under subsection (b)(4)(B)(ii) of this section; and

(iii) The dates and names of individuals involved in all substantive oral communications, including meetings and telephone conversations, between OIRA personnel and any person not employed by the executive branch of the Federal Government, and the subject matter discussed during such communications.

(D) After the regulatory action has been published in the **Federal Register** or otherwise issued to the public, or after the agency has announced its decision not to publish or issue the regulatory action, OIRA shall make available to the public all documents exchanged between OIRA and the agency during the review by OIRA under this section.

(5) All information provided to the public by OIRA shall be in plain, understandable language.

Sec. 7. Resolution of Conflicts. To the extent permitted by law, disagreements or conflicts between or among agency heads or between OMB and any agency that cannot be resolved by the Administrator of OIRA shall be resolved by the President, or by the Vice President acting at the request of the President, with the relevant agency head (and, as appropriate, other interested government officials). Vice Presidential and Presidential consideration of such disagreements may be initiated only by the Director, by the head of the issuing agency, or by the head of an agency that has a significant interest in the regulatory action at issue. Such review will not be undertaken at the request of other persons, entities, or their agents.

Resolution of such conflicts shall be informed by recommendations developed by the Vice President, after consultation with the Advisors (and other executive branch officials or personnel whose responsibilities to the President include the subject matter at issue). The development of these recommendations shall be concluded within 60 days after review has been requested.

During the Vice Presidential and Presidential review period, communications with any person not employed by the Federal Government relating to the substance of the regulatory action under review and directed to the Advisors or their staffs or to the staff of the Vice President shall be in writing and shall be forwarded by the recipient to the affected agency(ies) for inclusion in the public docket(s). When the communication is not in writing, such Advisors or staff members shall inform the outside party that the matter is under review and that any comments should be submitted in writing.

At the end of this review process, the President, or the Vice President acting at the request of the President, shall notify the affected agency and the Administrator of OIRA of the President's decision with respect to the matter.

Sec. 8. Publication. Except to the extent required by law, an agency shall not publish in the **Federal Register** or otherwise issue to the public any regulatory action that is subject to review under section 6 of this Executive order until (1) the Administrator of OIRA notifies the agency that OIRA has waived its review of the action or has completed its review without any requests for further consideration, or (2) the applicable time period in section 6(b)(2) expires without OIRA having notified the agency that it is returning the regulatory action for further consideration under section 6(b)(3), whichever occurs first. If the terms of the preceding sentence have not been satisfied and an agency wants to publish or otherwise issue a regulatory action, the head of that agency may request Presidential consideration through the Vice President, as provided under section 7 of this order. Upon receipt of this request, the Vice President shall notify OIRA and the Advisors. The guidelines and time period set forth in section 7 shall apply to the publication of regulatory actions for which Presidential consideration has been sought.

Sec. 9. Agency Authority. Nothing in this order shall be construed as displacing the agencies' authority or responsibilities, as authorized by law.

Sec. 10. Judicial Review. Nothing in this Executive order shall affect any otherwise available judicial review of agency action. This Executive order is intended only to improve the internal management of the Federal Government and does not create any right or benefit, substantive or procedural, enforceable at law or equity by a party against the United States, its agencies or instrumentalities, its officers or employees, or any other person.

Sec. 11. Revocations. Executive Orders Nos. 12291 and 12498; all amendments to those Executive orders; all guidelines issued under those orders; and any exemptions from those orders heretofore granted for any category of rule are revoked.

THE WHITE HOUSE,
September 30, 1993.

[FR citation 58 FR 51735]

generate controversy. A discussion of these and any other burdens placed on the public through FMP regulations should be a part of the FMP's supporting analyses.

(2) *Gains*. The relative distribution of gains may change as a result of instituting different sets of alternatives, as may the specific type of gain. The analysis of benefits should focus on the specific gains produced by each alternative set of management measures, including the status quo. The benefits to society that result from the alternative management measures should be identified, and the level of gain assessed.

[61 FR 32540, June 24, 1996, as amended at 63 FR 7075, Feb. 12, 1998; 63 FR 24234, May 1, 1998]

§ 600.345 National Standard 8—Communities.

(a) *Standard 8*. Conservation and management measures shall, consistent with the conservation requirements of the Magnuson-Stevens Act (including the prevention of overfishing and rebuilding of overfished stocks), take into account the importance of fishery resources to fishing communities in order to:

(1) Provide for the sustained participation of such communities; and

(2) To the extent practicable, minimize adverse economic impacts on such communities.

(b) *General*. (1) This standard requires that an FMP take into account the importance of fishery resources to fishing communities. This consideration, however, is within the context of the conservation requirements of the Magnuson-Stevens Act. Deliberations regarding the importance of fishery resources to affected fishing communities, therefore, must not compromise the achievement of conservation requirements and goals of the FMP. Where the preferred alternative negatively affects the sustained participation of fishing communities, the FMP should discuss the rationale for selecting this alternative over another with a lesser impact on fishing communities. All other things being equal, where two alternatives achieve similar conservation goals, the alternative that provides the greater potential for sustained participation of such communities and minimizes the adverse economic impacts on such communities would be the preferred alternative.

(2) This standard does not constitute a basis for allocating resources to a specific fishing community nor for providing preferential treatment based on residence in a fishing community.

(3) The term ''fishing community'' means a community that is substantially dependent on or substantially engaged in the harvest or processing of fishery resources to meet social and economic needs, and includes fishing vessel owners, operators, and crew, and fish processors that are based in such communities. A fishing community is a social or economic group whose members reside in a specific location and share a common dependency on commercial, recreational, or subsistence fishing or on directly related fisheries-dependent services and industries (for example, boatyards, ice suppliers, tackle shops).

(4) The term ''sustained participation'' means continued access to the fishery within the constraints of the condition of the resource.

(c) *Analysis*. (1) FMPs must examine the social and economic importance of fisheries to communities potentially affected by management measures. For example, severe reductions of harvests for conservation purposes may decrease employment opportunities for fishermen and processing plant workers, thereby adversely affecting their families and communities. Similarly, a management measure that results in the allocation of fishery resources among competing sectors of a fishery may benefit some communities at the expense of others.

(2) An appropriate vehicle for the analyses under this standard is the fishery impact statement required by section 303(a)(9) of the Magnuson-Stevens Act. Qualitative and quantitative data may be used, including information provided by fishermen, dealers, processors, and fisheries organizations and associations. In cases where data are severely limited, effort should be directed to identifying and gathering needed data.

(3) To address the sustained participation of fishing communities that will

be affected by management measures, the analysis should first identify affected fishing communities and then assess their differing levels of dependence on and engagement in the fishery being regulated. The analysis should also specify how that assessment was made. The best available data on the history, extent, and type of participation of these fishing communities in the fishery should be incorporated into the social and economic information presented in the FMP. The analysis does not have to contain an exhaustive listing of all communities that might fit the definition; a judgment can be made as to which are primarily affected. The analysis should discuss each alternative's likely effect on the sustained participation of these fishing communities in the fishery.

(4) The analysis should assess the likely positive and negative social and economic impacts of the alternative management measures, over both the short and the long term, on fishing communities. Any particular management measure may economically benefit some communities while adversely affecting others. Economic impacts should be considered both for individual communities and for the group of all affected communities identified in the FMP. Impacts of both consumptive and non-consumptive uses of fishery resources should be considered.

(5) A discussion of social and economic impacts should identify those alternatives that would minimize adverse impacts on these fishing communities within the constraints of conservation and management goals of the FMP, other national standards, and other applicable law.

[63 FR 24234, May 1, 1998]

§ 600.350 National Standard 9—Bycatch.

(a) *Standard 9*. Conservation and management measures shall, to the extent practicable:

(1) Minimize bycatch; and

(2) To the extent bycatch cannot be avoided, minimize the mortality of such bycatch.

(b) *General*. This national standard requires Councils to consider the bycatch effects of existing and planned conservation and management measures. Bycatch can, in two ways, impede efforts to protect marine ecosystems and achieve sustainable fisheries and the full benefits they can provide to the Nation. First, bycatch can increase substantially the uncertainty concerning total fishing-related mortality, which makes it more difficult to assess the status of stocks, to set the appropriate OY and define overfishing levels, and to ensure that OYs are attained and overfishing levels are not exceeded. Second, bycatch may also preclude other more productive uses of fishery resources.

(c) *Definition—Bycatch*. The term ''bycatch'' means fish that are harvested in a fishery, but that are not sold or kept for personal use. Bycatch includes the discard of whole fish at sea or elsewhere, including economic discards and regulatory discards, and fishing mortality due to an encounter with fishing gear that does not result in capture of fish (i.e., unobserved fishing mortality). Bycatch does not include any fish that legally are retained in a fishery and kept for personal, tribal, or cultural use, or that enter commerce through sale, barter, or trade. Bycatch does not include fish released alive under a recreational catch-and-release fishery management program. A catch-and-release fishery management program is one in which the retention of a particular species is prohibited. In such a program, those fish released alive would not be considered bycatch. Bycatch also does not include Atlantic highly migratory species harvested in a commercial fishery that are not regulatory discards and that are tagged and released alive under a scientific tag-and-release program established by the Secretary.

(d) *Minimizing bycatch and bycatch mortality*. The priority under this standard is first to avoid catching bycatch species where practicable. Fish that are bycatch and cannot be avoided must, to the extent practicable, be returned to the sea alive. Any proposed conservation and management measure that does not give priority to avoiding the capture of bycatch species must be supported by appropriate analyses. In their evaluation, the Councils must consider the net benefits to the Nation, which include, but are not limited to:

submission of comments in electronic form to accompany the required paper copies. Comments filed in electronic form should be submitted either by e–mail to the webmaster below, or on CD–ROM, as comments submitted on diskettes are likely to be damaged by postal radiation treatment.

Comments received in electronic form will be made available to the public in Portable Document Format (PDF) on the Internet at the Import Administration website at the following address: *http://ia.ita.doc.gov/*.

Any questions concerning file formatting, document conversion, access on the Internet, or other electronic filing issues should be addressed to Andrew Lee Beller, Import Administration Webmaster, at (202) 482–0866, email address: *webmaster–support@ita.doc.gov*.

Dated: March 9, 2007.

David Spooner,

Assistant Secretary for Import Administration.

[FR Doc. E7–5169 Filed 3–20–07; 8:45 am]

BILLING CODE 3510–DS–S

DEPARTMENT OF COMMERCE

National Institute of Standards and Technology

Proposed Information Collection; Comment Request; Survey of Information Habits and Preferences of Millennial Scientists

ACTION: Notice.

SUMMARY: The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995.

DATES: Written comments must be submitted on or before May 21, 2007.

ADDRESSES: Direct all written comments to Diana Hynek, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6625, 14th and Constitution Avenue, NW., Washington, DC 20230 (or via the Internet at *dHynek@doc.gov*).

FOR FURTHER INFORMATION CONTACT: Requests for additional information or copies of the information collection instrument(s) and instructions should be directed to Terrie Wheeler, Assistant Chief, Information Services Division, at (301) 975–3772, *terrie.wheeler@nist.gov*.

SUPPLEMENTARY INFORMATION:

I. Abstract

This study will determine how the next generation of scientists, frequently referred to as the Millennial Generation, will seek scientific information in their research. This generation was born between 1982 and 2000. Having grown up with information technology, general studies show this population has technological preferences for receiving and integrating content, and this study is to learn if this extends to the scientific content among young scientists. It will identify most useful (and most desired) devices and formats, so that the Information Services Division can plan to serve the next generation of scientists. The findings will impact how digital scientific content is harvested, identified using metadata, stored, accessed, and disseminated. The project will identify young scientists' preferences for content format and ease of assimilation into current processes. Specifically the project aims to learn: (1) Which library resources and information services are most valuable and why, and (2) what scientific library resources do not exist that could, or are not yet robust enough to be valuable. Further the study aims to learn: (3) In what specific ways are commercial Internet tools both successful and unsuccessful in helping find answers, (4) which platforms and devices are most helpful and why, and (5) which technologies help support collaboration with peers. The project plans to use Summer Undergraduate Research Fellowship (SURF) students who work at the National Institute of Standards and Technology every summer as the test population. The survey is voluntary, and all information gathered will be carefully safeguarded.

II. Method of Collection

The study will use an electronic survey form. SURF students will have the URL sent to them in an e-mail message so they may take the survey on any computer with a Web browser if they choose.

III. Data

OMB Number: None.

Form Number: None.

Type of Review: Regular submission.

Affected Public: Students enrolled in the NIST SURF program for 2007.

Estimated Number of Respondents: 100.

Estimated Time per Response: 20 minutes.

Estimated Total Annual Burden Hours: 33.

Estimated Total Annual Cost to Public: $0.

IV. Request for Comments

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: March 14, 2007.

Gwellnar Banks,

Management Analyst, Office of the Chief Information Officer.

[FR Doc. E7–5097 Filed 3–20–07; 8:45 am]

BILLING CODE 3510–13–P

DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

Proposed Information Collection; Comment Request; West Coast Community Economic Data Collection

AGENCY: National Oceanic and Atmospheric Administration (NOAA), Commerce.

ACTION: Notice.

SUMMARY: The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995.

DATES: Written comments must be submitted on or before May 21, 2007.

ADDRESSES: Direct all written comments to Diana Hynek, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6625, 14th and Constitution Avenue, NW., Washington, DC 20230 (or via the Internet at *dHynek@doc.gov*).

FOR FURTHER INFORMATION CONTACT: Requests for additional information or copies of the information collection instrument and instructions should be directed to Philip Watson, (206) 947–3107 or *philip.watson@noaa.gov.*

SUPPLEMENTARY INFORMATION:

I. Abstract

The National Marine Fisheries Service (NMFS) proposes to collect information pertaining to the economic utilization of marine resources by communities on the West Coast, in order to improve fishery management; satisfy NMFS' legal mandates under Executive Order 12866, Title 8 of the Magnuson Steven Fishery Conservation and Management Act (U.S.C. 1801 *et seq.*), as amended in 2007; and quantify achievement of the performance measures in the NMFS Strategic Operating Plans. The data collected will enable researchers to determine the degree of dependence of these communities on marine resource based activities and will inform policy makers as to the likely economic impacts of fishery and marine regulations on these communities.

Economic data for selected U.S. coastal communities will be collected for each of the following groups of operations: (1) Locally operated businesses; (2) resident households; and (3) visitors. In general, local businesses will be asked questions concerning their sources of revenue, location and levels of expenditures, ownership, dependence on the fisheries and other marine resources, and fishery employment. Households will be asked questions concerning their sources of income, the location of expenditures made, and their dependence on fishing and other marine resources. Visitors will be asked questions concerning region of residence, expenditures made while visiting, and reasons for visiting. The data collection efforts will be coordinated to reduce the additional burden for those who own multiple businesses. Participation in these data collections will be voluntary.

The data will be used to construct a regional economic simulation model to analyze fishery management alternatives and to investigate the degree of economic dependence on marine resources in the respective communities.

II. Method of Collection

Data will be collected via in-person interviews, telephone interviews and/or mail questionnaire.

III. Data

OMB Number: None.

Form Number: None.

Type of Review: Regular submission.

Affected Public: Individuals or households; business or other for-profit organizations.

Estimated Number of Respondents: 2,400.

Estimated Time per Response: 1 hour per survey of businesses; 30 minutes per survey of households; and 15 minutes per survey of individual visitors.

Estimated Total Annual Burden Hours: 998.

Estimated Total Annual Cost to Public: $0.

IV. Request for Comments

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: March 15, 2007.

Gwellnar Banks,

Management Analyst, Office of the Chief Information Officer.

[FR Doc. E7–5094 Filed 3–20–07; 8:45 am]

BILLING CODE 3510–22–P

DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

[I.D. 031507A]

Endangered Species; File No. 1599

AGENCY: National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA), Commerce.

ACTION: Notice; receipt of application.

SUMMARY: Notice is hereby given that Inwater Research Group, Inc (Michael J. Bresette-Responsible Party), 4160 NE Hyline Dr, Jensen Beach, FL, 34957, has applied in due form for a permit to take green (*Chelonia mydas*), loggerhead (*Caretta caretta*), hawksbill (*Eretmochelys imbricata*), and Kemp's ridley (*Lepidochelys kempii*) sea turtles for purposes of scientific research.

DATES: Written, telefaxed, or e-mail comments must be received on or before April 20, 2007.

ADDRESSES: The application and related documents are available for review upon written request or by appointment in the following office(s):

Permits, Conservation and Education Division, Office of Protected Resources, NMFS, 1315 East-West Highway, Room 13705, Silver Spring, MD 20910; phone (301) 713–2289; fax (301) 427–2521; and

Southeast Region, NMFS, 263 13th Avenue South, St. Petersburg, FL 33701; phone (727) 824–5312; fax (727) 824–5309.

Written comments or requests for a public hearing on this application should be mailed to the Chief, Permits, Conservation and Education Division, F/PR1, Office of Protected Resources, NMFS, 1315 East-West Highway, Room 13705, Silver Spring, MD 20910. Those individuals requesting a hearing should set forth the specific reasons why a hearing on this particular request would be appropriate.

Comments may also be submitted by facsimile at (301)427–2521, provided the facsimile is confirmed by hard copy submitted by mail and postmarked no later than the closing date of the comment period.

Comments may also be submitted by e-mail. The mailbox address for providing e-mail comments is *NMFS.Pr1Comments@noaa.gov*. Include in the subject line of the e-mail comment the following document identifier: File No. 1599.

FOR FURTHER INFORMATION CONTACT: Kate Swails or Patrick Opay, (301)713–2289.

SUPPLEMENTARY INFORMATION: The subject permit is requested under the authority of the Endangered Species Act of 1973, as amended (ESA; 16 U.S.C. 1531 *et seq.*) and the regulations governing the taking, importing, and exporting of endangered and threatened species (50 CFR 222–226).

The purpose of the proposed research is to continue long term monitoring of sea turtles foraging in the Key West National Wildlife Refuge and surrounding waters. The applicant would net or hand capture up to 200 green, 200 loggerhead, 50 hawksbill, and 10 Kemp's ridley sea turtles per year. The turtles would be measured, weighed, flipper and Passive Integrated Transponder tagged, blood and tissue sampled, marked with paint, and released. A subset of green turtles would be lavaged and satellite tagged. The permit would be valid for five years.