# DEFENSE NUCLEAR FACILITIES SAFETY BOARD

Freedom of Information Act
Report for
October 1, 2006
through
September 30, 2007



January 2008

- I. Basic Information About the Report
  - A. For questions about the report, contact:
    - 1. Andrew L. Thibadeau
      Information Officer
      Defense Nuclear Facilities Safety Board
      625 Indiana Avenue, NW, Suite 700
      Washington, DC 20004
      Telephone (202) 694-7088
      Email: andrewt@dnfsb.gov
  - B. Electronic address for FOIA Report FY 2007 on the World Wide Web:
    - 1. http://www.dnfsb.gov/foia/arfa\_2007.pdf
  - C. How to obtain a copy of the report in paper form:
    - 1. Contact Mr. Thibadeau by phone or email.
- II. How to Make a FOIA Request
  - A. Send the request to Andrew Thibadeau at the addresses given above.
  - B. To obtain general information from the DNFSB, please review the DNFSB Information Handbook and FOIA regulations, both available on the DNFSB website or by request to Mr. Thibadeau.
  - C. DNFSB Offices Receiving FOIA Requests
    - 1. All FOIA requests to the DNFSB should be directed to Mr. Thibadeau at the addresses given above.
  - D. Agency Response Times
    - 1. The DNFSB responds within the statutory time limits.
  - E. Why Some Requests Are Not Granted
    - 1. The DNFSB generally provides all or most of the documents requested.

      The most common reason for withholding all or part of document would be the need to protect classified national security information under Exemption 1.

## III. Definition of Terms and Acronyms Used in the Report

### A. Agency-Specific Terms

1. DNFSB is Defense Nuclear Facilities Safety Board.

### B. Basic Terms

- 1. FOIA/PA request -- Freedom of Information Act/Privacy Act request. A FOIA request is generally a request for access to records concerning a third party, an organization, or a particular topic of interest. A Privacy Act request is a request for records concerning oneself; such requests are also treated as FOIA requests. (All requests for access to records, regardless of which law is cited by the requester, are included in this report.)
- 2. Initial Request -- a request to a federal agency for access to records under the Freedom of Information Act.
- 3. Appeal -- a request to a federal agency asking that it review at a higher administrative level a full denial or partial denial of access to records under the Freedom of Information Act, or any other FOIA determination such as a matter pertaining to fees.
- 4. Processed Request or Appeal -- a request or appeal for which an agency has taken a final action on the request or the appeal in all respects.
- 5. Multi-track processing -- a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests in each track are processed on a first-in/first-out basis. A requester who has an urgent need for records may request expedited processing (see below).
- 6. Expedited processing -- an agency will process a FOIA request on an expedited basis when a requester has shown an exceptional need or urgency for the records which warrants prioritization of his or her request over other requests that were made earlier.
- 7. Simple request -- a FOIA request that an agency using multi-track processing places in its fastest (nonexpedited) track based on the volume and/or simplicity of records requested.
- 8. Complex request -- a FOIA request that an agency using multi-track processing places in a slower track based on the volume and/or complexity of records requested.

- 9. Grant -- an agency decision to disclose all records in full in response to a FOIA request.
- 10. Partial grant -- an agency decision to disclose a record in part in response to a FOIA request, deleting information determined to be exempt under one or more of the FOIA's exemptions; or a decision to disclose some records in their entireties, but to withhold others in whole or in part.
- 11. Denial -- an agency decision not to release any part of a record or records in response to a FOIA request because all the information in the requested records is determined by the agency to be exempt under one or more of the FOIA's exemptions, or for some procedural reason (such as because no record is located in response to a FOIA request).
- 12. Time limits -- the time period in the Freedom of Information Act for an agency to respond to a FOIA request (ordinarily 20 working days from proper receipt of a "perfected" FOIA request).
- 13. "Perfected" request -- a FOIA request for records which adequately describes the records sought, which has been received by the FOIA office of the agency or agency component in possession of the records, and for which there is no remaining question about the payment of applicable fees.
- 14. Exemption 3 statute -- a separate federal statute prohibiting the disclosure of a certain type of information and authorizing its withholding under FOIA subsection (b)(3).
- 15. Median number -- the middle, not the average, number. For example, of 3, 7, and 14, the median number is 7.
- 16. Average number -- the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.

### IV. Exemption 3 Statutes

- A. The Board did not rely on any Exemption 3 statutes during the reporting period.
  - 1. Brief description of type(s) of information withheld under each statute. Not applicable.
  - Statement of whether a court has upheld the use of each statute. If so, cite example.
     Not applicable.

# V. Initial FOIA/PA Access Requests

A.	Numbers of initial requests							
	1.	Num	ber of re	equests pending as of end of preceding fiscal year:	0			
	2.			equests received during current fiscal year:	16			
	3.			equests processed during current fiscal year:	15			
	4.			equests pending as of end of current fiscal year: 1				
B.	Disp	osition	of initial	requests				
	1.	Num	ber of to	tal grants:	7			
	2.	Num	ber of pa	artial grants:	0			
	3.	Num	nber of denials:					
		a.		per of times each FOIA exemption used ating each exemption once per request):	0			
			(1)	Exemption 1:	0			
			(2)	Exemption 2:	0			
			(3)	Exemption 3:	0			
			(4)	Exemption 4:	0			
			(5)	Exemption 5:	0			
			(6)	Exemption 6:	0			
			(7)	Exemption 7(a):	0			
			(8)	Exemption 7(b):	0			
			(9)	Exemption 7(c):	0			
			(10)	Exemption 7(d):	0			
			(11)	Exemption 7(e):	0			
			(12)	Exemption 7(f):	0			
			(13)	Exemption 8:	0			
			(14)	Exemption 9:	0			
	4.	Other reasons for nondisclosure (total)						
		a.	No rec	cords	8			
		b.	Refen	rals	0			
		c.	Reque	est withdrawn	0			
		d.	Fee-re	elated reason	0			
		e.	Recor	ds not reasonably described	0			
		f.		proper FOIA request for some other reason	0			
		g.		n agency record	0			

		h. i.	Duplicate request Other (specify)	0					
VI.	Appeals of Initial Denials of FOIA/PA Requests								
	A.	Numbers of a	ppeals.						
			er of appeals received during fiscal year: er of appeals processed during fiscal year:	0					
	B.	Disposition o	f appeals.						
		<ol> <li>Numb</li> <li>Numb</li> <li>Numb</li> </ol>	per completely upheld: per partially reversed: per completely reversed: per of times each FOIA exemption used (counting exemption once per appeal):	0 0 0					
		a. b. c. d. e. f. g. h. i. j. k. l. m.	Exemption 1: Exemption 2: Exemption 3: Exemption 4: Exemption 5: Exemption 6: Exemption 7(a): Exemption 7(b): Exemption 7(c): Exemption 7(d): Exemption 7(f): Exemption 7(f): Exemption 8: Exemption 9:	0 0 0 0 0 0 0 0 0 0					
		5. Other  a. b. c. d. e. f. g. h. i.	reasons for nondisclosure (total)  No records Referrals Request withdrawn Fee-related reason Records not reasonably described Not a proper FOIA request for some other reason Not an agency record Duplicate request Other	0 0 0 0 0 0 0 0					

### VII. Compliance with Time Limits/Status of Pending Requests A. Median processing time for requests processed during the year. 1. Simple requests. 15 Number of requests processed: a. Median number of days to process: 4 b. 2. Complex requests. Number of requests processed: 0 a. Median number of days to process: 0 3. Requests accorded expedited processing. Number of requests processed: 0 a. Median number of days to process: b. Status of pending requests. B. Requests pending as of end of current fiscal year: 1. 1 2. Median number of days that such requests were pending as of that date: 0 VIII. Comparisons with Previous Years (optional). We received no requests for expedited processing and none the previous year. Α. IX. Costs/FOIA Staffing Staffing Levels A. 0 1. Number of full-time FOIA personnel: 2. Number of personnel with part-time or occasional .03 FOIA duties (in total work-years): Total number of personnel (in work-years). .03 3.

B. Total Costs (including staff and all resources)

1. FOIA processing (including appeals). \$834.22

2. Litigation-related activities:

\$ 0.00

3. Total costs:

\$834.22

- 4. Comparison with previous year(s) (including percentage of change (optional).
- C. Statement of additional resources needed for FOIA compliance.
  - 1. There are no additional resources needed at this time.

#### X. Fees

- A. Charges for search, review, document duplication, and any other direct costs permitted under agency regulations are included.
  - 1. Total amount of fees collected for processing requests:

None

2. Percentage of total costs:

0%

- XI. FOIA Regulations (including fee schedule).
  - A. The Board's electronic link can be found at http://www.dnfsb.gov/foia/index.html.
- XII. Report on FOIA Executive Order Implementation
  - A. Description of supplementation/modification of agency improvement plan.

Not applicable.

B. Report on agency implementation of its plan, including its performance in meeting milestones, with respect to each improvement area.

The Board reviewed the information on its website to ensure that the information posted is current and complete.

C. Identification and discussion of any deficiency in meeting plan milestones (if applicable).

Not applicable.

- D. Additional narrative statement regarding other executive order-related activities (optional).
- E. Concise descriptions of FOIA exemptions.

The nine exemptions to the FOIA authorize federal agencies to withhold information covering: (1) classified national defense and foreign relations information; (2) internal agency rules and practices; (3) information that is prohibited from disclosure by another federal law; (4) trade secrets and other confidential business information; (5) inter-agency or intra-agency communications that are protected by legal privileges; (6) information involving matters of personal privacy; (7) records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source. (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual; (8) information relating to the supervision of financial institutions; and (9) geological information on wells.

### F. Additional statistics:

## 1. Ten Oldest Pending FOIA Requests

Calendar Year	2000	2001	2002	2003	2004	2005	2006	2007
Requests	0	0	0	0	0	0	0	Dec 26

## 2. Consultations

# a.) Number of Consultations Received, Process, and Pending

Consultations received from other agencies During FY07	Consultations received from other agencies that were processed by your agency during FY07 (includes those received prior to FY07)	Consultations received from other agencies that were pending at your agency as of 10/1/07 (includes those received prior to FY07)
0	0	0

# b.) Ten Oldest Pending Consultations Received From Other Agencies

Calendar Year	1999	2000	2001	2002	2003	2004	2005	2006	2007
Consults Received	0	0	0	0	0	0	0	0	0

# G. Attachment: Agency Improvement Plan

The FOIA Improvement Plan for the Defense Nuclear Facilities Safety Board is attached.