#### NOTICE OF OFFICE OF MANAGEMENT AND BUDGET ACTION

Date 12/08/2006

Department of Commerce

National Oceanic and Atmospheric Administration

FOR CERTIFYING OFFICIAL: Barry West FOR CLEARANCE OFFICER: Diana Hynek

In accordance with the Paperwork Reduction Act, OMB has taken action on your request received <u>09/16/2006</u>

ACTION REQUESTED: Extension without change of a currently approved collection

TYPE OF REVIEW REQUESTED: Regular ICR REFERENCE NUMBER: 200608-0648-004 TITLE: Southeast Region Permit Family of Forms

LIST OF INFORMATION COLLECTIONS: See next page

OMB ACTION: <u>Approved with change</u> OMB CONTROL NUMBER: <u>0648-0205</u>

The agency is required to display the OMB Control Number and inform respondents of its legal significance in

accordance with 5 CFR 1320.5(b).

EXPIRATION DATE: 12/31/2009 DISCONTINUE DATE:

BURDEN:	RESPONSES	HOURS	COSTS
Previous	62,408	15,770	651,000
New	60,248	15,671	650,679
Difference			
Change due to New Statute	0	0	0
Change due to Agency Discretion	0	-98	-321
Change due to Agency Adjustment	-2,160	-1	0
Change Due to Potential Violation of the PRA	0	0	0

TERMS OF CLEARANCE: Burden has been increased to reflect information provided in the supporting statement. OMB requests in the future additional information about which forms and requirements have been grouped into which information collections.

OMB Authorizing Official: John F. Morrall III

Acting Deputy Administrator,

Office Of Information And Regulatory Affairs

	List o	of ICs	
IC Title	Form No.	Form Name	CFR Citation
Dealer permits	NA	Annual dealer permit application form	50 CFR 622.4
Vessels fishing in the Exclusive Economic Zone	NA, NA	Federal permit form for vessels fishing for wreckfish off the South Atlantic states, Permit form for vessels fishing in the exclusive economic zone	50 CFR 622.4
Shrimp fishery permitting and reporting	NA, NA, NA	Permit Application for vessels fishing in the Exclusive Economic Zone for Shrimp, Gulf of Mexico Shrimp Federal Permit Reporting Form, Application for vessel operator card (shrimp and dolphin/wahoo), Basis of eligibility for Gulf of Mexico Shrimp Moratorium	50 CFR 622.4
Live rock permitting and reporting	NA, NA, NA	Application for federal permit for the harvest of aquacultured live rock, Aquaculture Site Evaluation Report, Aquacultured Live Rock Report (harvesting activity)	50 CFR 622.4
Golden crab permitting and reporting	NA	Federal permit application for vessels fishing in the EEZ for golden crab	50 CFR 622.17
Dolphin/wahoo permit applications and operator cards	NA	Federal permit application for Southeast Region operator card: shrimp and dolphin/wahoo	
Fishing in Colombian Waters	NA	Federal permit/certificate application to fish in Columbian Treaty Waters	50 CFR 622.4

#### PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's

Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the supporting statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW, Washington, DC 20503. 1. Agency/Subagency originating request 2. OMB control number b. [ ] None 3. Type of information collection (*check one*) Type of review requested (check one) Regular submission a. [ b. [ Emergency - Approval requested by \_\_\_\_ a. [ ] New Collection Delegated b. [ ] Revision of a currently approved collection c. [ ] Extension of a currently approved collection 5. Small entities Will this information collection have a significant economic impact on a substantial number of small entities? [ ] Yes [ ] No d. [ ] Reinstatement, without change, of a previously approved collection for which approval has expired e. [ ] Reinstatement, with change, of a previously approved collection for which approval has expired 6. Requested expiration date f. [ ] Existing collection in use without an OMB control number a. [ ] Three years from approval date b. [ ] Other Specify: For b-f, note Item A2 of Supporting Statement instructions 7. Title 8. Agency form number(s) (if applicable) 9. Keywords 10. Abstract 11. Affected public (Mark primary with "P" and all others that apply with "x") 12. Obligation to respond (check one) a. \_\_Individuals or households d. \_\_\_Farms
b. \_\_Business or other for-profite. \_\_\_Federal Government ] Voluntary Business or other for-profite. Federal Government

Not-for-profit institutions f. State, Local or Tribal Government Required to obtain or retain benefits 1 Mandatory 13. Annual recordkeeping and reporting burden 14. Annual reporting and recordkeeping cost burden (in thousands of a. Number of respondents b. Total annual responses a. Total annualized capital/startup costs 1. Percentage of these responses b. Total annual costs (O&M) collected electronically c. Total annualized cost requested c. Total annual hours requested d. Current OMB inventory d. Current OMB inventory e. Difference e. Difference f. Explanation of difference f. Explanation of difference 1. Program change 1. Program change 2. Adjustment 2. Adjustment 16. Frequency of recordkeeping or reporting (check all that apply) 15. Purpose of information collection (Mark primary with "P" and all others that apply with "X") a. [ ] Recordkeeping b. [ ] Third party disclosure ] Reporting a. \_\_\_ Application for benefits Program planning or management 1. [ ] On occasion 2. [ ] Weekly Program evaluation f. Research 3. [ ] Monthly General purpose statistics g. Regulatory or compliance 4. [ ] Quarterly 5. [ ] Semi-annually 6. [ ] Annually 7. [ ] Biennially 8. [ ] Other (describe) 18. Agency Contact (person who can best answer questions regarding 17. Statistical methods Does this information collection employ statistical methods the content of this submission) [ ] Yes [ ] No Phone:

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#### 19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9

**NOTE:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.* 

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It used plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention period for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (i) Why the information is being collected;
  - (ii) Use of information;
  - (iii) Burden estimate;
  - (iv) Nature of response (voluntary, required for a benefit, mandatory);
  - (v) Nature and extent of confidentiality; and
  - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of the provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Senior Official or designee Date

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Agency Certification (signature of Assistant Administrator, Deputy Assistant Administrator, Line Office Chief Info head of MB staff for L.O.s, or of the Director of a Program or StaffOffice)	ormation Officer,
Signature	Date
Signature of NOAA Clearance Officer	
Signature	Date

#### SUPPORTING STATEMENT SOUTHEAST REGION PERMIT FAMILY OF FORMS OMB CONTROL NO.: 0648-0205

#### A. JUSTIFICATION

#### 1. Explain the circumstances that make the collection of information necessary.

The Southeast Region covers the eight coastal states of North Carolina, South Carolina, Georgia, Florida, Alabama, Mississippi, Louisiana and Texas, the inland states of Arkansas, Iowa, Kansas, Kentucky, Missouri, Nebraska, New Mexico, Oklahoma and Tennessee, as well as the Commonwealth of Puerto Rico and the U.S. Virgin Islands. The Sustainable Fisheries Division, Southeast Regional Office, National Marine Fisheries Service (NMFS), is entrusted with the conservation, management, and protection of marine fishery resources inhabiting federal waters off the southeastern United States from North Carolina through Texas and Puerto Rico and the U.S. Virgin Islands. The Division is the Region's focal point for implementing NMFS' primary legislative authority for fisheries management and research, the Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act), as amended by the Sustainable Fisheries Act (SFA).

The Division works directly with the Region's three fishery management councils established by Congress to perform the mandates of the Magnuson-Stevens Act. These mandates are accomplished through fishery management plans for marine finfish and crustaceans that support important commercial and recreational fisheries in the Gulf of Mexico, South Atlantic Ocean, and Caribbean Sea and consider conservation and management issues, sociological and economic issues, and regulatory issues. Functions and activities required to fulfill this and other responsibilities as specified in the Magnuson-Stevens Act include: providing guidance on fisheries management; providing technical assistance and advise in preparing fishery management plans (FMPs) in accordance with national standard guidelines and other applicable laws; coordinating public review and compilation of comments; initiating Secretarial review of FMPs and amendments; drafting regulations and Federal Register notices, as well as reviewing and responding to comments received during rulemaking; fishery management plan (FMP) implementation; and monitoring.

A major component of fisheries management in the Region is the permit system and the information collected by these permits. The permit/endorsement system has the following uses:

- a. Registration of actual and/or potential fishing vessels/dealers.
- b. Collection of data relevant to the characteristics of both vessels and (potential) fishermen.
- c. Secure compliance (e.g., do not issue permits until unpaid penalties have been collected and reporting requirements are fulfilled).
- d. Provide a mailing list for the dissemination of regulatory information.
- e. Register participants for fisheries with special restrictions/limited access.
- f. Provide sample frames for data collection.
- g. Permit purchase information for fleet economic analyses.

Accordingly, numerous FMPs and Amendments have been developed by the Region which requires the collection of information for purposes of proper implementation of these rules. Regulations implementing the FMPs and their collection of information appear at <u>50 CFR</u> 600.305, <u>50 CFR</u> 600.315, and <u>50 CFR</u> 622.5.

#### Dolphin/Wahoo Fishery Management Plan

In 1999, NOAA Fisheries, on behalf of the Secretary, designated the South Atlantic Fishery Management Council (SAFMC) as the administrative lead in jointly preparing and amending a dolphin and wahoo FMP. This plan was to be completed with the cooperation of the Gulf of Mexico and Caribbean Fishery Management Councils, with the New England and Mid-Atlantic Fishery Management Councils acting in an advisory capacity to the SAFMC. Several logistic issues delayed the finalization of the joint FMP. The SAFMC was concerned that identified social and economic issues in their area of jurisdiction (the U.S. Atlantic coast) would not be addressed in a timely manner. In July 2002, the SAFMC requested that the Secretary redesignate them as true lead on an FMP encompassing only the U.S. Atlantic coast. In January 2003, NOAA Fisheries, on behalf of the Secretary, formally announced approval of this redesignation in a *Federal Register Notice*. Requirements are included in 50 CFR 622.4.

The rule implemented the FMP for the dolphin and wahoo fishery in the exclusive economic zone (EEZ) off the Atlantic states (Maine through the east coast of Florida), the rule required vessel owners to obtain commercial vessel and charter vessel/headboat permits and submit reports; required operators of commercial vessels, charter vessels, and headboats to obtain operator permits; required dealers to obtain permits and submit reports; established bag and trip limits; established a minimum size limit (dolphin only); closed the longline fisheries in areas closed to the use of such gear for highly migratory pelagic species; prohibited sale without a commercial vessel permit; specified allowable gear; and established a framework procedure by which the SAFMC could establish and modify certain management measures in a timely manner. The intended effects are to conserve and manage dolphin and wahoo and to ensure that no new fisheries for dolphin and wahoo develop.

Information obtained on permit applications provides baseline data on participants and the activities of vessels, dealers, and vessel operators in the Atlantic dolphin and wahoo fishery that were not available. Collection of the taxpayer identification number (i.e., employer identification numbers for corporations, and social security number for individuals) on the Application under authority of the Debt Collection Act enhances NMFS's identification of the applicants for management and compliance purposes. To be eligible for exemption from the bag and possession limits for dolphin and wahoo in the Atlantic EEZ or to sell dolphin and wahoo harvested in the Atlantic EEZ, the rule requires a vessel to have on board a Federal commercial permit for Atlantic dolphin and wahoo. As an exception to this permit requirement, a vessel with a Federal commercial permit in a fishery other than the Atlantic dolphin and wahoo fishery would have a 200-lb trip limit, in lieu of a bag and possession limit, and would be allowed to sell dolphin or wahoo, provided that all fishing on and landings from that trip were landings from waters north of 39° N. lat. (near Dover, Delaware).

NMFS issues an Atlantic dolphin and wahoo commercial permit for a vessel that has a Federal commercial permit for king mackerel, South Atlantic snapper-grouper, or Atlantic swordfish or for a vessel, whose owner meets both the earned income requirement and the landings

requirement. An owner meets the earned income requirement if he or she derived at least 25 percent of earned income or at least \$10,000 from commercial fishing (i.e., the harvest and first sale of fish) or from charter/headboat fishing during one of the 3 calendar years 1996, 1997, or 1998. An owner meets the landings requirement if he or she owned a vessel that landed and sold at least 250 lb of dolphin and/or wahoo harvested from the Atlantic during the period January 1, 1996, through May 21, 1999. If a vessel has a Federal commercial vessel permit issued by NMFS in the king mackerel, South Atlantic snapper-grouper, or swordfish fishery, dolphin and wahoo is added to the fisheries for which the permit is valid upon written request to NMFS from the owner or operator for such addition.

An owner of a vessel who desires a commercial vessel permit based on the earned income and landings requirements is required to obtain a permit application form from and submit it to NMFS. Information on the application form and accompanying documentation consists of the standard information and documentation required for commercial vessel permits issued by NMFS. Such information and documentation is not required if they are available to NMFS through a valid permit issued in another fishery. The earned income requirement has to be documented by an optional affidavit signed by the applicant, or by the applicant's tax records demonstrating that he/she does meet the income qualifications of the fishery. The original rule stated that tax records were the only accepted documentation for proof of participation in the fishery. However, the optional affidavit was developed to reduce burden on the participants while still providing documentation of participation.

The landings requirement has to be documented by a listing of landings by date, species, amount, and dealer. Only landings verified as having been received between January 1, 1996, and May 21, 1999, by the following would qualify: (1) Fishing vessel logbooks received by the Science and Research Director of either the NMFS Southeast or Northeast Fisheries Science Centers; (2) state trip ticket systems; or (3) for landings not covered by vessel logbook or state trip ticket system requirements, dealer records accompanied by signed affidavits. Dealer records must definitively show dates and amounts of landings of the species known as dolphin and/or wahoo and the vessel's name, official number, or other reference that clearly identifies the vessel. Dealer records must contain a sworn affidavit by the dealer confirming the accuracy and authenticity of the records. A sworn affidavit is an official written statement wherein the individual signing the affidavit affirms that the information presented is accurate and can be substantiated, under penalty of law. Only landings that were harvested, landed, and sold in compliance with state and Federal regulations would be used to establish eligibility. No appeals, or reconsiderations of ineligibility, are allowed.

To possess a dolphin or wahoo in or from the Atlantic EEZ on board a charter vessel or headboat, the rule requires that a valid Federal charter vessel/headboat permit for Atlantic dolphin and wahoo be on board that vessel. There is no earned income or landing requirements for the charter vessel/headboat permits. The owner of a vessel who desires a charter vessel/headboat permit for the Atlantic dolphin and wahoo fishery is required to obtain a permit application form from and submit it to NMFS. An owner of a charter vessel or headboat who desires to sell dolphin is required to obtain a commercial vessel permit for dolphin and wahoo in addition to the charter vessel/headboat permit.

To enhance enforcement of fishery regulations, the rule requires an operator of a commercial vessel or a charter vessel/headboat in the Atlantic dolphin and wahoo fishery to obtain a permit.

"Operator" is defined as the master or other individual aboard and in charge of a vessel. Each vessel that has a Federal commercial vessel or charter vessel/headboat permit for the Atlantic dolphin and wahoo fishery is required to have on board at least one person who has a valid operator permit when the vessel is at sea or offloading. This provides operator characteristics data, and enforcement information to increase compliance in the fishery. Information required on an application includes name, address, and other identifying information, such as date of birth, height, weight, and hair and eye color, of the applicant, and other information necessary for the issuance or administration of the permit. In addition, each applicant is required to provide two recent (no more than 1-yr old) color, passport-sized photographs. In general, an operator permit is valid for a 3-year period. An operator of a vessel in the Atlantic dolphin and wahoo fishery is required to present his/her operator permit for inspection upon the request of an authorized officer.

This rule also requires a dealer of dolphin or wahoo to obtain a dealer permit for such species. "Dealer" is defined as a person who first receives fish by way of purchase, barter, or trade or who first receives dolphin or wahoo harvested from the Atlantic EEZ upon transfer ashore. To obtain such permit, the applicant has to have a valid state wholesaler's license in the state(s) where the dealer operates, if required by such state(s), and have a physical facility at a fixed location in such state(s).

#### Dolphin/Wahoo Fishery Management Plan

Amendment 22 to the FMP for the Reef Fish Resources of the Gulf of Mexico proposes a mandatory observer program for selected commercial and for-hire (charter vessel/headboat) vessels in the Gulf of Mexico reef fish fishery. This requires a revision to 0648-0205 to allow the collection of data associated with the reef fish vessel owners notifying the agency regarding their fishing activities, by telephonic communication, so that observers can arrange to board the vessel and observe the fishing trip. No form or application is required. The regulatory citation is 50 CFR 622.4.

#### South Atlantic Shrimp Amendment 6

Brown, pink, or white shrimp (penaeid) vessel owners must submit applications for federal fishing permits and provide relevant information on those applications. This collection is associated with rulemaking to implement Amendment 6 to the FMP for the Shrimp Fishery of the South Atlantic Region, as prepared and submitted by the SAFMC. The shrimp fishery off the southern Atlantic states is managed under the FMP. The FMP was prepared by the SAFMC and is implemented under the authority of the Magnuson-Stevens Fishery Conservation and Management Act (Magnuson-Stevens Act) by regulations at 50 CFR part 622.

The rulemaking requires an owner or operator of a trawler that harvests penaeid shrimp in the EEZ off the southern Atlantic states to obtain a Federal fishing permit in 50 CFR 622.4. This family of forms includes data collection of data for agency observers aboard fishing vessels. Amendment 6 proposes an observer program for selected commercial vessels in the South Atlantic penaeid shrimp and rock shrimp fisheries.

#### Gulf of Mexico Shrimp Amendment 13

Amendment 13 of the Gulf of Mexico (GOM) shrimp fishery FMP amends the FMP to include a royal red shrimp endorsement, an observer program, an annual landings report, and a permit moratorium under which eligibility for a permit must be established. Additionally, the final rule implementing Amendment 13 to the shrimp FMP establishes a new requirement to have all permit transfers in the Gulf of Mexico notarized. Burden hours and costs for this requirement will also be added to OMB Control No.: 0648-0327, Highly Migratory Species Permit Family of Forms.

The royal red shrimp fishery in the GOM is a very small component of the overall shrimp fishery, and there are very limited data on this fishery on which to make management decisions. Consequently, there is a need to collect additional information on areas fished, effort, catch per unit effort, markets, value, etc. A separate royal red shrimp vessel permit or an endorsement to the existing commercial shrimp vessel permit would provide a readily accessible database from which to identify participants in this fishery.

In developing a methodology to assess the amount and type of bycatch for the shrimp fishery of the GOM as required by Section 303 (a) (11) of the M-SFCMA, the Council is confronted with two problems. First, the bycatch is made up of a very large number of species that differ depending on the species of shrimp being harvested, environmental perturbations, and the geographic location of trawling. This problem is compounded by seasonal differences in abundance and the fact that the vast majority of this bycatch is made up of species that have very little or no commercial or recreational value and are discarded. The total amount of bycatch is also large: approximately 600.0 million pounds, annually. Consequently, although a rough estimation of the amount of bycatch can be made by sampling bycatch to shrimp catch ratios and multiplying, this method does not address the legal requirement of assessing the "type" of bycatch – because, being unwanted, it is discarded without identification.

The second problem is concerned with estimating effort. There are currently approximately 2,500 permitted vessels that harvest shrimp from the Exclusive Economic Zone, and the Council estimates that there are over 13,000 boats that fish in state waters. With such a large number of vessels of differing sizes, gears used, and fishing capabilities compounded by seasonal variability in abundance and price and the broad geographic distribution of the fleet, it is practically impossible to estimate the actual amount of fishing effort using current methods and data.

Therefore, along with a royal red endorsement, the entire shrimp fishery is being placed under a moratorium under which vessels will have to qualify for a permit. In addition, a requirement to provide annual landings will identify species composition within the fishery. Having such a database will allow managers and scientists to gather additional biological, social, and economic data in order to appropriately manage this fishery where and when warranted.

Falsifying permit transfers has caused a number of problems to both the permit section and the fishermen themselves. The new requirement of notarized transfers is expected to quell the problem of forged or falsified permit transfers. Requirements in RIN 0648-AS15 will be added to 50CFR622.4 (the related revision to OMB Control No.: 0648-0205 OMB approved on 6-23-06).

Activity	Fishery	Form	Regulation Citation
Dealer Permit	South Atlantic Snapper/Grouper; Gulf Reef Fish; Golden Crab; Wreckfish; Rock Shrimp;	Multiple Fishery Dealer Application	50 CFR 622.4
Charter Vessel/Headboat Permit	Dolphin/Wahoo; Gulf Reef Fish; South Atlantic Snapper/Grouper; Coastal Migratory Pelagic	Federal permit application for vessels fishing in the EEZ	50 CFR 622.4
Commercial Vessel Permit	King and Spanish Mackerel; Wreckfish; Spiny Lobster; Gulf Shrimp; Gulf Reef Fish; South Atlantic Rock Shrimp; South Atlantic Snapper/Grouper; South Atlantic Golden Crab; Colombian Treaty Waters	Federal permit application for vessels fishing in the EEZ	50 CFR 622.4 50 CFR 622.15 50 CFR 622.17 50 CFR 635.4 50 CFR 640.4
Vessel Operator Permit Card	Dolphin/Wahoo; South Atlantic Rock Shrimp	Federal permit application for southeast region issued operator card	50 CFR 622.4
Commercial Vessel Tailing Permit	Spiny Lobster	Federal permit application for vessels fishing in the EEZ	640.4
Commercial Vessel Monitoring System Installation	South Atlantic Rock Shrimp	Checklist	50 CFR 622.4
Commercial Vessel Monitoring System Maintenance	South Atlantic Rock Shrimp	No Form	50 CFR 622.4
Commercial Vessel Permit Transfer	Various	Federal permit application for vessels fishing in the EEZ	50 CFR 622.4
Vessel Permit	Octocoral Coral or Allowable Chemical	No Form – Written Request for Either Permit	50 CFR 622.4
Site Permit	Aquacultured Live Rock	Individual Form	50 CFR 622.4
Site Evaluation Report	Aquacultured Live Rock	Individual Form	50 CFR 622.4
Vessel Permit Endorsement Transfer	Gulf Reef Fish Trap; Gulf Red Snapper; Mackerel Gillnet	Federal permit application for vessels fishing in the EEZ	50 CFR 622.4
Vessel Permit Endorsement Placement	Gulf Red Snapper	No Form – Written Request	50 CFR 622.4

Activity	Fishery	Form	<b>Regulation Citation</b>
Notification of Lost or Stolen Traps	South Atlantic Golden Crab; Caribbean Spiny Lobster; Gulf Reef Fish; South Atlantic Snapper/Grouper	No Form – Notification	50 CFR 622.17 50 CFR 622.6
Observer coverage	South Atlantic and Gulf of Mexico Penaeid Shrimp; South Atlantic Sargassum; South Atlantic Golden Crab; Gulf of Mexico Reef Fish	No Form - Notification	50 CFR 622.8
Zone Transit Notification	South Atlantic Golden Crab	No Form – Notification	50 CFR 622.17
Notification of Authorization for Trap Retrieval	Gulf Reef Fish, South Atlantic Snapper/Grouper; South Atlantic Golden Crab	No Form – Written Notification of Authorization	50 CFR 622.4
Notification of Harvest Activity	Aquacultured Live Rock	No Form – Notification	50 CFR 622.41
Coupons for Tracking ITQ	Wreckfish	Coupon	50 CFR 622.15
Gulf of Mexico shrimp permit moratorium	Gulf of Mexico shrimp	Basis of eligibility for the Gulf of Mexico Shrimp Moratorium	50 CFR 622.4
Gulf of Mexico shrimp permit	Gulf of Mexico shrimp	Federal permit application for vessels fishing in the EEZ for shrimp	50 CFR 622.4
Royal red shrimp endorsement	Gulf of Mexico shrimp	Federal permit application for vessels fishing in the EEZ for shrimp	50 CFR 622.4
Transfer notarization	All fisheries with allowable transfer of permits	Applicable permit, license, or endorsement	50 CFR 622.4
Annual landings report	Gulf of Mexico shrimp	Gulf of Mexico shrimp federal permit reporting form	50 CFR 622.4

# 2. Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.

The information requested is used by various offices of NMFS, Regional Fishery Management Council staff, the U.S. Coast Guard and state fishery agencies under contract to NMFS to develop, implement and monitor fishery management strategies. Analyzes and summarizations of data are used by NMFS, the Regional Councils, the Departments of State and Commerce, OMB, the fishing industry, Congressional staff and the public to answer questions about the

nature of the Nation's fishery resources.

These data serve as input for a variety of uses, such as: biological analyzes and stock assessments; E.O. 12291 regulatory impact analyzes; quota and allocation selections and monitoring; economic profitability profiles; trade and import tariff decisions; allocations of grant funds among states; identify ecological interactions among species. NMFS would be significantly hindered in its ability to fulfill the majority of its scientific research and fishery management missions without these data.

NMFS retains control over the information and safeguards it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measure and a pre-dissemination review pursuant to Section 515 of the Public Law 106-554.

## 3. <u>Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.</u>

Regarding the permitting data collection, the Southeast Region's Web site allows the public to obtain a copy of the permit application, which can be downloaded and completed electronically, and then printed. Otherwise, the Southeast Region currently has no resource or technological capability for electronic (i.e., Web site) permit application and issuance. This capability cannot be accomplished in the Southeast Region without significant changes to the permit issuance criteria and our permit issuance processes. These changes have been initiated, in that the Southeast Region Permits Team may be switching from a non-Web database (Rbase) to a Webbased database (Oracle) in the future. The Southeast Region also has initiated clearance of a survey of permitted vessel owners to determine the feasibility of Web site application and associated costs/benefits. The survey results will be used to evaluate the feasibility of electronic permit transactions for possible inclusion in future permitting system changes and resource/technology allocations. Such changes could support NOAA's proposed initiative for One-Stop Shopping (to improve customer service through coordination of NOAA consultation and permitting activities).

#### 4. Describe efforts to identify duplication.

The Magnuson-Stevens Act's operational guidelines require each FMP to evaluate existing state and federal laws that govern the fisheries in question, and the findings are made part of each FMP. Each Fishery Management Council membership is comprised of state and federal officials responsible for resource management in their area. These two circumstances identify other collections that may be gathering the same or similar information. In addition, each FMP undergoes extensive public comment periods where potential applicants review the proposed permit application requirements. Therefore, NMFS is confident it is aware of similar collections if they exist. The other information proposed to be collected is not being collected elsewhere; therefore, this data collection would not cause duplication.

## 5. <u>If the collection of information involves small businesses or other small entities, describe</u> the methods used to minimize burden.

Because all applicants are considered small businesses or small entities, separate requirements based on size of business have not been developed. Only the minimum data to meet the current and future needs of NMFS's fisheries management are requested from the vessel owners.

## 6. <u>Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.</u>

The Region's three fishery management councils established by Congress are expected to fulfill the mandates of the Magnuson-Stevens Act. These mandates are accomplished through fishery management plans for marine finfish and crustaceans that support important commercial and recreational fisheries in the Gulf of Mexico, South Atlantic Ocean, and Caribbean Sea and consider conservation and management issues, sociological and economic issues, and regulatory issues. Functions and activities required to fulfill this and other responsibilities as specified in the Magnuson-Stevens Act include: providing guidance on fisheries management; providing technical assistance and advise in preparing FMPs in accordance with national standard guidelines and other applicable laws; coordinating public review and compilation of comments; initiating Secretarial review of FMPs and amendments; drafting regulations and Federal Register Notices, as well as reviewing and responding to comments received during rulemaking; FMP implementation; and monitoring.

A major component of fisheries management in the Region is the permit system and the information collected by these permits.

## 7. Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

There are no special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.

8. Provide a copy of the PRA Federal Register notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments. Describe the efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

A Federal Register notice solicited comments on this renewal request; none were received.

These data collection programs were all part of FMPs or amendments to these FMPs. As such public comments were solicited for all parts of the rule, including the collection of information requests. As part of the FMP development process, public hearings are held throughout the region soliciting comments from constituents about any part of the proposed FMP and associated rule. Additionally, upon publication of the Notice of Availability of the Amendment in the Federal Register, the public has 60 days to comment on the Amendment. Likewise, upon

publication of the proposed rule, which includes any reporting requirements, the public has 45 days to comment on the rule and its associated collections. Also, notices were published regarding all aspects of the collection discussed earlier in this supporting statement.

## 9. Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.

There are no payments or other remunerations to respondents.

## 10. Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.

All data submitted under the proposed collection will be handled as confidential material in accordance with M-SFCMA, Section 402b, and NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics.

This information collection will be included in a comprehensive NMFS Permits and Registrations System of Records Notice, to be submitted for review to the Department of Commerce before the end of Fiscal Year 2006.

## 11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

No questions of a sensitive nature are asked.

#### 12. Provide an estimate in hours of the burden of the collection of information.

Burden hours for this collection of information total 15,670, reduced from 15,770. There are 1176 permits annually for which the optional affidavit for income qualifiers applies, with a time savings per response of 5 minutes (1176 applicants x 5 minutes saved = 98 hours). The additional two hours' difference is due to cumulative rounding errors.

Responses have decreased from 62,408 to 60,248, also due to cumulative errors (see # 15).

Form/Notification /Endorsement	Total Number of Respondents	Annual Number of Responses	Time per Response	Total Time Hours
Multiple Fishery Dealer Application	548	274	5 min	23
Multiple Fishery Vessel Application	9797	3294	20 min	1312
South Atlantic Wreckfish Vessel Form	8	8	20 min	3
Rock Shrimp Vessel Monitoring System Maintenance	168	168	2 hours	336

Form/Notification /Endorsement	Total Number of Respondents	Annual Number of Responses	Time per Response	Total Time Hours
Rock Shrimp Vessel				
Monitoring System	0	0	4 hours	0
Installation				
Rock Shrimp Vessel	160	5.0	1 1	5.6
Operator Permit Card	168	56	1 hour	56
Applications Rock Shrimp Vessel				
Position Reports	168	33,600	14 minutes	7,840
Rock Shrimp Vessel				
Non-renewed	30	10	2 hours	20
Endorsement Requests	30	10	2 Hours	20
South Atlantic Golden				
Crab Vessel Form	10	5	20 min	2
Colombian Treaty				
Vessel Form	20	20	20 min	7
Aquacultured Live				
Rock Site Evaluation	1	1	45 min	1
Report	_	_		
Aquacultured Live	1	1	20 :	1
Rock Site Permit	1	1	20 min	1
Notification of Permit				
Purchase Price –	658	329	5 min	28
Permit Transfer				
Endorsement Transfer	0	0	20 min	0
<ul> <li>Gulf red snapper</li> </ul>	U	U	20 111111	U
Endorsement				
(Placement) – Gulf red	130	65	5 min	5
snapper				
Endorsement – South	0	0	20 min	0
Atlantic rock shrimp	U	0	20 11111	U
Endorsement – Gulf	59	30	20 min	10
Reef Fish Trap	37	30	20 111111	10
Endorsement –	52	26	20 min	9
Mackerel Gillnet	32		20 111111	,
Notification of Golden	_	_		
Crab Lost or Stolen	2	2	5 min	10 minutes
Traps				
Notification –		0		
Sargassum Vessel	0	0	5 min	0
Observer				
Notification – Golden	2	2	5 min	10 minutes
Crab Observer				
Transit Notification –	5	5	5 min	1
Golden Crab Vessel				
Notification Authorization for Trap				
Retrieval – Gulf Reef	5	5	15 min	1
Fish				
FISH				

Form/Notification /Endorsement	Total Number of Respondents	Annual Number of Responses	Time per Response	Total Time Hours
Notification Authorization for Trap Retrieval – South Atlantic – Snapper/grouper	2	2	15 min	1
Notification Authorization for Trap Retrieval – Golden Crab	1	1	15 min	15 minutes
Notification Harvest Activity – Aquacultured Live Rock	10	10	5 min	1
Notification Lost Traps – Caribbean Spiny Lobster	2	2	5 min	10 minutes
Request for Octocoral Coral or Allowable Chemical Vessel Permit	0	0	5 min	0
Dolphin/ Wahoo permit applications/operator cards	8,459	8,479	30 minutes	4,240
Notification - Reef Fish, Golden Crab, Rock Shrimp. Royal Red Shrimp Observer coverage	5758	5758	5 minutes	479
Gulf of Mexico Shrimp permit applications	1731	1731	20 minutes	589
Royal Red Shrimp permit application	14	14	22 minutes	5
Gulf of Mexico shrimp annual landings report	2500	2500	5 minutes	208
Notarization of transfers	1350	1350	20 minutes	450
Gulf of Mexico shrimp moratorium eligibility form	2500	2500	1 minute	42
Total		60,248		15,670

#### 13. Provide an estimate of the total annual cost burden to the respondents or recordkeepers resulting from the collection (excluding the value of the burden hours in #12 above).

The total annual cost burden for this collection of information is \$650,978. The total revenue generated by permit fees is \$569,244: 1) fishery permits cost \$50 for the first fishery and \$20 for each additional fishery; 2) operator cards cost \$50; 3) Golden crab permits cost \$40; 4) aquacultured live rock cost \$175 for a new permit, and \$31 for renewals and 5)Wreckfish permits cost \$50 (there is no charge for the Colombian treaty permit); 6) dealer permits cost \$100 for the first fishery, and \$25 for each additional fishery. Notarization of transfers cost \$13,500 (1350 transfers @ \$10.00 each). The remaining \$68,234 is accounted for by postage for mailing in permit applications and other required reporting forms.

#### 14. Provide estimates of annualized cost to the Federal government.

Only the observer program associated with this collection has costs to the government, which if implemented at the requested level, would cost \$1,040,000.

## 15. Explain the reasons for any program changes or adjustments reported in Items 13 or 14 of the OMB 83-I.

There is a reduction of 98 hours (1176 responses x 5 minutes) due to a program change: the use of an optional income qualification affidavit for those fisheries that require income qualification for permitting. The reduction in hours results from these participants not having to gather their tax information on a yearly basis for renewal of their permits. An additional decrease of 2 hours is due to an adjustment: cumulative rounding or other calculation errors.

A reduction of 2,160 responses is also an adjustment. After retracing and double checking all changes to this collection since 2003, this decrease can be due only to small cumulative calculation errors.

## 16. For collections whose results will be published, outline the plans for tabulation and publication.

The results from this collection are not planned for statistical publication, although NOAA Fisheries may distribute the results of the observations for general information.

## 17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

The OMB number will be displayed where appropriate.

## 18. Explain each exception to the certification statement identified in Item 19 of the OMB 83-I.

There are no exemptions to the certification statement identified in Item 19 of OMB 83-I.

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This collection does not employ statistical methods.

PAPERCLIP PASSPORT STYLE PHOTOS HERE. NO STAPLES, GLUE OR TAPE.

#### FEDERAL PERMIT APPLICATION FOR SOUTHEAST REGION ISSUED OPERATOR CARD

REQUIRED FOR SOUTH ATLANTIC ROCK SHRIMP AND/OR ATLANTIC DOLPHIN WAHOO

U.S. DEPT OF COMMERCE, NOAA NMFS PERMITS BRANCH, F/SER1

263 13th Avenue South St. Petersburg, FL 33701 727/824-5326 (8 am - 4:30 pm ET) http://sero.nmfs.noaa.gov



OMB Control No.: 0648-0205; Expiration Date: 10/31/2006

Check or Money Order Number:	
Reviewer Initials and Date	
Expiration Date:	
FOR O	FFICE USE ONLY

FEE: \$50.00 REPLACEMENT CARD \$18.00

GENERAL INSTRUCTIONS: Operator cards are required by the operator of a commercial vessel or charter/headboat fishing for Atlantic Dolphin and/or Wahoo, or by the operator of a commercial vessel fishing for South Atlantic Rock Shrimp. Applications must be legible, illegible applications will be returned. Fees are payable by check or money order to the U.S. Treasury. FAILURE TO COMPLY WITH THESE INSTRUCTIONS MAY RESULT IN DELAYS OR DENIAL OF AN OPERATOR CARD.

APPLICATION INSTRUCTIONS: All blanks in section 1 must be filled in. Use section 2 only if you have a mailing address that is different from the street address required in section 1. Information is required for all catagories in section 3 including your telephone number. Please list a number where you can be reached or a message left for you if we have any questions. You must provide two (2) recent (less than 1 year old) passport style photos in 2 inch X 2 inch size. The photos must have a plain white background and your face must be unobstructed by sunglasses, hats, scarves, etc. Vision correcting glasses are permitted. Do not staple, glue or tape the photos to the application. You must provide your social security number.

	1. VESSEL OPERATOR (C	CARD OWNER) INFORMATION	
LAST NAME	FIRST NAME	MIDDLE NAME	Suffix (Sr., Jr. II, etc)
STREET ADDRESS (NO POST OFFICE B	OX ADDRESSES WILL BE ACCEPTED)	,	,
CITY	STATE	COUNTY	ZIP CODE COUNTRY
2. MAILING AD	DRESS - ONLY IF DIFFERENT	FROM STREET ADDRESS GIV	EN IN SECTION 1
MAILING ADDRESS	CITY	STATE COUNTY	ZIP CODE COUNTRY
	3. IDENTIFYIN	IG INFORMATION	
DATE OF BIRTH (MM/DD/YYYY)	SOCIAL SECURITY NUMBER	BIRTH PLACE (CITY, STATE, COUNTRY)	
SEX EYE COLOR	HAIR COLOR	WEIGHT (LBS) HEIGHT (FEET - INCH	IES)
MALE BROWN	BROWN If you are		
BLUE BLUE	BLACK clean shaven or balding,		
— IGREY	BLONDF indicate your actual hair	AREA CODE TELEPHONE NUMBER	
GREEN HAZEL	RED color		
Other	WHITE		
	Other		
	SIGN	NATURE	
Applicant Signature		Print Name	Date

U.S. DEPT OF COMMERCE, NOAA NMFS PERMITS BRANCH, F/SER1 263 13th Avenue South St. Petersburg, FL 33701 727/824-5326 (8:00 am - 4:30 pm ET) http://sero.nmfs.noaa.gov



## FEDERAL PERMIT APPLICATION FOR AN ANNUAL DEALER PERMIT

							FOR OFF	CE USE C	ONLY	
						Review	er's Initials and I	Date		
						Check	or Money Order	Number		
						Violatio	n Date			
						Violatio	n Clear Date			
	A	pplication ID				Expirati	on Date(s)			
	EOR OF	FICE USE O	MI V							
	FOR OF	FICE USE U	INL I							
				1 DE	AI ED II	NFORMA	TION			
		_								
aler entity is (ch	eck one):	INDIVIDUAL or	SOLE PROF	PRIETOR	SHIP	PARTNE			OTHER	
								ID	and data the	hucinose was filos
•	-	orporation, or ot		s entity	provide th					
•	-	orporation, or ot		s entity	provide th		name, Federal Ta Federal Tax ID			ousiness was filed
•	-	-		s entity	provide th					
ame of Partners	ship, Corpor	ation, or Busing	ess					Number	Date b	ousiness was filed
the dealer is ar	ship, Corpor	ation, or Busing	ess			ame, Social s	Federal Tax ID	Number	Date k	ousiness was filed
the dealer is an	n Individual o	Sole Proprietor	ess		ollowing n	ame, Social s	Federal Tax ID	Number	Date k	ousiness was filed
ame of Partners the dealer is an	n Individual o	ation, or Busing	ess		ollowing n	ame, Social s	Federal Tax ID	Number	Date k	ousiness was filed
the dealer is an	n Individual o	Sole Proprietor	ess		ollowing n	ame, Social s	Federal Tax ID	Number	Date k	ousiness was filed
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the dealer is an	n Individual o	Sole Proprietor	ship comple	ete the fo	ollowing na	ame, Social S	Federal Tax ID	Number	Date k	ousiness was filed
the dealer is an	n Individual o	Sole Proprietor	ship comple	ete the fo	ollowing na	ame, Social S	Security Number	Number	Date k	ousiness was filed
me of Partners the dealer is ar	n Individual o  Last Name  Number	Sole Proprietor	ship comple	ete the fo	ollowing na	ame, Social S	Federal Tax ID  Security Number	Number	Date k	nformation: Suffix Name
the dealer is an	n Individual o  Last Name  Number	Sole Proprietor	ship comple	ete the fo	ollowing na	ame, Social S	Federal Tax ID  Security Number	Number  (SSN), and o	Date k	nformation: Suffix Name
the dealer is an Ir/Mrs/Ms  ocial Security  Mailing Addre	n Individual o Last Name Number	Sole Proprietor	ship comple	ALER	CONTA	ame, Social S	Security Number  RMATION  State	Number  (SSN), and o	Date k	nformation: Suffix Name  Country
f the dealer is an Mr/Mrs/Ms  Social Security  Mailing Addre	n Individual o  Last Name  Number	Sole Proprietor	ship comple	ALER	CONTA	ame, Social S	Security Number  RMATION  State	(SSN), and of Middle Nan	Date to date of birth in the Zip Code	nformation: Suffix Name

Revised: 05/31/2006

			3.	Permits			
INSTRUCTIONS: Indicate w that fishery to indicate what t			n(s) you are a	applying for. Find the fishery in th	ne left column a	and mark the	check box beside
Atlantic Dolphin/ Wahoo (DI	New	Renewal	Duplicate	South Atlantic Wreckfish (Wl	New	Renewal	Duplicate
Shark (SK)	J., □			South Atlantic Rock Shrimp			
Domestic Swordfish (SD)				South Atlantic Golden Crab (			
South Atlantic Snapper-Grou Excluding Wreckfish (SG)	uper 🔲			Gulf of Mexico Reef Fish (RE	`		
	if the Dealer list r sole proprietor	ed in Sect	ion 1 is a Co	d SHAREHOLDER INFOR orporation, Partnership, or other section. Please copy this pa	her business		
Please complete this section Section 1.	for each officer of	or partner a	ssociated by	partnership, corporation, or other	business relat	ionship to th	e Dealer listed in
Position held:							
President/CEO Vice Pre	esident Secre			Director/Manager Agent  St Name	Other Middle Name		Suffix Name
President/CEO Vice Pre	ne or Name of Bu			st Name	_	Zip Code	Suffix Name Country
President/CEO Vice Pre	ne or Name of Bu	siness	City	State C	Middle Name	Zip Code Zip Code	
President/CEO Vice President/CEO	ne or Name of Bu	pt/Suite #	City	State C	Middle Name		Country
President/CEO Vice President/CEO	A A	pt/Suite #	City	State C	Middle Name		Country
President/CEO Vice President/CEO Vice President/CEO Vice President/CEO Vice President Vice Presi	A A A A A A A A A A A A A A A A A A A	pt/Suite #	City City Area Co	State C	Middle Name  County  County		Country
President/CEO Vice President/CEO	A A A A A A A A A A A A A A A A A A A	pt/Suite # Apt/Suite #	City City Area Co	State C State C State C Director/Manager Agent	Middle Name  County  County		Country
President/CEO Vice President/CEO	A A A A A A A A A A A A A A A A A A A	pt/Suite # Apt/Suite #	City City Area Co	State C State C State C State C Director/Manager Agent St Name	Middle Name  County  Other		Country
President/CEO Vice President/CEO Vice President/CEO Vice President/CEO Vice President/CEO Vice President/CEO Vice President/CEO Last Name	A A A A A A A A A A A A A A A A A A A	pt/Suite # Apt/Suite #	City City  Area Co	State C State C State C State C Director/Manager Agent St Name	Middle Name  County  Other  Middle Name	Zip Code	Country  Country  Suffix Name

**Phone Number** 

Area Code

SSN#

Date of Birth

#### 5. RECEIVING FACILITIES

INSTRUCTIONS: List the names and street addresses for all facilities where product is recieved. Please copy this page as needed to provide information on all facilities where fish are received.

BUSINESS NAME		AREA CODE	TELEPHONE NUMBER
PHYSICAL ADDRESS	CITY		STATE COUNTY ZIP CODE COUNTRY
BUSINESS NAME		AREA CODE	TELEPHONE NUMBER
PHYSICAL ADDRESS	CITY		STATE COUNTY ZIP CODE COUNTRY
BUSINESS NAME		AREA CODE	TELEPHONE NUMBER
PHYSICAL ADDRESS	CITY		STATE COUNTY ZIP CODE COUNTRY
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BUSINESS NAME		AREA CODE	TELEPHONE NUMBER
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PHYSICAL ADDRESS	CITY		STATE COUNTY ZIP CODE COUNTRY
BUSINESS NAME		AREA CODE	TELEPHONE NUMBER
PHYSICAL ADDRESS	CITY		STATE COUNTY ZIP CODE COUNTRY

tate Wholesaler	State	State Wholesaler	State
icense Number :	Issued By	License Number:	Issued By
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License :	Issued By	License Number:	Issued By
State Wholesaler	State	State Wholesaler	State
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State Wholesaler	State	State Wholesaler	State
License Number:	Issued By	License Number:	Issued By
ther Federal Permits or licenses ederal a permit office outside of	held (issued from	Electise National	133ucu by

6. State Wholesaler Licenses

Please note: The applicant who signs below must be the dealer identified in Section 1 unless the dealer is a partnership, corporation, or other business entity, in which the applicant must be an individual named as an officer or shareholder of the dealer as listed in Section 4.

Applicant Signature	Date
Printed Name	Position in Company (if applicable



18 U.S.C. section 1001).

#### Payment Reminder:

All applications must include payment of a non-refundable application fee in the form of a check or money order made payable to the US Treasury. The fee required is \$100.00 for the first fishery and \$25.00 for each additional fishery requested with this application.

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Jason Rueter, National Marine Fisheries Service, F/SER22, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.



## Instructions for the Federal Application for an Annual Dealer Permit

#### **ACTIVITIES FOR WHICH PERMITS ARE REQUIRED**

**DOLPHIN/WAHOO** Under 50 CFR 622.4, a dealer who receives Atlantic dolphin/wahoo harvested in the EEZ off the Atlantic

states (Maine through the East Coast of Florida) must obtain an annual dealer permit.

GOLDEN CRAB Under 50 CFR 622.4, a dealer who receives South Atlantic golden crab harvested in the EEZ off the

(South Atlantic) Southern Atlantic states must obtain an annual dealer permit.

REEF FISH Under 50 CFR 622.4, a dealer who receives reef fish harvested from the EEZ of the Gulf of Mexico

(Gulf of Mexico) must obtain an annual dealer permit.

ROCK SHRIMP Under 50 CFR 622.4, a dealer who receives rock shrimp harvested in the EEZ off the Southern Atlantic

(South Atlantic) states must obtain an annual dealer permit.

**SNAPPER-GROUPER** Under 50 CFR 622.4, a dealer who receives **South Atlantic** snapper-grouper, excluding wreckfish,

(South Atlantic) harvested in the EEZ off the Southern Atlantic states must obtain an annual dealer permit.

**SHARK** Under 50 CFR 635.4, a dealer who receives sharks from the Western North Atlantic Ocean including the

Gulf of Mexico and the Caribbean Sea must obtain an annual dealer permit.

SWORDFISH

Under 50 CFR 635.4. a dealer who receives from a U.S. vessel a swordfish harvested from the Atlantic

Under 50 CFR 635.4, a dealer who receives from a U.S. vessel a swordfish harvested from the Atlantic Ocean or Gulf of Mexico must obtain an annual domestic dealer permit.

WRECKFISH Under 50 CFR 622.4(a)(4), a dealer who receives a wreckfish harvested from the **South Atlantic** must

(South Atlantic) obtain an annual dealer permit.

#### **INSTRUCTIONS**

#### Complete the following sections, as applicable:

**SECTION 1 & 2** Print or type the name of business and address as shown on your business license. If the applicant is a Business, print or type the Federal Tax ID number assigned to your business by the Internal Revenue Service (taxpayer ID information) if one has been assigned. If applicant is an individual, enter the Social Security Number (taxpayer ID information). If the business is corporate owned, the current Articles of Incorporation and a copy of your most recent

Annual Business Report are required to support your application.

As a reminder, permits will not be issued if the corporation is in an INACTIVE status. If your business is not

incorporated, then submit a copy of your local business license.

**SECTION 3** Select the fisheries for which you are applying.

**SECTION 4** If the application is for a dealer that a corporation, partnership, or other business entity then information on the

dealer's officers/ shareholders is required. If additional space is needed, please photocopy the blank page as many

times as is necessary to provide information on all officers/shareholders associated with the dealer.

**SECTION 5** If fish are received at a location different from the dealer's address listed in section 2, complete this section for each

physical location where fish are received. Note: A post Office Box is not acceptable as a physical location where fish

are received.

SECTION 6 Provide the state wholesale license for each state in which the dealer has a facility. Also, please provide the permit

number of any Federal Permits issued, for example, a dealer permit issued by the NMFS Northeast Regional Office

(NERO).

**SECTION 7** The application must be signed must be signed and data. If the dealer is a corporation, partnership, or other

business entity then the applicant must be an officer or shareholder of the dealer, as indicated on the Articles of

Incorporations (and any amendments) and/or your most recent Annual Business Report.

#### **Additional Instructions:**

1. Mail the completed application, copy of state wholesaler's license (if required) for each state in which you operate, a copy of the Articles of Incorporation (and any amendments), a copy of the most current Annual Business Report as filed with the state in which the business is incorporated, and a check or money order made payable to the U.S. TREASURY to: **National Marine Fisheries Service** (F/SER1), 263 13<sup>th</sup> Avenue South., St. Petersburg, FL 33701. Questions may be telephoned to 727/824-5326 between 8 am -4:30pm ET. If you would like your permit and associated documents returned to you via overnight mail, enclose a completed FEDERAL EXPRESS air bill, complete with your delivery address, telephone, and your FEDEX account number or credit card number.

**States** <u>required</u> to submit wholesale license: Alabama, California, Florida, Georgia, Hawaii, Louisiana, Massachusetts, Maryland, Maine, Pennsylvania, Rhode Island, South Carolina, Texas, U.S. Virgin Islands and Washington.

2. The application fee is \$100 for the first fishery and \$25 for each additional fishery and is non-refundable. A check or money order payable to the U.S. TREASURY must accompany each application. The fee for a replacement permit is \$18. Complete all lines or sections that apply for the type(s) of fishery(ies) requested. Select only those your business will need. Certain fisheries require mandatory reporting requirements.

In accordance with Federal regulations, any change in your permit information must be reported to the NMFS Regional Administrator within 30 days of the change.

KNOWINGLY SUPPLYING FALSE INFORMATION FOR THE PURPOSE OF OBTAINING A DEALER PERMIT IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT.

## FEDERAL PERMIT/CERTIFICATE APPLICATION TO FISH IN COLOMBIAN TREATY WATERS

#### U.S. DEPT OF COMMERCE, NOAA

NMFS PERMITS BRANCH, F/SER1 263 13th Avenue South St. Petersburg, FL 33701 727/824-5326 (8 am - 4:30 pm ET) http://sero.nmfs.noaa.gov

PRINT/TYPE NAME



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January 1, 2006 - December 31, 2006					
			FOR OFFICE	USE ONLY	
	PERMIT	NUMBER			ION DATE
	REVIEW	ER'S INITIALS/DAT	TE	IVIOLATION NUMBER	/DATE
	DATE VIO	OLATION CLEARE	ED/INITIALS		_
PROVIDE THE FOLLOWING REQUIRED INFOR	RMATION:				
1. Attach a current copy of the vessel's U. S. Coa	ast Guard certificate of do	ocumentation			
2. If the vessel is corporate owned, provide a cop	y of the Articles of Incorp	oration and a	a copy of the most	recent annual I	ousiness report.
An inactive corporation will not be issued a	permit.				
3. If the owner is an individual, enter the owner's	social security number. If	f the owner is	s a corporation, er	nter the Federal	Employer Tax
Identification Number. Sign and date the form an	d mail it with all required	copies to the	address listed ab	ove.	
SECTION 1. VESSEL INFORMATION					
VESSEL NAME	OFFICIAL NUMBER		RADIO C	ALL SIGN	
HULL COLOR	SUPERSTRUCTURE COLOR		SAILS	] YES	■ NO
SECTION 2. VESSEL OWNER INFORMATION	ON		AREA CODE/TELE	DHONE	
OWNER'S NAME			AREA CODE/TELE	PHONE	
MAILING ADDRESS					
CITY	STATE ZI	P CODE	SSN or TA	XPAYER ID NO.	
SECTION 3. ADDITIONAL INFORMATION					
PRINCIPAL PORT OF LANDING OF THE FISH TO BE T	AKEN FROM COLOMBIAN	TREATY WA	TERS:		
IDDIMADY OF CITE OF FIGURE OF TAVENIFOON CO	LOMBIANI TREATY WATER	ne.			
PRIMARY SPECIES OF FISH TO BE TAKEN FROM CO	LUIVIDIAN IREATY WATER				
PRIMARY GEAR TO BE USED IN COLOMBIAN TREAT	Y WATERS:				
SECTION 4 OWNER'S SIGNATURE					
SIGNATURE			IDATE:		
SIGNATURE			DATE.		

### PLEASE TYPE OR PRINT YOUR INFORMATION ABOVE LEGIBLY



U.S. DEPARTMENT OF COMMERCE, NOAA NMFS PERMITS TEAM, F/SER1 263 13TH AVENUE SOUTH ST. PETERSBURG, FL 33701 727/824-5326 (8am - 4:30pm EST)

OMB Control No.: 0648-0205 Expiration Date: 10/31/2006

#### FEDERAL PERMIT APPLICATION

#### VESSELS FISHING FOR WRECKFISH OFF THE SOUTH ATLANTIC STATES

**APPLICATION FEE: \$50.00 REPLACEMENT FEE: \$18.00** FOR OFFICE USE ONLY CHECK/MONEY ORDER NO. EXP. DATE: REVIEWER'S INITIALS/DATE: CLEARED DATE/INITIALS: VIOLATION NO./DATE: NON-REPORTING HOLD DATE: CLEARED DATE:/INITIALS: **APRIL 16, 2003 - JANUARY 14, 2004** SECTION 1 VESSEL INFORMATION (please type or print legibly) Official No. (Coast Guard Doc. or State Registration No.) Home Port (City & State) Length (ft.) Horsepower SECTION 2 WRECKFISH SHAREHOLDER INFORMATION (required) Shareholder Certificate No. Shareholder Name Mailing Address Zip Code Federal ID No. Date of Birth or Date Corporation Formed: Month Day Year SECTION 3 APPLICANT INFORMATION (please type or print legibly) Owner's Name Area code/Phone No. Mailing Address Zip Code City State Federal ID No. Date of Birth or Date Corporation Formed: Month Day Year SECTION 4 CERTIFICATION AND SIGNATURE OF APPLICANT If the wreckfish shareholder is not the vessel owner, the undersigned certifies and documents that the vessel owner or operator is an employee, contractor, or agent of the shareholder. Shareholder's Signature Date Position, if owner is a Corporation/Partnership Name (print) Revised: 05/27/2004

#### **GENERAL INSTRUCTIONS**

For a person aboard a fishing vessel to fish for wreckfish in the exclusive economic zone (EEZ), possess wreckfish in or from the EEZ, off load wreckfish from the EEZ, or sell wreckfish in or from the EEZ, a vessel permit for wreckfish must be issued to the vessel and be on board. An application for a vessel permit for wreckfish must be submitted and signed by a wreckfish shareholder.

- 1. Type or print legibly in ink. INCOMPLETE OR UNREADABLE APPLICATIONS WILL BE RETURNED.
- The fee is \$50 for each permit application. The fee is non-refundable. All checks or money orders must be made payable to the U.S. TREASURY.
- Mail the completed application, copy of the vessel's current certificate of documentation if documented with the Coast Guard or, if not documented, the state registration certificate, and a check or money order for \$50 to the: National Marine Fisheries Service (F/SER1), 263 13th Avenue South, St. Petersburg, FL 33701.
- 4. The Regional Director may require the wreckfish shareholder to provide documentation supporting the certification in Section 4. Such required documentation may include copies of appropriate forms and schedules from the shareholder's income tax return; articles of incorporation for a corporate-owned vessel; a partnership agreement for a partnership-owned vessel; a lease on a vessel for which a permit is requested; or an employment or other contract, or agency agreement that demonstrates an agency, employment, or contract relationship between the wreckfish shareholder and vessel owner or operator.
- 5. If the permit is lost, a replacement may be obtained by writing to the above address. The fee is \$18 for a replacement permit.
- 6. Questions may be phoned to 727/824-5326 between 8:00am and 4:30pm, EST., Monday Friday.

#### **APPLICATION INSTRUCTIONS**

Each section displays the required data elements. You must provide each data element as indicated in each section

**SECTION 1** Vessel Name: The name of the vessel as shown on the Coast Guard certificate of documentation or state registration certificate.

Official Number (Coast Guard Documentation or State Registration Number): The vessel's U.S. Coast Guard certificate of documentation number or, if not documented, the state registration certificate number.

Home Port: Place where you keep your vessel, not necessarily the home port shown on the Coast Guard documentation.

Vessel Length (ft.): The registered length as shown on the Coast Guard documentation or state registration certificate.

**SECTION 2** Wreckfish Shareholder Federal ID Number: If the shareholder is a corporation or partnership, print the Federal employer identification number.

<u>Wreckfish Shareholder Date of Birth/Date Corporation Formed</u>: If the shareholder is an individual, print the date of birth. If the shareholder is a corporation or partnership, print the date (month/day/year) the corporation or partnership was formed.

SECTION 3 Owner's Name: Individual or corporate name of vessel owner as shown on the Coast Guard documentation or state registration certificate.

Owner's Federal ID Number: If the owner is a corporation or partnership, print the Federal employer identification number.

Owner's Date of Birth/Date Corporation Formed: If the owner is an individual, print the date of birth. If the owner is a corporation or partnership, print the date (month/day/year) the corporation or partnership was formed.

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Robert A. Sadler, National Marine Fisheries Service (F/SER1), 263 13th Avenue South, St. Petersburg, FL 33701. The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. The following non-confidential information: Name, Street Address, City, State, Zipcode, Effective Date of Permit, Permit Types, Vessel Name, Vessel Identification Number, and Passenger Capacity in the case of a "for hire" vessel for individual, corporate and lease holders of permits will be released via a NOAA Fisheries website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisisions of the law, no person is required to respond to, nor shall any any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

KNOWINGLY SUPPLYING FALSE INFORMATION OR WILLFULLY OVERVALUING ANY FISHING INCOME FOR THE PURPOSE OF OBTAINING A PERMIT IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT.

Revised: 05/27/2004

#### U.S. DEPT OF COMMERCE, NOAA

NMFS PERMITS BRANCH, F/SER1 263 13th Avenue South St. Petersburg, FL 33701 727/824-5326 (8:00 am - 4:30 pm ET) http://sero.nmfs.noaa.gov

# FEDERAL PERMIT APPLICATION FOR VESSELS FISHING IN THE EXCLUSIVE ECONOMIC ZONE (EEZ)

		F	OR OFFICE USE	ONLY	
		Reviewer's I	nitials and Date		
		Check or Mo	oney Order Number		
		Violation Da	te		
		Violation Cle	ear Date		
Application ID		Non Complia	ance Hold Date		
FOR OFFICE USE ONLY		Non Complia	ance Cleared Date		
		Expiration Da	ate(s)		
COPY of your current (not expired) USCG Cer	tificate of Document	ation or, if th	ne vessel is not doc	umented, vour sta	te
A COPY of your current (not expired) USCG Cer essel registration must be on file or accompan	y this application. D	o not send yo		umented, your sta	te
		o not send yo		umented, your star	
essel registration must be on file or accompan	y this application. D	o not send yo	our original.		
essel registration must be on file or accompan	y this application. D	RMATION	our original.	TOTAL HORSE	
essel registration must be on file or accompant	y this application. D	RMATION  LT  USCG DOCU	LENGTH (FEET)  JMENTED VESSELS C	TOTAL HORSE  DNLY  HOLD CAPACIT	POWER
essel registration must be on file or accompant	y this application. D	RMATION	our original.  LENGTH (FEET)	TOTAL HORSE	POWER

PASSENGER CAPACITY DATA FOR CHARTER/ HEADBOAT VESSELS

UNINSPECTED VESSEL "6-PACK"

This vessel is best described as:

Commercial Fishing Charter Headboat

HAILING PORT STATE

STEEL

■ WOOD

CEMENT

OTHER

USCG INSPECTED VESSEL (Specify passenger Capacity as listed on the USCG Certificate of Inspection)

HAILING PORT CITY

HAILING PORT COUNTY

ICE

FREEZER

LIVE WELL

OTHER

GASOLINE

OTHER

**TOTAL FUEL** 

CAPACITY (GALLONS)

#### 2. PERMITS

INSTRUCTIONS: Indicate which permit(s) and transaction(s) you are applying for. Find the fishery in the left column and mark the check box beside that fishery to indicate what transaction you want.

OPEN ACCESS COMMERCIAL PERMITS			
FISHERY	NEW	RENEWAL	DUPLICATE
COMMERCIAL ATLANTIC DOLPHIN/ WAHOO (ADW)			
SPINY LOBSTER TAILING (LT)			
SPINY LOBSTER (LC) (Not required for the EEZ off Florida)			
SOUTH ATLANTIC ROCK SHRIMP (RS)			
SPANISH MACKEREL (SM)			
SOUTH ATLANTIC PENAEID SHRIMP (SPA)			
ROYAL RED SHRIMP ENDORSEMENT TO GULF OF MEXICO SHRIMP (SPR)			
GULF OF MEXICO SHRIMP (SPG)			
OPEN ACCESS CHARTER/HEADBOAT PERMITS			
FISHERY	NEW	RENEWAL	DUPLICATE
SOUTH ATLANTIC CHARTER/ HEADBOAT FOR SNAPPER-GROUPER (SC)			
SOUTH ATLANTIC CHARTER/ HEADBOAT FOR COASTAL MIGRATORY PELAGICS (CHS)			
ATLANTIC CHARTER/ HEADBOAT FOR DOLPHIN/ WAHOO (CDW)			
LIMITED ACCESS/MORATORIUM CHARTER/ HEADBOAT and COMMERCIAL PERMITS			
FISHERY	TRANSFER	RENEWAL	DUPLICATE
GULF OF MEXICO CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (CHG)			
GULF OF MEXICO CHARTER/HEADBOAT FOR REEF FISH (RCG)			
HISTORICAL CAPTAIN GULF OF MEXICO CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (HCHC	S)		
HISTORICAL CAPTAIN GULF OF MEXICO CHARTER/HEADBOAT FOR REEF FISH (HRCG)			
KING MACKEREL (KM)			
GILLNET FOR KING MACKEREL (GN)			
GULF OF MEXICO REEF FISH (RR, RRE)			
RED SNAPPER CLASS 1 LICENSE - 2000 POUND (L1)			
RED SNAPPER CLASS 2 LICENSE - 200 POUND (L2)			
SOUTH ATLANTIC ROCK SHRIMP LIMITED ENTRY AREA ENDORSEMENT (RSE)			
SOUTH ATLANTIC UNLIMITED SNAPPER-GROUPER (EXCLUDING WRECKFISH) (SG1, ST1)			
SOUTH ATLANTIC 225 LB TRIP LIMIT SNAPPER-GROUPER (EXCLUDING WRECKFISH) (SG2, ST2)			
SWORDFISH DIRECTED (SFD)			
SWORDFISH HANDGEAR (SFH)			
SWORDFISH INCIDENTAL (SFI)			
SHARK DIRECTED (SKD)			
SHARK INCIDENTAL (SKI)			

#### 3. VESSEL OWNER AND LESSEE INFORMATION

Please copy this page as needed to provide information on all persons or businesses that own or lease the vessel listed in Section 1.

- 1) Please complete this section for each owner of the vessel as shown on the Coast Guard Documentation or, if not documented, on the state registration certificate. If the owner is a business or partnership, enter the Federal ID number and date the business was formed or partnership was filed. If the owner is an individual, enter the Social Security Number.
- 2) Complete the Additional Owner or Lessee section for a second joint owner if the vessel is owned by more than one owner, or if the vessel is leased, for the entity that is leasing the vessel from the vessel owner. If you need more spaces, copy the blank form or provide the required information on a seperate sheet of paper.
- 3) Place an "X" in the Mailing Recipient block to indicate who will receive the permit and all related information. Please only mark one box.
- 4) If the vessel is operated under a lease or other written management agreement that bestows control over the destination, function or operation of the vessel to a person other than the vessel owner (as stated on the Coast Guard Documentation or state registration), you must submit information on each lessee. If you need more spaces for additional lessees, copy the blank form or provide the required information on a seperate sheet of paper.

Vessel Owner as shown on the USCG Certificate of Documentation, or for undocumented vessels, the State Registration; and/or Vessel Lessee Information

This entity is a vessel OWNER or vessel	el LESSEE	(For lessees	only) LEASE START D	DATE:	LE	ASE EXPIRATION	DATE:
Check one INDIVIDUAL or SOLE PROPE	RIETORSHIP [	JOINT OWN	IERSHIP PAF	RTNERSHI	P CORP	ORATION 🔳 OT	HER
Mailing Recipient - Mark this b	ox only if you	want this e	ntity to receive al	ll mail co	oncerning t	his permit.	
Mr/Mrs/Ms Last Name or Name of Bus	siness	First	Name		Middle	Name	Suffix Name
Mailing Address	Apt/Suite #	City		State	County	Zip Code	Country
Physical Address	Apt/Suite #	City		State	County	Zip Code	Country
Check box if same as Mailing Address							
Federal ID # or SSN # Date of Birth/bus	inocc filed	Area Code	Phone Number				
Date of Birtinbus	illess illeu	Area Code	Filone Number				
Income Qualifier: If this entity is the inc Commercial King Mackerel Comm				olication, i Reef Fish	ndicate below	to which fishery	the income applies.
			ion magnerer — i				
Second Vessel Owner as shown of			of Documentation	n or Stat	e Registra	tion, or	
		sel Lessee					
This entity is a vessel OWNER or vesse	l LESSEE	(For lessees o	nly) LEASE START D	ATE:	LEA	SE EXPIRATION	DATE:
Check one INDIVIDUAL or SOLE PROPR	IETORSHIP [	JOINT OWN	ERSHIP PAR	TNERSHIF	CORPC	RATION 🔳 OTI	.=5
Mailing Desiring Made to the							HER
			414 4	!!	41		1ER
Mailing Recipient - Mark this bo			•	mail co	•	•	
Mr/Mrs/Ms  Last Name or Name o			tity to receive all	mail co	ncerning th	•	Suffix Name
Mr/Mrs/Ms Last Name or Name o			•	mail co	•	•	
			•	mail co	•	•	
Mr/Mrs/Ms Last Name or Name o	f Business	First	•		Middle Nan	ne	Suffix Name
Mr/Mrs/Ms Last Name or Name o	f Business	First	•		Middle Nan	ne	Suffix Name
Mr/Mrs/Ms Last Name or Name o  Mailing Address	Apt/Suite #	First	•	State	Middle Nan	Zip Code	Suffix Name  Country
Mr/Mrs/Ms Last Name or Name o  Mailing Address  Physical Address	Apt/Suite #  Apt/Suite #	City	Name	State	Middle Nan	Zip Code	Suffix Name  Country
Mr/Mrs/Ms  Last Name or Name o  Mailing Address  Physical Address  Check box if same as Mailing Address	Apt/Suite #  Apt/Suite #	City	•	State	Middle Nan	Zip Code	Suffix Name  Country
Mr/Mrs/Ms  Last Name or Name o  Mailing Address  Physical Address  Check box if same as Mailing Address  Federal ID # or SSN#  Date of Birth/busi	Apt/Suite #  Apt/Suite #  Incomplete the state of the sta	City  Area Cod	e Phone Number	State State	County  County	Zip Code Zip Code	Suffix Name  Country  Country
Mr/Mrs/Ms  Last Name or Name o  Mailing Address  Physical Address  Check box if same as Mailing Address	Apt/Suite #  Apt/Suite #  ness filed e qualifier for any	City  City  Area Cod  permits applie	Name  Phone Number  ed for in this applicat	State State	County  County	Zip Code Zip Code	Suffix Name  Country  Country

#### 4. OFFICER/SHAREHOLDER INFORMATION FOR ENTITIES THAT OWN OR LEASE THE VESSEL

Please copy this page as needed to provide information on all persons or businesses that own or lease this vessel.

Please complete this lessee listed in section		icer or partner a	associated by partnership	o, corporation, or ot	her business	relationship to a	vessel owner or
Owner or lessee	of the vessel:	: Owner	Lessee				
Business name							
Federal Tax ID	#						
All individuals associa sheets as necessary to addresses, phone num	list all officers, direct	tors, shareholde	ner or lessee must be inc ers, and registered agents n business.	cluded in this applic s of the business. F	ation. Photoc Provide name	copy this page or es, Social Security	attach additional / Numbers,
Position held							
President/CEO	Vice President 🔲 S	ecretary 🔳	Γreasurer □ Director/I	Manager 🔲 Ager	nt 🔳 Other		
Mr/Mrs/Ms La	st Name or Name of	Business	First Name		Middle Na	me	Suffix Name
Mailing Address		Apt/Suite #	City	State	County	Zip Code	Country
Physical Address		Apt/Suite #	City	State	County	Zip Code	Country
Check box if same as Mail	ng Address						
SSN#	Date of Birth		Area Code Phone	Number			
			y permits applied for in t er Spanish Mackerel		cate below to	which fishery the	e income applies.
Position held							
President/CEO	Vice President S	ecretary 🔳	Treasurer 🔲 Director/I	Manager 🔲 Ager	nt 🔳 Other		
Mr/Mrs/Ms La	st Name or Name of	Business	First Name		Middle Na	me	Suffix Name
Mailing Address		Apt/Suite #	City	State	County	Zip Code	Country
Physical Address		Apt/Suite #	City	State	County	Zip Code	Country
Check box if same as Mail	ng Address						
SSN#	Date of Birth		Area Code Phone	Number			
SSN#	Date of Birth		Area Code Phone	Number			

#### 5. HISTORICAL CAPTAIN, RED SNAPPER CLASS 1 LICENSE QUALIFIER, AND/OR OTHER INCOME QUALIFIERS

Please copy this page as needed to provide information on persons who are Historical Captains; Red Snapper Class 1 License Qualifiers; and Income Qualifiers/Operators who are not also a vessel owner or lessee, or related though business association to the vessel owner or lessee, as listed in Section 3 or Section 4 of this application.

Please complete this section of the application only if you are applying for:

Historical Captain for Gulf of Mexico Charter/Headboat for Reef Fish

- A Gulf of Mexico Charter/Headboat permit with a Historical Captain provision,
- \* A Red Snapper Class 1 License that you have leased from a Red Snapper Class 1 License qualifier, or
- \* A King Mackerel, Spanish Mackerel, Reef Fish, or Commercial Spiny Lobster permit for which you have met the income qualification requirement by using the fishing income of an operator who is neither a vessel owner or lessee as listed in Section 3 of this application, nor is the operator an officer or a shareholder of a business that owns or leases the vessel, as listed in Section 4 of this application.

For all other applications, this page should be blank.

This entity is a (check all that apply):

r/Mrs/Ms	Last Name or Name	of Business	First Na	me		Middle Na	me	Suffix Name
lailing Address		Apt/Suite #	City		State	County	Zip Code	Country
hysical Address		Apt/Suite #	City		State	County	Zip Code	Country
Check box if same a	s Mailing Address	Aprodite #			Otate	County	Zip Gode	
SSN #	Date of Birth		Area Code	Phone Number				
	· · ·	Charter/Headboat	for Reef Fish					
Historical Cap Historical Cap Red Snapper	ck all that apply): tain for Gulf of Mexico tain for Gulf of Mexico Class 1 License Qualific ier for: (check all that	Charter/Headboat er	for Coastal Migra		ial Spiny	Lobster ■ S	panish Mackerel	Reef Fish
Historical Cap Red Snapper	tain for Gulf of Mexico tain for Gulf of Mexico Class 1 License Qualifie	Charter/Headboat er apply) 🔲 Comm	for Coastal Migra	rel 🔲 Commerci	ial Spiny	Lobster S	•	Reef Fish Suffix Name
Historical Cap Historical Cap Red Snapper Income Qualif	tain for Gulf of Mexico tain for Gulf of Mexico Class 1 License Qualific Tier for: (check all that	Charter/Headboat er apply) 🔲 Comm	for Coastal Migra	rel 🔲 Commerci	ial Spiny		•	
Historical Cap Historical Cap Red Snapper Income Qualif	tain for Gulf of Mexico tain for Gulf of Mexico Class 1 License Qualific Tier for: (check all that	Charter/Headboat er apply) 🔲 Comm	for Coastal Migra	rel 🔲 Commerci	ial Spiny		•	
Historical Cap Historical Cap Red Snapper Income Qualif	tain for Gulf of Mexico tain for Gulf of Mexico Class 1 License Qualific Tier for: (check all that	Charter/Headboat er apply)	for Coastal Migra	rel 🔲 Commerci		Middle Na	me	Suffix Name
Historical Cap Historical Cap Red Snapper Income Qualif Ir/Mrs/Ms	tain for Gulf of Mexico tain for Gulf of Mexico Class 1 License Qualific Tier for: (check all that	Charter/Headboat er apply)	for Coastal Migra	rel 🔲 Commerci		Middle Na	me	Suffix Name
Historical Cap Historical Cap Historical Cap Red Snapper Income Qualif	tain for Gulf of Mexico tain for Gulf of Mexico Class 1 License Qualific Fier for: (check all that  Last Name or Name	Charter/Headboat er apply) Comm e of Business  Apt/Suite #	for Coastal Migra ercial King Macke First Na City	rel 🔲 Commerci	State	Middle Na  County	Zip Code	Suffix Name

#### 6. REEF FISH TRAPS AND SNAPPER-GROUPER POTS

COMPLETE THIS SECTION ONLY IF YOU FISH WITH TRAPS OR POTS IN EITHER THE COMMERCIAL GULF OF MEXICO REEF FISH OR COMMERCIAL SOUTH ATLANTIC SNAPPER-GROUPER FISHERIES

Tag cost is \$1.30 per tag made payable by check or money order payable to Floy Tag, Inc.

If you have an existing buoy co	olor code for ANY trap or pot fishery, list it here	Color Code	
	buoy color code for ANY trap or pot fishery, e is not an available color option).	Color Code	
Trap/Pot Information			
Gulf of Mexico (GOM)	Number of Traps	South Atlantic (SA)	Number of Traps
Size: L x W x H (in inches)		Size: L x W x H (in inches)	
Mesh size (in inches)		Mesh size (in inches)	



#### Payment Reminder:

All applications must include payment of a non-refundable application fee in the form of a check or money order made payable to the US Treasury. The fee required is \$50.00 for the first fishery and \$25.00 for each additional fishery requested with this application.

#### 7. SIGNATURE

The undersigned certifies under penalty of perjury that the foregoing infomation is true and correct (28 U.S.C. section 1746; 18 U.S.C. section 1621; 18 U.S.C. section 1001). Further, the undersigned acknowledges that (1) if a shark permit is received, then shark fishing, catch and gear are subject to the shark regulations cited in 50 CFR 635.5, without regard to where such shark fishing occurs or where such shark and/or gear are possessed, taken or landed; and (2) if a spiny lobster tailing permit is requested, the applicant routinely fishes commercially in Federal waters on trips of up to 48 hours or more and that such fishing activity requires the seperation of the tail and carapace to maintain quality product.

Please note: If the vessel listed in Section 1 is leased, the applicant who signs below must be an individual named as a lessee in Section 3, or an officer or shareholder of the lessee as listed in Section 4. If the vessel listed in Section 1 is not leased, the applicant must be an individual named as an owner in Section 3, or an officer or shareholder of the owner as listed in Section 4.

Applicant Signature	Position in Company	Date	
Print Name	Operator Signature if required		

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Jason Rueter, National Marine Fisheries Service, F/SER22, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

### FEDERAL PERMIT APPLICATION FOR VESSELS FISHING IN THE EEZ FOR SHRIMP

U.S. DEPARTMENT OF COMMERCE NOAA FISHERIES PERMITS BRANCH, F/SER1 263 13th Avenue South St. Petersburg, FL 33701 727/824-5326 (8 am - 4:30 pm ET) http://sero.nmfs.noaa.gov



OMB Control No.: 0648-0205

10110110200201121
Check/money order #:
Reviewer's Initials/Date:
Violation Date:
Violation Cleared Date:
Expiration Date:

#### **VESSEL INSTRUCTIONS**

- 1. In filling out the information below, most applicable vessel/gear information can be found on the U.S. Coast Guard Documentation or state registration for the vessel. A current copy of the Coast Guard Documentation or if not documented a current copy of the state registration must be provided.
- 2. The application fee is \$50for th efirst permit, \$20 for each additional permit. A non-refundable check or money order made payable to the U. S. Treasury must accompany the application.
- 3. Please print legibly or type. An incomplete or illegible application will be returned.

PERMITS APPLIED FOR							
Gulf of Mexico SI South Atlantic Oparea endorsemen	pen Access Pinnead Shrim	Gulf of Me.	xico Royal Red Shrimp South Atlantic Rock S			·	
VESSEL AND GEAR INFORMATION							
CG Doc or State Registration No. (official number)		Vessel Name			Hull ID Number		
Year Built	Length (ft)	Horsepower	Gross tons	Net tons	Fish Hold Capacity	(tons; not pounds)	
Hull Material	Fuel Type	Fuel Capacity (gallons only)	Homeport (	City		Homeport State	
How do you stor (Freezer or Ice)	e your shrimp on boa	ard your vessel	How do you har (Shrimp Trawl c		shrimp? e of harvesting g	ear)	

# VESSEL OWNER/LESSEE INSTRUCTIONS

- 1. Enter the information of the person shown as the "owner" on the vessel's Coast Guard Documentation or, if not documented, on the state registration certificate. If the person shown as the "owner" is a corporation or partnership, enter the Federal ID number and date the corporation was formed or partnership was filed. If the owner is an individual, enter the Social Security Number. If the vessel is corporately owned, a copy of the Active Articles of Incorporation (for new owners) and a copy of the most current annual business report must be submitted. An inactive corporation will not be issued a permit.
- 2. By placing an "X" in the Mailing Recipient block, this indicates who you select to receive the permit and all related information.
- 3. If the vessel is being operated under a lease or other written management agreement that bestows control over the destination, function or operation of the vessel to a person other than the vessel owner (i.e., as stated on the Coast Guard Documentation or state registration), you must submit information on each lessee, as well as a copy of current lease agreement with beginning and ending dates. If such lease or written management agreement exists, the lessee is the owner for the purposes of the permit. The lease must be signed by all parties.

Mailing Recipient						
Relationship (owner or lessee):		Check one	ividual 🗆 B	usiness		
Last Name	First Name	Middle Name	Prefix Nan	ne Suffix I	Name	
Mailing Address	City	S	tate Coun	ty Zi <sub>l</sub>	p Code	Country
Federal ID # SSN	Date of Birth/Corp. filed	d Phone	Lease Start	Date Lea	se Expirat	tion Date
Mailing Recipient						
		Check on	dividual 🗆 E	Business		
Relationship (owner or lessee):	:	Oncon on	aiviadai L	340111000		
Last Name	First Name	Middle Name	Prefix Na	me Suffix	Name	
Last Name  Mailing Address	First Name City		Prefix Nar		Name ip Code	Country
						Country
				nty Z	ip Code	Country
Mailing Address	City		State Cour	nty Z	ip Code	
Mailing Address  Federal ID # SSN	City  Date of Birth/Corp. file	ed Phone	State Cour	nty Z	ip Code	
Mailing Address  Federal ID # SSN  SIGNATURE (The appli	City  Date of Birth/Corp. file  cation must be signed	ed Phone	State Cour Lease Star	nty Z	ip Code	
Mailing Address  Federal ID # SSN	City  Date of Birth/Corp. file  cation must be signed	ed Phone	State Cour Lease Star	nty Z	ip Code	
Mailing Address  Federal ID # SSN  SIGNATURE (The appli	City  Date of Birth/Corp. file  cation must be signed he/she meets all applical	ed Phone	State Cour Lease Star	nty Z	ip Code	

Officer/Sh	areholder Info	rmation		
Company n	F			
	ssee for vessel:	Business ID (Office use or		
ederal ID #	ŧ _			
dditional sheets a	s necessary to list all officer	amed company must be included in this application. Attach rs, directors, shareholders, and registered agents of the e number, date of birth, and position held in corporation.		
Position held in company  BusinessID # (office use only)				
Mr. / Mrs. / Ms.	Last Name	Suffix name (e.g. Jr., First Name Middle Name Sr., III, etc.)		
Otracat		And Only The Land		
Street		Area Code/Telephone SSN		
City		County State Zip Code Date of Birth		
Position held in c	ompany	BusinessID # (office use only)		
Mr. / Mrs. / Ms.	Last Name	Suffix name (e.g. Jr., Sr., III, etc.)		
Ctra et		Avec Code/Telephone CCN		
Street		Area Code/Telephone SSN		
City		County State Zip Code Date of Birth		
Position held in c	ompany	BusinessID # (office use only)		
Mr. / Mrs. / Ms.	Last Name	First Name Middle Name Suffix name (e.g. Jr., Sr., III, etc.)		
Street		Area Code/Telephone SSN		
City		County State Zip Code Date of Birth		

OMB Control No.: 0648-0205 Expiration Date: 10/31/2006

# **Gulf of Mexico Shrimp Federal Permit Reporting Form**

Permit Holde	er Name:		Federal Permit No	
Vessel Docu	mentation Number (U	JSCG or State issued number	ber)	
Vessel or Bo	at Name			
			ar by Species and Condition (He	ad off or Head on)
Fishing Year	January 1, 2006 thro	ough December 31, 2006		
Species	Head off Pounds	Head on Poun	ds Val	ue
Brown				
Pink				
White				
Rock				
Seabobs				
Royal Reds				
Other				<del></del>
Authorized S				

Public reporting burden for this collection of information is estimated to average 5 minutes per response including the time for reviewing the instructions, searching the existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspects of this burden to Jason Rueter, National Marine Fisheries Service, 263 13<sup>th</sup> Avenue South, St. Petersburg, Florida 33701. This reporting is required under and is authorized under 50 CFR 622.5(a)(5)(i). Information submitted will be treated as confidential in accordance with NOAA Administrative Orders. Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection displays a currently valid OMB Control Number. The NMFS requires this information for the conservation and management of marine fishery resources.

# Basis of Eligibility for the Gulf of Mexico Shrimp Moratorium

This form is to accompany the "Federal Permit Application for Vessels Fishing in the Gulf of Mexico and/or South Atlantic EEZ for Shrimp" for applicants applying for the inital issuance of Gulf of Mexico Shrimp moratorium permits.

I am eligible for the Gulf of Mexico moratorium Shrimp Permit because:

1	The vessel I own (listed in Section 1 of the accompanying application) was issued an open access Gulf of Mexico Shrimp Permit on or before December 6, 2003.
	OR
2	I owned a vessel that was issued an open access Gulf of Mexico Shrimp Permit on or before December 6, 2003, and prior to [insert date of final rule publication] I owned a different vessel which (1) was issued an open access Gulf of Mexico Shrimp Permit, (2) is/was equipped for offshore shrimp fishing, and (3) is at least 5 net tons and is Coast Guard documented, and (4) is the same vessel for which the commercial vessel moratorium permit is being applied (as listed in Sectin 1 of the accompanying application). Complete parts A and B below.
	A) Provide the Coast Guard documentation number or the state registration number for the vessel that you owned or leased on or before December 6, 2003 which was issued an open access Gulf of Mexico Shrimp Permit on or before December 6, 2003.
	B) Which of the following gear is aboard the vessel for which you are applying for a Gulf shrimp moratorium permit now (as listed in Section 1 of the accompanying application)? Check all that apply:
	□ Otter Trawl □ Skimmer Net □ Wing Net □ Beam Trawl □ Roller Frame □ Butterfly Net □ Cast Net
	Note
	An applicant who believes he/she meets either permit eligibility criteria based on

An applicant who believes he/she meets either permit eligibility criteria based on ownership of a vessel or vessels under a different name, e.g., as may have occured when ownerhip has changed from indiviual to corporate or vice versa, must document his/her continuity of ownership.

Public reporting burden for this collection of information is estimated to average 1 minute per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Jason Rueter, National Marine Fisheries Service, F/SER22, 263 13th Avenue South, St. Petersburg, FL 33701. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

NMFS PERMITS BRANCH, F/SER1 263 13th Avenue South St. Petersburg, FL 33701 727/824-5326 (8 am - 4:30 pm ET) http://sero.nmfs.noaa.gov

# FEDERAL PERMIT APPLICATION FOR VESSELS FISHING IN THE EXCLUSIVE ECONOMIC ZONE (EEZ) FOR GOLDEN CRAB

OMB Control	No.: 0648-0205; I	Expiration Date: 10/31/2006		
Check or Money Order Number:				
Reviewer Initials and Date				
Violation Date:				
Violation Clear Date:				
Non Reporting Hold Date				
Non Reporting Cleared Date				
Expiration Date:				
Articles of Inc. on file?	O YES	○ NO		
FOR OFFICE USE ONLY				

1. A COPY of your current (not expired) USCG Certificate of Documentation or if the vessel is not documented then your state vessel registration is REQUIRED with the application. Do not send your original. The application fee is \$40 for the golden crab fishery and is non-refundable. A check or money order payable to the U.S. TREASURY must accompany each application

	VESSE	L INFORMATION	
USCG DOCUMENT NUMBER or STATE REGISTRAT	ION NUMBER	VESSEL NAME	
HULL IDENTIFICATION or IMO NUMBER		YEAR BUILT	LENGTH (FEET)
USCG DOCUMENTED VESSEL INFOM	ATION	TOTAL HORSEPOWI	ER
GROSS TONS NET TONS HOLD CAPA	ACITY (TONS)		
		HULL MATERIAL	TOTAL FUEL CAPACITY
, , , , , , , , , , , , , , , , , , , ,		FIBERGLASS	(GALLONS)
HOME PORT CITY (WHERE YOU NORMALLY TIE U	P)	STEEL	
		■ WOOD	,
HOME PORT STATE		CEMENT	
		OTHER	
			<del>_</del>

2. VESSEL OWNER - AS SHOWN ON THE USCG DOCUMENTATION OR STATE REGISTRATION. IF VESSEL IS OWNED BY A BUSINESS, SHOW THE BUSINESS NAME AND ADDRESS. IF THE VESSEL IS OWNED BY JOINT OWNERS, LIST THE FIRST JOINT OWNER. OTHER JOINT OWNERS OR THE BUSINESS OFFICERS MUST BE LISTED ON PAGE 2 OF THE APPLICATION OR ON A SEPERATE SHEET OF PAPER.

VESSEL IS OWNED E	BY: INDIV	IDUAL or JOINT	OWNERSHIP	BUSINESS			
LAST NAME OR NAME OF BUSINESS				FIRST NAME			
MIDDLE NAME		Suffix (Sr., Jr.	II, etc)	DATE OF BIRTH OR DATE	BUSINESS FILE	D WITH YOUR STA	ATE
					MC	NTH/DAY/YEAR	
MAILING ADDRESS			CITY		STATE	COUNTY	ZIP CODE
COUNTRY	BUSINESS TELEPHONE NUMBER	AREA CODE	TELEPHONE NUMBE	R HOME TELEPHONE NUMBER	AREA CODE	TELEPHONE N	NUMBER
FEDERAL ID NUMBE	ER (FEIN) if a Com	pany Owns Vesse	el SOCIAL SE	C. NUMBER if person(s) own	vessel		
			OR				

	FROM THE V	ESSEL OWNER	
INDIVIDUAL PERMIT OWNE	R BUSINESS PERMI	T OWNER	
AST NAME OR NAME OF CORPOR	RATION/BUSINESS F	FIRST NAME	
IDDLE NAME	Suffix (Sr., Jr. II, etc)	ATE OF BIRTH OR DATE	BUSINESS FILED WITH YOUR STATE
			MONTH/DAY/YEAR
AILING ADDRESS	CITY		STATE COUNTY ZIP CODE
OUNTRY BUSINESS TELEPHONE	AREA CODE TELEPHONE NUMBER	HOME TELEPHONE	AREA CODE TELEPHONE NUMBER
NUMBER		NUMBER	
EDERAL ID NUMBER(FEIN)	SOCIAL SECURITY NUMBER	DATE LEASES	TARTS (MM/DD/YY) DATE LEASE EXPIRES (MM/DD/
	OR		
	MATION IS REQUIRED FOR PERMITS AN PAPER TO LIST ADDITIONAL OFFICERS		IED BY BUSINESSES. USE A SEPERATE RS IF NEEDED.
JSINESS OFFICER #1		POSITION IN COMPAN	IY
ST NAME	FIRST NAME	MIDDLE NAME	DATE OF BIRTH - MM/DD/YYYY
ILING ADDRESS	CITY		STATE ZIP CODE
JSINESS OFFICER #2		POSITION IN COMPAN	Y
T NAME	FIRST NAME	MIDDLE NAME	DATE OF BIRTH - MM/DD/YYYY
LING ADDRESS	CITY		STATE ZIP CODE
	- DEGIONATE		
	<u></u>	ED FISHING ZONE	
NORTHERN ZONE - South A North of 28 degrees North la			SOUTHERN ZONE - South Atlantic EEZ  South of 25 degrees North latitude.
North Carolina/Virginia Bord			South of 23 degrees North failude.
	6. TRAP I	NFORMATION	
f you have an existing buoy colo	or code for ANY trap or pot fishery, list it her		
	uoy color code for ANY trap or pot fishery,	Color Code	
equest your choice here (white i	is not an acceptable choice)	'	
rap/Pot Information	Size: L x W x H in inches		Number of Traps
•	ļ		DIFO
	7 DDCDOMINIANT	GEAR and FISHE	KIES
	7. PREDOMINANT ( this vessel SELLS. 1 as most frequently so	old. 4 as least frequently	v sold.
ist by letter up to 4 kinds of fish t	this vessel SELLS. 1 as most frequently so	old, 4 as least frequently	y sold.
		old, 4 as least frequently	y sold.
ist by letter up to 4 kinds of fish to 1.	this vessel SELLS. 1 as most frequently so	old, 4 as least frequently D. Shark	y sold. E. Swordfish/Tuna
ist by letter up to 4 kinds of fish to the	this vessel SELLS. 1 as most frequently so	D. Shark	E. Swordfish/Tuna
ist by letter up to 4 kinds of fish to the	this vessel SELLS. 1 as most frequently so  3. 4. C. Reef Fish	D. Shark	E. Swordfish/Tuna
ist by letter up to 4 kinds of fish to the	this vessel SELLS. 1 as most frequently so  3. 4. C. Reef Fish  Shrimp H. Spanish Mack	D. Shark	E. Swordfish/Tuna
ist by letter up to 4 kinds of fish to the first state of the first st	this vessel SELLS. 1 as most frequently so  3. 4. C. Reef Fish  Shrimp H. Spanish Mack	D. Shark keral I. Stone C	E. Swordfish/Tuna rab J. Other (specify)
ist by letter up to 4 kinds of fish to the first state of the first st	this vessel SELLS. 1 as most frequently so  3. 4. C. Reef Fish  Shrimp H. Spanish Mack  11. SIG	D. Shark keral I. Stone C	E. Swordfish/Tuna  J. Other (specify)  nit, as shown on the attached instructions.
1. 2. A. Golden Crab B. F. Spiny Libster G.	this vessel SELLS. 1 as most frequently so  3. 4. C. Reef Fish  Shrimp H. Spanish Mack  11. SIG	D. Shark  Keral I. Stone C  SNATURE  requirement for a perm	E. Swordfish/Tuna  J. Other (specify)  nit, as shown on the attached instructions.

#### **GENERAL INFORMATION ON THE SOUTH ATLANTIC GOLDEN CRAB FISHERY**

The South Atlantic EEZ is divided into three fishing zones as follows: (i) northern zone; (ii) middle zone; and (iii) southern zone. Permits have been issued for a single zone. **Effective May 3, 2002, through May 3, 2005**, a vessel with a documented overall length greater than 65 ft with a southern zone permit may fish in that zone (except in the subzone – see below), and may also fish in the northern zone without changes to the vessel permit; such vessel may discontinue fishing in the northern zone and return to fish in the southern zone without changes to the vessel permit. A vessel with a permit to fish for golden crab in the northern zone or the middle zone will continue to be authorized to fish only in that zone. A vessel may possess golden crab only in a zone in which it is authorized to fish under this measure, except that other zones may be transited if the vessel notifies NMFS, Office of Enforcement, Southeast Region, St. Petersburg, FL, by telephone (727-824-5344) in advance of the fishing trip.

The historical (5,000-lb.) catch requirement for renewing a commercial vessel permit for golden crab is no longer in effect.

Renewal of your vessel's golden crab permit is automatic (without application) every other year for a vessel owner who has met the specific requirements for the requested permit; who has submitted <u>all</u> reports required under the Magnuson\_Stevens Act; and who is not subject to a sanction or denial. During the year 2002 and subsequent years when an application <u>is</u> required, a commercial vessel permit will not be renewed if the permit is revoked or if NMFS does not receive the required application for renewal by June 30 of that year (i.e., within six months after the permit's expiration date of December 31 each year). During the year 2003 and subsequent years when an application is <u>not</u> required, a commercial vessel permit will not be automatically renewed if the permit is revoked (i.e., subject to a sanction or denial). Your permit's expiration date is shown on the permit.

To obtain a permit for the middle or southern zone via transfer, the documented length overall of the replacement vessel may not exceed the documented overall length, or aggregate documented overall lengths, of the replaced vessel(s) by more than 20 percent. The owner of a vessel permitted for the middle or southern zone who has requested that NMFS transfer that permit to a smaller vessel (i.e., downsized) may subsequently request NMFS transfer that permit to a vessel of a length calculated from the length of the permitted vessel immediately prior to downsizing. There are no vessel size limitations to obtain a permit for the northern zone via transfer.

Effective through June 3, 2005, upon request, NMFS will change a vessel permit back to the southern zone for an owner of a vessel, or the subsequent owner of a vessel, whose permit was changed from the southern zone to the northern zone provided that the documented length overall of the vessel to be used in the southern zone is not more than 20 percent greater than the vessel whose permit was originally changed from the southern zone to the northern zone.

A small-vessel sub-zone is established within the southern zone bounded on the north by 24 15' N. lat., on the south by 24 07' N. lat., on the east by 81 22' W. long., and on the west by 81 56' W. long. No vessel with a documented length overall greater than 65 ft may fish for golden crab in this sub-zone. A vessel with a documented length overall of 65 ft or less that is permitted for the southern zone may fish for golden crab only in this sub-zone.

#### INSTRUCTIONS (Incomplete or illegible applications will be returned.)

- 1. Blocks in Sections 1, 2, 5, and 8 must be completed or application will be returned. All other sections must be completed, if applicable.
- 2. The application fee is \$40 for the golden crab fishery and is non-refundable. A check or money order payable to the U.S. TREASURY must accompany each application.
- 3. Mail the application, copy of current Coast Guard Certificate of Documentation/state registration (must be provided with every application, even if state registration has a multiple year expiration date), check(s)/money order(s), if vessel is owned by a corporation, must provide a copy of ACTIVE Articles of Incorporation to: NMFS (F/SER1), 263 13TH AVENUE SOUTH, ST. PETERSBURG, FL 33701. Questions may be phoned to Robert Sadler, 727/824-5326 between 8am 4:30pm EST. If you would like your permit and associated documents returned to you via overnight mail, enclose a FEDERAL EXPRESS air bill, complete with your delivery address, telephone number, and your FEDEX account number or credit card number with expiration date. If owner/qualifier is an individual, enter the Social Security number (taxpayer ID information). If the person shown as the "owner" is a corporation or partnership, enter the Federal Tax ID number (taxpayer ID information).

In accordance with Federal regulations, any change in your permit information must be reported to the NMFS Regional Administrator within 30 days of the change.

**SECTION 1** Enter name, official number, and length of vessel as they appear on the Coast Guard documentation or, if not documented, on the state registration certificate. Under "Home Port", enter the city and state where the vessel is customarily kept, not necessarily the home port on a certificate of documentation.

SECTION 2 Enter the information of the person shown as the "owner/qualifier" from the Coast Guard documentation or, if not documented, from the state registration certificate, if more than one owner, please list additional owners in space provided for 2<sup>nd</sup> or 3<sup>rd</sup> owners and if additional space is needed, please attach additional sheet of paper. If owner/qualifier is an individual, enter the Social Security number. If the person shown as the "owner" is a corporation or partnership, enter the Federal ID number and date the corporation/partnership was formed. If a corporate owned vessel, submit ACTIVE Articles of Incorporation along with a copy of the company's moist recent annual report (as filed with the Secretary of State in which the company id registered). If corporations are in an INACTIVE status, permits will not be issued.

<u>SECTION 3</u> Complete this section only when the vessel is being operated under a lease or other written management agreement that bestows control over the destination, function or operation of the vessel to a person other than the person shown in Section 2. Enter the date of expiration of the lease or written management agreement. If such lease or written management exists, the controlling person is the owner for the purposes of the permit. Provide a copy of the signed (both parties) and dated lease agreement. We recommend that the lease agreement end at the end of a month.

**SECTION 4** Complete this section if vessel is corporate or partnership owned.

**SECTION 5** Select only one fishing zone in which you fish.

<u>SECTION 6</u> Complete this section only if you fish with traps/pots in the golden crab fishery off the southern Atlantic states. A **separate check or money order for trap/pot tags (\$1.30 per tag) payable to FLOY TAG is required only if you wish to purchase trap tags.** Tags will be mailed directly to you from Floy Tag and will not accompany the permit package.

**SECTION 7** The information in this section is a data collection requirement, please complete.

**SECTION 8** The application must be signed by the vessel owner.

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Permits Branch, National Marine Fisheries Service, F/SER21, 263–13th Avenue South, St. Petersburg, FL 33701. The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Confidential name and address information will be released via a NOAA Fisheries website for informational purposes. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

# **INCOME QUALIFICATION AFFIDAVIT**

The following excerpts from the US Code of Federal Regulations describe income qualification requirements for Gulf of Mexico reef fish, king mackerel, Spanish mackerel, and commercial spiny lobster permits. Additional regulations may apply.

# **King Mackerel**

50CFR622.4(a)(iii) To obtain or renew a commercial vessel permit for king mackerel, at least 25 percent of the applicant's earned income, or at least \$10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.

## **Spanish Mackerel**

50CFR622.4(a)(iv) To obtain or renew a commercial vessel permit for Spanish mackerel, at least 25 percent of the applicant's earned income, or at least \$10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.

## **Gulf Reef Fish**

50CFR622.4(a)(v) To obtain or renew a commercial vessel permit for Gulf reef fish, more than 50 percent of the applicant's earned income must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during either of the 2 calendar years preceding the application.

## **Spiny Lobster**

50CFR640.4(A)(2) An applicant must provide the following information: (vi) A sworn statement by the applicant for a vessel permit certifying that at least 10 percent of his or her earned income was derived from commercial fishing, that is, sale of the catch, during the calendar year preceding the application.

The following information applies	s to my income qualification for the	following fisheries:	
Commercial Spiny Lobster	☐ Gulf of Mexico Reef Fish	☐ King Mackerel	Spanish Mackerel
documentation to prove that I met Service. Executed on	, hereby declare units (18 U.S.C. § 1746; 18 U.S.C. § 1621; the earned income requirement when the signed)		e to provide the necessary
Printed Name	Signati	ure	
Business Name (If Applicable)	Type o	f Business (If Applicable)	
Position in business (If Applicable	<u>e)</u>		

NMFS PERMITS BRANCH, F/SER1 263 13TH Avenue South St. Petersburg, FL 33701 727/824-5326 (8 am - 4:30 pm ET) http://sero.pmfs.poaa.gov

# APPLICATION FOR FEDERAL PERMIT FOR THE HARVEST OF AQUACUI TURED LIVE ROCK

OMB Control No.: 0648-205 Expiration Date: 10/31/2006

**AQUACULTURED LIVE ROCK** http://sero.nmfs.noaa.gov THENT OF COL Check or Money Order Number: Reviewer Initials and Date Violation Date: Violation Clear Date: New Application \$175.00 All Information is REQUIRED. Incomplete or New Expiration Date: unreadable applications will be returned. Renewal Application \$31.00 Articles of Inc. on file? YES \_\_ NO PERMIT NUMBER SITE NUMBER

								FOR OF	FICE U	SE ON	ILY
		1. APP	LICANT (	PERM	IIT OWNER	) INF	ORMA	TION			
PERMIT	IS OWNED B	Y: Individual	or Partnership		Business						
LAST NAME OF	R NAME OF B	USINESS	FIRS	FIRST NAME			MIDDLE N	IAME	Suffix (S	Sr., Jr. II,	etc)
MAILING ADDRESS							STATE	COUNTY			ZIP CODE
BUSINESS TELEPHONE NUMBER	AREA CODE	TELEPHONE NUMBER	HOME TELEPHONE NUMBER			NUMBE	R	DATE OF BIRTH	OR DAT	E BUSINE	SS FORMED R
FEDERAL ID N	IUMBER (FEIN	N) if a Company Owns Perm	or OR	SOCIAL S	SEC. NUMBER if po	erson(s) o	own Permit				
			2. 8	SITEIN	NFORMATI	ON					
		GNED BY NMFS) Leave new permit owner will har			, use the NMFS						
Site Size and Position Information Note ALL Aquaculture sites are circles with a radius not to exceed 117.75 feet (0.019 NM). The total acerage of ALL sites maintained by a single permit owner must not exceed 1.0 acres.									t (0.019		
La	titude and I	Longitude must be rep	orted as Deg	rees Min	utes to the third	d decim	al place (	i.e. 24-32.123	N 085-4	5.456 V	N)
Center Point	Latitude				Radius (in fe	eet, not t	o exceed	117.75 feet)			
Center Point	Longitude										
			Method o	f determi	ning Latitude and	l Longitu	de				
GPS	DGPS	LORAN TDs con	verted by manu	ual plottin	g or electronics		Other				
State the site	is located in:	Mir	nimum Depth of	f water (in	feet) to be allow	ed over	the site at	mean low water	:		

# 3. VESSEL INFORMATION (all information is required)

INSTRUCTIONS: Provide a copy of the valid, unexpired USCG Certificate of documentation (or state registration if not documented) for each vessel listed. Provide all information for each vessel used in the deposting/harvesting of aquacultured rock at the permitted site. If more forms are needed, photocopy this form and number each additional vessel, or provide the required information on a seperate sheet of paper.

VESSEL 1										
USCG Document Number (State Number if not documented)			Ves	Vessel Name				Length (feet)		
Homeport Cit	ty		Homeport State Port of Landing City			Port of Landing State				
Total Horsep	ower	Hold Capacity (to	ons)		Live Well Capacity (gall	ons				
VESSEL 1 O	WNER INFORMA	TION								
LAST NAME C	R NAME OF BUSINE	ESS	FIR	ST NA	ME	MIDDLE N	IAME	S	Suffix (Sr., c	Ir. II, etc)
MAILING ADD	RESS		CITY			STATE	COUNTY			ZIP CODE
BUSINESS TELEPHONE NUMBER	AREA CODE/TELE	EPHONE NUMBER	HOME TELEPHONE NUMBER	A	AREA CODE/TELEPHONE NUMB	BER	DATE OF BIRTH		OR DATE B	USINESS FORMED
FEDERAL ID	NUMBER (FEIN) if a	business owns the ve	essel	soc	CIAL SEC. NUMBER if person(s)	owns the ves	ssel			
VESSEL 2			<u></u>							
USCG Docur	ment Number (Stat	e Number if not doo	cumented)	Ves	ssel Name				Length (	feet)
Homeport Cit	Homeport City Homeport Sta			ate	Port of Landing City			Port of Landing State		
Total Horsep	ower	Hold Capacity (to	ons)		Live Well Capacity (gall	ons				
VESSEL 2 O	WNER INFORMA	TION								
LAST NAME C	OR NAME OF BUSINE	ESS	FIR	ST NA	ME	MIDDLE N	IAME	S	Suffix (Sr., c	Ir. II, etc)
MAILING ADD	RESS		CITY			STATE	COUNTY			ZIP CODE
BUSINESS TELEPHONE NUMBER	AREA CODE/TELE	EPHONE NUMBER	HOME TELEPHONE NUMBER	A	AREA CODE/TELEPHONE NUMB	BER	DATE OF BIRTH		OR DATE B	USINESS FORMED YEAR
FEDERAL ID	NUMBER (FEIN) if a	business owns the ve	ssel	soc	CIAL SEC. NUMBER if person(s)	owns the ves	ssel			

ADDITIONAL PERSONS, PHOTOCOPY THIS PA	AGE OR USE A SEPERATE SHEET OF PAPER IF NEEDED.
OFFICER OR PARTNER #1	POSITION IN COMPANY
LAST NAME	FIRST NAME
MIDDLE NAME Suffix (Sr., Jr. II, etc)	DATE OF BIRTH - MONTH DAY YEAR SOCIAL SECURITY NUMBER
MAILING ADDRESS CITY	STATE COUNTY ZIP CODE
COUNTRY BUSINESS AREA CODE TELEPHONE NUI	
TELEPHONE NUMBER	TELEPHONE NUMBER
OFFICER OR PARTNER #2	POSITION IN COMPANY
LAST NAME	FIRST NAME
MIDDLE NAME Suffix (Sr., Jr. II, etc)	DATE OF BIRTH - MONTH DAY YEAR SOCIAL SECURITY NUMBER
MAILING ADDRESS CITY	STATE COUNTY ZIP CODE
BUSINESS TELEPHONE NUMBER  BUSINESS TELEPHONE NUMBER  TELEPHONE NUMBER	MBER HOME TELEPHONE NUMBER NUMBER
OFFICER OR PARTNER #3	POSITION IN COMPANY
LAST NAME	FIRST NAME
MIDDLE NAME Suffix (Sr., Jr. II, etc)	DATE OF BIRTH - MONTH DAY YEAR  SOCIAL SECURITY NUMBER
MAILING ADDRESS CITY	STATE COUNTY ZIP CODE
BUSINESS TELEPHONE NUMBER AREA CODE TELEPHONE NUMBER	MBER HOME TELEPHONE NUMBER RUMBER
APP	LICANT SIGNATURE
Applicant Signature	Date Signed
Printed Name	Position in Company

4. BUSINESS OFFICER INFORMATION IS REQUIRED FOR PERMITS OWNED BY COMPANIES/BUSINESSES OR PARTNERS. FOR

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to Carolyn Sramek, National Marine Fisheries Service, F/SER1, 263 13th Avenue South , St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA Fisheries website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number.

# **AQUACULTURE SITE EVALUATION REPORT**

OMB Control No.: 0648-205 Expiration Date: 10/31/2006

NMFS PERMITS BRANCH, F/SER1 263 13th Avenue South St. Petersburg, FL 33701 (727) 824-5326 (8 am - 4:30 pm ET) http://sero.nmfs.noaa.gov



FOR OFFICE USE ONLY				
SITE NUMBER				
PERMIT NUMBER				
Reviewer Initials and Date				

INSTRUCTIONS: Provide the information required on the evaluation form- ALL BLANKS MUST BE FILLED IN. Additional sheets or presentations may be used, however all information must be provided on this form. Latitude and Longitude must be provided in the following fomat: degrees and minutes to the third decimal place (24-56.789N 081-23.456W). When providing a copy of a portion of a nautical chart showing the location of the site, ensure that the chart number, title and edition used are included. Use a chart large enough in scale to show sufficient detail and allow for site location and inspection. No site, or group of sites maintaned by a single owner may exceed (individually or together) a total of 1 acre (43,560 square feeet). All sites are to be a circle with a radius not to exceed 0.019 NM (117.75 feet); or a one acre area.

Site Evaluation prepared for (Aquaculture applicant name)		
	tion. Note ALL Aquaculture sites are cir ALL sites maintained by a single permi	cles with a radius not to exceed 117.75 feet it owner must not exceed 1.0 acres.
Latitude and Longitude must be report	rted as Degrees Minutes to the third decimal	place (i.e. 24-32.123 N 085-45.456 W)
Center Point Latitude	Radius (in feet)	
Center Point Longitude		
	Method of determining Latitude and Longitude	
☐ GPS ☐ DGPS ☐ LORAN T	Ds converted by manual plotting orelectronics	Other
State the site is located in:		Minimum Depth of water ( in feet) to be allowed over the site:
Description of the location of the city (i.e. E	E NIM CVM of Dook Koy and 75 NIM and	at of Cond Chool)
Description of the location of the site (i.e. 5	5.5 NIVI SVV OI ROCK Key and .75 NIVI eas	st of Sand Shoar)
2. Describe all possible hazards to safe na	avigation or hindrance to vessel traffic, in	nterference with traditional fishing operations
or other public access that may result from	aquacultured rock at the site.	

Describe the naturally occurring bottom habitat at the site:	
4. Describe the type, size, amount and origin of the material to be deposit	red on the site and how it will be distinguishable
(method of marking/tagging and description) from the naturally occurring s	substrate. You must provide a sample of the
material.	aboutate. Tou must provide a sumple of the
material.	
F. CTCNATURE	
5. SIGNATURE	
Preparer Signature	Date Signed
Printed Name	Position in Company if Corporation/Business/LLC
Qualifications/Experience of Preparer	-1

GENERAL INSTRUCTIONS 1. A site evaluation report must be submitted by the applicant to Carolyn Sramek, Permit Branch, Southeast Regional Office, 263 13th Avenue South, St. Petersburg, FL 33701. The report, which may include videotapes of underwater surveys, shall be prepared by an independent source pursuant to generally accepted industry standards and shall demonstrate that the proposed site:

- a. Is not a hazard to safe navigation or a hinderance to vessel traffic; and
- b. Avoids traditional fishing operations, or other public access; and
- c. Avoids impacts to naturally occurring hard bottom habitat and submerged aquatic vegetation; and
- d. Contains natural underlying substrata that is primarily hard packed sand, hard shell hash, or rock covered by sand not more than 6 inches deep.
- 2. The applicant shall identify the site on a nautical chart in sufficient detail to allow for site inspection, and shall provide accurate coordinates so that the site can be located by Differential Global Positioning System (DGPS) equipment. Site inspection may be required on a case by case basis.
- 3. Sites which individually or cumulatively total more than one acre will not be authorized.
- 4. If applicant is an individual enter the Social Security number. If applicant is a corporation or partnership, enter the Federal Tax ID number.
- 5. A site evaluation report must be done for each application. You may include additional information in seperate sources, but the information must be provided on this form. Fields 1,2,3 and 4 on this form shall not refer to another source for information.
- 6. A sample of the rocks to be deposited must accompany each Site Evaluation report.

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to National Marine Fisheries Service, F/SER1, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA Fisheries website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

NMFS PERMITS BRANCH, F/SER1 263 13TH Avenue South St. Petersburg, FL 33701 727/824-5326 (8 am - 4:30 pm ET) http://sero.nmfs.noaa.gov

# **AQUACULTURED LIVE ROCK REPORT**

OMB Control No.: 0648-205 Expiration Date: 10/31/2006

Revised: 12/16/2005



## FOR OFFICE USE ONLY

1. PERMIT OWNER INFORMATION									
LAST NAME O	R NAME OF BUSINESS		FIRST NAM			MIDDLE NAME		Suffix (Sr., Jr. II, etc)	
MAILING ADD	RESS			CI	TY		STATE	ZIPCODE	
WAILING ADDI	NL00			Ci	I I		STATE	ZIFGODE	
BUSINESS TELEPHONE NUMBER	AREA CODE/TELEPHONE NUMBER	HOME TELEPHONE NUMBER							
FEDERAL ID I	NUMBER (FEIN) if a Company Owns Perr	nit	OR SOC	IAL SEC.	NUMBER if person(s)	own Permit			
		2	. DEPO	SIT IN	NFORMATIO	N			
NAME OF SOL	JRCE OF DEPOSITED MATERIAL								
MAILING ADDI	RESS			CI	ТҮ		STATE	ZIPCODE	
GEOGRAPHIC	ORIGIN OF DEPOSITED MATERIAL								
	PERMIT NUMBER				Size in Inches; i.e	e 12 x 12			
	Deposit Date MM/DD/YYYY				Pounds Depsited	d			
	Using the reverse of the form, sketch from exsisting hard bo								
	3. HARV	EST IN	NFORM	ATIOI	N - if landed	outside Flo	orida		
	Harvest Date MM/DD/YYYY				Pounds Harveste	d			
	Unit Price				Total Dollar Value				
NAME OF DEA	ALER						FEDERAL II	O NUMBER (FEIN) of the De	ealer
MAILING ADDI	RESS			Cl	TY		STATE	ZIPCODE	
4. SIGNATURE									
Signature of I	Reporting Permit Holder						Date S	igned	
Printed Name	2				P	osition in Compa	ny		

Form 34–82 is used in checking for respondents' compliance with program requirements and for inconsistencies in their reporting to NOAA and the Internal Revenue Service of program-related adjustments to their income. The deposit and withdrawal information is also required, by statute, to be annually reported to the Secretary of Treasury.

Affected Public: Business or other forprofit organizations.

Frequency: Annually.
Respondent's Obligation: Mandatory.
OMB Desk Officer: David Rostker,
(202) 395–3897.

Copies of the above information collection proposal can be obtained by calling or writing Diana Hynek, Departmental Paperwork Clearance Officer, (202) 482–0266, Department of Commerce, Room 6625, 14th and Constitution Avenue, NW., Washington, DC 20230 (or via the Internet at dHynek@doc.gov).

Written comments and recommendations for the proposed information collection should be sent within 30 days of publication of this notice to David Rostker, OMB Desk Officer, FAX number (202) 395–7285, or David Rostker@omb.eop.gov.

Dated: April 18, 2006.

#### Gwellnar Banks,

Management Analyst, Office of the Chief Information Officer.

[FR Doc. E6–6041 Filed 4–21–06; 8:45 am] **BILLING CODE 3510–22–P** 

#### **DEPARTMENT OF COMMERCE**

# Submission for OMB Review; Comment Request

DOC has submitted to the Office of Management and Budget (OMB) for clearance the following proposal for collection of information under the provisions of the Paperwork Reduction Act (44 U.S.C. chapter 35).

Agency: U.S. Census Bureau. Title: Survey of Housing Starts, Sales, and Completions.

Form Number(s): SOC-Q1/SF.1; SOC-Q1/MF.1.

Agency Approval Number: 0607–0110.

Type of Request: Extension of a currently approved collection.

Burden: 14,688 hours. Number of Respondents: 28,200. Avg Hours Per Response: 5 minutes.

Needs and Uses: The U.S. Census Bureau is requesting an extension of the currently approved collection for the Survey of Housing Starts, Sales, and Completions, otherwise known as the Survey of Construction (SOC). Government agencies and private

companies use statistics from SOC to monitor and evaluate the large and dynamic housing construction industry. Data for two principal economic indicators are produced from the SOC: New Residential Construction (housing starts and housing completions) and New Residential Sales. In addition, a number of other statistical series are produced, including extensive information on the physical characteristics of new residential buildings, and indexes measuring rates of inflation in the price of new buildings. These statistics are based on a sample of residential buildings in permit-issuing places and a road canvass in a sample of land areas not covered by building permit systems.

The field representatives (FRs) mail forms SOC-QI/SF.1 and SOC-QI/MF.1 to the respondents to complete. A few days later, the FRs either call or visit the respondents to enter their survey responses into a laptop computer using the Computer Assisted Personal Interviewing (CAPI) software formatted for the SOC-QI/SF.1 and SOC-QI/MF.1 forms. The respondents are homebuilders, real estate agents, rental agents, or new homeowners of sampled residential buildings. FR's contact respondents multiple times based on the number of projects in the sample and the number of months required to complete the project. Approximately 28,200 new buildings are added to our sample each year. A total of 176,250 responses are collected annually from all respondents. The Census Bureau uses the information collected in the SOC to publish estimates of the number of new residential housing units started, under construction, completed, and the number of new houses sold and for sale. The Census Bureau also publishes many financial and physical characteristics of new housing units. Government agencies use these statistics to evaluate economic policy, measure progress towards the national housing goal, make policy decisions, and formulate legislation. For example, the Board of Governors of the Federal Reserve System uses data from this survey to evaluate the effect of interest rates in this interest-rate sensitive area of the economy. The Bureau of Economic Analysis uses the data in developing the Gross Domestic Product (GDP). The private sector uses the information for estimating the demand for building materials and the many products used in new housing and to schedule production, distribution, and sales efforts. The financial community uses the data to estimate the demand for

short-term (construction loans) and long-term (mortgages) borrowing.

Affected Public: Business or other forprofit, Individuals or households. Frequency: Monthly.

Respondent's Obligation: Voluntary. Legal Authority: Title 13 U.S.C. 182. OMB Desk Officer: Susan Schechter, (202) 395–5103.

Copies of the above information collection proposal can be obtained by calling or writing Diana Hynek, Departmental Paperwork Clearance Officer, (202)482–0266, Department of Commerce, room 6625, 14th and Constitution Avenue, NW., Washington, DC 20230 (or via the Internet at dhynek@doc.gov). Written comments and recommendations for the proposed information collection should be sent within 30 days of publication of this notice to Susan Schechter, OMB Desk Officer either by fax (202–395–7245) or e-mail (susan\_schechter@omb.eop.gov).

Dated: April 18, 2006.

#### Madeleine Clayton,

Management Analyst, Office of the Chief Information Officer.

[FR Doc. E6–6042 Filed 4–21–06; 8:45 am] BILLING CODE 3510–07–P

#### **DEPARTMENT OF COMMERCE**

# National Oceanic and Atmospheric Administration

#### Proposed Information Collection; Comment Request; Southeast Region Permit Family of Forms

**AGENCY:** National Oceanic and Atmospheric Administration (NOAA). **ACTION:** Notice.

SUMMARY: The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995.

**DATES:** Written comments must be submitted on or before June 23, 2006. **ADDRESSES:** Direct all written comments

to Diana Hynek, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6625, 14th and Constitution Avenue, NW., Washington, DC 20230 (or via the Internet at dHynek@doc.gov).

## FOR FURTHER INFORMATION CONTACT:

Requests for additional information or copies of the information collection instrument and instructions should be directed to Jason Rueter, (727) 824–5350 or *jason.rueter@noaa.gov*.

#### SUPPLEMENTARY INFORMATION:

#### I. Abstract

National Marine Fisheries Service (NMFS) Southeast Region manages the U.S. fisheries of the Exclusive Economic Zone (EEZ) off the South Atlantic, Caribbean, and Gulf of Mexico under the Fishery Management Plans (FMP) for each Region. The Regional Fishery Management Councils prepared the FMPs pursuant to the Magnuson-Stevens Fishery Conservation and Management Act. The regulations implementing the FMPs are at 50 CFR part 622.

The recordkeeping and reporting requirements at 50 CFR part 622 form the basis for this collection of information. NMFS Southeast Region requests information from fishery participants. This information, upon receipt, results in an increasingly more efficient and accurate database for management and monitoring of the fisheries of the EEZ off the South Atlantic, Caribbean, and Gulf of Mexico.

#### II. Method of Collection

Paper applications, electronic reports, and telephone calls are required from participants, and methods of submittal include Internet and facsimile transmission of paper forms.

#### III. Data

*OMB Number:* 0648–0205. *Form Number:* None.

Type of Review: Regular submission. Affected Public: Business or other forprofit organizations.

Estimated Number of Respondents: 16,820.

Estimated Time per Response: 1 hour and 24 minutes.

Estimated Total Annual Burden Hours: 24,121.

Estimated Total Annual Cost to Public: \$2,887,000.

## **IV. Request for Comments**

Comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized and/or

included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: April 18, 2006.

#### Gwellnar Banks,

Management Analyst, Office of the Chief Information Officer.

[FR Doc. E6–6043 Filed 4–21–06; 8:45 am]

BILLING CODE 3510-22-P

#### DEPARTMENT OF COMMERCE

#### **Census Bureau**

2007 Economic Census Covering the Information; Professional, Scientific, and Technical Services; Management of Companies and Enterprises; Administrative and Support and Waste Management and Remediation Services; Educational Services; Health Care and Social Assistance; Arts, Entertainment, and Recreation; and Other Services (Except Public Administration) Sectors

**ACTION:** Proposed collection; comment request.

**SUMMARY:** The Department of Commerce, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Public Law 104–13 (44 U.S.C. 3506(c)(2)(A)).

**DATES:** Written comments must be submitted on or before June 23, 2006. **ADDRESSES:** Direct all written comments to Diana Hynek, Departmental Paperwork Clearance Officer, Department of Commerce, Room 6625, 14th and Constitution Avenue, NW., Washington, DC 20230 (or via the Internet at *DHynek@doc.gov*).

## FOR FURTHER INFORMATION CONTACT:

Requests for additional information or copies of the information collection instrument(s) and instructions should be directed to Jack Moody, U.S. Census Bureau, Room 2784, Building 3, Washington, DC 20233–0001 on (301) 763–5181 or via the Internet at <code>jmoody@census.gov</code>.

## SUPPLEMENTARY INFORMATION:

#### I. Abstract

The economic census, conducted under the authority of Title 13, United States Code (U.S.C.), is the primary source of facts about the structure and functioning of the Nation's economy.

Economic statistics serve as part of the framework for the national accounts and provide essential information for government, business, and the general public. Economic data are the Census Bureau's primary program commitment during nondecennial census years. The 2007 Economic Census covering the Information; Professional, Scientific, and Technical Services; Management of Companies and Enterprises; Administrative and Support and Waste Management and Remediation Services; Educational Services; Health Care and Social Assistance; Arts, Entertainment, and Recreation; and Other Services (Except Public Administration) sectors (as defined by the North American Industry Classification System (NAICS) will measure the economic activity of 2.9 million establishments. The information collected will produce basic statistics by kind of business on the number of establishments, receipts/ revenue, expenses, payroll, and employment. It will also yield a variety of subject statistics, including receipts/ revenue by product line, receipts/ revenue by class of customer, and other industry-specific measures. Primary strategies for reducing burden in Census Bureau economic data collections are to increase reporting through standardized questionnaires and broader electronic data collection methods.

#### II. Method of Collection

Mail Selection Procedures

Establishments for the mail canvass will be selected from the Census Bureau's Business Register. To be eligible for selection, an establishment will be required to satisfy the following conditions: (i) It must be classified in the information; professional, scientific, and technical services; management of companies and enterprises; administrative and support and waste management and remediation services; educational services; health care and social assistance; arts, entertainment, and recreation; or other services (except public administration) sector; (ii) it must be an active operating establishment of a multi-establishment firm (i.e., a firm that operates at more than one physical location), or it must be a single-establishment firm with payroll (i.e., a firm that operates at only one physical location); and (iii) it must be located in one of the 50 states or the District of Columbia. Mail selection procedures will distinguish the following groups of establishments: