Diana Hynek 05/25/2006

Departmental Paperwork Clearance Officer Office of the Chief Information Officer 14th and Constitution Ave. NW. Room 6625

Washington, DC 20230

In accordance with the Paperwork Reduction Act, OMB has taken the following action on your request for approval of a new information collection received on 04/05/2006.

TITLE: Northeast Regional Sea Scallop Framework 18

Requirements

AGENCY FORM NUMBER(S): None

ACTION : APPROVED WITHOUT CHANGE

OMB NO.: 0648-0541

EXPIRATION DATE: 05/31/2009

BURDEN:	RESPONSES	HOURS	COSTS(\$,000)
Previous	0	0	0
New	500	17	0
Difference	500	17	0
Program Chang	е	17	0
Adjustment		0	0

TERMS OF CLEARANCE: None

NOTE: The agency is required to display the OMB control number and inform respondents of its legal significance (see 5 CFR 1320.5(b)).

OMP Authorities Official Hitle

OMB Authorizing Official Title

Donald R. Arbuckle Deputy Administrator, Office of

Information and Regulatory Affairs

PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's

Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503. 1. Agency/Subagency originating request 2. OMB control number b. [] None 3. Type of information collection (*check one*) Type of review requested (check one) Regular submission a. [b. [Emergency - Approval requested by ____ a. [] New Collection Delegated b. [] Revision of a currently approved collection c. [] Extension of a currently approved collection 5. Small entities Will this information collection have a significant economic impact on a substantial number of small entities? [] Yes [] No d. [] Reinstatement, without change, of a previously approved collection for which approval has expired e. [] Reinstatement, with change, of a previously approved collection for which approval has expired 6. Requested expiration date f. [] Existing collection in use without an OMB control number a. [] Three years from approval date b. [] Other Specify: For b-f, note Item A2 of Supporting Statement instructions 7. Title 8. Agency form number(s) (if applicable) 9. Keywords 10. Abstract 11. Affected public (Mark primary with "P" and all others that apply with "x") 12. Obligation to respond (check one) a. __Individuals or households d. ___Farms
b. __Business or other for-profite. ___Federal Government] Voluntary Business or other for-profite. Federal Government

Not-for-profit institutions f. State, Local or Tribal Government Required to obtain or retain benefits 1 Mandatory 13. Annual recordkeeping and reporting burden 14. Annual reporting and recordkeeping cost burden (in thousands of a. Number of respondents b. Total annual responses a. Total annualized capital/startup costs 1. Percentage of these responses b. Total annual costs (O&M) collected electronically c. Total annualized cost requested c. Total annual hours requested d. Current OMB inventory d. Current OMB inventory e. Difference e. Difference f. Explanation of difference f. Explanation of difference 1. Program change 1. Program change 2. Adjustment 2. Adjustment 16. Frequency of recordkeeping or reporting (check all that apply) 15. Purpose of information collection (Mark primary with "P" and all others that apply with "X") a. [] Recordkeeping b. [] Third party disclosure] Reporting a. ___ Application for benefits Program planning or management 1. [] On occasion 2. [] Weekly Program evaluation f. Research 3. [] Monthly General purpose statistics g. Regulatory or compliance 4. [] Quarterly 5. [] Semi-annually 6. [] Annually 7. [] Biennially 8. [] Other (describe) 18. Agency Contact (person who can best answer questions regarding 17. Statistical methods Does this information collection employ statistical methods the content of this submission) [] Yes [] No Phone:

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19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

NOTE: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
 - (i) Why the information is being collected;
 - (ii) Use of information;
 - (iii) Burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, mandatory);
 - (v) Nature and extent of confidentiality; and
 - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee Date

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Agency Certification (signature of Assistant Administrator, Deputy Assistant Administrator, Line Office Chief Information Officer, head of MB staff for L.O.s, or of the Director of a Program or StaffOffice)					
Signature	Date				
Signature of NOAA Clearance Officer					
Signature	Date				

SUPPORTING STATEMENT NORTHEAST REGION SEA SCALLOP FRAMEWORK 18 REQUIREMENTS OMB CONTROL NO.: 0648-xxxx

INTRODUCTION

This submission requests approval from the Office of Management and Budget (OMB) for Paperwork Reduction Act (PRA) clearance for the collection of information related to as it pertains to Framework 18 to the Sea Scallop Fishery Management Plan (FMP) reporting requirements. Any vessel that has been authorized by the Regional Administrator to take a compensation trip as the result of a broken trip into a Sea Scallop Access Area must enter a unique trip identification code into their Vessel Monitoring System (VMS) prior to departure. This new collection of information will be merged into 0648-0491, along with other currently approved Atlantic Sea Scallop requirements, after RIN 0648-AT25, to which this collection request is related, becomes final.

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary.

The New England Fishery Management Council (Council) developed Framework 18 to the Atlantic Sea Scallop FMP to establish open area and area rotation measures for the 2006 and 2007 fishing years. Based on these measures, Limited Access scallop vessels are allocated a specified number of trips into each Access Area with a possession limit according to the vessels permit category (50 CFR 648.60(a)(5)). If a trip is terminated before the vessel retains their possession limit (a so-called broken trip), a vessel owner may request from the Regional Administrator authorization to take an additional trip (a compensation trip) to retain the remainder of the possession limit not taken on the broken trip. With the passage of Framework 17, which eliminated the broken trip penalty, and an expected increase in the number of Access Areas, the number of broken trips is expected to increase, potentially compromising efficient tracking of compensation trips authorized by the Regional Administrator. This problem is exacerbated when vessels have numerous broken trips, broken compensation trips, or requests for numerous compensation trips to be pooled. To remedy this problem, Framework 18 will require vessels taking compensation trips to enter a unique trip identification code into their VMS units when departing on a compensation trip. These codes will greatly facilitate the oversight of Access Area compensation trips and are necessary for National Marine Fisheries Service (NMFS) to enhance monitoring and enforcement of the Sea Scallop Access Area Program.

2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.

Several offices of NMFS, the U.S. Coast Guard and the Fishery Management Councils will utilize the information. Data collected through these programs will be incorporated into the NMFS database. Aggregated summaries of the collected information will be used to evaluate the

management program and future management proposals. As explained in item #1, the information gathered has utility. National Oceanic and Atmospheric Administration (NOAA) Fisheries will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See response #10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review pursuant to Section 515 of Public Law 106-554.

3. <u>Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.</u>

This proposal under Framework 18 will utilize existing vessel monitoring system (VMS) technology to minimize reporting burdens. The VMS unit is used to transmit information to and from the vessel in addition to tracking the geographic location of the fishing vessel. Limited Access permit holders are required to declare fishing trips via their VMS unit prior to departing port (50 CFR 648.10). Under Framework 18, a vessel taking a compensation trip would also include a trip identification code with their trip declaration.

4. Describe efforts to identify duplication.

There is no duplication of effort to collect the information required by this PRA submission.

5. <u>If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.</u>

All of the respondents are considered small businesses. Only the minimum data needed to monitor compliance with regulations are requested from respondents. All Limited Access Scallop Vessels already require VMS transmissions when they start a trip. This action requires vessels starting an authorized compensation trip to enter a unique trip identification code.

6. <u>Describe the consequences to the Federal program or policy activities if the collection is</u> not conducted or is conducted less frequently.

The administrative burden of tracking compensation trips has increased since the termination of the broken trip penalty. This burden is expected to increase further as more Access Areas are created. Unique compensation trip identification codes will greatly improve the ability of NMFS managers and enforcement officers to quickly and accurately track the status of authorized compensation trips. Without this unique tracking code, the management and oversight of compensation trips will require substantially more administrative resources.

7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

Vessels will be required to submit a trip identification code each time they embark on an authorized compensation trip. Consequently, individuals may be required to submit a trip identification code more often than quarterly.

8. Provide a copy of the PRA Federal Register notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

The specific requirements of Framework 18 were developed, with opportunity for public input, during 4 Scallop Oversight Committee meetings and 3 Council meetings between June, 2005 and November, 2005. A proposed rule, RIN 0648-AT25 Fisheries of the Northeastern United States; Atlantic Sea Scallop Fishery; Framework Adjustment 18, will be published in the <u>Federal</u> Register in March 2006.

9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

No payment or gift will be made to respondents.

10. <u>Describe any assurance of confidentiality provided to respondents and the basis for</u> assurance in statute, regulation, or agency policy.

All data will be kept confidential as required by Section 402(b) of the Magnuson-Stevens Act, will be maintained in accordance with NOAA Administrative Order 216-100, Confidentiality of Fisheries Statistics, and will not be released for public use except in aggregate statistical form (and without identifying the source of data, i.e. vessel name, owner, etc.)

11. <u>Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.</u>

There are no questions of a sensitive nature.

12. Provide an estimate in hours of the burden of the collection of information.

Table 1 summarizes the time burden of this new reporting requirement. Limited Access vessels are already required to submit information to NMFS via their VMS unit prior to starting an Access Area trip. If a vessel is taking a compensation trip, after the trip declaration has been made, it will be directed to a new screen for entry of the trip identification code. It is estimated that it will take an additional 2 minutes for each trip identification code to be entered into the VMS unit and sent.

It is difficult to estimate the number of compensation trips that will be requested in the 2006-fishing year. As a point of reference, it is estimated that 400 compensation trips will be

requested in 2005 (Table 2). The number is an estimate due to the fact that data for January and February 2006 is not yet available. However, due to regulatory changes in Framework 17 and Framework 18, and to the fact that vessels often take multiple compensation trips to retain the balance of a broken trip, it is anticipated that the number of compensation trips will increase in 2006. Therefore, a reasonable average annual estimate is 500 compensation trips. The estimated annualized time for the 500 trip identification code entries, at 2 minutes each, is 17 hours. At an hourly rate of \$15, the time burden cost would be \$250 (2 minutes*\$15*500 trips).

13. Provide an estimate of the total annual cost burden to the respondents or recordkeepers resulting from the collection (excluding the value of the burden hours in #12 above).

Table 1 summarizes the cost burden of this new reporting requirement. Limited Access vessels are already required to submit information to NMFS via their VMS unit prior to starting an Access Area trip. It will potentially cost an additional \$0.79 for each trip identification code to be sent via VMS. The estimated annual cost for 500 compensation trips at \$0.79 per trip results in an annual cost burden of \$395 (\$0.79*500).

14. Provide estimates of annualized cost to the Federal government.

The NMFS Northeast Region oversees the authorization of compensation trips. This new reporting requirement will substantially reduce the administrative burden of this program. NMFS staff will provide identification codes to the Regional Administrator for issuance, and will process the code once it has been transmitted through the vessel's VMS system. Any costs associated with the trip identification code are insignificant when compared with the previous method for processing and tracking compensation trips.

15. Explain the reasons for any program changes or adjustments reported in Items 13 or 14 of the OMB 83-I.

This is a new program.

16. For collections whose results will be published, outline the plans for tabulation and publication.

Results from this collection may be used in scientific, management, technical or general informational publications such as Fisheries of the United States, which follows prescribed statistical tabulations and summary table formats. Data are available to the general public on request in summary form only. Data are available to NMFS employees in detailed form on a need-to-know basis only.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

There are no reasons why display would be inappropriate.

18. Explain each exception to the certification statement identified in Item 19 of the OMB 83-I.

There are no exceptions.

TABLES

Table 1. Burden and Cost estimates for the Public and Government

Requirement	Number of Entities		Total Number of Items	Response Time per Item (minutes)	Total Burden Hours	Total Labor Cost@\$15/ hr	Record Keeping Cost per Item	Total Record Keeping Cost to Public	Total Costs to Government
Trip Code transmission	500	1	500	2	17	\$250	\$0.79	\$395	0

Table 2. Summary of broken trips and compensation trips in the Sea Scallop Fishery

2005 Sea Scallop Fishing Year	March	April	May	June	July	Aug.	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	Total
Broken Trips	7	12	28	59	66	61	41	37	28	14	na	na	352

^{*} Note: It is likely the number of compensation trips will exceed the number of broken trips. Vessels often take multiple compensation trips to retain the remaining balance of an Access Area trip.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

No statistical methods are employed in the information collection procedures.

OMB Control No.: 0648-xxxx Expiration Date: mm/dd/yyyy

Sea Scallop Access Area Compensation Trip Declaration Form

You have declared into a Sea Scallop Access Area compensation trip. Enter the trip identification code(s) found on your compensation letter(s) of authorization.

Identification Code(s):

#1	#2	#3		
#4	#5	#6		

SUBMIT

Paperwork Reduction Act Statement: Public reporting burden for this collection of information is estimated to average 2 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to (name), NOAA Fisheries, (address).

All data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Magnuson-Stevens Fishery Conservation and Management Act

Public Law 94-265

As amended through October 11, 1996

SEC. 303. CONTENTS OF FISHERY MANAGEMENT PLANS 16 U.S.C. 1853

95-354, 99-659, 101-627, 104-297

- (a) **REQUIRED PROVISIONS.**--Any fishery management plan which is prepared by any Council, or by the Secretary, with respect to any fishery, shall--
- (1) contain the conservation and management measures, applicable to foreign fishing and fishing by vessels of the United States, which are--
 - (A) necessary and appropriate for the conservation and management of the fishery to prevent overfishing and rebuild overfished stocks, and to protect, restore, and promote the long-term health and stability of the fishery;
 - (B) described in this subsection or subsection (b), or both; and
 - (C) consistent with the national standards, the other provisions of this Act, regulations implementing recommendations by international organizations in which the United States participates (including but not limited to closed areas, quotas, and size limits), and any other applicable law;
- (2) contain a description of the fishery, including, but not limited to, the number of vessels involved, the type and quantity of fishing gear used, the species of fish involved and their location, the cost likely to be incurred in management, actual and potential revenues from the fishery, any recreational interest in the fishery, and the nature and extent of foreign fishing and Indian treaty fishing rights, if any;
- (3) assess and specify the present and probable future condition of, and the maximum sustainable yield and optimum yield from, the fishery, and include a summary of the information utilized in making such specification;
 - (4) assess and specify--
 - (A) the capacity and the extent to which fishing vessels of the United States, on an annual basis, will harvest the optimum yield specified under paragraph (3),
 - (B) the portion of such optimum yield which, on an annual basis, will not be harvested by fishing vessels of the United States and can be made available for foreign fishing, and
 - (C) the capacity and extent to which United States fish processors, on an annual basis, will process that portion of such optimum yield that will be harvested by fishing vessels of the United States;

- (5) specify the pertinent data which shall be submitted to the Secretary with respect to commercial, recreational, and charter fishing in the fishery, including, but not limited to, information regarding the type and quantity of fishing gear used, catch by species in numbers of fish or weight thereof, areas in which fishing was engaged in, time of fishing, number of hauls, and the estimated processing capacity of, and the actual processing capacity utilized by, United States fish processors;
- (6) consider and provide for temporary adjustments, after consultation with the Coast Guard and persons utilizing the fishery, regarding access to the fishery for vessels otherwise prevented from harvesting because of weather or other ocean conditions affecting the safe conduct of the fishery; except that the adjustment shall not adversely affect conservation efforts in other fisheries or discriminate among participants in the affected fishery;
- (7) describe and identify essential fish habitat for the fishery based on the guidelines established by the Secretary under section 305(b)(1)(A), minimize to the extent practicable adverse effects on such habitat caused by fishing, and identify other actions to encourage the conservation and enhancement of such habitat:
- (8) in the case of a fishery management plan that, after January 1, 1991, is submitted to the Secretary for review under section 304(a) (including any plan for which an amendment is submitted to the Secretary for such review) or is prepared by the Secretary, assess and specify the nature and extent of scientific data which is needed for effective implementation of the plan;
- (9) include a fishery impact statement for the plan or amendment (in the case of a plan or amendment thereto submitted to or prepared by the Secretary after October 1, 1990) which shall assess, specify, and describe the likely effects, if any, of the conservation and management measures on--
 - (A) participants in the fisheries and fishing communities affected by the plan or amendment; and
 - (B) participants in the fisheries conducted in adjacent areas under the authority of another Council, after consultation with such Council and representatives of those participants;
- (10) specify objective and measurable criteria for identifying when the fishery to which the plan applies is overfished (with an analysis of how the criteria were determined and the relationship of the criteria to the reproductive potential of stocks of fish in that fishery) and, in the case of a fishery which the Council or the Secretary has determined is approaching an overfished condition or is overfished, contain conservation and management measures to prevent overfishing or end overfishing and rebuild the fishery;
 - (11) establish a standardized reporting methodology to assess the amount and type of

bycatch occurring in the fishery, and include conservation and management measures that, to the extent practicable and in the following priority--

- (A) minimize bycatch; and
- (B) minimize the mortality of bycatch which cannot be avoided;
- (12) assess the type and amount of fish caught and released alive during recreational fishing under catch and release fishery management programs and the mortality of such fish, and include conservation and management measures that, to the extent practicable, minimize mortality and ensure the extended survival of such fish:
- (13) include a description of the commercial, recreational, and charter fishing sectors which participate in the fishery and, to the extent practicable, quantify trends in landings of the managed fishery resource by the commercial, recreational, and charter fishing sectors; and
- (14) to the extent that rebuilding plans or other conservation and management measures which reduce the overall harvest in a fishery are necessary, allocate any harvest restrictions or recovery benefits fairly and equitably among the commercial, recreational, and charter fishing sectors in the fishery.

97-453, 99-659, 101-627, 102-251, 104-297

- **(b) DISCRETIONARY PROVISIONS.**—Any fishery management plan which is prepared by any Council, or by the Secretary, with respect to any fishery, may—
- (1) require a permit to be obtained from, and fees to be paid to, the Secretary, with respect to--
 - (A) any fishing vessel of the United States fishing, or wishing to fish, in the exclusive economic zone [or special areas,]* or for anadromous species or Continental Shelf fishery resources beyond such zone [or areas]*;
 - (B) the operator of any such vessel; or
 - (C) any United States fish processor who first receives fish that are subject to the plan;
- (2) designate zones where, and periods when, fishing shall be limited, or shall not be permitted, or shall be permitted only by specified types of fishing vessels or with specified types and quantities of fishing gear;
- (3) establish specified limitations which are necessary and appropriate for the conservation and management of the fishery on the--
 - (A) catch of fish (based on area, species, size, number, weight, sex, bycatch, total biomass, or other factors);
 - (B) sale of fish caught during commercial, recreational, or charter fishing, consistent with any applicable Federal and State safety and quality requirements; and

- (C) transshipment or transportation of fish or fish products under permits issued pursuant to section 204;
- (4) prohibit, limit, condition, or require the use of specified types and quantities of fishing gear, fishing vessels, or equipment for such vessels, including devices which may be required to facilitate enforcement of the provisions of this Act;
- (5) incorporate (consistent with the national standards, the other provisions of this Act, and any other applicable law) the relevant fishery conservation and management measures of the coastal States nearest to the fishery;
- (6) establish a limited access system for the fishery in order to achieve optimum yield if, in developing such system, the Council and the Secretary take into account--
 - (A) present participation in the fishery,
 - (B) historical fishing practices in, and dependence on, the fishery,
 - (C) the economics of the fishery,
 - (D) the capability of fishing vessels used in the fishery to engage in other fisheries,
 - (E) the cultural and social framework relevant to the fishery and any affected fishing communities, and
 - (F) any other relevant considerations;
- (7) require fish processors who first receive fish that are subject to the plan to submit data (other than economic data) which are necessary for the conservation and management of the fishery;
- (8) require that one or more observers be carried on board a vessel of the United States engaged in fishing for species that are subject to the plan, for the purpose of collecting data necessary for the conservation and management of the fishery; except that such a vessel shall not be required to carry an observer on board if the facilities of the vessel for the quartering of an observer, or for carrying out observer functions, are so inadequate or unsafe that the health or safety of the observer or the safe operation of the vessel would be jeopardized;
- (9) assess and specify the effect which the conservation and management measures of the plan will have on the stocks of naturally spawning anadromous fish in the region;
- (10) include, consistent with the other provisions of this Act, conservation and management measures that provide harvest incentives for participants within each gear group to employ fishing practices that result in lower levels of bycatch or in lower levels of the mortality of bycatch;
- (11) reserve a portion of the allowable biological catch of the fishery for use in scientific research: and

(12) prescribe such other measures, requirements, or conditions and restrictions as are determined to be necessary and appropriate for the conservation and management of the fishery.

97-453, 104-297

- **(c) PROPOSED REGULATIONS**.--Proposed regulations which the Council deems necessary or appropriate for the purposes of--
- (1) implementing a fishery management plan or plan amendment shall be submitted to the Secretary simultaneously with the plan or amendment under section 304; and
- (2) making modifications to regulations implementing a fishery management plan or plan amendment may be submitted to the Secretary at any time after the plan or amendment is approved under section 304.