

UNITED STATES
NUCLEAR WASTE TECHNICAL REVIEW BOARD

PANEL ON POSTCLOSURE PERFORMANCE

March 14, 2007

Doubletree Hotel and Executive Meeting Center
200 Marina Boulevard
Berkeley, California 94710

NWTRB BOARD MEMBERS PRESENT

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Dr. George Hornberger, Co-Chair
Dr. William Murphy

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P R O C E E D I N G S

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8:00 a.m.

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GARRICK: Good morning. I'm John Garrick, Chairman of the Nuclear Waste Technical Review Board. And, on behalf of the Board, we want to welcome you here today for attending this special meeting of the Board's newly organized Panel on the Postclosure Performance meeting on infiltration of water into Yucca Mountain.

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I think as most of you know, this meeting arises from questions regarding quality assurance associated with U.S. Geological Survey infiltration estimates that were first identified by DOE, a DOE contractor in December of 2004, and made public by the Department in March one year ago.

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The meeting will further a commitment that the Board made to Congress in 2005. And, at that time, I told Congress, and I will quote it, "It would be inappropriate for the Board to draw any conclusions about the impact on the DOE's technical work at Yucca Mountain from the group of redacted e-mails that were posted on the Subcommittee's web site. As disturbing," and I'm still quoting, "As disturbing as it is to see such loosely framed discussions among scientists, the answers to important questions that might be raised by or about the e-mails or related documents should await the completion of comprehensive investigations already underway at the Departments of Energy and Interior.

1 Continuing with the quote, "The Board will follow
2 the progress of these investigations, and when they are
3 concluded, the Board will evaluate the significance of the
4 results to the DOE's technical and scientific work. We will
5 then report our findings to Congress and the Secretary of
6 Energy."

7 This meeting is part of the process that the Board
8 will use for conducting that evaluation. That process has
9 also included other things: reviewing the findings of the
10 Inspectors General from DOE and Interior; reviewing the
11 technical findings of the Office of Civilian Radioactive
12 Waste Management; and a series of investigatory field
13 interviews with scientists and software engineers at Sandia
14 National Laboratory, the Idaho National Engineering
15 Laboratory, and the U.S. Geological Survey.

16 As you know, our meetings begin with introductions.
17 This is not a full Board meeting. This is a Panel Meeting.
18 Let me first introduce myself. I am a consultant. I am
19 primarily involved in the application of the risk sciences to
20 a variety of industries, and my background and areas of
21 interest are risk assessment and nuclear science and
22 engineering. And, among my Board assignments is to have the
23 technical lead on radiation Dose Assessment.

24 As I introduce the rest of the Board members, I ask
25 that they raise their hands, when their name is called. And,

1 let me start with Thure Cerling. Thure is a Distinguished
2 Professor of Geology and Geophysics and is a Distinguished
3 Professor of Biology at the University of Utah. He is a
4 geochemist, with particular expertise in applying
5 geochemistry to a wide range of geological, climatological,
6 and anthropological studies. Working with Panel Co-Chairman
7 George Hornberger, who will be running this meeting, Thure is
8 our technical lead on the Natural System.

9 George is the Ernest H. Ern Professor of
10 Environmental Sciences at the University of Virginia. And,
11 his research interests include catchment hydrology,
12 hydrochemistry, and transportation of colloids in geological
13 media. George Co-Chairs the Board's Panel on Postclosure
14 Repository Performance, that is sponsoring this particular
15 meeting, and he will be Chairing the meeting. And, George, I
16 want to extend hearty congratulations from the Board to you
17 for being named one of Virginia's Outstanding Scientists and
18 Industrialists of 2007 by Governor Tim Kaine. I really need
19 to find out more about that.

20 William Murphy. Bill is an Associate Professor in
21 the Department of Geological and Environmental Sciences at
22 California State University at Chico. His areas of expertise
23 are geology, hydrogeology, and geochemistry. Bill is the
24 Board's technical lead on Source Term issues.

25 You will notice that--where is Dave Diodato? I

1 thought, Dave, you were going to be sitting up here.

2 DIODATO: So did I.

3 GARRICK: Okay. Well, Dave is the Jeanie behind
4 the arrangements, the technical arrangements for the meeting,
5 and has been providing the staff support on this particular
6 issue. His expertise is in unsaturated zone and fractured
7 rock hydrogeology.

8 Before I turn the meeting over to our Chair for the
9 day, George, there are a couple of things that we routinely
10 do. One is to make sure that everybody is clear about the
11 distinction between member opinions and official Board
12 positions. We like to keep the Board meetings as
13 extemporaneously as possible, and unhibitidly as possible.
14 We as Board members express ourselves freely, and we want to
15 be able to continue to do that. So, when Board members speak
16 that way, it is important to realize that we are speaking on
17 our own behalf, and not necessarily on behalf of the Board.
18 And, when we are speaking on behalf of the Board, we will try
19 to make that clear.

20 The second thing I should mention is that, as
21 usual, following the presentations, we have scheduled time
22 for public comment--as aspect of our meetings that is
23 extremely important to the Board. And, if you would like to
24 comment at that time, please enter your name on the sign-up
25 sheet at the table near the entrance of the room. And, of

1 course, written copies of any extended remarks can be
2 submitted and are welcomed and will be made part of the
3 meeting record. Some of you have asked about questioning
4 during the course of the presentations. Our preference is
5 for you to write down your questions, and submit them to
6 Davonya Barnes or Linda Coultry, and they are in the back of
7 the room at the sign-in table. We will cover as many
8 questions as we can, time permitting. And, finally, I would
9 like to ask of all of you, including myself--I'd better make
10 sure I did it--to put your cell phones on the silent mode.

11 So, let's proceed, and, George, will you take over
12 this meeting?

13 HORNBERGER: Thank you, John.

14 Because of the nature of this meeting, by the way,
15 I should clarify one thing to start, I am George M.
16 Hornberger. I work for the University of Virginia. Not to
17 be confused with George Z. Hornberger, who works for the
18 National Research Program of the United States Geological
19 Survey. And, neither that George, nor this George, has done
20 any work on the Yucca Mountain Project.

21 As John said, we are here to examine the scientific
22 and technical aspects of infiltration estimates for Yucca
23 Mountain. During today's meeting, we will hear a series of
24 presentations that range from the general to the specific
25 with regard to Yucca Mountain infiltration.

1 The first presenter is Dr. Scott Tyler from the
2 University of Nevada-Reno. Professor Tyler has expertise in
3 arid region hydrology, and he will present a talk on
4 groundwater recharge in the Mojave Desert.

5 That presentation will be followed by a talk by Dr.
6 Alan Flint on the history and technical basis of the 1999
7 USGS estimates of infiltration at Yucca Mountain, Nevada. A
8 research hydrologist with the U.S. Geological Survey, Dr.
9 Flint was Project Chief for Regional Meteorology Infiltration
10 and Matrix Hydrologic Properties Studies for the Yucca
11 Mountain Project. And, his expertise is in developing and
12 applying methods to characterize arid land hydrology.

13 After a short break, we will return with an
14 introductory presentation by Dr. William Alley from the USGS,
15 describing how the USGS has responded to the e-mails from
16 USGS hydrologists working on infiltration.

17 Following that introduction, USGS hydrologist Dave
18 Pollock will describe the preliminary results of the
19 investigations that the USGS has conducted.

20 After that, we will break for lunch. After the
21 lunch break, the afternoon will feature a series of
22 presentations by DOE and DOE contractors. First, Gene Runkle
23 from DOE will give a high-level overview of all the actions
24 that DOE has taken in response to the USGS e-mails.

25 Then, Dr. Daniel Levitt, Los Alamos National

1 Laboratory--Sandia National Lab? Los Alamos National Lab,
2 hydrologist will describe the results of the Idaho National
3 Engineering Laboratory technical review of INFIL, the model
4 used to estimate infiltration for the Yucca Mountain site
5 recommendation.

6 After a short break, Dr. Josh Stein from Sandia
7 National Laboratory will give two presentations describing
8 the major components and results of the new infiltration
9 modeling method known as MASSIF. Geologists in the audience,
10 it's not MASSIF, it's MASSIF, I'm told. Dr. Stein has been
11 leading the team developing this new net infiltration model
12 for the Yucca Mountain Project.

13 Finally, Lawrence Berkeley Lab hydrologist, Dr. Jim
14 Houseworth, will present a numerical evaluation of the
15 technical impacts of the new model results on unsaturated
16 zone model tests. Dr. Houseworth has been providing
17 technical and management support for performance assessment
18 and site characterization at Yucca Mountain for 14 years.

19 And, finally, as John said, we have set aside time
20 at the end of the day for public comments. If for some
21 reason you are not able to remain until the end of the day,
22 then please let Davonya Barnes or Linda Coultry know as soon
23 as possible, and we will try to find a few minutes at the end
24 of the morning for your remarks, if time permits. Even if
25 time does not permit, we encourage you to submit your remarks

1 in writing for the record. So, again, please, as John said,
2 please set your cell phones or cell devices to the silent
3 mode, and let's begin.

4 And, it's a pleasure to introduce my friend, Scott
5 Tyler from the University of Nevada at Reno.

6 TYLER: Thank you, George. Do we have a pointer? Okay,
7 thank you.

8 Okay, first off, I'd like to thank the Panel and
9 Dave Diodato and his group, the Staff, for inviting me to
10 come and speak to you today. It's an honor and a privilege.

11 The title of my talk is, as you can see, quite an
12 overview talk of recharge processes. I'm just going to try
13 to hit on a few of the highlights and discussion points, and
14 raise some questions regarding recharge.

15 Okay, so in the overview, what we'll talk about is,
16 again, some of the dominant processes that I think are
17 important with respect to recharge in arid regions; a little
18 bit, a very brief summary of some of the previous work that
19 has been done and published, and some of the methods that we
20 are currently using, or propose to use for recharge; some of
21 the issues regarding uncertainties in either those methods or
22 the data that is collected from those; a discussion of
23 important questions that still remain. And, I think we would
24 all agree that there still remains significant questions.
25 And, then, finally, I want to touch briefly, as this is a

1 postclosure working group, is to talk about the implications
2 and impacts of our knowledge base, our uncertainty in
3 recharge, as to how that relates to potential uncertainties
4 and knowledge base about monitoring the performance of the
5 repository in the long-term. Many of the same processes that
6 are involved with recharge in the shallow soils and fractured
7 rock at Yucca Mountain and other areas in the Mojave apply to
8 the issues and facts that we'll have to deal with in
9 monitoring in fractured rock at the repository horizon. So,
10 I'd like to make that as a little bit of a concluding remark.

11 Okay, what are the dominant processes controlling
12 recharge in arid climates? Well, there are the usual
13 suspects, I'll call them, the standard things one would think
14 about if one is going to do a water budget in an arid region
15 or any region: precipitation, rainfall, snowfall, how much is
16 there, its timing, when does it occur, is it intense storms,
17 are they low frequency, or low intensity storms, those kinds
18 of things. Obviously, temperature, fluctuations in
19 temperature, seasonal fluctuations, mean annual temperature,
20 whatever you want to put in there, obviously controls the
21 operation. The soil type, whether it's fractured rock,
22 whether it's coarse textured material, fine textured, that
23 controls where the water goes once it hits the land surface.
24 Solar radiation, that's the prime driver for evaporation and
25 transpiration, it's the source of energy. We can also add to

1 that wind, if you want, and if you add wind to it, then you
2 get Penman-Monteith evaporation, or Priestly-Taylor
3 evaporation, whatever, are standard estimates for potential
4 evapotranspiration.

5 In arid regions, however, there are a few other
6 things that I think we need to consider, and have been
7 considered, and still need consideration, I'd say a little
8 bit more, and, these are things one does not normally think
9 about in humid regions, or even in semi-arid regions. The
10 vegetation and its adaptation to the climate. Climate in
11 arid regions is, as I'll show you in a moment, and you
12 probably all know, is much more variable with respect to the
13 drivers that plants like to see, water, temperature, and
14 those plants have adapted to live in an environment that is
15 much harsher than vegetation that would be living, say, in
16 Virginia, where it's always happy and nice in Virginia. No?
17 Sometimes it rains there.

18 The other factor, one other factor that we have to
19 deal with in arid regions, which we typically don't think
20 about much in more humid regions, is bare soil evaporation.
21 Bare soil evaporation can be a significant component of the
22 water budget, or the water loss, in an arid environment
23 because we have lots of bare soil. The landscape is not
24 covered by vegetation. Bare soil evaporation is also
25 important in more humid regions, particularly in the early

1 seasons, during say, in agriculture when plants are beginning
2 to grow. But, once the plants get fairly well established or
3 leaf out, then bare soil evaporation is not particularly
4 important.

5 The variability of the climate, as I said before,
6 desert regions have perhaps, at least in the Mojave, much
7 wider swings in climate than we might expect, and those
8 swings are not just diurnal or seasonal, they are often on
9 much longer time periods than seasonality. And, that
10 separates or distinguishes this environment significantly
11 from more humid regions.

12 Depth to bedrock. This is an important component,
13 and I think we will hear more about this by my colleagues
14 later on, speaking about infiltration at Yucca Mountain.
15 But, if you have thin soils and fractured rock underneath
16 them, then the amount of storage that you can keep the
17 rainfall in in the soil is much depleted, is much less. And,
18 so, therefore, we have a reservoir issue of where can we
19 store water, and primarily, we store that water in the soil.
20 So, the depth of that soil horizon is important.

21 There are a lot of other things I just threw up
22 here. Fire is an issue. Certainly, in desert climates, fire
23 is not typically thought of as a major problem, but in the
24 northern parts of the Great Basin Deserts these days, because
25 of fire and the invasive species, we've dramatically changed

1 the ecosystems, and the rooting depth, and the water use
2 efficiency of vegetation. And, so, fire is an important
3 component in the long-term of looking at water balances.

4 Next slide. Let's talk briefly about vegetation
5 adaptation. Again, arid species, they have adapted to living
6 in a harsh and highly variable world. In the Mojave Desert,
7 as I come from Nevada, so we get to talk about gambling here
8 just a little bit, winter precipitation is a safer bet for
9 vegetation. We typically do get precipitation in the winter
10 season. It's cooler, it's at lower intensity, and it's much
11 more uniform in its distribution. That is, when it rains, it
12 rains pretty much everywhere, as opposed to summer convective
13 storms. So, the species have adapted there to live with that
14 winter rainfall, use it, and perhaps at least in many cases
15 now we're finding out that the deeper rooted species pay
16 little attention to changes in water content, and changes in
17 nutrient levels if we add those in the summer. Simply
18 energy-wise, not advantageous for that vegetation to try to
19 use the water then, because it is (a) infrequent, unreliable,
20 and also the nutrients are not available at that time as
21 well.

22 So, Las Vegas and Yucca Mountain is moderately
23 close to that transition of monsoon precipitation that one
24 sees in the Sonoran Desert. So, a change to that kind of
25 environment, that kind of precipitation regime, would result

1 in a significant change in the water budget for some time
2 until the vegetation adapts, or the vegetation ecosystems
3 change to deal with that summer dominated precipitation.

4 Also, a very interesting thing that has come up
5 fairly recently we've begun to look at is this summer
6 senescence of the vegetation, that is, the vegetation not
7 doing much in the summer, leads to exclusion of nutrients and
8 concentration of nutrients in soils that we would never ever
9 see in more humid climates unless we're adding nutrients and
10 fertilizer at tremendous loads.

11 Take a look at the next one. These are some data
12 that we collected quite a while ago. We're beginning to come
13 back and look at them again. This is nitrogen concentration
14 in a desert profile at Yucca Flat, which is just north of--
15 I'm sorry--east of Yucca Mountain, and we see nitrogen. This
16 is a borehole about 50 meters deep, and we see this enormous
17 concentration of nitrogen. Also underneath here is the
18 chloride concentration in the soil water, basically tracking
19 the nitrogen. We see nitrogen concentrations of 3000
20 milligrams per liter below the active rooting zone, and then
21 very low concentrations below. This is an enormous
22 concentration of nitrogen, which we would never see in a more
23 humid region. In fact, this level of nitrogen is quite toxic
24 to vegetation, and the source of that nitrogen is atmospheric
25 deposition, fixation at the land surface, and then

1 accumulation below the rooting zone, or through the rooting
2 zone, where it simply is not flushed out of the system. And,
3 the mechanisms then for accumulating that are actually still
4 somewhat questioned.

5 If I try to simulate this profile with any of our
6 existing numerical simulators, including if I take into
7 account vapor transport, non-isothermal fluid flow, root
8 uptake, the only way I can reproduce these kinds of profiles
9 is to do some odd things to the vegetation, about turning the
10 vegetation on and off as far as its exclusion of nutrients,
11 and also do a, I would say cheat--I don't want to say cheat,
12 but let's say put in unrealistic root uptake functions in
13 order to produce this kind of a very high concentration, the
14 way we see it here. So, we still have some things to learn
15 about roots and vegetation in these arid regions that are
16 critical with respect to recharge as well.

17 Bare soil evaporation, another component that again
18 we need to deal with in arid regions. Because of the sparse
19 vegetation, we do have large bare soil, or large exposed
20 areas in profiles at the surface. However, what we do see is
21 most of the deep rooted species, the shrubs, do typically
22 have large concentrations of roots in the intershrub areas,
23 that is, the bare areas in between, so while they may look
24 bare from the surface, there is root uptake, and then
25 directed to the plants laterally. But, again, those root

1 systems may or may not be active during summer periods. I
2 would suggest to you that our state of knowledge of desert
3 species such as we see in the Mojave is still moderately in
4 its infancy. There's a great deal of work being done as we
5 speak looking at vegetation, but it is just beginning.

6 And, here's just a typical Mojave leaf area index,
7 if you will. This is from the desert FACE, a carbon
8 experiment, a CO2 experiment on the Nevada Test Site. And,
9 you can see the lack of vegetation, or the sparseness anyway,
10 and the large bare soil components. But, again, there's a
11 component of bare soil evaporation, but there's also root
12 water uptake that would move moisture potentially from the
13 center area, let's say, over to one of these shrubs.

14 Okay, next slide. Climate variability, a huge
15 driver I think in water balances, and, again, vegetation
16 response in arid regions. This is just annual precipitation
17 from Beatty, which is just west of Yucca Mountain. I took
18 from the Western Regional Climate Center database, rainfall
19 from 1973 on to today. And, on the left axis is rainfall in
20 inches. And, I don't know about you, maybe you've seen this
21 before, but I'm continuously amazed at the level of
22 variability in precipitation in an environment like this,
23 where we go from essentially nothing, let's say in 2002,
24 several years earlier, we had 12 inches of precipitation at
25 the same site.

1 There is not--maybe you could draw some periodicity
2 to this if you wanted to, and there are some underlying
3 periodic components I'm sure in this, and so derive
4 components. But, if you're a water manager and your job is
5 to manage a water utility system, and this is your input,
6 this is what you have to work with, there are only two things
7 you can do to manage a water utility if this is your input.
8 Number one, is have a very flexible public, i.e. demand,
9 okay, where they can survive with little water for a whole
10 year, or have a large reservoir, or you can buy water from
11 somewhere else, I suppose. But, if you think of it in terms
12 of water management, this is what the plants have to live
13 with. The plants are like your customer in a water utility
14 environment, and they indeed have learned to adapt and manage
15 in a system like this, and maximize their below ground
16 biomass, their above ground biomass, and their survivability,
17 and their continuation, producing seed, and things like that.
18 And, how they do it is through a variety of complex and at
19 times not well understood ecological responses, essentially
20 shutting down during these times, and going like crazy,
21 changing the ecological community during these wet periods.
22 And, I think the next slide shows that.

23 Again, this is from the desert FACE site in
24 Frenchman Flat. This is a winter shot in one of the FACE
25 rings. My colleagues are releasing carbon dioxide into these

1 sites to look at the effects of CO2 on vegetation. And,
2 here's a winter shot with, you know, what looks like fairly
3 quiet vegetation, sleeping vegetation. And, this is what
4 that same site looked like, or one of the other rings very
5 close-by, in the late spring after one of those 12 inch
6 winters, or 12 inch annual precipitation years. And, you see
7 this blossoming of shallow rooted vegetation that takes
8 advantage of the soil moisture during that time, and this is
9 a once every four or five year occurrence. This doesn't
10 happen every year. So, seasonality is much longer than we
11 might expect.

12 And, if one is to do water balance modeling at the
13 land surface and calculate recharge, you have to be able to
14 take into account the fact that your ecosystem, your
15 vegetation will change through the season. There's a strong
16 coupling between precipitation and even when it comes, and
17 the type of vegetation that turns on and turns off. This
18 grass was here sitting there as seed, it was a wet year, it
19 goes like crazy, produces lots of above ground biomass, uses
20 a lot of nitrogen, by the way, while these other plants are
21 not using much nitrogen. And, then, senesce, set their seed,
22 and wait until the next wet year.

23 Now, we talked a little bit about depth to bedrock.
24 I just want to give you a very brief example of the
25 importance of depth to bedrock on recharge that we've seen.

1 This is what I'll call the tale of two sites, or cities, at
2 the Nevada Test Site, close-by one another. Frenchman Flat
3 is a site that I worked on years ago. This is the site of a
4 low-level radioactive waste site on the Nevada Test Site.
5 It's just east of Yucca Mountain.

6 Another site, Cane Spring, which is between Yucca
7 Mountain and Frenchman Flat, the two sites are moderately
8 similar. I just put down some rough estimates of annual
9 rainfall, annual potential evapotranspiration from the two
10 sites. Cane Spring is slightly, the catchment is slightly
11 higher than the Frenchman Flat catchment, but still quite
12 arid, 150 millimeters of precipitation, and PET greatly
13 exceeding rainfall, as one would expect in an arid climate.
14 So, quite similar.

15 Next slide. This is just an aerial photo of
16 Frenchman Flat. This is one of their low-level radioactive
17 waste sites. Again, you can see the dense vegetation that
18 grows out here, you know, one plant per few square meters,
19 primarily Larria Tridentata (phonetic), as well as if this
20 was after a big spring rain, you'd see a lot of grasses here.

21 Next slide. This is a photo from near Cane Spring.
22 I couldn't get a good photo of Cane Spring. This is an old
23 low-level radioactive waste disposal site. It's an old
24 cabin. But, the vegetation is actually quite similar, the
25 vegetation species here are similar to what we saw in the

1 other photo, a little bit different, but pretty much the
2 same, and moderately the same density. So, similar sites.

3 Next slide. But, the similarities do end there,
4 and significant differences after that. Cane Spring is a
5 flowing spring, as the name would imply. And, it is supplied
6 by modern recharge. There is tritium in the spring water.
7 There is model stable isotopes, fairly warm weather stable
8 isotope concentrations. It's an active recharge zone.

9 Portions of Frenchman Flat near that radioactive
10 waste disposal site have not seen recharge to the water table
11 for as long as in some cases 120,000 years. Roughly the same
12 precipitation. Roughly the same potential ET. Very similar,
13 or very close to one another, and yet dramatically different
14 behavior. The main difference is that the Cane Spring's
15 catchment, the catchment area for that spring is typically
16 thin soils overlying fractured bedrock, while Frenchman Flat
17 is very thick alluvium, 200 to 300 meters of alluvium to the
18 water table. Huge differences in the reservoir to store
19 rainfall. So, again, Cane Spring has little or no storage,
20 or the Cane Spring catchment has little or no storage for
21 evapotranspiration to take hold.

22 And, I would just postulate here from what I have
23 seen, and I cannot say that I'm an expert in Yucca Mountain
24 literature, I have not followed it as closely as perhaps I
25 should, but I have not seen in my literature searches a

1 significant amount of study of these what I'll call Yucca
2 Mountain analog sites that we could use to test some of our
3 models of recharge. They're simple, they're easy, they're
4 out there, and they provide great datasets for validating,
5 calibrating, verifying, whatever words you'd like to use,
6 models of recharge. There has been some work done, but there
7 still remains I think a significant amount that could be
8 done.

9 Next. Results from Yucca Mountain, so we'll hone
10 in a little bit on Yucca Mountain. I'll just summarize some
11 of the results that, again, I think most of you may be
12 familiar with. Bridget Scanlon and others in a 2006 study of
13 the world of recharge, or recharge of the world, I guess is
14 the paper, looked at recharge studies in arid regions around
15 the world, came up with on the order of about 100 studies
16 that she and her co-authors summarized. Of those, only about
17 eight that I could count, and I'll say that is approximate,
18 maybe add a few to that, dealt with arid regions where we had
19 thin soils and/or fractured bedrock, or fractured clay
20 horizons that the fluid was passing through. So, by far, the
21 dominant place that people have studied is in soil profiles,
22 soil horizons.

23 There's a reason for that. It's much easier. I
24 know, I've done it. What I think is important to take home
25 from that work is that of the eight studies that she

1 reported, they report a wide range of fluid fluxes or
2 recharge fluxes, much wider than the range that one would see
3 in, say, soil or alluvial profiles under natural conditions.

4 Where people use thermal modeling, that is, balance
5 a heat conductive modeling, or numerical studies, numerical
6 fluid flow studies, those typically produce the relatively
7 small range in recharge. However, if there was a study that
8 used tracers as a measure of recharge, there was a much
9 larger range of variability of the predicted or measured, or
10 what have you, fluid flux.

11 The high variability of tracer fluxes to me has a
12 fairly serious implication for issues at Yucca Mountain. I
13 think we can expect to see a highly variable fluid velocities
14 and highly variable recharge rates in a fractured environment
15 like Yucca Mountain.

16 Next one. I've started to work a little bit at
17 Yucca Mountain for the State of Nevada back in the early
18 Eighties, and, so, I've actually been able to progress and
19 watch the rate of recharge at Yucca Mountain increase over
20 the years, even though the climate has not changed
21 dramatically. In the early Eighties, it was viewed that
22 recharge at Yucca Mountain was negative, in fact, in some
23 cases. There was upward flow, and, in fact, there probably
24 is upward flow in some areas. But, over time, I think
25 through significant data collection at the site, the recharge

1 rates have increased quasi linearly, if you will, to on the
2 orders of 10 to 20 to 30 millimeters a year, as not an
3 uncommon amount of recharge in certain environments at Yucca
4 Mountain.

5 And, again, I'd say that causality is not a result
6 of changes in climate, but of quality data collection, and
7 people thinking about recharge in arid regions more
8 carefully. The same kind of a progress of recharge, by the
9 way, if I looked at the Hanford reservation in Eastern
10 Washington, I think I would probably find the same kind of a
11 progression of recharge. As people studied it, we see that
12 there's more recharge.

13 What's been done at Yucca Mountain is a very brief
14 and annotated summary of some of the work that I'm familiar
15 with. The group working on it has used Darcy's law, using
16 measured water potentials in the non-welded units, the porous
17 media units of Yucca Mountain. The only place one can really
18 do that is in the non-welded units, and unsaturated hydraulic
19 conductivities to calculate fluid fluxes through those zones,
20 I think with moderate success.

21 There's been numerical simulations used to match
22 the measured water potentials in some of the environments.
23 There's been, and I've done this as well, we've matched
24 temperature profiles that we observe in the deep boreholes to
25 thermal modeling. So, we allow the heat to conduct. We also

1 allow the heat to be advected with the fluid, with the
2 recharging fluid, and from that, you can back out an estimate
3 of fluid fluxes.

4 However, I would toss out there anyway that the
5 properties of the fractures, which are the dominant flow
6 processes I think, at least in the repository horizon, from
7 what I've read, and in the welded units, are inferred. They
8 are not measured. So, the dominant mechanism of fluid flow
9 in fractures, typically those properties are inferred.

10 What are some of the questions that remain out
11 there? These are only a few, and there are many more, and
12 there are probably answers to some of these questions.
13 Chloride Mass Balance, we've used this extensively to
14 estimate rates of recharge and rates of fluid flow. I won't
15 go into the details of Chloride Mass Balance. I'm sure
16 others will, or the group has seen those.

17 However, I would argue that while it's a robust
18 method, it works reasonably well. We don't get the root zone
19 right in our modeling of chloride or nitrogen. Chloride is
20 easier, our nitrogen transport through the root zone. The
21 models we use assume unrealistic root uptake, and as a
22 result, we can make them fit the data, but they are not
23 really following mother nature. So, we have some work to do
24 there, and that's in the top two meters. I won't talk about
25 the next couple hundred meters below that.

1 Matching of thermal profiles. I think this is a
2 fairly promising approach to look at recharge as long as the
3 rates of recharge are moderately high. I would ask the
4 question, and I don't know the answer to this, but there are
5 issues potentially with thermal equilibrium, where we have
6 rapidly flowing fluid down a fracture that's episodic and it
7 needs to transfer its heat into the matrix that you're
8 actually measuring the temperature of, and I think the
9 transients may be much faster than the equilibrium time for
10 the temperature into the matrix block. So, are we really
11 predicting recharge from our observed temperatures? I'll
12 leave that as a question.

13 The fracture flow behavior and the hydraulic
14 properties of fractures I remain quite concerned about at
15 Yucca Mountain. I think this is, even as recently as 2006,
16 these are not direct quotes, but fractures dominate the flow
17 regime, but the fracture density and the fracture apertures
18 are not well characterized. Bulk rock permeability data
19 remain scarce. And, the only fractures that have been
20 hydraulically characterized are those that are filled.

21 I am not particularly interested in fractures that
22 are filled. While they may be an important fluid flow path,
23 it's the open fractures that may be the more significant
24 fluid flow paths. The reason we characterized the filled
25 fractures is because, again, they are easier to characterize.

1 We can use our traditional hydraulic properties, and
2 Richard's equation type solvers to characterize those filled
3 fractures.

4 Next slide. So, now, I'd briefly like to just talk
5 a little bit about how issues of recharge may impact
6 monitoring. And, I'm a strong proponent of designing a
7 monitoring program that is well designed before anything is
8 built. I've worked on several sites in which monitoring
9 programs were an after thought, and if they're an after
10 thought, then typically, they don't work very well, and they
11 don't do what they're supposed to do.

12 So, if you think about it, the possible range of
13 recharge at Yucca Mountain is only about that much, 150
14 millimeters. That's all it ranks. So, the recharge is
15 between 150 millimeters and zero. Or, it could be negative,
16 I suppose.

17 Current studies, we've narrowed it down, which is
18 excellent, by a factor of 5 to 10 to maybe 20, maybe a little
19 bit more. So, we've closed that gap, and we're down to
20 something maybe on the order of that distance.

21 However, my concern is that we still remain, and we
22 still have a significant difficulty measuring the spatial or
23 temporal variability in recharge at the land surface. And,
24 if that's the case, how will we do it at the repository
25 horizon where it's much deeper, much more challenging

1 environment to work in? Again, we see this large variability
2 in fluid fluxes. We should use that information when we're
3 designing our monitoring program. That's what we would
4 expect to see then, is large variability in fluxes. So, our
5 repository monitoring program has to be designed around this
6 existing data. What do we know now, and use that information
7 to design our system.

8 Next slide. Key elements of a monitoring plan, any
9 monitoring plan. You have to be able to detect a leak at low
10 concentrations, in this case, for radioactivity. You have to
11 be able to detect a leak in time to stop it from moving very
12 far, whatever far is, you define that, and, you have to be
13 able to identify its source with your monitoring program, so
14 you can do something about it. Your monitoring program must
15 have in place well defined actions. What do you propose to
16 do if you find, or perhaps when you find behavior. And, the
17 monitoring program must tell you which of your pre-determined
18 actions or courses of action you should follow, is the most
19 logical.

20 Next slide. So, questions for the monitoring plan
21 at Yucca Mountain. What are the mechanisms of fluid flow in
22 the fractures? What have we learned about that in the near
23 surface environment for recharge? Is it episodic? I believe
24 the answer is yes. Is it chaotic? I don't know. Creeping
25 flow, is it viscous, is it inviscate flow? These are

1 critical questions one has to answer before you design
2 sensors.

3 If the recharge flux is primarily in the fractures
4 in the repository horizon, how will monitoring equipment
5 detect it there? What kind of monitoring tools are available
6 to measure activity in fractures? And, what monitoring tools
7 are available to detect contamination in, I put up here .01
8 percent of the rock mass, 1 percent, but the fractures
9 constitute a tiny amount of the rock mass, and yet that's
10 where we need to be able to detect a leak. At typical
11 fracture velocities, there's a recent paper coming out that
12 says fluid moves in fractures at the order of on average 13
13 meters a day. This is from a whole variety of case studies
14 that were done recently, John Nimo has just published, that's
15 a mean value, geometric mean. But, even at typical values, a
16 leak or a contaminant can move, let's say, a meter per day in
17 a fracture. What techniques do we have that can measure at
18 that frequency in order to detect a leak?

19 Next slide. Failure rate, monitoring equipment
20 needs to be moderately failproof, and I think colleagues at
21 the USGS have installed quite a few sensors at Yucca Mountain
22 and I think the reliability of--they've certainly learned a
23 lot about the reliability of sensors in harsh environments.

24 And, finally, what are the courses of action to be
25 followed if a leak is determined? Again, a monitoring plan

1 needs to have those identified a priori. And, I just would
2 ask the audience here and the Panel to consider how you might
3 change your answers if you were designing a repository in a
4 fractured environment versus one in a porous media
5 environment. Which are easier to answer?

6 So, I'll just summarize, running a little bit low
7 on time, I do believe we have progressed significantly in our
8 ability to measure recharge in arid climates. It's become an
9 area of focus. Twenty years ago, there were very few
10 studies. Now, there are quite a few. We've learned a lot in
11 that time period. Recharge estimates at Yucca Mountain have,
12 I would say, matured considerably and benefited significantly
13 from field data collection and laboratory measures.

14 The studies of recharge in which fractures or
15 macropores are present typically have shown higher rates of
16 recharge and/or higher rates of fluid velocity, much more
17 rapid migration of water and contaminants than we would see
18 in a porous medium environment. I would postulate that our
19 technology for monitoring fractured medium, whether it's
20 radioactive waste, hazardous waste, or anything, remains
21 significantly untested to this day, and is hampered by our
22 continued lack of experience working in fractured rock.
23 We're learning, but we still have a long ways to go.

24 One more. I want to close with some remarks from
25 Tom Eakin of Maxey and Eakin, although he pronounces his name

1 Eakin. Tom recently received a lifetime achievement award
2 from the Nevada Water Resource Association. Tom worked with
3 George Maxey in the Fifties to develop what many of us have
4 used over the years as a very simple empirical, but well used
5 measure of recharge as a function of rainfall or climate.
6 And, Tom is in his nineties, healthy, very lucid, got up and
7 spoke, and I paraphrased some of his words. These days,
8 there's a lot more focus on recharge, not just at Yucca
9 Mountain, but also in other parts of Nevada and Utah and
10 Arizona for increased groundwater withdrawal, so balancing,
11 understanding recharge is critical for estimating water
12 resource availability.

13 And, Tom's parting quote to all of us in the
14 audience was, and again I paraphrase, "We can easily mistake
15 our understanding of our models--which Tom developed the
16 model we used for years--for a deeper understanding of the
17 real workings of nature." He did leave us with a positive
18 note. "Always keep that in mind and never stop trying new
19 ideas and new experiments."

20 Thank you.

21 HORNBERGER: Thanks, Scott. Questions from Panel
22 members?

23 TYLER: Do I stay here or do I go there?

24 HORNBERGER: Stay there.

25 TYLER: Stay there, okay.

1 HORNBERGER: I'll start off. I'm intrigued by your
2 comparison with Cane Springs, suggesting that it's a coarse,
3 but shallow soils. But, if you're going to employ a spring,
4 you also have to have some way to drive the flow laterally,
5 and you didn't mention that.

6 TYLER: Okay, yeah, the geology of Cane Springs, we
7 didn't talk about that, but there is, the geology is such
8 that there is a lower permeability unit, a perching unit well
9 above the regional water table, which provides, which then
10 daylights in the rough topography. And, so, that's where the
11 water daylights out. But, if that perching unit wasn't
12 there, that water would continue on downward to the water
13 table, to the deep regional water table.

14 HORNBERGER: So, it's in that sense, that you were
15 suggesting that these studies could be used to verify in some
16 sense the models?

17 TYLER: You have an input, you can measure what the
18 rainfall distribution is, you know what the discharge is
19 within reason. You can actually physically measure that
20 easily by putting a bucket there, not have to estimate it
21 from vadose zone properties.

22 HORNBERGER: Other questions? John?

23 GARRICK: You spoke of the dynamics of the ecosystem and
24 the problems of modeling those dynamics using the
25 precipitation history as an example. Is it so difficult to

1 model those kinds of dynamics, and why is it a big issue?

2 TYLER: No, it's actually very easy to model those
3 dynamics. It's very difficult to populate the model with the
4 parameters for the vegetation, how the vegetation would
5 respond to those. So, no, I shouldn't say it's easy to
6 model. It can be simulated, numerically, and the codes that
7 are available can indeed handle root water uptake very well,
8 not isothermal vapor transport. However, in all cases, you
9 have to populate that with parameters that relate to the
10 hydraulic properties, and also now the vegetation. When do
11 the roots turn on, when do the roots turn off, and that I
12 would suggest, and I'm not a plant ecophysiologicalist, but I
13 work with some of them and I've read the literature, and
14 we're a bit far behind on populating those parameters into
15 our models.

16 GARRICK: Is transpiration a new field?

17 TYLER: No. But transpiration in desert environments
18 moderately is because it--

19 GARRICK: Because it seems to be one of the big reasons
20 why there was a difference in the results with the INFIL code
21 versus the MASSIF code, was it all had to do with the
22 evapotranspiration, or at least a lot of the differences,
23 and, so, it obviously raises the question is this such a big
24 deal that it can't be modeled reasonably accurately based on
25 the supporting data. And, why isn't it done?

1 TYLER: Again, I would just say that the supporting data
2 in desert ecosystems, desert vegetation, what is the wilting
3 point of the entire--each vegetation type that's in the, say
4 in the Mojave.

5 While there are some people have studied bits and
6 pieces of that, it is nowhere near the level of study of,
7 say, alfalfa or corn or cotton that are agricultural crops.
8 No one particularly cared. There was not the economic
9 incentive to really study these. It can be done, and there
10 are people, there are many, many more now, desert
11 ecophysiologicalists working on these various species. We're
12 working on one site in the Mojave where we're interested in
13 this nitrogen uptake issue, however, we have data only on one
14 of the four major species that are growing in the plots we're
15 looking at, detailed plant physiology data.

16 GARRICK: But, it would seem that with infiltration
17 being the driver for performance, and that all the comments
18 we're hearing about the lack of information on fractures and
19 the properties in the unsaturated zone, and that we have
20 spent \$12 billion and haven't answered these questions yet,
21 that it's something that should be important.

22 TYLER: Yes.

23 GARRICK: Okay.

24 HORNBERGER: Bill?

25 MURPHY: This is Bill Murphy of the Board. I thought

1 that was a very enlightening talk. I enjoyed it, and I
2 wonder if you would take just a moment and refer back to this
3 slide where you did have the nitrate and chloride as a
4 function of depth?

5 TYLER: Okay.

6 MURPHY: And, tell me in rather general qualitative
7 terms what this means about infiltration at this site. Does
8 this mean that there's no infiltration in fact, and that all
9 the chloride gets hung up below the root zone? And, if
10 that's the case, where does the water come from that's below
11 that?

12 TYLER: Okay. Okay, it's about six or eight slides in.
13 The slide shows a very high concentration of chloride and
14 nitrate down at about, I can't remember, it's about 2 or 3
15 meters down below.

16 MURPHY: It's Slide 5.

17 TYLER: Okay. And, so, what we have postulated, as well
18 as others, is that that is an accumulation point of both
19 nitrogen, which comes from dry deposition and some fixation
20 at the surface, and chloride, which is coming in in wet and
21 dry deposition, and there essentially is no moderate recharge
22 going on at the site. There is no net downward movement of
23 water below about several meters in this graph. Why is there
24 low concentration of, let's say, chloride or nitrate deeper
25 in the profile from 10 meters down to, in this case, the

1 water table is at 300 meters, I believe? We postulate that
2 that very low concentration is an indication that in times
3 past, this vadose zone was flushed. There was recharge of a
4 few centimeters per year, which was sufficient to keep the
5 concentrations of conservative species, such as chloride,
6 very low. So, there are other mechanisms going on. There is
7 perhaps some thermally driven vapor transport in the deep
8 profiles, but essentially, it's just the water comes in and
9 all of it is evapotranspired, leaving behind the soleninity.

10 MURPHY: I recall in the past, Yucca Mountain, people
11 interested in Yucca Mountain have collected similar sets of
12 data for the alluvium filled areas, like Frenchman Flat and
13 for the ridges. In general, are there data such as this to
14 draw generalizations for Yucca Mountain?

15 TYLER: I'm not familiar with how much chloride data has
16 been collected at Yucca Mountain in recent years. I know
17 that in the past, there was some, and perhaps some of the
18 other, the people working on the site could elucidate more on
19 that. I think there are some data that would be very similar
20 to this, certainly from the deeper soil horizons.

21 MURPHY: I have one other line of questions. I was
22 interested in the distinction you made between the fractures
23 with fracture fillings and those that were unfilled, and a
24 very clear impression one gets underground at Yucca Mountain
25 is that indeed, some fractures are loaded with calcite, and

1 others are completely bare of any mineral infilling, and
2 what's your sense of the relative significance of those two
3 types of fractures in terms of fracture flow?

4 TYLER: My first reaction would be that the ones that
5 are filled with calcite are going to behave much like a
6 porous media, low rates of fluid velocity, low permeability.
7 I haven't looked at those data, so don't quote me on that.
8 But, the fractures that are open, would behave in a
9 completely different manner. They will behave in a way which
10 is not necessarily predicted by a Darcy's law lamina or
11 viscous type flow. They can behave in a more chaotic manner,
12 gravity dominated flows. It's very similar to what we see in
13 soils, in that the flow mechanisms in these open fractures,
14 if they are indeed open significantly, are completely
15 different than what we would model in our porous media. They
16 are simply much faster, like water running down your car
17 windshield. It doesn't behave like water running into soil,
18 unstable flow, rapid, some areas of rapid flow, some areas
19 are very slow flow.

20 MURPHY: Thank you.

21 HORNBERGER: Doesn't that beg the question as to why if
22 there is water flowing in the fractures, they don't deposit
23 calcite?

24 TYLER: Yes. And, I'm not a geochemist. There clearly
25 is a relationship--I didn't mean to joke on that--there

1 clearly is a relationship between fluid flow and chemical
2 transport, mass transport. So, if you see filled fractures,
3 that filling material came from somewhere, whether it came
4 from matrix blocks or whether it came from, probably from the
5 land surface, I would imagine.

6 CERLING: Yeah, just following up. Cerling, Board.
7 Just following on this slide with the chloride and the
8 nitrate profile, is there significantly more to be learned
9 about recharge as opposed to ecology, by studying nitrate
10 profiles along with the chloride profiles, or is this simply
11 an ecology question?

12 TYLER: No, actually I think it's--the relationship to
13 hydrology comes in, number one, why aren't the plants using
14 this nitrogen, and there's a reason, they're probably not
15 pumping out much in the way of leaf matter. They don't need
16 much nitrogen, the deeper rooted species. The shallow rooted
17 species do. So, perhaps the nitrogen distributions can be
18 used as an indication of which plants have been dominant for
19 long periods of time on the landscape. Perhaps one could
20 back out something about climate, frequencies of wet years
21 and dry years. I'm speculating. But, yeah, I think there's
22 something to be learned here about particularly root water
23 uptake, and that's important with respect to the hydrology
24 and balancing the evapotranspiration.

25 CERLING: You also made a probably a rather extensive

1 plea for a monitoring program, and, so, if you could do this
2 sort of thing, how would you use it in such a way that you
3 could better evaluate the system before you do anything?

4 TYLER: Okay.

5 CERLING: Sort of a vision as a realistic use of a
6 monitoring program, also provide information before you go
7 ahead with whatever project it is in the mountain or
8 otherwise.

9 TYLER: Well, my sense is if you're going to build a
10 monitoring program to monitor the, say, waste that you're
11 disposing of, you need to understand what the main mechanisms
12 of transport are before you design that monitoring program.
13 So, you have to have a good sense ahead of time through other
14 experiments that you've been running to know how is the fluid
15 flow going to behave, what are the characteristics, times and
16 length scales. It may have been a somewhat expensive plea
17 for a--or a plea for an expensive monitoring program, but
18 personally, I mean, I'm a Navadan and if this site is to be
19 built, I want it to work. I want it to, as someone from the
20 public, I don't want to come back and have, as I've seen in
21 other sites, ten years down the road at some site where oh,
22 now we've found something, what do we do now. It's a priori
23 knowing what to expect and what to do about it, is to me just
24 a responsible monitoring program.

25 To get back, though, to your question about what

1 other things can be learned, clearly, understanding,
2 measuring fractures in the near surface to look at episodic
3 infiltration events, that's where you can test the sensors
4 that you're going to put around a repository that would be in
5 a fractured environment. And, I know there's been some work
6 in that, but I think we have to make sure those systems can
7 function for long periods of time.

8 HORNBERGER: That raises another question. You
9 mentioned episodic. In the near surface, of course, that
10 makes total sense to me. I'm trying to picture how you can
11 go through non-welded units and be a couple hundred meters
12 down and still have this high variability, especially
13 temporally. Doesn't that get filtered out?

14 TYLER: Well, I don't think we really know. My
15 understanding of the observations of Chlorine-36 deep in the
16 repository horizon, if those are correct, then that was
17 somewhere less than a 50 year travel time. That's still
18 fairly rapid, you know, that's perhaps not a meter a day, but
19 several tens of centimeters per day if we average that out
20 over the entire time period. And, I would doubt that it was
21 a nice uniform fluid flow down through the fractures. So, I
22 guess my answer to you is I don't know the answer to that. I
23 know in tunnels and mine shafts and in other places where I
24 have been underground, you do see significant variations in
25 the fluid flow as a function of the seasons. So, there is

1 fairly rapid transport. And, certainly in Karst
2 environments, this is not a Karst environment, but you do see
3 almost instantaneous response to precipitation events. So, I
4 think there is some indication that it certainly is a
5 possibility.

6 GARRICK: Is there a precedent for a monitoring system
7 that has some of these characteristics, particularly with
8 respect to the infiltration rates? Can you effectively
9 monitor such small quantities of water in low time media?

10 TYLER: Off the top of my head, I can't think of an
11 analogy for water. I could think of an analogy for
12 contaminants, and that might be pesticide migration in macro
13 porous soil or fractured soils, where we do see very rapid,
14 in some cases, very rapid transport of a material which has
15 shown very--which should have interacted with the solid
16 matrix, and should have been absorbed and thereby degraded,
17 not, so, therefore, moving quickly through porous media
18 without much interaction with the solid phase. So, there
19 would be my example of a contaminant. Water? No, it's very
20 difficult. We're talking tiny amounts of water, I agree.

21 GARRICK: Yes, I think the problem here is that the
22 contaminant of greatest interest is not going to happen for a
23 long, long, long time, and you'd like to have some sort of
24 precursor event that you're monitoring that you can correlate
25 well with what you're really worried about. And, of course,

1 monitor is a possibility.

2 TYLER: Just off the top of my head, then if you were
3 going to build a monitoring program, perhaps you would put a
4 tracer around your waste that would be easily transported if
5 there was water moving around, and something that could be
6 detected in very low concentrations.

7 GARRICK: Well, your comments about monitoring are very
8 good and very welcomed.

9 TYLER: Thank you.

10 HORNBERGER: David? Anyone else? Thank you very much,
11 Scott.

12 TYLER: Thank you.

13 ELZEFTAWY: Scott, by the way, this is Atef Elzeftawy
14 and I'm speaking now on my behalf. When I came to--in 1980,
15 I saw that graph and one of the master pieces was done by a
16 female, I don't remember her name, and it struck me, and it
17 was in Yucca Flat, and it struck me at the time why did we
18 have that nitrate and that high concentration in that place.
19 Now, when I put my spectrometer 50, 60 feet deep, 60 feet
20 deep in the Sugar Bunker area, under the Sugar Bunker area,
21 the spectrometer measured almost saturation point, water was
22 about 15 percent. And, the question was, to me, where is the
23 water coming from, given all that dry climate, 50 feet down
24 the road, or under the surface, and why the nitrate is
25 sitting over there. I'm not going to tell you what my

1 opinion is, but that nitrate and the chloride sitting there
2 is a very, very complicated process. It needs four to five
3 parts of an equation to be solved simultaneously, and here it
4 is, we are \$12 billion in the hole, and we don't have even
5 understanding, a clear understanding of what's going on with
6 the unsaturated hydrogeology of that specific site.

7 HORNBERGER: You know, we do have to move on.

8 ELZEFTAWY: But, anyway, so I just wanted to show you
9 that that's not new.

10 HORNBERGER: Alan?

11 FLINT: Although I have quite a few slides, I'm not
12 going to talk in detail about all of them, but I wanted to
13 put them in for completeness so that we have something for
14 the record if it needs to be discussed at a later time. So,
15 if I talk too much, just every 30 seconds, we'll just keep
16 going and we'll probably get through this.

17 I'm going to talk a little bit about the--this is
18 the outline for the talk. We're going to talk about the
19 history and the timeline for developing the conceptual and
20 numerical models. I'm going to talk about the development of
21 the processes, observations, spatial distribution, the
22 conceptual model itself, the numerical testing of processes
23 and our submodels, our sort of bucket approach, and the
24 distributed 1996 milestone report results, what happened
25 between '96 and '99 to get us to the final product, the

1 results and future climate, and some supporting data.

2 Scott mention soil thickness as an important issue,
3 and dealing with infiltration, that's how sort of I got
4 working on this. If you recall, when Ike Winograd in 1981
5 said that unsaturated zones are a good place for nuclear
6 waste because these thick unsaturated zones have no
7 infiltration, I think Scott showed that very well, that you
8 get no infiltration.

9 In '83 when they're talking about Yucca Mountain,
10 Gene Roseboom said, well, 30 to 60 feet of soil over
11 fractured rock is basically the same thing, however, because
12 there was very little soil at Yucca Mountain, he postulated
13 that the non-welded tuff would solve the problem.

14 So, we come along in '86, or at least I did, and
15 started on the project. We had our natural infiltration
16 program underway, that was of the neutron boreholes, the
17 artificial infiltration program and the matrix properties
18 program. One thing missing here, there was no intent at that
19 time, and it was not in the project for a numerical model of
20 infiltration. That was not part of the process.

21 In 1987, I added the regional meteorology program
22 as a study itself, because we were looking at climate,
23 because climate was a very important program, and we wanted
24 to look at how Yucca Mountain looked in relation to a larger
25 scale.

1 In 1991, we started neutron borehole drilling
2 again. We added new neutron holes. And one of the things
3 that we started doing in this particular time was we started
4 looking in different topographic positions. As I'll show
5 later on in the talk, a lot of the thinking in the Eighties
6 was about channels. That's where all the infiltration
7 occurred, in channels, that's where everybody wanted to put
8 all the instrumentation, in channels, that's where our deep
9 boreholes were, and we started looking at some of this
10 neutron data, realizing that channels were not the only place
11 recharge was occurring.

12 In 1992, this was when we started integrating the
13 infiltration work with the 3D site scale model, working with
14 Bo and his group. We started putting this together realizing
15 that we had to tie our results, which were all point
16 measurements, into a larger numerical process. So, it
17 started us thinking about numerical models, even though it
18 wasn't on our chart at the time to do one for infiltration.

19 And, also in 1992, we had our first geostatistical
20 estimate of precipitation. The estimates at the time were
21 about 150 millimeters a year. We did a Co-Krig analysis on
22 all the available data, and we have our first maps of
23 precipitation, so we can see the variability on the mountain
24 itself.

25 By 1993, we had made our first estimates of

1 unsaturated flow in some of these deep boreholes, in the
2 washes, and in side slopes, from thermal, from Darcy
3 approaches, to the PTn, through tracer techniques, we were
4 getting some numbers now at points.

5 And, by 1994, we had changed the program, the
6 artificial infiltration wasn't something we were looking at
7 much anymore. We were going into surficial materials. This
8 was when we started to characterize the soils in a lot more
9 detail, and we added numerical modeling. We knew we had to
10 have a numerical model. So, this was the point in time which
11 we added that.

12 In 1994, we had a distributed flux map based on
13 matrix properties only. This was surface exposed bedrock and
14 hydraulic properties of the bedrock itself.

15 By '95, we had our first distributed flux map of
16 infiltration. This was on INFIL, version 1.0. By '96, we
17 had the milestone report that documented the infiltration,
18 and this was anybody working on the project at this time, I
19 think this was kind of a very exciting time for us because we
20 were getting out I think they called it a map a week of
21 infiltration, because we were trying new things.

22 And, by 1999, we had the analysis and modeling
23 report which documented INFIL 2.0, which I'll describe the
24 difference between 1 and 2.

25 When we look at a water balance approach, Scott

1 sort of brought this up, precipitation minus the ET, minus
2 drainage, plus or minus the change in storage to zero. A lot
3 of people don't like using this in the desert because if you
4 look at it over time, ET is just a huge component, and
5 precipitation is very small. But, if you get all of your
6 rain in March in 1996 for a six year period, the ET is really
7 low for March for that period, and you have 300 millimeters
8 of rain infiltrating, you can actually make these
9 calculations. So, they are applicable for short periods of
10 time. So, we like looking at this approach to a certain
11 degree.

12 Next? In this conventional wisdom was in the
13 Eighties that the channels were the important place. We knew
14 that these big alluvial terraces didn't matter, and channels
15 were what was left. And, the neutron holes were concentrated
16 there, deep boreholes concentrated there.

17 Next? So, as we're developing our conceptual
18 model, we're starting to make some observations that get us
19 to thinking a little differently about this. We're looking
20 at water content profiles. This is in the soil and bedrock.
21 We were looking at climate trends, a very important
22 component. There's some subsurface flow of water in the
23 bedrock interface. Differences between these geomorphic
24 positions, the soil depth, the spatial distribution of
25 material, bedrock.

1 One of the things that we had the advantage of is
2 our offices were right there on the test site, and it didn't
3 rain much, but when it rained, we were all in our pickup
4 trucks and we were out to the field, and we were looking to
5 see where water was moving, where could we see it. Could we
6 hear it dripping in a borehole? And, we could in many cases.
7 But, we looked in detail, and we would see runoff, we would
8 run up the hill trying to find the source of it, what was
9 causing it, why was it in this channel and not in that
10 channel. The observations we made on the ground were a very
11 important part of our understanding of this process.

12 Next? So, this is what a typical neutron borehole
13 might look like. And, notice that we have a rain gauge on
14 this one. We had a rain gauge on every single neutron hole
15 so we could try to look at how much rain and how the neutron
16 hole related to that. This one, just as an example of March
17 7th versus March 13th, and we see a change in water content in
18 the borehole. This is in alluvial terrace, so we can see
19 that we're getting infiltration down to about 6, 7 meters in
20 this particular example, this is in a runoff event--or, this
21 isn't a terrace, this is actually in a channel itself. So,
22 this is an infiltration event. We can actually make some
23 calculations from this information.

24 Next? And, what we're looking at here is the way
25 we started to process the information. You see on the left

1 is a graph of water content and time in a channel. We can
2 see the infiltration pulse. We can see it moving down with
3 time. You can find these kind of examples in a soil physics
4 book. You look at a sideslope with fractured rock, this is
5 the middle graph, the first maybe four meters is in
6 fractured, low permeability bedrock, so we don't see much
7 imbibition of the water. But, as we get down into the units
8 below where we have more porous material, we start to pick up
9 some of that and capture it in there, or a ridgetop, we can
10 also look at graphs and we can see water moving down. So,
11 we're starting to see water infiltration in sideslopes and
12 ridgetops, realizing this is an important component.

13 Next? We looked at water content. That was a
14 little hard for us to look at at the time, so we went to
15 standard deviation of water content to see change. We were
16 just looking for relative change. So, now we can easily pick
17 out at about 3 meters, we don't see any change in water
18 content, looking at maybe five or six years of data.

19 We looked at this using the standard deviation
20 technique. We looked at channels and terraces with runoff,
21 without, north facing, south facing slopes, ridgetops, and
22 looking at neutron hole after neutron hole, trying to get an
23 understanding of how this system was working.

24 Next? So, here's an example of a welded tuff, 10
25 percent porosity, or 20 percent porosity, or 30 percent, non-

1 welded, and you can see the difference in penetration. The
2 high porosity non-welded, you only get down about 5 meters.
3 The moderately welded, you can get down maybe 6 or 7 meters,
4 so we're starting to see the bedrock makes a difference, the
5 bedrock properties make a difference. The soil thickness
6 makes a difference. And, this is developing our
7 understanding.

8 Next? Now, here's an example, this is one of the
9 first kind of new pieces of information we gained. We
10 started pairing up boreholes when we did the drilling. This
11 is across the wash. The south facing slope, N-53. And this
12 is the porosity saturation profile, the material type, in a
13 channel in a north facing slope, and the north facing slope
14 is a little bit drier, and that may be one of these areas
15 where the matrix is drying out from the long climate change,
16 but water is still going through the fractures and they're
17 not in equilibrium.

18 But, N-55 is faulted. There are two faults cross-
19 cutting in this particular area. And, when we looked at the,
20 in this case, if we look at the Chloride-36, in N-55, you can
21 see that Chloride-36 makes it all the way through the PTn
22 into the top of the Topopah Spring. In the channel, as you
23 would expect, the Chlorine makes it down a couple meters, and
24 it stops. It doesn't get below that thick soil, not much
25 happening.

1 N-53, shallow soil, it makes it down into the PTn,
2 but stops because it's not faulted. There's not a way to get
3 through there. So, this helps us develop our conceptual
4 model, how things are working, and giving us an understanding
5 of the infiltration process.

6 Next? Scott talked about this and had some good
7 pictures. This is the drought in, I think, 1989 or 1990.
8 And, if you look, there's a borehole right here.

9 Next slide? That's that same borehole, and this is
10 the 1992 El Nino event. It really picks up the vegetation a
11 lot, and we had to try to consider all of this, too. As
12 Scott said, bare soil was an important part of our ET
13 modeling and our measurements dealt with a lot of that, and
14 this change in climate and change in vegetation was something
15 we were concerned about, but weren't able to deal with as
16 much as we wanted to.

17 Next? Now, this is our first really good picture
18 of looking at Neutron hole data. This tells a tremendous
19 amount of information. This is a time series of depth versus
20 time, from 1984 to 1995, of all of the neutron data from this
21 one particular borehole. And, if you look at it very
22 carefully, you're going to see several things. And, I'll try
23 to go up and actually point them. Hopefully, you can hear me
24 on this. But, this is where the borehole is installed, and
25 then each one of these is a winter period of a particular

1 year, you can see the year, you can see the drought period.
2 But, what you're looking at, which is real interesting, is
3 you see the water content change, so it infiltrated, but as
4 it comes down, it goes at an angle. What it's doing is it's
5 moving down with time. So, this is the infiltration with
6 time in the neutron hole.

7 Now, what's the most noticing and striking thing
8 here is that none of these events go down very deep. The
9 water is used up by the plants. It evapotranspires. And,
10 this is how we were understanding how Yucca Mountain behaved.
11 It was a desert out there, and this is how we saw everything.
12 We didn't really mess around with this, because we didn't
13 understand it. Until 1995, we had an infiltration event, we
14 had two of them, and we hit this channel real hard with a lot
15 of water, and it infiltrated, and it went down into the non-
16 welded tuffs, very saturated conditions there. What we
17 realize, in going back in our records, this borehole was
18 installed a week after the 1984 major runoff event. This
19 process happened in 1984, and again it happened in 1996.

20 So, what we're seeing is the dryout of this
21 borehole over time as water eventually moves downward, or is
22 removed by plants. This view was our first time. Now, I had
23 99 of these, and I spent a long, long time looking at every
24 single one of these records in detail. This is how we came
25 to understand the process.

1 Next? Another example over shallow soil. A welded
2 tuff, fractured, but low matrix imbibition rates, low
3 permeability, there's two infiltration events, it moved down
4 into a more non-welded type tuff, more permeable, and we can
5 pick up the water. We can see the water. We can see it move
6 with time, and we can see it disappear.

7 Two more events, the same process, and you can see
8 what's happening here. Water is moving down. It's below the
9 root zone. It's going to become net infiltration. So, this,
10 we can actually calculate a net infiltration rate with this
11 number. We can do this, and we will, in the next slide.

12 So, these are those events, looking at changes in
13 water content, and these are the fluxes that we get. 300
14 millimeters in one event. That's a lot of water in one
15 event, but we had a lot of water. Now, if we looked at the
16 drainage between events, just took the total water content in
17 the profile, we see this change, and this is down I think 2
18 meters below bedrock to the bottom. We see this gradual
19 decline, which is about 23 millimeters a year of water moving
20 through the system. So, that's a calculation of the flux.
21 That's one of the calculations we made.

22 Next? We did that for all the boreholes, and we
23 can see the calculated flux versus the soil thickness, and we
24 see this very nice trend. And, we do have some thick soils
25 that have infiltration, but those are in channels. It's very

1 clear here in looking at thickness versus--the mean with the
2 soil thickness of flux. As the soil gets thicker, the water
3 gets less and less. Soil thickness became an important part.
4 So, we can start to characterize that.

5 Next? Now, Scott may not care about filled
6 fractures, but if you're studying infiltration at Yucca
7 Mountain, you have to care about filled fractures, because
8 they're filled at the near surface. And, so, we did a lot of
9 studies on the filled fractures. We also did a lot of
10 studies on the unfilled fractures, and actually, I have, and
11 I showed this at the 2004 NWTRB meeting, actually hydraulic
12 conductivity, unsaturated conductivity of a fracture in the
13 underground that we did, over a couple months of work.

14 But, the thing I wanted to point out here was we're
15 looking at a soil on top of fractured bedrock, with fracture
16 filling just about everywhere, and after a major rain event,
17 we could see water, and all the soil was wet along the rim at
18 the surface, it was wet, and underneath this mound, water was
19 coming out, but the intermediate layer was dry, because it
20 was channeled from the material around it, and not allowed to
21 go underground. We got June Fabrika Martin out there, we did
22 Chloride-36 bomb pulse measurements, and the further we went
23 down the hillside, the more bomb pulse we found looking at
24 this concentration, telling us about lateral subsurface flow.
25 We instrumented some of the fractures. We could see water

1 moving into the fractures, and we took them back to the lab
2 and made measurements, so we have a better understanding of
3 what was happening from this observation.

4 Next? One of the other sets of measurements we had
5 were some of our heat dissipation probes. These are water
6 potential sensors buried in the soil in the absence of a
7 neutron hole, away from the neutron holes. And, we were
8 lucky enough to put these instruments in two weeks before the
9 first major giant rain storm, we had them installed. And,
10 what we're looking at with different depths is water
11 potential versus time, and what I want to point out is that
12 as we get down toward the bottom of this profile at 36
13 centimeters or 73 centimeters, we're basically very, very
14 dry--or very, very wet. The system is saturated here.

15 So, we put enough water in, the soil that Scott
16 talked about can't hold it, it's at the bedrock interface.
17 Now, it's going to infiltrate into the bedrock at the
18 permeability of the bedrock. So, we picked certain points in
19 time, looked at the total soil profile, water content, and at
20 each of those points of time on the red line, that's the
21 selected data, we calculated a change, and that's the purple
22 spot. So, that's the 24 hour flux data.

23 The first one was 10 millimeters a day, and 8
24 millimeters a day, 6 millimeters a day, that's what was going
25 into this fractured bedrock. The ET rates were about a

1 millimeter a day, so, doing a mass balance calculation is not
2 a particular problem in this case. But, we can see a way to
3 calculate the flux, and then we get down here, these are
4 typical ET rates for this time of year. Now, this event says
5 that when we get wet at the interface, we get infiltration.
6 How often does that happen?

7 Next slide. This is that record carried on from
8 1994 to about 2000. This is that event we were just looking
9 at. If you don't have a saturated condition at the fractured
10 bedrock to get into the fractures, do you have infiltration?
11 Well, we had one event here, maybe one here, but the rest of
12 the time, those deeper soils are fairly dry. We get up to a
13 balance and bars, air dryout in the desert is probably about
14 a 1,000 to 2,000 bars, so these soils were air dry for a lot
15 of the time during that period of time. And, if you make
16 water potential measurements, I think you should be impressed
17 with the range of this instrument to do this. And, we also
18 put these underground in lots of places. So, we can look at
19 the time. It only happens every now and then, but when it
20 happens, it happens over a short period of time, a lot of
21 water.

22 Next? We started looking at the distribution of
23 geology and thinking about how this imbibition and
24 infiltration would occur under these shallow soils, and we're
25 starting to think now about how to take this information onto

1 the next step to tie it back to the model. So, we have our
2 rock properties, we're going to make calculations and see
3 what's in the rocks.

4 Next slide? This is the 3D site scale model that
5 was originally developed in '92, and a lot of these cells
6 were based on faults which were tied to the channels, where
7 we were trying to look at infiltration rates, and some
8 selected boreholes have them for the model calibration. This
9 was our early version.

10 Next slide? This is our first map to fit that kind
11 of model. This is a calculation of infiltration rates at
12 Yucca Mountain, looking just at the bedrock itself. This
13 does not account for fractures. It just says what's getting
14 into the bedrock below where it's going to ET. And, now,
15 we're starting to see our first numbers. Remember, Scott
16 said it was .5 millimeters a year, no fracture flow. Now,
17 we're looking at flow in the Paintbrush group of over 13
18 millimeters a year, just using Darcy law calculations. But,
19 we're starting to get somewhere now, we're starting to get
20 distribution of properties. And, we'll come back to later
21 stuff.

22 Next one? This was a statistical analysis of all
23 the neutron holes. We looked at soil thickness, rainfall,
24 bedrock permeability, fractures, whatever, and came up with a
25 statistical model, looked at each good cell, and applied it

1 to that statistic, and we came up with our first spatially
2 distributed map using statistics only, not a numerical model.
3 And, it gives us sort of a perspective that the higher
4 rainfall, thin soils, high permeability bedrock, has an
5 influence on infiltration. So, now we're starting to see a
6 picture develop here.

7 Let's go to the next. So, in terms of what
8 controlled infiltration, and how we're getting towards our
9 numerical model, and Scott put all this up, is precipitation,
10 soil thickness, the porosity, and drainage characteristics.
11 Bedrock permeability, once it hits the bedrock, what does it
12 do? And, as Scott was saying, if it's real deep alluvium, it
13 goes down to the root zone and pretty much stays there. It's
14 done. And, then, evapotranspiration, that's what removes the
15 water. Without that, we'd just keep going all the time.

16 Next slide? So, this is our conceptual model now
17 that we're going to try to work with. We have all the
18 processes we have talked about, our ridges, our sideslopes.
19 But, one of the things to notice here is this green line.
20 Water that gets below that green line is net infiltration to
21 us. It's deeper and thicker soils, because plant roots can
22 get down quite a bit in these. It is closer to the surface
23 in shallow soils. But, you notice it is below the
24 bedrock/soil interface. The bedrock at Yucca Mountain holds
25 water, plants extract that water through microphysal

1 associations, and it's an important component to the water
2 balance in the shallow fractured rocks.

3 Next slide? So, there's some observations and
4 refinements that we wanted to make. We're starting to look
5 at the spatial variability of storms. Snow melt becomes an
6 issue, we were looking at that, we were getting more and more
7 snow. North versus south slopes? Interesting thing about
8 Yucca Mountain is that the south facing slopes are Mojave
9 Desert plants. The north facing slopes are Great Basin
10 Desert plants. This is a transition zone. Transitions have
11 a big impact on this area, and it can go either way. But,
12 that became an important part. And, then, deterministic rock
13 properties, this is where we get the Sandia, where we try to
14 look at--if you know where you are in a volcanic tuff, you
15 know what the properties might be in the middle or the edges.
16 And, we use that to distribute some of the properties with
17 depth.

18 The rooting depths were exposed after flooding. In
19 Thirty Mile Wash, we had a whole hill bank go away, and it
20 cut into the alluvial fan. We went out there right after the
21 event, and saw creosote plants down 6 meters, because water
22 was getting down 6 meters. This is how we got our 6 meter
23 number, because that's the extent that we could find any
24 plant material. Water potentials in fractured rock?
25 Interesting thing. They had done a study where they had the

1 soil taken away, and soil and plants and rock and plants, and
2 they did water potential measurements on them after rainfall
3 events, and the soil was saturated, and neither plant
4 responded. They were rooted in the rocks, and they weren't
5 going to take any water out of the soils. But, as the water
6 got down to the rock system, both plants responded, water
7 coming out of the rocks, so that was an observation we made.

8 Next? Our conceptual understanding? Arid
9 conditions, net infiltration is an infrequent occurrence.
10 Wet winters, we get enough water to get at the bedrock
11 interface. Deep soils, it holds water and there is nowhere
12 for it to go, except back up through transpiration. But,
13 runoff does accumulate and infiltrate and overcome storage
14 capacity in the root zone in channels.

15 Next? So, to get to numerical modeling, we wanted
16 to apply the physics of the water-balance approach to these
17 arid climates. We had to define the physical setting for
18 each area. We had slope, aspect, elevation, soil properties,
19 rock properties, vegetation. We went out and we did surface
20 seismic. We did resistivity. We did emissivity. We did all
21 sorts of measurements, trying to look at the spatial
22 distribution of what was out there.

23 Next? So, our numerical modeling was to convert
24 this conceptual model to a numerical or mathematical model.
25 But, to do this, we had to do sub-models. We had a sub-model

1 for precipitation, infiltration, ET, percolation, run-on and
2 runoff, and we're going to go through in just general terms
3 about those, but I have a lot more information in the
4 handout.

5 Next? Our precipitation model, we can use
6 surrogate rainfall because we didn't have a lot of long-term
7 records at Yucca Mountain. We had 4JA, low elevation in
8 Jackass Flats, about 10, 15 miles east of the site. That was
9 our lower bound modern climate. Area 12 upon Rainier Mesa
10 was our upper bound modern climate. We had those two data
11 sets for long-term records. We could use those records
12 directly, or we could use a stochastic simulator for longer
13 term modeling, which we did. We used a third order, two-
14 state Markov chain to determine the occurrence of daily
15 precipitation, and that went to several orders, because if it
16 rained on a given day, what was the likelihood it would rain
17 the next day? It was higher, it was always higher if it
18 rained one day. And, then, the third day, it was equal to
19 maybe a little bit more, and then it went down.

20 We used the modified exponential cumulative-
21 probability function for the magnitude of daily precipitation
22 where we had four seasonal distributions. So, we conditions
23 on the local rainfall data, and we scaled it to elevation for
24 the site. So, this is our rainfall model, and again, like I
25 say, we had co-located data at each neutron hole.

1 Next? The ET model, lots of details in here. It's
2 the modified Priestly-Taylor, and we calibrated it to Yucca
3 Mountain. We have detailed net radiation and ways to
4 calculate the net radiation come from our solar radiation,
5 the model important component. Ground heat was just
6 calibrated with heat flux plates and measurements. Solar
7 radiation was modeled using the SOLRAD model that is a very,
8 very detailed, it accounts for all the atmospheric
9 components. It accounts for slopes, aspects, blocking
10 ridges, circumsolar radiation, that's the radiation diffuse
11 that follows the sun around. If you get mountainous terrain,
12 the sun gets a little behind the hill, you lose a lot of
13 diffuse, not just direct beam, a very, very detailed model.

14 We also had a bone ratio station, and we had eddy
15 correlation stations out there to measure ET, and those data
16 were used, go ahead to the next slide, to calibrate to the
17 neutron hole data.

18 So, now we're looking at rainfall measured, neutron
19 hole water contents, ET is the function in these alluvial
20 soils, so, we're doing a reasonably good job. We start the
21 model off anywhere it wants to start, and eventually it
22 catches up to the neutron hole data. And, I've been out
23 measuring this. We just published a paper, DOE put it on its
24 web site for measurements we've been making on Rainier Mesa
25 for the last couple of years, looking at infiltration over

1 the tunnels there. So, we've been still doing some of that.
2 But, this is a reasonably good technique for matching the
3 water content profiles.

4 Next? So, infiltration. Now, how did we calculate
5 infiltration? Well, basically, we said all precipitation is
6 modeled as infiltration. When the storage capacity is
7 exceeded, that is, we can't hold anymore water, we're
8 saturated, we generate runoff. That's the '96 version. So,
9 we just simply said it infiltrated. It was mostly winter
10 precipitation that was low enough below the saturated
11 conductivity of the soil, so it was not a problem.

12 The precipitation run-on and snow melt infiltrated
13 unless they exceeded the hydraulic conductivity or porosity.
14 Then, we generated runoff. That's in the '99 version. So,
15 we added a hortonian overland flow. That's where if you
16 exceed the capacity of the soil to take it on, versus
17 saturated overland flow, which is you exceed the storage
18 capacity. We used two hour summer events. We knew how much
19 it rained in a day, but we just said in the summer, it
20 happened over two hours. Winter, it happened over twelve.

21 Runoff was counted and removed in the '96 version.
22 And, then, it was routed downstream in the '99 version. We
23 used kinematic wave, and we reinfiltated downstream if there
24 was a space for the water. And, we counted and removed, we
25 ended up dealing with that later on, but this is how we did

1 infiltration and runoff.

2 Next? Percolation, in this case, once the soil was
3 in there, we let it drain to what we called field capacity,
4 which was about .1 bars. Excess water was allowed to drain,
5 go to the bedrock, and infiltrated the bedrock permeability.
6 The rest was put into this bucket and it stayed there, and it
7 would infiltrate, and that was our '96 model. So, we
8 basically redistributed the water in the profile.

9 We used a forward cascade in the '99 model, where
10 we filled the first layer up to field capacity. Then, it
11 drained to the second layer, and on, on, down through the
12 system. Then, we used a backward reverse cascade, which was
13 just a mathematical technique to bring the water back up, if
14 we put too much in there, until we get back to the top, and
15 then we have runoff generation, if we put too much in the
16 system. But, it was a way just to do the mathematics.

17 And, we did have a bedrock root zone, it was about
18 2 meters thick, to capture some infiltration. We measured it
19 with the neutron hole data, and these plant observations gave
20 us more information on that. So, that's how we're trying to
21 deal with the percolation. We used a modified Jerry Gardner
22 equation for the forward cascade to calculate it, sort of a
23 Richard's equation.

24 Next? So, we wanted to take our numerical models,
25 we calibrated by matching observations and data, not just the

1 data alone, but observations. We wanted saturations, and
2 things, to happen. We want to run the model for range of
3 geomorphic and topographic positions, soils, and climates to
4 see how the system responds in areas we have no data and
5 under climates we haven't observed. That's what we did. So
6 cast the simulations. If we see something in the model
7 that's unique, then we're going to go look in the field and
8 see if we find things there. And, we wanted, most
9 importantly test the model against independent data sets,
10 something we never used, and a lot of that was easy to do
11 because a lot of data came after we had done this first
12 model.

13 Next? So, this is our calibration data, the 100
14 year simulations, the Maxey-Eakin model that Scott talked
15 about. This is that curve in this location. Neutron hole
16 data in these diamonds. And, then, our simulated data for
17 all the different neutron holes, whether it be for the Area
18 12 high-end modern climate, or the Yucca Mountain simulated
19 climate. Again, we get some pretty high values, and in
20 general, pretty good agreement with the neutron hole data.
21 So, there's our calibration data set, and that's what we made
22 our maps on. Then, once we had our maps, now the job was to
23 look at other data sets.

24 So, next? Oh, I should show you the map because
25 it's really pretty. This was our infiltration map, and the

1 repository horizon--or, the repository outline on there, so
2 we can see, and there were questions about, you know, what
3 Scott talked about, about deep alluvium being in places, so
4 if you had this repository over here where it was zero
5 infiltration, then it wouldn't be an issue. But, that's one
6 of the things we see when we look at these maps.

7 Next? Just a close-up of this, and some of the
8 boreholes that we used for our calibration. And, we did some
9 work underground in looking at whether we could see these
10 infiltration rates underground. So, we did do some
11 observations like that, and there are some things that very
12 well correlated with this, which I showed at an earlier
13 meeting.

14 Next? So, some of the corroborating data sets,
15 Darcy flux calculations in the PTn, tritium, Carbon-14,
16 thermal profiles, Chloride mass balance, other chemical
17 techniques, now, we can start to apply these.

18 Next? This is our net infiltration model with some
19 of the thermal fluxes that were done from some boreholes on
20 the site. Some pretty good agreement, in general, not much
21 difference in numbers. I mean, we're down here to the zero
22 to 15 range. Remember, that infiltration at Yucca Mountain
23 is temporally and spatially variable. There are going to be
24 different techniques that are going to see different things.

25 Next? This is some of the chloride mass balance

1 techniques that were used. We have a range of values from
2 the model flux because when you're looking at a borehole, we
3 don't want to just use the borehole, we want to use an area
4 that might be 30 meters or 60 meters or 90 meters around the
5 borehole, and look at what's happening in that, because we
6 think as you get deep underground, that's going to have an
7 influence. And, so, that's our range, and, we do a
8 reasonably good job in many cases, a few cases were off. We
9 had a little higher flux than the chloride mass balance does.
10 But, this is sort of a corroborative data set that we used to
11 test our model and see how we're doing. A lot of this was
12 done after the model was done.

13 Next? This is an example from another paper that
14 we did on percolation flux. This is the neutron hole flux
15 calculations, the data we observed. This is the watershed
16 model and these are the range of values, because some places,
17 there's no infiltration. And, we can start looking at other
18 techniques, whether we use Maxey-Eakin or whether we use
19 chloride in the perched water body, we start to see some
20 narrow range, depending on where you are, and again, larger
21 time scale and spatial scale averages. I mean, chlorine
22 data--chloride mass balance data is not from the last 30
23 years, it's from the last 1,300 years or more. So, it's a
24 large time scale. So, my model here, or measurements from
25 neutron holes, may not match it exactly, because we're

1 looking at different time scales and space scales. But, this
2 just shows some of the range of values of different
3 techniques.

4 Next? Refinements in '96 to '99, we added the
5 surface routing. We did multiple layers. We started using
6 streamflow calibration data, and we added climate scenarios.

7 You can see the water was moving down to about here, and
8 then you could just stand there and watch the water running
9 down to your feet, and never get past you. Very interesting.

10 Next? So, this is what Joe started working on when
11 he put in these different layers. The bedrock layer is
12 thick, under shallow soils. The bedrock layer gets thinner
13 as the soils get thicker. And, finally, with very deep
14 soils, we have no bedrock holding water, but it just shows
15 some of our layering that we worked on, and how this model
16 was set up to deal with some of the runoff in some of the
17 rock layers.

18 Next? So, this is our modern day climate
19 precipitation estimate for the site, and there are three
20 areas here to look at. One is the extent of the modeling
21 domain, the extent of the 3D site scale model, and the extent
22 of the repository, because I'm going to talk about the
23 results of the three of these in the final slides.

24 Next? This is the estimated infiltration. You can
25 see infiltration now occurring in the channels, but a lot of

1 Yucca Mountain infiltration is still on the ridgetops and
2 sideslopes.

3 Next? So, in the infiltration modeling domain,
4 that's that whole large area, we have values of 188
5 millimeters, 3.6 net infiltration, because a lot of the area
6 is deep alluvium. In the UZ flow and transport modeling
7 domain, the LBL model, the infiltration rate is about 4.6,
8 and more rainfall because it's got concentrated high
9 elevation. In the 1999 potential repository, the design in
10 '99, a smaller area, but about 4.7 millimeters a year, and a
11 little bit more precipitation for this modern climate. And,
12 that's higher than the average at Yucca Mountain, because,
13 again, we're dealing with the highest part. So, that's what
14 the results were, so, we're dealing with around 5 millimeters
15 a year.

16 Next? So, this is the long-term future climate,
17 more of the glacial types of climate, much higher
18 precipitation rates along this location. Even the alluvial
19 valleys are up to 280 or 300 millimeters.

20 Next? This is the infiltration, a lot more in the
21 channels, still out in the thick alluvial valleys, still
22 that's just not enough water because of the storage and the
23 plants that are out there. But, we can see a little bit
24 higher value over the repository.

25 Next? So, this is a summary for the future

1 climate. Now, we're looking at the whole modeling domain,
2 about 13 millimeters a year, getting up towards 18 for the UZ
3 flow model, and almost 20 for the repository. So, this is
4 our 20 millimeter, long-term climate estimate for the
5 repository design.

6 Next? So, the results and what are presented here
7 is in two milestone reports. One is the USGS milestone
8 report. Even though it was only a milestone report, it's
9 been cited in the literature a lot of times. It contains
10 everything up to the '96 set. And, then, the 1999 report,
11 which was approved in June of 2000 is the analysis and
12 modeling report, and between here and here, we showed some of
13 the things that happened in terms of how we made some
14 changes. We started doing a lot more work underground. But,
15 these are where most of the information lies that I've
16 described.

17 Next? So, in summary, the field observations and
18 measurements through wet and dry periods were really
19 necessary. To be out there and see it when it's really dry,
20 develop the conceptual thinking and then see it get really
21 wet and go out there and watch that, really is important in
22 understanding. If you had one group of scientists looking
23 when it's dry, another group looking when it's wet, you're
24 not going to get the same answer as one group looking at both
25 times.

1 The conceptual model was converted to a numerical
2 model and calibrated to the borehole and stream data, and the
3 results are in general agreement we think we the thermal, the
4 chloride mass balance, and other isotopic approaches. And,
5 the single infiltration events may be 100 to 200 millimeters
6 in a month, two weeks period of time, and there were, I
7 think, six major events that occurred between those 15 years.

8 The primary controls on net infiltration were soil,
9 water storage, bedrock permeability. Scott brought that up.
10 And, it's a grid-based deterministic model we used, it's a
11 good method to spatially distribute and calculate these kind
12 of infiltration rates.

13 Next slide is I think the last, and that is.

14 HORNBERGER: Thank you, Alan. Let me ask the first one,
15 okay?

16 I seem to recall that there was a difference
17 between the 1996 and 1999 in how the runoff data were used to
18 calibrate the model. Am I mistaken there?

19 FLINT: No. No, we didn't use much runoff data in the
20 '96. Well, when I wrote the original model, what I did for
21 runoff was look at the occurrence of runoff. All I did was
22 try to see if I got runoff or not. I didn't do anything with
23 it. I just looked to see if I exceeded the storage capacity.
24 I compared it to what data existed. I found two events that
25 I said I had runoff that didn't occur, and I went and asked

1 our water people, the surface people, and Chuck Savard in
2 particular, and he said no, there were two events that
3 occurred on those days. They just never made it to the
4 stream gauge. So, we felt real good about that, so we
5 developed a model to look more at the neutron hole data, and
6 we just didn't--I didn't have the runoff routing at the time,
7 and it wasn't until Joe took over the model in '96 that he
8 started developing the runoff capabilities and doing the 2D
9 surface routing. And, then, once the routing was over, he'd
10 go back to the 1D column, sort of the old '96 approach. But,
11 he did have the routing, and that was one of the major
12 differences, is he started using and calibrating two, not
13 only the neutron hole data, but the stream gauging.

14 HORNBERGER: But, the recalibration in 1999, you still
15 matched the neutron data, I mean, the neutron data were still
16 front and center? It wasn't just the watershed?

17 FLINT: It was both. It was both, because we had to
18 deal with, to match the neutron hole data, we had to change
19 some things about the fracture properties and the fracture
20 permeabilities, and we had to have certain bedrock
21 permeabilities to get the runoff generated, and, so, we had
22 to work and coordinate between those two.

23 HORNBERGER: Thure?

24 CERLING: Cerling, Board.

25 You've made a very good case for the climate in

1 Nevada being different than Virginia, and the importance of
2 the long-term operation, which is sort of 15 years. And,
3 what I was wondering is if you go to your future climate,
4 which is presumably glacial, how that variability translates,
5 or rather, how you account for the variability and what
6 confidence you have in the variability of your recharge in
7 the glacial, for which you really have not the same amount of
8 data, presumably you have some analog data, but--

9 FLINT: Basically, what we did is we used analog data,
10 and our climatology group and people within the USGS looked
11 at records around the United States, and looked at lake
12 levels and histories in and around the Yucca Mountain area
13 and said, well, in the past glacial periods, the climate here
14 looks like this other city, you know, Minnesota or Montana or
15 some other place. The monsoonal system looked like Nogales,
16 Mexico. And, they came up with what they would say were
17 analog sites.

18 Then, we took those analog sites, temperature,
19 rainfall records, and did our 100 year stochastic simulations
20 to say how variable the climate was based on the analog. So,
21 we did our same stochastic model by using other climates that
22 the scientists and the USGS said these are the most likely
23 things that--most likely way that Yucca Mountain would have
24 looked 21,000 years ago. And, that's how we did the
25 variability.

1 CERLING: But, then also presumably, with the different
2 vegetation?

3 FLINT: Different vegetation.

4 CERLING: Did you account for different vegetation,
5 which would affect the ET kind of--

6 FLINT: Not so much different vegetation, because we
7 don't know really how the vegetation would work at that time.
8 So, I don't think we dealt as much with changing vegetation,
9 but, the ET rates change because the air temperatures change.
10 It gets a lot colder. The ET rates go down. So, those were
11 accounted for in the ET model. But, the vegetation wasn't
12 changed, and we didn't grow vegetation and kill off
13 vegetation. That's something we thought of doing and that's
14 something we were going to add to the model at one point, as
15 we really start to change the climate and let the vegetation
16 be a component that changed with time. But, that's not
17 something that we got done.

18 MURPHY: Bill Murphy, Board.

19 Thank you very much. That was a fascinating talk,
20 and I'm curious, you made a very strong case for the strong
21 spatial and temporal heterogeneity of the infiltration
22 process, and from data that have been collected deep in the
23 mountain from the exploratory studies facility, or for deep
24 boreholes, can you comment on the heterogeneity in those
25 processes from, for instance, the water flow in the south

1 ramp last winter, or the rehydration of the cross-drift, or
2 are data--do the data from that also reflect this
3 heterogeneity or to what extent is it modern?

4 FLINT: I think that's a real good question, and one of
5 the reasons why I may go on about the spatial and temporal
6 variability of recharge is this. A lot of colleague work on
7 problems, and they would do a borehole analysis and they'd
8 say, well, what do you get for Yucca Mountain? About 5
9 millimeters a year? Well, we get nothing over here. Well,
10 that's because your borehole is over in my model where it
11 says nothing. And, someone else says, well, it looks like
12 it's like 20 millimeters where I am. I say, well, my model
13 says yeah. And, so, we started to look at the spatial
14 variability because we were trying to match borehole data.

15 As we got deeper and deeper underground, we
16 realized two things. One, we have this integration of the
17 signal over the site, and the other thing is that we're
18 looking now at a time, a different time. If you were to look
19 at Yucca Mountain 21,000 years ago, and look at where the
20 infiltration was occurring, for example, here's infiltration
21 rate at Yucca Mountain and over the repository, and here's
22 what the channels are doing, look at time. As we get back in
23 time, the channels become a much more significant part of
24 flow.

25 So, if you're looking at things like calcite

1 deposits, you might find them underneath the major channels
2 because for most of historic time when the climate was much
3 wetter than today. Those were the major source of recharge
4 at Yucca Mountain. So, that's where you would look to see
5 historical evidence of flow. But, under current conditions,
6 we don't see that today.

7 So, when we start looking at things underground,
8 and we did, if you look at my presentation from 2004, I show
9 a graph of the infiltration model, and the water potential
10 data that we collected in the cross-drift, and where the
11 infiltration rates were really low, the water potentials were
12 a lot drier. Where the infiltration rate was high, the water
13 potentials were a lot wetter. So, we saw that correlation.

14 So, there are some things that we're starting to
15 make those observations underground. Now, if we, you know,
16 seal up this, or close it off for a couple years and do a lot
17 of measurements in there, we might start to see some of this
18 stuff happen. We closed off a couple of areas. One, we
19 thought was low infiltration, it stayed pretty dry when it
20 was closed. One, we thought was a lot, it got real wet. So,
21 we made those. But, there are other people who have been
22 doing work on the geochemistry that can sort of address that,
23 and are trying to address that now in looking at the spatial
24 distribution.

25 But, it's real important to remember that is

1 exactly the case. It's spatially distributed, so wherever
2 you are, you might see something different, and what you are
3 seeing may have occurred thousands of years ago, or as Scott
4 said, you know, 50 years ago in some cases. In his case, he
5 was saying, you know, some parts were that way, but I think
6 that for the most part, most of the infiltration is dampened.
7 Where you have faults, that's where you're going to see this
8 pass through.

9 MURPHY: Thank you.

10 HORNBERGER: Dave?

11 DIODATO: Yes, Diodato, Staff.

12 Thank you for a really interesting presentation. I
13 just for the record want to be clear about the difference
14 between INFIL 2.0 and 1.0, and I think what I heard you
15 saying is that 2.0 is when Joe Hevesi came on and did the
16 hortonian overland routing algorithm, and then added in the
17 description of the soil profile. Is that correct?

18 FLINT: That's correct. Basically, when we finished
19 INFIL 1, we started working underground, and I started
20 putting my efforts into going underground and doing
21 underground experiments and collecting data, trying to verify
22 what we had done with the infiltration program, and doing
23 more measurements. Joe took over, started added the routing.
24 We had had a couple of good wet years, and, so, we had some
25 runoff data, so he was adding that to the system. And, so,

1 he more or less took over that, and added those component
2 parts starting in '96.

3 DIODATO: Thanks. I'm glad you brought up the
4 underground work. You described, in '99, neutron logging
5 holes that were on site, but didn't mention the work with the
6 ring infiltrometers and the other experiments that have been
7 done out there that you have been a part of. And, we look
8 back at 1986, is your starting point, and then over a decade
9 of effort, and I know that Lorrie Flint also did a
10 considerable amount of field work, and then a lot of
11 laboratory work also. What would be your estimate in terms
12 of person years of effort that have gone into the
13 understanding, just from your project here for the Yucca
14 Mountain?

15 FLINT: Personal years, like--

16 DIODATO: Total person years of effort of the team?

17 FLINT: Of my team?

18 DIODATO: Yes.

19 FLINT: Well, in the ten years that I worked on this, I
20 put in 20 years of personal effort. I think Lorrie put in 19
21 and a half, and--

22 DIODATO: Is this a 30 year effort or 40 year effort?

23 FLINT: Well, you know, at the peak of our effort in
24 probably '96, I had 25 people that worked for me at the time.
25 Neutron loggers and the rain gauge monitoring people, we went

1 out there, we could get all the rain gauges, 100 rain gauges
2 done in six to eight hours, because we had to do that. And,
3 so we did that, and we said one month, a neutron hole would
4 get logged once a month. If it rained, they got logged
5 sometimes two or three times a day, and every couple of days
6 for a long period of time.

7 So, there were, you know, hundreds of man years
8 probably in that effort, and a lot of it was, you know,
9 technicians just sitting out there pushing the buttons on the
10 neutron hole.

11 DIODATO: Thank you.

12 HORNBERGER: Alan, could I follow up on just a little
13 more on that, you know, Dave mentioned ring infiltrometers.
14 You hinted early on that you had actually done some, I guess,
15 permeability measurements at open fractures, and filled
16 fractures. Can you tell us a little bit about the actual
17 data base, the measurements for hydraulic conductivities of
18 the surficial materials?

19 FLINT: We did some ring infiltrometer, we did a lot of
20 ring infiltrometer measurements. We went out with the double
21 ring infiltrometers, and we would set up, for instance, a
22 site where we would do a ten by ten matrix, so we would have
23 100 points, and we would do 100 measurements, so we could
24 look at the spatial variability of infiltration with that
25 technique. We'd go out around neutron holes, and we'd put in

1 the four meter ring infiltrometers, and we had auto loggers
2 set up so we could monitor all the boreholes, measure the
3 infiltration rates. We had camps out there, so we stayed at
4 night and did all these measurements for two or three weeks
5 at a time, looking at the infiltration processes. We set up
6 some measurements.

7 We brought a lot of fracture filling back into our
8 lab and did work in the lab on our centrifuge work. We set up
9 instruments on the ground, measured infiltration rates on a
10 sloping surface until water started to flow in the
11 subsurface, and then we'd turn the infiltration rate down
12 until it quit flowing. This was our Alcove 1 experiment.
13 So, we got our first measure of infiltration capacity of the
14 bedrock.

15 We set up these monitoring states up higher on the
16 mountain where we measured infiltration rates and water
17 contents along the bedrock itself, and looked at the drainage
18 characteristics. We did some of these measurements when we
19 went out to the Ghost Dance Fault above Alcove 7, and we did
20 a whole set of paired instruments on both sides of the fault
21 under this rain event period that had been predicted for some
22 time in '96, where we could actually see that the faulted
23 side, the down-drop side, the fractured side had a much
24 greater permeability than the other side of the rock in
25 comparison by watching the draining.

1 So, this is how we started getting some of these
2 higher permeability numbers for the fractured bedrock, rather
3 than .3 millimeters, it became 3 or 30 millimeters. But,
4 there's a series of these kind of measurements and
5 observations that we made, doing a lot of these infiltration
6 measurements over some of the fractured bedrock. But, yeah,
7 we did do quite a bit of things like that.

8 HORNBERGER: And, were all of these part of your, at
9 least, the thought process in calibrating the--

10 FLINT: Yes. Yes. Now, one of the things that went on,
11 as the bedrock permeability came up, people started saying,
12 well, we're going to have to have more infiltration. We have
13 higher bedrock permeabilities. And, we said, well, we put
14 bedrock permeabilities in and we did other things in the
15 model based on uncertainties, but we still calibrated to
16 neutron holes. So, if you want to make bedrock permeability
17 higher, then you can do that, but you still have to match
18 your field data. So, you're going to have to change
19 something else to match the field data if you're trying to
20 make the observations.

21 Again, we only tried to match runoff and neutron
22 hole data, and get those in balance, even if we had more
23 permeable bedrock. But, in the end, we ended up still
24 hopefully matching the thermal and chloride data. But, yeah,
25 we started making these observations, and this is the point

1 where we were headed at the time we sort of ended all this
2 field work, is trying to get out there and start making more
3 measurements over some of these more important bedrock types
4 and get some of the permeabilities.

5 HORNBERGER: Okay, we're going to take a break then, and
6 I think we can come back, let's get a head start, and come
7 back in 15 minutes.

8 (Whereupon, a brief recess was taken.)

9 HORNBERGER: I'd like to reconvene. We have just a very
10 small change in the agenda, and that is that Bill Alley, who
11 is going to go next, basically comments of an introductory
12 nature, so rather than have discussion immediately following
13 Bill's presentation, we're going to go directly to Dave
14 Pollock's presentation, and we'll hold the questions until
15 after that.

16 So, Bill, will you introduce us?

17 ALLEY: Thanks, George.

18 I'm going to begin with a few statements about USGS
19 support to DOE, and our own model documentation activities to
20 resolve some of the issues surrounding the INFIL model. Dave
21 Pollock, who is in my office, has been the technical lead on
22 this, so he's going to really provide the more detailed look.

23 But, let me begin. I want to reiterate a few
24 comments that I made at the NWTRB meeting in May of 2006, I
25 think, back in wonderful Virginia. And, first of all, I

1 lived in Virginia for 23 years, so it's really quite a nice
2 place.

3 First of all, I want to say that, you know,
4 reiterate that the discovery of the e-mails written by USGS
5 scientists suggesting circumvention or misrepresentation of
6 QA has been a traumatic experience for the USGS, and a very
7 tumultuous time for us, and we've taken the matter seriously.
8 And, we continue, and I described this at the May meeting, to
9 make sure that we learn from the episode, and that we make
10 sure that the technical products produced by USGS meet all
11 the quality assurance requirements for nuclear regulatory
12 needs.

13 The other thing I want to say is that being--the
14 Yucca Mountain project branch within the USGS reports up
15 through Ken Skipper, the branch chief, who is here today, to
16 me. And, so, I have a very good knowledge of all the people
17 that work on the project, and one of the unfortunate aspects
18 of the whole affair is it's really cast appall across the
19 whole branch for a while. I think we're getting past that.

20 I can tell you that there is always a natural
21 tension between scientists and QA requirements. That will
22 never go away. It exists. To say that it does not exist
23 would be to tell a falsehood, really. But, I find that at
24 the end of the day, and I always find this in discussions,
25 actually, the individual discussions with people on the

1 project, they say, well, at the end of the day, I will
2 follow, as long as you made the QA requirements to me well
3 known, I will comply with them, and that attitude is the
4 primary attitude across the project today, and I think Gene
5 Runkle will verify that later on with the extent of condition
6 reviews that DOE has done.

7 The other thing is I always want to make sure that
8 we characterize this very carefully, because it has been
9 mischaracterized and continues to be, actually, I notice in
10 certain venues that there was never any--data falsification
11 was loosely thrown around, and there's never been any
12 evidence, and certainly we've looked under every rock on this
13 particular project, and there's been no evidence found of any
14 kind of data falsification. But, there clearly was an
15 attitude about QA that was clearly portrayed in the e-mails
16 that everybody has seen.

17 So, with that as a preliminary remark, let me say
18 our support of DOE to try to resolve these issues has
19 consisted really of two elements. One is that we have, where
20 there's been difficulties in either trying to reproduce
21 things and have gone back and tried to essentially check
22 everything to make sure that everything can be traced and
23 verified, and so forth, and questions have arisen, there have
24 been a number of questions that have arisen over the course
25 of that, and so we have worked, either Dave Pollock has been

1 assigned to a couple of those items, and then within our
2 project branch, we have tried to resolve, and I think we have
3 managed to resolve most, if not all, of those issues at this
4 point. I'm not aware of any outstanding ones.

5 The other element that we've taken forth, as many
6 of you know who are familiar with our modeling activities,
7 know that we have a, to us, model documentation means a
8 certain thing, and we think of it in terms of the kind of
9 documentation that we do for our MODFLOW model series, CWAT,
10 SUTRA, the models that probably a lot of you see, development
11 of models and adopted in their documentation is the major
12 part of our groundwater activities actually.

13 And, so, when we actually looked at this project
14 very early on, aside from the quality assurance issues, there
15 was another issue that we felt here was a model that was
16 being used not only in the Yucca Mountain project, but also
17 is being used in several locations, primarily in California,
18 I believe, and that really it should be documented in the way
19 we think of documentation, and our sense of documentation is
20 more a scientific document, and one that provides in a
21 concise manner, but thorough manner, a user's manual for the
22 model, so that somebody else can pick it up and use it. And,
23 that did not really, did not exist. And, so, that's been a
24 lot of our focus.

25 And, of course, part of that effort involves, in

1 this case, cleaning up the code, testing the code, and so
2 forth so that we make sure we can stand behind it, that will
3 equate, what the model says it does, is actually in fact what
4 it does. And, so, that's going to be the primary focus of
5 what Dave is going to talk about this morning.

6 POLLOCK: Thank you, Bill.

7 I think Bill gave a very good introduction into
8 what I am going to talk about today, which is the involvement
9 that Paul Barlow and I have had. Paul and I are both on the
10 staff, Bill's staff in the Office of Groundwater, although
11 I'm in Restin (phonetic), and Paul is headquartered in
12 Massachusetts, although he spent much of last year in the
13 office next to me in Restin. So, has recently gone back
14 there.

15 Our involvement in this began a little over a year
16 ago, but has really developed seriously in the last several
17 months. And, it's been much more a mechanical involvement
18 with the documentation of the INFIL model and the production
19 of a package, as Bill said, sort of meets the standards that
20 we think of in terms of model documentation in the USGS.
21 And, one way to look at that is to think in terms of where
22 you get software from the USGS, like MODFLOW. We basically
23 distribute everything we have on a software web page, and
24 what we would like to do with the INFIL model is basically
25 put together a package that can be distributed on the web in

1 the same way we would distribute MODFLOW or CWAT or SUTRA.
2 And, that package would have not only the code, which
3 hopefully would be cleaned up and tested, would also have a
4 complete set of documentation, which would also be not only
5 documentation of the theory and methods, but also a user's
6 guide, and we tend to wrap those things up into a single
7 package, if we can.

8 And, then, thirdly, it would have a complete set of
9 sample problems that, in some cases, double as test problems.
10 And, our objective is really, you can think of it is we like
11 to have our models packaged so that when people obtain them,
12 they essentially get a starter kit. You know, they get the
13 model, they get something that is out there in a specific
14 format, and they get enough information to jump-start them
15 and get it going.

16 And, so, if you look at the tasks on the next
17 slide, our objective is basically what I just stated, to
18 produce that sort of package, and it really involves the four
19 tasks that are listed there. The first one, restructuring of
20 the FORTRAN code, is one just essentially code clean-up, is
21 what's intended there.

22 Checking the computational algorithms, writing a
23 model documentation and user's guide, and developing a set of
24 sample problems. And, the names I've listed there, Paul's
25 name and my name, sort of indicate where we've split the lead

1 emphasis on those tasks, although each one of us is involved
2 to some degree or another in each of those four tasks. So,
3 what I'd like to do in the next few minutes is just talk
4 about where we are in terms of these tasks, and there will
5 really be, I'll lump the algorithm checking with the model,
6 preparing and developing the model documentation. So, I'm
7 really going to talk about three things. So, if we could go
8 on to the next slide?

9 Restructuring of the FORTRAN code. I guess before
10 I start there, what I need to do is say a little bit about
11 where we're starting. I think Alan's talk was a very good
12 introduction to what the history has been with INFIL, going
13 back to 1995. So, if you go back to the earliest roots of
14 INFIL, we're really talking about a model that's gone through
15 several generations of development over a twelve year period.
16 So, if you look at the various versions of INFIL, its core
17 has remained relatively constant, with minor changes. But, a
18 lot of the aspects of the INFIL model have sort of evolved
19 over the years in these many versions. There have been a lot
20 of additions, changes around this core.

21 And, if you look at the version of INFIL that's
22 being used now in our projects in California, it's not INFIL
23 1, it's not INFIL 2, it's what Joe Hevesi has labeled INFIL
24 3, and actually, one of our tasks was, when we started this,
25 was we were looking at this sort of product, and we had to

1 sort of say okay, where are we going to start. Which version
2 are we going to pick to sort of freeze that version, clean it
3 up, and put it out there, recognizing that it's still
4 continuing to change.

5 And, what we selected, with Joe's help after
6 talking to him about what was involved in the different
7 versions, we settled on a version of INFIL 3 that's labeled
8 Version 5p, which won't mean anything to you, but it's a
9 specific version of INFIL that dates from about November of
10 2005, and it's actually--the INFIL version that some people
11 are using has actually changed since then. But, this version
12 has enough of the sort of modern features in it that we all
13 sort of agree that if we were going to pick one thing to
14 document and work on, that the result of producing a package
15 for this version would be a model that people would consider
16 to use. It had enough things in it that it wasn't going to
17 be considered outdated. So, we're starting with INFIL
18 Version P.

19 The other thing I want to say, which isn't listed
20 on this slide, is to emphasize that in order to make our work
21 manageable, we're really focusing on the FORTRAN code that is
22 INFIL. But, when you look at the work that's involved doing
23 a "INFIL" simulation, for instance, at Yucca Mountain, or
24 elsewhere, you realize that INFIL is really a package of
25 many, many pre-processing codes, the INFIL model, some post-

1 processing codes, maybe eight or nine codes between them, of
2 which the INFIL model is one that all go into producing an
3 INFIL simulation. And, those pre-processing codes have
4 undergone significant changes over the years. They've moved
5 from primarily FORTRAN codes to pre-process the DEM and other
6 types of data, to much more GIS based codes, like ARCHYDRA
7 now that are being used. So, the pre-processing has changed
8 a lot.

9 What we decided to focus on was to do like we do
10 with most of our other models, for instance, MODFLOW. We
11 were going to focus on INFIL and simply document the INFIL
12 model, very clearly document the input and output
13 requirements, and say, you know, you need to have this data.
14 How you get it is up to you. That way, we sort of detach
15 ourselves from pre-processing steps, which tend to change
16 much more rapidly than the core codes themselves.

17 So, with that said, the focus that we have made on
18 the INFIL model in terms of cleaning it up is largely
19 revolved around improving the modularity of the code with
20 respect to its data input. The core routine, and sub-
21 routines that actually do the computations, the hydrologic
22 computations, the potential evaporation routine, the
23 cascading bucket routines, the stream flow, re-routing and
24 run-on routines, those were already fairly modular, and we
25 have tried not to touch those, except to, you know, clean up

1 things that didn't need to be there anymore. We don't want
2 to change INFIL. We don't want it to become our model. We
3 simply want it to be cleaned up to the point where it's
4 easier to follow.

5 So, most of our "restructuring" has been involved
6 on the read and prepare the input data side of the INFIL,
7 where if you look at the INFIL 2 or 3 that exists now out
8 there, you have essentially one big main program that might,
9 I think it's almost 2,000 lines, and probably 1,200 or 1,300
10 of those lines are continuous sequence of input data
11 preparation before you ever get to the calculation. There's
12 no modularity to it at all. It's just sort of one right
13 after the other, and it's all sort of in one big main
14 program, very difficult to follow.

15 So, what we've put a lot of emphasis on earlier
16 initially in our work was to take that, using the MODFLOW
17 model to try to recognize modular components of the input
18 data, input and preparation, that we could break it up into,
19 and take chunks as intact as possible, but to move them into
20 sub-routines so that when we had the main program, could be
21 cast in a way that was much more readable and much more
22 modular.

23 The other thing we did that was significant in
24 terms of code restructuring has been to take a lot of the
25 data that was passed between these computational routines, in

1 some cases, as many as 150, 160 arguments in a sub-routine,
2 it was almost impossible for me, when I first looked at it,
3 to sort it out. We moved that to a FORTRAN, essentially a
4 common FORTRAN module for those common data, and then reused
5 that data. So, that was a more mechanical thing that didn't
6 affect the computational elements of the code, but it made it
7 much easier to look at, and it also made it much--is going to
8 make it much easier to manage in the future, because, for
9 instance, if you need to re-dimension things now, to go from
10 size to another, now you do it in one place instead of a half
11 a dozen or more places. So, very mechanical but very
12 necessary.

13 We did a lot of work removing dead-end code and
14 unused variables. I would categorize INFIL as a very, very
15 classic research code, not a production code like MODFLOW.
16 It's a code that was written by a professional hydrologist to
17 answer a problem that they had, not written by professional
18 software engineers. You know how this goes. If you develop
19 codes of your own, you do what you need to do to get the job
20 done. And, so, if you look at a code like that that's
21 evolved over ten or twelve years, after twelve years, what
22 you have are things that are, it's like all your clothes have
23 not been picked up, things are nice, but you've got a lot of
24 things that used to be necessary, they're not necessary
25 anymore, but instead of cleanly taking them out, you loop

1 around them and you do different things.

2 And, so, there were a lot of these things in there
3 that we had to struggle with, and it didn't affect the
4 computational aspect of the code, but it affected--and, it
5 would affect anyone who wanted to go into the code and try to
6 figure it out, because when you first go in, you don't know
7 what things are doing, and you have to fight your way through
8 it. So, one of the things we've done, and by cleaning a lot
9 of that up, removing the dead-end code, it's amazing how much
10 easier it is to look at and follow what's going on.

11 In addition, and I'll skip down to the last one
12 there, we simplified and standardized a lot of the input and
13 output file formats. What we found when we went in and
14 looked at INFIL was that there were a half a dozen file
15 formats to read in a certain type of data, you know, and
16 that's fine, but then we discovered well, there's only one of
17 them that's really used now. And, then, it turns out, as you
18 might expect, well, we added this one, you know, five years
19 ago because in one application, we got data from this source,
20 and it had these extra columns in it, for whatever reason.
21 To us, that's a job for a pre-processing step. If somebody
22 has data in another form, we want to tell them this is the
23 way we want to see it. You do whatever work you have to do
24 to put it in that format.

25 So, we carefully went through and have highly

1 simplified the file input and output structure, and that's
2 also helped.

3 So, that's something that I basically have been
4 taking the lead in, with Paul helping out on that, and we're
5 pretty much where we want to be on that.

6 The second major task is the model documentation
7 and algorithm checking. I've combined those because we made
8 a decision early on when we first got involved in this that
9 neither Paul or I were experienced with INFIL, or really even
10 with a lot of the unsaturated zone aspects that it was built
11 on, and it was clear very early that we couldn't just jump
12 into the testing right away. We needed to basically educate
13 ourselves on what was going on with this model before we
14 could develop a level of competency to really start testing
15 it.

16 And, so, we decided to do that by working through
17 each of these sub-models, as Alan described, working and used
18 the documentation development, right the documentation as a
19 product of our essentially study. And, what we--and, we've
20 essentially completed that for the theory section. We've
21 produced it's about a 40 page document, and it pulls together
22 a lot of the theory that's published elsewhere on INFIL, but
23 there were a lot of holes in that theory from the point of
24 view of a user's guide to INFIL. It would be hard to go from
25 any of the things we saw published to someone sitting down

1 trying to figure out how to prepare the control file for the
2 INFIL model. A lot of the connections were not made.

3 So, what we've tried to do is to fill in those
4 holes, and bring the theory into the model documentation, but
5 very tightly tie it to the variables in the code, saying this
6 is what's being done here. These variables in the control
7 file relate to these aspects of the ET theory, or the
8 precipitation sub-model, or whatever.

9 And, so, we've finished that. We sent a copy of
10 that to Joe Hevesi last week, essentially asking him did we
11 get this right. We had some questions highlighted. We're
12 not sure we completely understand what's going on here. Let
13 us know. So, he's working through that. I think we're about
14 75 percent done overall. We still have a lot of work to do
15 on the sort of mechanical input and output sides of the
16 program. We're continuing that.

17 So, the final thing to mention is our testing, and
18 I alluded to the fact that we're really just now starting the
19 testing. You might sort of expect that if we're working on
20 this for almost a year, or whatever, why we're not further
21 into the testing, and the answer is what I just indicated
22 before. We really felt like we needed to go through our
23 education process before we knew how to do a good job with
24 the testing. And, so, we're at that point now, so we're just
25 now starting in the early stages of testing. Our testing is

1 going to involve a couple different types of tests for the--
2 you might be familiar with how the testing was done for INFIL
3 2. There were approximately somewhere between 30 and 40 test
4 runs that were done during the evaluation of the INFIL 2
5 code, and those were pretty idealized, very, very highly
6 simplistic tests to just test the sub-elements of the code.

7 Some of those I think are worth repeating. I've
8 looked at the 40. I'm not convinced that the best use of our
9 time would be to reproduce the exact 40 runs that were done
10 for INFIL 2. I think there's probably 10 to 15 that we'll
11 pick out, maybe modify somewhat to test the basic elements.
12 But, most of our testing, we would like to center on a
13 realistic model, or at least a test problem that we're
14 developing from a real model in the Big Bear Lake watershed
15 study that's going on now, or finishing up now, in the
16 California Water Science Center.

17 We're taking one basin from that, which has two
18 feeder basins, and it's a very nice sized problem that we can
19 manage as a sample problem, but it's also got a lot of--it is
20 a real problem, so it's got a lot of nice variability in it.
21 So, it will work well for a sample problem, but it will also
22 work well as a test bed for us. What we plan to do, if you
23 look at the INFIL model, it can be very daunting when you
24 look at the sort of maps that Alan was showing that are
25 produced where you've got 100,000 or more grid cells that are

1 producing, all doing these calculations, it generates a huge
2 amount of output. How you sort through all of that, because
3 it's--each of these sub-models is extremely complex, and how
4 do you sort all of that out?

5 Well, one of the advantages of the INFIL model over
6 something like MODFLOW or sort of the classic flow model or
7 transport model is that even though it's spatially large in
8 extent and complex, there's really, each aerial cell is
9 pretty much independent in INFIL. The only thing that really
10 links them is the flow routing, which can be turned on or off
11 for testing. If you take the flow routing out, basically
12 you've got 100,000 aerial calculations that are all sort of
13 going on independently. So, what that allows you to do is
14 pick out sort of selected cells to spy on, and if you just
15 look at those cells, you can really begin to look at those
16 and break them down into detail, look at what's going on with
17 the ET, with all of the sub-model calculations, look at them
18 in detail for that cell at a level you'd never be able to do
19 if you had to somehow consider the aggregate.

20 And, by doing a model like the Big Bear, where
21 we've got a huge range of--if we go to different parts of the
22 Big Bear watershed, we can get very different environments in
23 terms of snow fall, precipitation, different aspects. So, we
24 can pick different parts of the Big Bear model that will
25 exercise different parts of the INFIL model. So, that's the

1 strategy we're going to use for our testing, and we're still
2 in the design of that.

3 Neither Paul nor I want to spend the rest of our
4 careers on the INFIL model, so we're all, each of us is
5 looking forward to finishing this up, and we're beginning to
6 see the daylight at the end of the tunnel. We expect to have
7 the report, model documentation report, internal USGS review,
8 probably within a month, say by the middle of April. The
9 testing will go on simultaneously with that review, and, of
10 course, you never can predict what you're going to run into
11 with the testing. So, we are committed to basically taking
12 as long as it takes to put out something that we're
13 comfortable with. And, if everything goes right with the
14 testing, we're hoping that a couple months will be enough
15 time to do that. But, it could be longer. We just won't
16 know. We're just really going to start hitting that hard in
17 the next week or two.

18 So, that's I think a summary of where we are and
19 what my involvement and what Paul's involvement is. And, so,
20 we'd be happy to take any questions.

21 GARRICK: Dave, you mentioned something to the effect
22 that this was a research code, or a code for research as
23 opposed to a production code. Could you comment on what that
24 means in terms of using it on the project in question?

25 POLLOCK: I didn't have that written down here, but I

1 did use that word. That's the way I think of it, and I can
2 tell you what my definition of that would be. And, that is,
3 and probably the best way is to use an example of something
4 that's a production code, the classic production code for the
5 USGS, which is MODFLOW. And, we would define production code
6 as being one that is cleaned up and processed and documented
7 in such a way that a new user could come in and pick that up
8 and learn enough about how it works and how to use it to
9 start applying it in their own work.

10 Whereas, to me, a research code is one that is
11 produced by a few individuals basically for their own self-
12 use, and, so, they don't tend to be documented as well for
13 input, instructions, and the other, because they're really
14 not looking ahead to other people using it. They are the
15 ones that use it. They know the ins and outs, and, so, it's
16 the quality of the code is the same, except maybe you don't
17 clean your house as well by deleting unused stuff. You
18 comment it out instead of taking it out, and you don't
19 document in a written documentation the input instructions,
20 for example, as clearly as you would in production code.
21 That's what I meant.

22 To me, production versus research code doesn't have
23 any connotations in terms of the quality of the computations
24 that are being done. It's more a presentation issue.

25 GARRICK: Just a follow up question on that. In terms

1 of what you're doing to make INTEL 3, or 4, or whatever it
2 is--

3 POLLOCK: INFIL. We're not modifying INTEL.

4 GARRICK: Yes, INFIL. Have any of the activities that
5 have been going on with respect to the Yucca Mountain
6 analysis influenced what you're doing? And, if so, how?

7 POLLOCK: Not really.

8 GARRICK: Are the reviews that have come about
9 subsequently, such as the work on MASSIF and the reviews that
10 have been made at Idaho, et cetera, in the past?

11 POLLOCK: Well, I haven't focused personally on those
12 too much yet, although we need to start doing that. For one
13 thing, we're working with a different version of INFIL. It's
14 basically the same in the core routines, but there's some
15 significant differences. So, it's a little bit hard for me
16 to compare INFIL 2 and INFIL 3. I'm not as familiar with
17 INFIL 2. That was the code that was used at Yucca Mountain.
18 We've gone straight to INFIL 3 because we're looking ahead to
19 documenting something that was currently in use, and probably
20 would be more the thing that would be likely to be used in
21 the future.

22 So, I think your question is good, and I do intend
23 to try to fold those things into our work. And, in one
24 sense, the timing is good for us because we're just now
25 starting our testing. Other people have looked at it

1 already. So, we can take things that are in findings from
2 those reports, and use those to help us focus the things that
3 we look at in our testing and make sure we don't miss
4 something.

5 HORNBERGER: So, you described how you view a documented
6 production code. Do you have any insights to offer on what
7 the differences would be in terms of qualifying on code under
8 a regulatory, NRC regulatory issues, such as DOE has?

9 POLLOCK: No. I guess I've never had to operate in that
10 environment, and I'm not really familiar with all of the
11 requirements there, so I think I could only offer a really
12 uneducated opinion on that. So, I guess I really don't know.
13 I just haven't had any experience.

14 ALLEY: One of our intents here was to find a couple of
15 divisions who knew nothing about Yucca Mountain to take a
16 look at the code, so they were completely independent,
17 actually, of all those activities.

18 POLLOCK: I don't know if that's a compliment or not.

19 ALLEY: But, had knowledge about model documentation and
20 the general types of things that it was simulating. So, Dave
21 and Paul were starting off fairly--very cold, actually, here.
22 But, the trade-off was do we have somebody that's not cold
23 that's actually attached to the project, or do we go with an
24 independent view. And, so, our purpose was to go through an
25 independent review and to approach it that way. And,

1 actually, there was a benefit to that because they had to go
2 line by line and figure out exactly what was going on, and
3 try to translate that back to the documentation. So, Dave
4 would have no idea of what's involved in the QA.

5 HORNBERGER: I actually anticipated that, but earlier,
6 he said he was going to defer all the tough questions to you.
7 I assumed that it would be you answering it, though.

8 I guess what I'm trying to understand a little bit
9 is Dave described it as a research code, I mean, some people
10 say a spaghetti code because we all know how that goes, how
11 does one qualify code in that situation? So, up through
12 1999, the QA procedures were being followed. How does that
13 go for a code like that?

14 ALLEY: Of course, I've never done this, so I'm not too
15 familiar, but my understanding is is that essentially along
16 each step of the way, you must approach this in a step-wise
17 manner, and each step, you describe very carefully what it is
18 you did, and you describe the problems you're using to test,
19 and then--that's my understanding of the process. The QA
20 process is actually largely very much a traceability process,
21 so that you can understand exactly how this code is
22 developed. That process does not take place typically in the
23 kind of code documentation that we have.

24 The process there is more you might go along, you
25 might develop a code for a fair bid, or you might do a lot of

1 activities, and then at the end, you basically rely on
2 testing against sample problems. Ideally, there's an
3 analytical solution. Unfortunately, in this case, it's not
4 so easy to compare these kinds of models to an analytical
5 solution. So, there's actually a different approach to the
6 problem.

7 Now, as I understand it, this model is not going to
8 be used in a regulatory environment. But, obviously, if it
9 was to be used as part of the Yucca Mountain project, it
10 would have to go through that other type of process, in
11 addition to whatever we were doing here.

12 POLLOCK: And, I think one of the advantages that would
13 occur in that case of what we're going to produce, is at
14 least when our package is provided and our software archived,
15 at least you'd have a starting point that you could at least
16 be sure what your starting point was if you had to do that.

17 FLINT: Both INFIL 1 and INFIL 2 have been fully
18 documented through the DOE QA system. I did INFIL 1 myself,
19 and it goes through a lot like what Bill said, is we document
20 how we did the code, we document test cases, we do it against
21 known solutions, and we can do analytical solutions, but both
22 INFIL 1 and INFIL 2 were fully QA'd under the DOE system.
23 And, it seems a very similar process, but more, as Bill said,
24 it's traceability. But, both of those were done, and the two
25 documents I have on that last slight are the codes

1 themselves, but there are QA manuals that have test cases,
2 how to set the infiltration model up, how to set your input
3 deck up, what the output files are like, and those are QA
4 documents.

5 HORNBERGER: You're just confirming in my mind then one
6 is more traceability and one Dave has described to us is more
7 transparency, so that people can actually read the code.

8 FLINT: Right. His is I think more with the actual in-
9 working of the code, the technical parts of the code, how it
10 works, what's in there, where the equations come from,
11 whereas, our DOE side was the traceability, where do your
12 input files come from, where did that data come from, how
13 does the code calculate it, does it do it correctly. There's
14 a list of things that you answer from a QA world.

15 POLLOCK: Yes, we did spend a lot of time in preparing
16 the report that we're talking about here in trying to talk to
17 not only Alan, but Joe, about what are the original sources
18 for some of these things. We tried to pull as much of that
19 in as we could to fill some of these holes. There weren't
20 holes in their work, but it's just by virtue of doing the
21 documentation, we felt that previous writings, almost
22 everything was said somewhere, but not always in one place.
23 So, that's one of the things we tried to do, was to write the
24 report that we would want to read if we were coming at it
25 cold, and had to, you know, start using this model in the

1 next week.

2 HORNBERGER: One other question that I have for you,
3 since you've been dealing with these technical issues for
4 months and months. Scott told us at the beginning here how
5 tough it is for arid regions, and how Nevada really is
6 different from Virginia. I hadn't noticed before. But, I'm
7 curious, is it your view that INFIL is just generally
8 appropriate, whether it be for Bear Lake, or the Mojave
9 Desert, or Virginia, or do you think that it has some special
10 appeal, in particular, for arid zone hydrology?

11 POLLOCK: You know, I would love to answer that
12 question, but I just--it's so far from my hydrologic area of
13 expertise that I'm not sure. My sense has always been that
14 it's fairly widely applicable, but probably leaning more
15 towards the regions, the drier regions, where it's been
16 applied sort of, but, you know, I don't know. If I sound
17 like I'm sort of dancing around, it's because I really don't
18 feel very qualified to offer an opinion up here.

19 FLINT: I could address that question. The original
20 INFIL 1 was written because none of the arid land--or the
21 humid land codes worked, because there was no runoff to
22 calibrate to. So, INFIL 1 was written for that. As we got a
23 couple of good El Nino years, and we saw the runoff becoming
24 a more significant portion, then INFIL 2, which added the
25 stream routing, became a more universal code. And, it was

1 more applicable outside of the arid southwest. But, it was
2 mostly for arid, and semi-arid areas. INFIL 3, and where the
3 code is today, where we're looking at Big Bear Lake, which is
4 up in the San Bernardino Mountains, is really that more
5 general code that works with snow and rainfall and runoff and
6 continuous stream flow, and things like that, still a little
7 more toward the semi-arid dry sub-humid types, not as much
8 the humid. It wouldn't work as well in Virginia, but it
9 would still work. But, it's more designed for the Western
10 United States kind of climatology. But, it's developed and
11 it's progressed through time toward the wetter climates.

12 HORNBERGER: Dave?

13 DIODATO: Diodato, Staff.

14 Thanks for the talks. I just wanted to be clear
15 about the difference between INFIL 2 and INFIL 3. You said
16 that there were significant differences in the core routines.
17 Can you name one significant difference?

18 POLLOCK: Actually, I don't think I said there were
19 significant differences in the core routine. I think a lot
20 of the core routines are the same, and Alan, you might want
21 to help me on this, but I actually asked Joe that question
22 directly because we were working with INFIL 3, so I wanted to
23 know, you know, I haven't worked as much with INFIL 2, what
24 are the differences? As I understand it, probably the major
25 difference going to INFIL 3 was the ability to--essentially

1 changes that helped it work better at larger regional scales,
2 with the interpolation of the climate data, and that sort of
3 thing. But, I think there also have been changes to the
4 using a continuous drainage curve now, as opposed to the
5 type of mechanism that you described.

6 Do you want to say something about that?

7 FLINT: No, that's pretty much it. I think the core
8 processes are pretty much the same.

9 POLLOCK: Yes, if I said that they weren't, I misspoke.
10 The core processes are basically the same, except for these
11 two things that I just mentioned. But, those are
12 significant.

13 FLINT: I mean, there were some simple little things in
14 how the drainage function might change a little bit. But,
15 it's still reproducing the old system.

16 POLLOCK: And, it still uses a cascading bucket
17 approach, and that sort of thing.

18 DIODATO: Great, thanks. In your examination of the
19 code, have you identified any errors that significantly
20 change the output, or would affect materially the result of
21 the calculation?

22 POLLOCK: We found a few minor things, but the things
23 that we found really haven't, to the extent that we've gone
24 back and actually, when we fixed them, sort of checked, they
25 don't seem to have been things that have really had major

1 effects, which is probably why they were still in there. You
2 know, the way things work is if you have a model and it's ten
3 years old, and you find a problem in the eleventh year, it's
4 usually because it's there in a way that hasn't affected
5 anything significantly that's been done so far. And, that's
6 where we are.

7 But, having said that, I've done this sort of thing
8 too many times to say that there isn't anything in there, I
9 mean, and I think this next phase of checking, the testing
10 that we're going to do, we're prepared to run into--find some
11 things, you know, if we test something that hasn't been
12 exercised before, we may find something, and we'll deal with
13 it. But, so far, we've been fairly pleased that when we
14 finally got to the point where we could work through all of
15 the, you know, sources and explanations of the algorithms,
16 and work through the code, we were basically able to follow
17 it, especially after we cleaned up some of the things.

18 DIODATO: Thanks. I guess the one follow on question
19 would be for Bill Alley, and that's the question of the
20 person years of effort that have gone into this response on
21 the code side, would you have an estimate on this effort that
22 Dave Pollock is describing?

23 ALLEY: They're all doing other things, too.

24 DIODATO: So, total effort is maybe one person year?

25 ALLEY: That would be a fair estimate.

1 DIODATO: All right, thanks.

2 ALLEY: The other thing I would say, to answer your
3 previous question, and correct me if I'm wrong here, Dave,
4 but I guess--and I think Dave said this, one would never
5 describe this as a pretty code. But, it's also, when I look
6 at the time frame in which it was developed, you know, you
7 would not get a pretty code, when I look at that time frame,
8 you would not have--and I think that's really part of what's
9 meant here. So, you're not prettying it up to make it so
10 that anybody who's done computer programming knows what it
11 takes to go, if you get something to work, and for your
12 specific problem, but I think to make it so that it's
13 actually very clean.

14 POLLOCK: I might add, and I think I tried to say this
15 before, but I might not have been that clear, and I probably
16 shouldn't have used that term "code restructuring." I tried
17 to emphasize, when I talked about it, that was mostly on the
18 input side. We tried, as much as possible, not to tinker
19 with the core routines, except to clean up stuff in there
20 that we clearly recognize that we could clean up. We didn't
21 want to start messing with them too much. We didn't want to
22 turn INFIL into something else. And, so, what we're going to
23 end up with, people will look at and say, well, that still
24 looks sort of, you know, why did you do that. Well, it's
25 because our project is going to be sort of an intermediate

1 compromise that's cleaned up, but still recognizable by the
2 original developers.

3 MURPHY: Given that there's been such a long history and
4 an immense amount of work on Yucca Mountain using the
5 predecessors, have you considered, or have you excluded using
6 Yucca Mountain as a test case?

7 POLLOCK: As a test case, I think we have, primarily
8 because the Big Bear test case that was already developed in
9 INFIL 3, so we had a nice complex data set that we could sort
10 get jump started with there, as opposed to going back and
11 redoing Yucca Mountain. But, we have talked about at the
12 very end of this when we're done, when we've essentially
13 produced our thing, we do feel like we have an obligation to
14 go back to Yucca Mountain for at least the test base, and
15 sort of do a proof of concept that if you wanted to apply
16 this modified or this new documented version of INFIL to
17 Yucca Mountain, here is how the data sets would have to be
18 changed in order to put them in our format.

19 So, that's what we've talked about, but not using
20 it as a test problem. I mean, we made a conscious effort in
21 our part of it here to really sort of be Yucca Mountain
22 neutral, you know. I mean, we didn't want to have our test
23 problems focused on Yucca Mountain, because really our work
24 on INFIL, this part of it, really didn't involve Yucca
25 Mountain. So, we wanted our test problems to be separate,

1 too. But, we have talked about going back at the very end
2 and making that--closing that loop.

3 HORNBERGER: One last thing, and it's probably not a
4 very interesting question. But, you described INFIL
5 inappropriately as being a, by and large, a whole host of
6 independent individual vertical columns, if you like, which
7 would be a natural candidate for parallel processing.

8 POLLOCK: Right.

9 HORNBERGER: And, computers are probably so fast you
10 don't care about that.

11 POLLOCK: Well, actually, when Dave visited us a few
12 months ago, he brought up the same point. It is glaringly
13 obvious that it would be a good candidate. But, the answer I
14 gave him, and I'll give you, is that that would require a
15 structural change to the code that would sort of break out
16 rule of--I mean, it needs to be done, maybe, in a future
17 version, but it was beyond the scope of what we wanted to
18 address.

19 FLINT: I do want to address that, because INFIL 1 has
20 been parallelized now because of that very problem. And,
21 it's running actually right now on my home computer.

22 POLLOCK: And, INFIL 1 is probably more suited to that
23 because it's totally--

24 FLINT: Yes, you are absolutely right, and I think in
25 INFIL 4, we're probably going to move to parallelization of

1 it, because we have built a small Bay Wolf (phonetic) cluster
2 in our building that we're using to speed it up, because when
3 you get larger and larger areas, it takes a long time, and
4 this has really enhanced the capability of the code.

5 POLLOCK: Thanks, I didn't know that you had that in the
6 works.

7 FLINT: I just gave a talk on it yesterday. That's how
8 I remember.

9 POLLOCK: Well, I wasn't there.

10 HORNBERGER: We have one quick question?

11 RUNKLE: Gene Runkle with the Department of Energy. I'd
12 just like to clarify and make clear the relationship of INFIL
13 3 to the rest of the processes that we're talking about here.
14 About a year ago, in discussions with Bill Alley and Ken
15 Skipper, we talked about the fact that we could not reproduce
16 all the climate maps, the nine infiltration climate maps that
17 had been done as part of the initial work. And, we were
18 having trouble particularly with one map, and, so, we asked
19 Bill about bringing this back to USGS and seeing if they
20 could help us and to clarify that particular event.

21 Bill took the initiative and this work that is
22 currently described here has been at the cost of USGS, not
23 funded by the Department of Energy. They went back and
24 indicated that they would go back and look at the whole
25 infiltration model, look at the documentation associated with

1 it, and bring it up to full traceability and transparency
2 that you've heard described here today. And, so, that work
3 is being done by the USGS. The way we see this from the
4 Department's perspective is it would come back, sit on our
5 shelf the same as it would sit on their shelf, and be
6 available to the public for whatever purposes. There is no
7 relationship to this work and the license application.

8 HORNBERGER: Dave?

9 DIODATO: Gene, I appreciate your remarks. I just
10 wanted to follow up. This is not a question for you, but
11 just to emphasize Bill Alley's earlier. You're looking at
12 the code here, but the question of the data, the underlying
13 input data that go into the processing of the code, has there
14 ever been any reason to think that there would be anything
15 wrong with the data that have been collected thus far in
16 terms of the USGS standing behind it or not standing behind
17 it?

18 ALLEY: Gene will talk about that. I mean, they've
19 undergone extensive validation.

20 DIODATO: All right. So, we'll get to that this
21 afternoon.

22 HORNBERGER: Okay. Thank you very much, Dave. And,
23 thank you, Bill, for your introduction. We have some time
24 for public comment, and in particular, I know Atef Elzeftawy
25 wanted to make a comment because he won't be able to stay

1 this afternoon. Atef?

2 ALZEFTAWI: If John had introduced me here, he would
3 practice my name very. I'm just kidding you. I lived in
4 Virginia, in Sterling, and for three years, and I worked more
5 than 60, 70 hours a day for--I mean, every week, working for
6 the NRC, and everybody wanted to get the job done yesterday
7 to go down to tell the President about whatever Yucca
8 Mountain is. And, so, it was a good time. But, I didn't
9 like the Virginia salute. You know what I'm talking about.
10 So, I came back to Las Vegas.

11 On more formal notes, I'm here, I just want to say
12 a couple things on behalf of the Las Vegas Paiute Tribe, not
13 too many, but I think when Scott was ten years old, and Alan
14 Flint, when they were ten years old, I think, I learned that
15 science and politics do mix. And, then, Yucca Mountain
16 program, or the nuclear waste issue came along. We think, in
17 NRC, we think Hanford because they wanted to have it 3,000
18 feet below the water table, a repository. I said do you have
19 a submarine that can go down there and stay there? The
20 answer is no, 3,000 feet. So, that was a simple question,
21 and the answer was swift, as a model, got rid of that site.

22 And, then, we came to Yucca Mountain, and the
23 question was, like John said, the Chairman, is infiltration,
24 the toss back, and the performance assessment, and so on.
25 Well, whatever you make it as a scientist comes down to one

1 single point, the infiltration and how much water is going
2 through. Everything else is going to depend on that. The
3 DOE, as you said, back then in 1993, they said 1 millimeter.
4 I said 1 millimeter? That makes the result look pretty good.
5 I said you need to get it. And, then, as you see, from 1 to
6 5 to 6 to 10, and so on.

7 The problem of the fracture flow, or the porous
8 fracture flow, Sandia published, I read the report last
9 night, 1983, a report about the fracture flow versus the
10 matrix flow, and they did, and they just added the two
11 equations together, and it looks like you add one plus 100,
12 and you get the average. You can't do that. There's a
13 problem here, and I think that's the problem we have with the
14 modeling.

15 And, to answer John's question, I think the models
16 are fine. I was a modeler by myself, I still can do a lot of
17 modeling. Computers are great. You can do a model of
18 things. I can model George, but I may not be able to model
19 all his DNA, the 30 billion nucleotides. If I go 1
20 nucleotide haywire, he might have a sickle cell anemia, or
21 whatever it is, one.

22 So, what I'm saying here to the Board, not to the
23 public, not to the DOE, you guys are going to have to really
24 be a good support to your leader. I feel for John, because
25 he's going to be sitting there. In five minutes, he's going

1 to try to tell something to the Congress, and they can assure
2 him for every second, they're going to give him maybe ten
3 minutes or fifteen minutes to make a presentation, some nice
4 wording, and he'll think about it, but after that comes the
5 question. The question needs to be addressed to the Congress
6 people to say okay, you are fine, and also to the NRC. Now,
7 the situation of Yucca Mountain, which is needed for the
8 country, it needs to be looked at in terms of a very, very,
9 very important project to the nation. We spent \$12 billion,
10 and what did we get? We're still doing research. Nothing
11 wrong with research, don't take me wrong. But, we need to do
12 some focus.

13 The tribe opinion is where is the focus. Are we
14 focusing on the major issues, one, two, three, four, to
15 resolve that, from the Board members and the Chairman, or are
16 we still into the jelly fish mode of the DOE and the NRC.
17 And, I think John has done a great job since he came to be
18 the Chairman. I remember when he was working for the NRC,
19 and he said at one point, he said the thermal of the process
20 is still out. The jury is still out on the thermal process,
21 is still out today, and that program.

22 Well, the infiltration that I came a couple hundred
23 miles to say, the jury is still out. A lot of good research,
24 a lot of good picture research. But, as I read the details,
25 I want to see something that it gives me a good feeling that

1 this ship is going to cross, even if it hits the iceberg. It
2 wouldn't go down, like the Titanic goes down, the Titanic was
3 our problem. So, all the engineers would agree on things,
4 and we need to stay away from the best design, but we need to
5 test that design. Models are great, but research versus
6 applicability, that's a different story.

7 So, QA/QC, I think the tribe is very concerned
8 about the QA/QC and how the Board is going to resolve that,
9 because that's another perception problem. And, when you get
10 into perception problems, and try to fix what happens,
11 sometimes you have to put a lot of documentation, and you may
12 not even be able to obtain it.

13 Just to leave you with one last comment. Last
14 night, I got this thing--the 1990, and I was reading it, and
15 it said a Nobel prize winner's a collection of the articles,
16 Albert Einstein and some of the physicists, and all that,
17 talked about physics and RNA and oncology and oncogenes, and
18 so on. What impresses me about these people, that they went
19 to the heart of the matter, and they asked the question.
20 What is it you're going to do? I'm proud to say that even
21 though I don't have a whole lot of money, I told Chester
22 Seats (phonetic) when I was back in Illinois, you can't put
23 the Alaska pipeline under the ground, because the perma-frost
24 is going to push it up little by little, and it's going to be
25 up. Put it above the ground. And, you know the rest of the

1 story.

2 The reason was I knew a little bit about soils, I
3 knew a little bit about perma-frost, I knew a little bit
4 about modeling. But, that idea made the rest of the story.
5 So, I think, I'm not giving myself credit, but the Board
6 members, you guys need to dig and you need to dig deep and
7 help this guy. He needs all the help he can get.

8 So, thank you for your time, and have a good trip
9 home. I'm glad you're not a president.

10 GARRICK: You're right about needing all the help I can
11 get.

12 HORNBERGER: Okay, well, we are going to break for lunch
13 now, and we will reconvene promptly at 12:30.

14 (Whereupon, the lunch recess was taken.)

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AFTERNOON SESSION

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HORNBERGER: Okay, we're going to come to order. We're back in session.

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So, I already went over this morning the order of presentation, so, we're just going to continue. Our first speaker this afternoon is Gene Runkle to tell us about the DOE responses.

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RUNKLE: Thank you. What I'm going to be describing is what Dr. Garrick indicated in remarks to Congress, that there was extensive investigation, evaluation, re-evaluation, that would be ongoing in response to this. And, I hope to be able to clarify some of the processes there, and some of the outcomes.

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The e-mails that we are referring to were reported to the Department in March of 2005. Those e-mails suggested some of the technical outputs, software, information related to the infiltration analysis and models did not meet all of the quality assurance requirements that were in place at the time.

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It's important to know that these e-mails were found by the M&O contractor in November of 2004 during a review of the legacy e-mails from people that were no longer associated with our program for potential inclusion of those that were relevant into the licensing support network that is required with our license application to the NRC.

1 So, it has been two years since those e-mails were
2 reported by the Department in March of 2005. So, the effort
3 that I'm going to talk about is over that time period.

4 Since that time, there have been investigations by
5 both the DOE IG, as well as the Department of Interior's IG,
6 and the Department of Justice was involved in this review and
7 investigation process.

8 They concluded in April 24th of 2006 that there
9 were some problems, but no charges were filed.

10 We also looked at the technical aspects of the
11 infiltration modeling results that had been reported by USGS
12 prior in the 2000 and 2004 time frame. These had been used
13 as part of the 2001 site recommendation, and it was important
14 that we look at those as far as corroborating data, and
15 looking at the relativity and relative aspects of those
16 infiltration results to other data from the Southwestern
17 United States.

18 That report was issued on February 17th of 2006.
19 There have been copies provided outside here to the audience
20 today, and all those are gone, is my understanding. If you
21 really still need one, let me know and I will try to get you
22 a hard copy of that report.

23 We have also been doing validation and rework on
24 the infiltration products. The validation that I'm speaking
25 of here is to look at the input data that was going into the

1 infiltration model, look at its pedigree and reverifying and
2 revalidating that process, ensuring that all of the quality
3 assurance requirements have been fully met.

4 In addition, OCRWM directed Sandia National
5 Laboratories to develop new infiltration rate estimates and
6 maps, incorporate the net infiltration uncertainty, and
7 develop a new infiltration AMR.

8 There has been an ongoing QA oversight process in
9 there. There have been surveillances. There will be an
10 audit performed in the next few months of that process at
11 Sandia. And, after the final product is ready for final
12 acceptance by OCRWM, there will be an independent review by
13 experts through the Oak Ridge Institute of Science and
14 Energy, which will perform that independent review and
15 provide management with a perspective of the acceptability of
16 that product.

17 In addition to these activities, we have also been
18 doing a root cause analysis and extent of condition, as known
19 within our program as CR 5223. It has been ongoing since
20 July of 2005.

21 The root cause analysis and overview. The team
22 looked carefully at the USGS e-mail situation. They
23 determined the root cause and the contributing causes of
24 that. There were several other questions that the team
25 looked at: whether the 2000 AMR that was prepared by the

1 USGS, whether the 2004 M&O contractor infiltration AMR that
2 used the work from USGS, whether those met the applicable
3 quality assurance and other requirements in place at the
4 time. Whether the attitudes and behaviors exhibited by the
5 USGS employees who wrote the e-mails were seen in other parts
6 of the project. We really needed to establish the
7 credibility of the program, as to whether this was an
8 isolated situation, or whether it was pervasive across the
9 overall program. Whether there were opportunities missed
10 that could have identified and acted upon conditions adverse
11 to quality associated with the infiltration AMR.

12 The root cause analysis report has been completed.

13 The action plan is being developed by Ward Sproat and the
14 senior managers from the M&O contractor, the lead lab, and
15 USGS. The root cause analysis report and action plan are
16 being fully integrated. We are really making a lot of effort
17 to address not only the root causes, but any other activities
18 that we need to look at in both quality and nuclear culture,
19 and rolling them into the overall action plan.

20 The root cause analysis report and the action plan
21 will be discussed at the NRC and DOE quarterly management
22 meeting on March 27th of 2008. That is open to the public
23 and there will be copies of the reports available at that
24 time.

25 The root cause team, as I worked with them, wanted

1 to make a difference in what they were doing, and make a
2 difference with our program. I truly believe that the fact
3 that Ward Sproat and the senior managers from the three main
4 organizations associated with our program are taking the lead
5 in this root cause analysis report. It is being taken very
6 seriously, and chartering the path forward is absolutely the
7 right management approach. It is owned by Ward Sproat, and
8 he has clearly taken a very proactive role in addressing the
9 issues identified in the CR, as well as the overall quality
10 and nuclear culture within our program.

11 The USGS e-mail situation. These e-mails were
12 written over a six year period. They ran between 1998 and
13 2004. They were essentially exchanged by three USGS
14 employees. But, they were also provided to other managers
15 and personnel, both within USGS and within the project.

16 The e-mails expressed a negative attitude,
17 suggested non-compliance with requirements. They talked
18 about back dating of scientific notebooks, back dating of
19 reports, making up dates of task completion, and basically
20 misrepresenting data. These were also, as I said, received
21 by other managers and personnel within the project. There is
22 no evidence that even though these other people were aware of
23 it, that there were any other condition reports developed
24 prior to the initiation of CR 5223 in July of 2005.

25 An examination by the root cause team of the

1 modeling software, the model reports, the scientific
2 notebooks associated with the USGS work found no evidence
3 that the information was falsified or modified, as suggested
4 in the e-mails. The e-mails may have suggested that you back
5 dated to a given date, but the date on the scientific
6 notebook may have been that date, but we had no correlation
7 back to say that that had been back dated. We couldn't
8 establish that process there. So, we know that there were
9 indications, but we had no clear evidence that it had been
10 done.

11 In looking at the extent of the condition across
12 our program, we did extensive searches in various data bases.
13 We did key word searches of over 900,000 e-mails. We did
14 physical review of more than 60,000 e-mails that were both
15 relevant and non-relevant, meaning that relevant, it would go
16 into the licensing support network and would be provided for
17 public review. Non-relevant, meaning that that was not
18 appropriate. It might be a birthday party or some other
19 discussion in the e-mail. Our data base consists of about 14
20 million e-mails. So, we have a very large, extensive data
21 base out there.

22 We also looked at 7,000 documents from the
23 Corrective Action Program. These describe various issues,
24 and corrective actions that were put in place. We reviewed
25 all of the employee concerns files that we had available to

1 see if there was any correlation there.

2 The extent of condition found that the--or we found
3 about 75 additional USGS e-mails that were written by the
4 same individuals that continued to express the same attitudes
5 and behaviors. We had five other isolated instances
6 suggesting similar attitudes and behaviors. That is out of
7 the entire search processes that we did, we had five. One of
8 those was associated with one of the employees from USGS that
9 had been exchanging the other e-mails. It involved one of
10 the infiltration software packages, and we opened a CR to
11 address that issue.

12 We also had two other e-mails from USGS employees
13 other than the three that I've been describing here, and
14 those suggested back dating. Again, we were not able to
15 validate that that back dating process had actually occurred.

16 We had one e-mail that had disparaging remarks
17 about quality assurance. That particular individual was
18 deceased. We went back and searched through other
19 colleagues--or talked with colleagues of his to try to figure
20 out the extenuating circumstances around those remarks. We
21 were not able to establish that, and our path basically ended
22 because we had nothing more to go on in that regard.

23 And, the fifth e-mail addressed a situation where
24 there was improper signature on a Q document. This was not a
25 critical quality document, but it was--the individual

1 indicated that that was not his signature. They had a
2 handwriting expert look at that. We were not able to
3 establish. It came back that there was no conclusive
4 evidence that it was an improper signature or a forgery. We
5 turned it over to the DOE IG, and they did not pursue it any
6 further after they did their initial review of the process.
7 Those five are the five that were of similar attitudes.

8 There were no instances comparable in significance
9 or duration to those associated with the USGS e-mails. And,
10 the conclusion of this whole thing is we did not have a
11 widespread and pervasive pattern across OCRWM of a negative
12 attitude toward quality assurance.

13 It's important to note that we dispositioned all of
14 the e-mails that were looked at by our review teams. If
15 there was any question, we put them into a further review
16 process. We had, looking at things that were already in a
17 condition report, we allowed that process to continue. We
18 had expert reviews, looking at the e-mails to see if they
19 really believed that it was a condition adverse or quality or
20 other issues. So, it was dispositioned. Many of those came
21 back that we didn't need to take any further action.

22 In many cases, the way we handled the disposition
23 was to go back and talk to the author of that e-mail if they
24 were available in the project. And, most times, I personally
25 did many of those calls, and the individual would say well, I

1 was just having a bad day, I was just expressing frustration,
2 no, it had nothing to do with it. We didn't take any further
3 action at that point with that particular e-mail, except to
4 say to the individual there are processes that you can use to
5 disposition concerns that you may have of a quality
6 perspective, and here's the method. You can also go
7 anonymously to our employee concerns program, and express a
8 concern to them, and they will investigate it. So, we gave
9 them the avenues for dispositioning their concerns. But, we,
10 again, in our data base, we indicate that we had talked with
11 the individual, and that we had taken care of it in that
12 manner.

13 Last fall, the GAO started to look at the project
14 costs in response to the USGS matter, in response to a
15 request from Congressman Porter. They reviewed the progress
16 that we had made on a root cause processes to date. This is
17 a viewgraph that they had used in the presentation with
18 Congressman Porter. It's on their web site. It talks about
19 the overall costs of the review processes and the rework
20 processes that we have put forth.

21 It indicates here that there were seven new issues.
22 That has been further refined, and there are only five, as I
23 previously described, but that was at the time that this
24 report was prepared. We found that two of those issues had
25 already been incorporated in another CR. We didn't have an

1 additional new item that needed to be brought forward.

2 What you can see here is the process of how we went
3 through, looking at both relevant e-mails, and we looked at
4 900 of them, and then the disposition. Here was the initial
5 review. Upon the expert review, we ended up with these, and
6 here is the final disposition that we had with each of those.

7 Likewise, with the non-relevant e-mails, we felt
8 that there was a lot of non-relevant e-mails in the 14
9 million data base, some 13 million of my round numbers out
10 there. And, so, we were trying to come up with a way to look
11 at this that would have a credible outcome. And, so, what we
12 did was talk to key managers within our program, by
13 identifying 237 key staff. Those were either previous
14 directors or people sitting in very key scientific review
15 processes, and so on, in developing products that were
16 important to the license application, and that should
17 probably be generating e-mails that would then come forward
18 from a relevancy perspective into the LSN.

19 We went through that process. We originally did
20 32, looking at 695 of their e-mails from a sampling
21 perspective. We then went back and looked at the full 237.
22 This was their entire composite of e-mails. We pulled a
23 statistical sample out of here, 4,500, physically looked at
24 all those, and dispositioned them.

25 Likewise, in the 14 million data base, we pulled a

1 statistical sample right there of 25,000 and physically
2 reviewed every one of those. That included both relevant and
3 non-relevant e-mails. There could have been duplication in
4 this process. We didn't look at it from that perspective
5 because we were making sure that our statistics held
6 credibility there.

7 Dr. Christopher Morrell was the statistician
8 associated with this. He is the head of the mathematics group
9 there, and he provided a review of all the statistics that
10 had already been accomplished prior to my taking over the
11 project in October of 2005, and then he helped us develop the
12 sampling processes that were used to look at the full
13 composite.

14 And, that's documented in our report.

15 The infiltration AMRs prepared by the USGS and by
16 BSC, that was the USGS in 2000 and the BSC in 2004, were not
17 fully compliant with the traceability and traceability
18 requirements of the QARD. In other words, we didn't have a
19 product that you could reproduce the results without some
20 additional interactions. And, what you heard in the INFIL 3
21 discussion earlier was that traceability and transparency.

22 In discussing with Dave off-line, one of the things
23 that we were not able to do was to reproduce one of the
24 climate maps. After he went back and looked at the data, all
25 the data were there, but they just needed to be reformatted

1 in a different configuration in order to make it run, and we
2 were able to do that. Again, it was just making sure that
3 that description of how to run the model and how to put all
4 the data together was very clear, and could be used by
5 anyone, rather than the scientists that were associated with
6 the process.

7 The quality assurance processes were not always
8 effective. After these infiltration products that I
9 described in the AMRs from 2000 and 2004 were reviewed and
10 accepted by our program, 35 CRs were written. One of those
11 CRs had 100 items, or issues, associated with it. So, there
12 were many things found from a technical perspective after the
13 product had been accepted.

14 Some of the data files were not available and the
15 infiltration rate estimates could not be reproduced without
16 further support from USGS. Again, we were able to do eight
17 of the nine maps, but we were not able to get to the ninth
18 one until after we involved the USGS about one year ago.
19 And, they had an exchange of information.

20 You also asked a question of whether they had used
21 the infiltration to work that was being done with Idaho and
22 Sandia, and those interactions, lessons learned out of that
23 from the modeling. We have provided the USGS with all of
24 that information, and they have our results that we had
25 prior, and they certainly have access to everything that

1 we're doing right now that may help them in any pieces of
2 work that they want to continue in that effort.

3 As I indicated before, the infiltration work is
4 being done by Sandia, and we're going to make sure that all
5 of the quality assurance requirements are met, and that
6 everything is traceable and transparent. You will hear more
7 about the progress that we're making in those areas with the
8 other presentations from my colleagues.

9 From a programmatic perspective, reporting of the
10 USGS e-mails as a condition adverse to quality was not
11 timely. It was discovered by the M&O contractor in November
12 of 2004, and was not reported to the Department of Energy
13 until March of 2005. In a good nuclear culture, the CRs
14 should have been written and generated immediately in
15 November of 2004, and then followed up accordingly. That is
16 one of the things that we will be addressing in our action
17 plan associated with this particular process.

18 Issues with the infiltration products were
19 identified multiple times. Corrective actions were taken,
20 but they were not effective. We didn't look at it from an
21 effectiveness perspective of what we were correcting, and
22 making sure that it was not reoccurring.

23 The trending, we did not identify all these
24 reoccurrences as a reoccurring issue, and it should have been
25 looked at in a much broader and higher management

1 perspective. The infiltration work products are being
2 reworked to ensure the accuracy, transparency, and
3 traceability.

4 As I indicated, the USGS net infiltration rates
5 that were reported and have been used in the site
6 recommendation are supported by corroborating data from the
7 Southwestern United States. We have documented that in our
8 technical report that has been looked at by the NRC. We have
9 exchanged information in that area, and, again, it supports
10 the site recommendation.

11 The negative attitude toward quality assurance and
12 willful non-compliance with quality assurance requirement
13 displayed by some USGS employees was not pervasive. It was
14 isolated to a few employees within the USGS.

15 Sandia National Laboratories is developing the new
16 infiltration rate estimates and maps, incorporating the
17 infiltration uncertainty, and redoing the infiltration AMR to
18 ensure full traceability and transparency.

19 As I indicated before, the root cause report and
20 associated action plan will be discussed at the March 17th
21 NRC and DOE quarterly meeting in Rockville, Maryland, and we
22 will be discussing our path forward in improving both the
23 quality and nuclear culture within our program.

24 I will take any questions at this time.

25 HORNBERGER: John?

1 GARRICK: Gene, you've given us a very good account of
2 what is being done to the technical record, so to speak, as a
3 result of this event. Are you in a position to comment any
4 about what is being done administratively to avoid this from
5 happening in the future?

6 RUNKLE: Administratively, we're looking right now,
7 John, at the overall quality assurance implementation within
8 our program. We're looking at making improvements, or
9 continuous improvements in that process. We're also looking
10 at enhancing our nuclear culture to make sure that when
11 something is even thought that it is not correct, that we
12 fully identify it, put it into the CR system, and that
13 becomes the way we do business. And, so, those are things
14 that we're already moving forward on.

15 There have been improvements in the corrective
16 action program over the last few months under Ward's
17 direction. We are making sure that we are classifying our
18 condition reports properly, and that there are effectiveness
19 reviews done to look at the outcomes, and that they are
20 effective and that we're not getting adverse trends. So,
21 there are things that are already started, and those, again,
22 will be part of the action plan to move forward that Ward
23 will be discussing at the NRC meeting. Ward will be doing
24 that presentation, again, to clearly show that top management
25 is engaged, that this is truly his initiative, and that we're

1 moving forward.

2 GARRICK: Any specific training on how to handle e-
3 mails?

4 RUNKLE: Yes, there have been--some of the changes that
5 we made were that as part of the review for the relevant e-
6 mails, every employee was required to go through their own e-
7 mails and identify things that were relevant and not
8 relevant. Likewise, we had teams of knowledgeable people go
9 through the legacy e-mails. These people had been technical
10 folks involved with various aspects of the license
11 application development. This was several years ago. And,
12 that particular piece of work was completed. They were
13 brought over to look at these e-mails.

14 Each of those people took one person's e-mails and
15 reviewed them, so that you got an idea of what this person,
16 you know, was writing about, and so on, so it wasn't just a
17 haphazard review. And, that's where the USGS e-mails were
18 discovered.

19 Okay, as part of that whole process, we never
20 identified one condition adverse to quality. Okay? Because
21 it wasn't an emphasis area. So, one of the things that we
22 have done is on our template as we classify each of our e-
23 mails, we now have put in a template that says, you know, is
24 this a relevant, from a relevance perspective of LSN, and is
25 it a potential--or is it a condition adverse to quality.

1 And, that question has to be mandatorily answered on every e-
2 mail.

3 We also had absolute, mandatory training of every
4 employee on the program, that here is what you should be
5 doing as far as classifying e-mails, here's the type of thing
6 you should be looking for, and should you have any question,
7 you know, make sure you check the condition adverse to
8 quality. We've gone back through and checked that process.
9 It's working well.

10 And, the one individual that did not complete the
11 training on time is no longer allowed to work on our program
12 until that training has been completed. And, that was an M&O
13 contractor employee, and I'm not sure the disposition today.
14 But, we were very serious about making sure that that
15 training was completed, and that people understood their
16 responsibility and accountability.

17 GARRICK: Thank you.

18 HORNBERGER: That procedure must cut down on the
19 birthday party e-mails a lot.

20 RUNKLE: I think this whole experience has brought a
21 different level to our program, because it really has cut out
22 much of the exchange that we have going back and forth, and
23 people, you may have a disagreement, but you don't need to
24 write it exactly that way. That is so inflammatory and, so
25 on, but, you know, there are some e-mails out there and I

1 don't think we're unique. I think that most industry and
2 other government agencies had this same type of process. The
3 difference and uniqueness with our program versus others is
4 that our e-mails, every one of them, are captured because a
5 potential inclusion into the licensing support network. And,
6 so, we can go back and look at history and pull all this
7 information up. So, we're somewhat unique in that regard, I
8 believe.

9 HORNBERGER: Looking at your table, the review of e-
10 mails, that really does summarize the Herculean task you
11 undertook. But, I'm curious, over on the far right-hand
12 column, you have several things, new issues or condition
13 reports identified.

14 RUNKLE: Right.

15 HORNBERGER: These were not related to quality or, you
16 know, what kind of things fall into new issue or CR?

17 RUNKLE: The five that I talked about are in there. I
18 think that's the ones that I'm referring to. In other words,
19 there were things there that, or we found e-mails associated
20 with other activities that were part of an existing CR,
21 condition report. And, so, we looked at that and said, well,
22 this is already being addressed through that process. Or, we
23 dispositioned some by saying the experts looked at this and
24 said well, that really doesn't say what you think it said. I
25 am familiar with that particular issue, and it's okay. You

1 know, that would be a disposition. The ones that were new
2 issues, I think you'll find seven of them there, and what I
3 described as five in the final disposition report.

4 HORNBERGER: Okay.

5 RUNKLE: Does that answer your question?

6 HORNBERGER: No, I was just curious, yes.

7 RUNKLE: Yes, that's how it was done.

8 HORNBERGER: And, I assume the referred to litigation,
9 is that--

10 RUNKLE: Yes, there were e-mails associated with some of
11 the silicosis processes within our program, and there is a
12 class action litigation activity there. And, so, they
13 associated with that, and we referred them back to the legal
14 team that was handling that. That's what that means.

15 HORNBERGER: Okay, thanks. Bill, Thure? Dave? Anyone?

16 (No response.)

17 HORNBERGER: Thanks very much, Gene. It was a good
18 update. Okay, Dan Levitt from Los Alamos is going to tell us
19 about the INL technical review.

20 LEVITT: Good afternoon. I'm summarizing the review
21 that was led by Idaho National Laboratory of the INFIL 2.0
22 code.

23 Next slide? This is a brief outline. I'll give an
24 overview of the review, talk about what are the QA
25 objectives, what are the, or just a summary of what some of

1 the QA issues were that we found with the code. Talk about
2 the flow chart of all the codes, of all the pre and post-
3 processors and how they fit together. Then, talk about some
4 specific examples of QA issues that we found, and then I'm
5 going to talk about a simple test case. We had a series of
6 test cases. One of the test cases was to create, recreate
7 INFIL in an Excel spreadsheet, completely independently of
8 INFIL, and prepare the results to INFIL. Talk about the new
9 graphical user interface that's in INFIL 2.2, and then give a
10 summary. So, I want to just explain this number. 2.2, now,
11 we've got the USGS versions are Version 1, Version 2, Version
12 3, and I heard Version 4 mentioned this morning, and those
13 are USGS. Version 2.2 had nothing to do with USGS. It was
14 developed as part of the Yucca Mountain Project in the last
15 couple years. Just cleaning up, requalifying INFIL 2.0 code.

16 Next slide? Why are we doing the review? Well, I
17 think we know why, because in light of the e-mails, the
18 decision was made to conduct a QA software review. That's
19 the primary focus of this review, was from a QA software
20 point of view. A technical review was also done, but the
21 focus was QA software.

22 Idaho was the lead on this. They had software
23 engineers that reviewed the INFIL code, the 11 pre-
24 processors, two post-processors per the latest, most current
25 Yucca Mountain Project QA procedures. Other YMP staff, that

1 includes me and some Sandia staff, conducted the technical
2 review of the INFIL code. And, this took place from about
3 October '05, for about ten months. And, we were directed to
4 not have contact with the INFIL originators to maintain some
5 independence.

6 Next slide? So, Idaho conducted the review of all
7 the pre and post-processors, as well as INFIL 2.0, using 68
8 test cases that we came up with. They updated the code to
9 current FORTRAN standards, with explicit initialization of
10 variables and dimension statements. I'm not a software QA
11 guy, but apparently, the old code, you know, if you took that
12 code, 2.0, and you tried to run it on Windows XP, it might
13 work, it might compile for you, but it probably wouldn't.
14 And, that's what Idaho set this up, so that it would work
15 perfectly on Windows XP, as well as on Windows 2000. And,
16 they implemented a graphical user interface, or GUI, to
17 simplify things.

18 Next slide? The technical review, the primary
19 focus of the technical review was to reproduce the nine
20 infiltration maps that are in what's called the Technical
21 Data Management System, the TDMS--that's the data library for
22 Yucca Mountain--and, to reproduce the maps using all the pre-
23 processors and all the post-processors.

24 What I'm going to describe is just a little bit
25 different than what Gene described, because he was talking

1 about how we could reproduce eight of nine maps. That was
2 actually using--not using the pre-processors, and that was a
3 little bit older in history. And, so, I'll give the more
4 updated story of what we found.

5 In the technical review, we also developed and
6 helped run the 68 test cases, and one of them I already
7 mentioned, was reproducing the calculation in Excel.

8 Next slide? These are the basic QA objectives. I
9 guess you could call them the cornerstone of the Yucca
10 Mountain Project. There's transparency, traceability,
11 reproducibility. The top two are definitions that I got out
12 of the program. The bottom one I just wrote that you've got
13 to have the record of files. The record of files has to be
14 complete for reproducibility. What this means is that I have
15 to be able to come along years later, get Alan's files, get
16 his input files, get his documentation, get his user manual,
17 get his code, and reproduce the maps. That's the
18 cornerstone.

19 Next slide? These are the four basic issues that
20 we found, and they're related to lack of transparency and
21 traceability. One is a possible mistake in the code. We
22 don't actually know if it's a mistake, because we didn't have
23 communication with the originators. We found that
24 documentation was not always sufficient to reproduce the
25 original calculations. And, we found missing files. We also

1 found a software version control problem. This is just
2 singular right here.

3 Next slide? Okay, this shows how all the codes fit
4 together. You start with nine geospatial input files, and
5 you run them through eight pre-processors, which ultimately
6 give you ten watershed files. Now, the USGS of course ran
7 these pre-processors, and they put these ten watershed files
8 into TDMS. So, we had those.

9 Way over on the other side, there are data inputs
10 for present day simulations of infiltration. Those are in
11 TDMS. We had the analog site weather data from other
12 stations. Those were in TDMS. They are run through pre-
13 processors, and we had those data files in TDMS. We did not
14 have these files. These are 100 control files in TDMS. That
15 story actually hit the press. This was before Idaho's
16 review, so it's not part of the Idaho review.

17 But, soon after that, we were able to locate those
18 files with the help of USGS, and actually a contract employee
19 who had been running the code and had the files. And, now,
20 all these files are in TDMS. We know they're the right files
21 because if we run the--and, we did this for all nine
22 infiltration maps, if we run these files with the watershed
23 files that were already in TDMS, and the post-processed
24 precipitation files that are in TDMS, we reproduced all nine
25 infiltration maps exactly to, you know, eight decimal places.

1 But, that's if we do not run any of the pre-processors.
2 And, I'm going to talk about what happens if we do run the
3 pre-processors.

4 Next slide? This was an instance of a lack of
5 transparency. We called it an apparent error in the code.
6 We don't really know if it was an error or not, but what we
7 found was that in the second and third soil layers, that the
8 calculation was not multiplied by the percent vegetation
9 cover. There were several. As we went round and round this,
10 we thought that it should be, because otherwise, what it
11 means is that plant roots are evenly distributed in the
12 subsurface, regardless of how dense they are at the surface,
13 and we didn't think that was correct. But, maybe it was
14 correct. We just heard Scott Tyler this morning talking
15 about how roots move into bare spots and exploit that water.
16 So, we didn't talk to them. We don't know if it was their
17 intention or not.

18 It turns out that this error is insignificant, we
19 believe it's insignificant, because these transpiration terms
20 that are calculated for the layers are multiplied by root
21 zone weighting factors. The root zone weighting factors were
22 adjusted during model calibration. So, if this was an error,
23 the error was built into the model calibration, became
24 insignificant. The INFIL 2.2 has a switch where you can run
25 it either way now.

1 Okay, another lack of transparency in documentation
2 involves the pre-processors. There is an INFIL user's
3 manual, and it explicitly states that you should use the 1996
4 version of a file called 30msite.inp. What this means--can
5 you go back two slides? This very first pre-processor
6 creates that file. So, if you're using a file time stamped
7 1996, that means you're skipping the first pre-processor.
8 It's not explained why. It just says you start with this
9 file. Back two slides?

10 So, what we did is we said okay, well, we'll use
11 this file, and what we found is a couple of extremely minor
12 differences in generation of the watershed files. I'll show
13 you how minor they were, but this gives you an example. If
14 you go through and calculate net infiltration for mean
15 present day for one of the watersheds, the differences are in
16 the thousandths of a millimeter per year.

17 Now, if we went ahead and ran Block R7, the first
18 pre-processor, with the original geospatial input files, we
19 had many, many differences in the watershed files in these
20 blocking ridge numbers, and those are used to calculate
21 potential ET. Now, there are many differences. It turned
22 out that that effect, once you use those watershed files to
23 calculate infiltration, it turns out that effect is fairly
24 small, in the 3 to 4 percent range. But, this was an
25 instance of lack of transparency, a documentation of first of

1 all, why should we use this file, and second of all, if we
2 don't use this file, why do we get these differences.

3 Next slide? In terms of traceability, we found
4 some missing files. I already mentioned the control files.
5 The Idaho found a missing file that's required with the pre-
6 processor called Geomap7. We were able to recreate that file
7 using geology data that's in the TDMS. And, we were missing
8 shape files that are used to calculate infiltration just for
9 the repository area in the unsaturated zone model footprint.
10 We reproduced this shape file because it was one of our test
11 cases. We did not reproduce this file because it was not one
12 of our test cases. So, we found missing files, but we
13 reproduced what we needed to satisfy our test cases.

14 I want to actually mention one thing, one more
15 issue of a lack of transparency that I actually heard this
16 morning is Alan mentioned that INFIL 2 was calibrated using
17 streamflow data and neutron logging data, and this is
18 actually the first I've ever heard that, and there's
19 absolutely no documentation that INFIL 2 was calibrated using
20 both. The only documentation I've ever seen is that it's
21 calibrated using streamflow data only. So, I don't know if
22 it's a lack of documentation, or if it's just a--if he
23 doesn't remember it correctly.

24 Next slide? We also found an issue with version
25 control, in that if you take the pre-processor Markov that's

1 in the records, and you run it and compare its output to its
2 supposed output that's in TDMS, they have different numbers
3 of digits reported, meaning that the two different codes have
4 different write statements, different formatted write
5 statements. So, if you take this issue and combine it with
6 this issue, which is that there are different IMSL libraries
7 between Windows XP and Windows NT, and what that means is
8 when you're generating random numbers, you will get different
9 results. So, if you combine these two different IMSL
10 libraries with this difference in number of digits, you end
11 up with--we could not exactly reproduce the precipitation
12 record from Markov. And, you could see the effect is very
13 small. When you plug it in and run INFIL, you end up with
14 differences in infiltration of 1 or 2 percent for these two
15 climates and this small watershed. So, the effect is small,
16 but because of these two issues, we couldn't reproduce the
17 precipitation records.

18 Next slide? This just shows--I already mentioned
19 this, this got sort of out of order somehow, but this just
20 shows that if we use the '96 version of 30msite, we end up
21 with one single rock type that's different out of 47,000 for
22 Yucca Wash One. And, in this Solitario Canyon One watershed,
23 we end up with differences of 83, 64, and 141 for soil depth
24 class, soil depth, and rock type respectively, out of 14,000.
25 I mean, these are very small differences. They are

1 differences nonetheless.

2 And, we can skip this slide. We already talked
3 about that. Next slide? Okay, this shows that what we did
4 is we took the conceptual model that's described in the AMR
5 as accurately as we could, and coded it into an Excel
6 spreadsheet for a very simple case, the simple case being one
7 year, this was water year 1995, it's Solitario Canyon One
8 watershed, which is a fairly small watershed--well, it's a
9 medium sized watershed, but we set all our soil depths
10 constant at 10 centimeter, and set all our soil properties
11 the same, and our bedrock properties the same, and then we
12 did the same thing in an INFIL control file. We set
13 everything the same, and we ran the two, and this is what we
14 got. They're identical.

15 So, what this tells us is that the conceptual model
16 that's described in AMR is consistent with the INFIL code, at
17 least for this simple test case.

18 Next slide? This gives a picture of what the new
19 GUI looks like. If you double click on INFIL 2.2, this will
20 pop up. You know, it looks like a Windows program. It's got
21 a Help button. Actually, the Help button is pretty good. It
22 connects to files that were taken from the user's manual, so
23 there's a lot of information in there. Prep is the pre-
24 processors. Models, INFIL 2.2, and Analysis is the post-
25 processors. It really does help for keeping track of your

1 files better than the old DOS way.

2 Next slide? So, what we found in this QA review
3 was QA problems that were in the form of instances of lack of
4 transparency and traceability. We could not reproduce the
5 nine infiltration maps, exactly reproduce them, if we ran the
6 pre-processors, because of problems that are described with
7 Markov and in reproducing the watershed files. If we skipped
8 the pre-processors and used the files that were in TDMS, we
9 could exactly reproduce the nine infiltration maps.

10 The INFIL code was found to be consistent with the
11 conceptual model described in the AMR, and any errors that we
12 found were not considered to be significant to calculations
13 of infiltration.

14 Last slide? Yucca Mountain Project follows the
15 nuclear culture, which demands strict attention to detail.
16 There were problems that were identified with INFIL 2 and the
17 infiltration AMR, also with the data sets that were used. I
18 haven't even talked about that. That wasn't part of the
19 review. But, Josh will get into that a little bit. And,
20 this exemplified areas where improvements are needed, and
21 those lessons learned have been learned for the new
22 infiltration model, which has extremely good transparency,
23 traceability, reproducibility.

24 I do want to mention one more thing as sort of an
25 introduction to the new model, by saying that you're going to

1 hear that infiltration numbers are different now with the new
2 model. In the old model, they were, you know, 4 millimeters
3 a year for present day over the whole model area, and now
4 they are more like 14.

5 Now, one thing to consider is that we did a lot of
6 simulations comparing the two models, and if you take INFIL
7 and you do a couple of things, you change its soil and rock
8 properties so that they're the same as used in MASSIF, so you
9 change the soil and rock properties, and you turn off
10 transpiration from rock in INFIL, if you do those two things,
11 you get virtually the same results. Something to remember
12 for during Josh's presentation, that the models have some
13 differences, but a couple of changes, and you get the same
14 result.

15 Any questions?

16 GARRICK: I guess the short answer is that these events
17 resulted in no major compromise of the science, but revealed
18 poor documentation.

19 LEVITT: Exactly. Instances of lack of transparency,
20 traceability, and inability to exactly reproduce the maps if
21 we use the pre-processors. But, the differences are very
22 small. Any other questions?

23 HORNBERGER: When you--you created Version 2.2, but did
24 you go through and do some of the kind of things that we
25 heard this morning from Dave, and clean up the codes?

1 LEVITT: I didn't personally, but that's what Idaho did
2 a lot of.

3 HORNBERGER: Idaho did, yes.

4 LEVITT: And, they chopped out--apparently, there are
5 loops in there that aren't ever executed. They had some sort
6 of way of checking what actually gets executed. There's a
7 lot of lines of comments that were cleaned up or cut out.

8 HORNBERGER: Anything else? Dave?

9 DIODATO: I appreciate the talk. I'm asking this
10 question of all speakers, so don't feel picked upon, but for
11 the Idaho National Engineering Laboratory study, and your
12 effort as well, how many person years of effort is this
13 review?

14 LEVITT: For me personally, it was probably about a half
15 a year, and for Idaho, I'll bet it was several man years.
16 I'm taking a wild guess at this, but just based on my
17 participation with them, something like that.

18 DIODATO: So, about five or six people for a half a year
19 each, or something like that?

20 LEVITT: I'm sorry?

21 DIODATO: Five or six people for a half a year each, or
22 something like that?

23 RUNKLE: Dave, I just wanted to comment that probably
24 the best source of the expenditure of resource that we have
25 made, and that includes Sandia as well as Idaho and all of

1 that work is captured in the GAO report. We worked very,
2 very closely with them in providing the most sound numbers
3 that we could come up with off of our expenditure system that
4 is out there. So, those are some of the best numbers.

5 DIODATO: All right, thanks, Gene. So, in the GAO
6 report, it's 2.2 million for this review.

7 RUNKLE: Yes.

8 DIODATO: And, that's a number you're comfortable with?

9 RUNKLE: Yes. We are extremely comfortable with the
10 numbers that are in the GAO report, because we worked hand in
11 hand with them in providing all the data. That doesn't say
12 that we influenced what they did, it was more that we
13 provided the raw data, and then they took and developed--the
14 example that I used in my presentation, they took our report
15 and that's what they came up with, and so I couldn't come up
16 with something better than what they had already pulled from
17 our report.

18 DIODATO: Well, I'm glad you brought up data. I asked
19 about it this morning, and we heard we are going to hear
20 about it later, and Dan said that Josh is maybe going to talk
21 about it. But, I looked through his overheads briefly and I
22 didn't see any explicit mention of the data. So, in the
23 morning, we heard stories about--well, discussions about a
24 lot of, or many years of effort in terms of, like, for the
25 neutron logging holes, 99 holes that have been logged, quite

1 frequently over the years and tight spatial resolution. So,
2 was there a review of that data, as well?

3 LEVITT: There sure was, and that data went through a
4 scrubbing, all the way back to its calibration records, and
5 it all got combined into a new data tracking number, a new
6 DTN.

7 DIODATO: And, so, were you able to identify any
8 significant errors in your analysis of that data?

9 LEVITT: Significant errors? There were errors that
10 were documented in a condition report from the original data
11 set, where there were things like duplicate records, or
12 multiple records with the same day. And, in fact, we heard
13 Alan saying that some boreholes were logged multiple times in
14 one day, but they didn't have a time stamp on them, so you
15 end up with three neutron logs for one day, and no way to
16 differentiate them.

17 DIODATO: So, that caused confusion for you because you
18 weren't able to communicate with the investigators, according
19 to the parameters that were set up for you?

20 LEVITT: Sure. Sure.

21 DIODATO: So, the only other follow-on to that is, you
22 know, you've done this for INFIL 2.0, or 2.2, do you envision
23 this process for other codes and how that might turn out?
24 The multi-scale model comes to mind is one you might look at,
25 and then TOUGH react and the calculations for the thermal

1 hydrochemistry, and how that might turn out, because these
2 are FORTRAN codes also that have a long historical
3 development. What's your estimate of how that might go?
4 Could you do that in the same six months?

5 LEVITT: I don't know if I'm qualified to answer that
6 question, because I don't know much about those models and
7 what their issues might be.

8 DIODATO: Okay.

9 NEWBURY: Claudia Newbury, DOE. Certainly if the
10 conditions warrant it, we would go back and look at other
11 codes as well. But, I don't think we can say at this time
12 what ones we would look at, or if we would look at them, or
13 under what circumstances, or what it would cost.

14 DIODATO: Thank you, Claudia. No further questions.

15 HORNBERGER: Thanks very much.

16 LEVITT: Sure.

17 HORNBERGER: Okay. I suggest that we have one more
18 presentation before we take a break. So, Josh, we may give
19 you a slight rest between your two presentations.

20 STEIN: Okay, I'm giving two presentations this
21 afternoon, and I kind of see them as sort of part of the same
22 presentation, but we were asked to talk about precipitation
23 estimates first, and then infiltration estimates afterwards.
24 So, I'll stick to that.

25 Next slide? I'm going to go through the motivation

1 of this work a little bit, and go through essentially how do
2 you characterize climate and variability at Yucca Mountain
3 for present and future climate, some of the sources of
4 information that we use. An approach that we took to
5 simulating that, and I'll discuss how that's formulated and
6 implemented in the new model, and discuss some preliminary
7 results. And, we're labeling these as preliminary because
8 the report that all this is documented in is still within the
9 review and checking stage of the procedure, and we are
10 anticipating finishing that stage within the next month or
11 so. But, so far, we've pretty much addressed all the
12 checking comments, and there are no--I don't foresee any
13 major changes necessary. So, I mean, I'm pretty comfortable
14 presenting this.

15 Next slide, please? There are a number of
16 contributors to this effort, and I just wanted to acknowledge
17 them. This piece of the work has a sort of a smaller group
18 of contributors, mainly, I was the technical lead and PI on
19 the project. Dan Levitt was sort of--he worked very closely
20 because he had insights into the previous work. Bob Walsh
21 and Cedrick Sallaberry are mathematicians who helped with the
22 new stochastic model. And, Saxon Sharpe was a consultant
23 that we used mainly to bounce ideas off of. She was the
24 author of record on the last future climate analysis.

25 Next slide, please? So, we've discussed most of

1 this already. This was one of our areas that we looked at
2 pretty carefully because in reviewing the old work, in
3 reviewing the INFIL model, it was unclear from the available
4 documentation, the justification for how future weather was
5 actually--how it was incorporated into the model uncertainty.
6 Basically, the model was run using climate, or precipitation
7 inputs from different bounding stations, and then the
8 infiltration at a given cell was averaged from the results of
9 each simulation. And, it was unclear, first of all, it's
10 unclear that precipitation is linearly related to
11 infiltration. I think there are a lot of, you could think
12 about it, and there's a lot of non-linear effects that may
13 take place.

14 So, we decided that it was also important to really
15 assess the uncertainty in future precipitation and
16 acknowledge that there--investigation a little bit about some
17 of the sources of that uncertainty.

18 So, as our inputs, we used the results of the 2004
19 future climate analysis, and specifically, this AMR
20 identifies three climate states, and probably most of you are
21 familiar with this, that are expected at Yucca Mountain in
22 the next 10,000 years. It estimates the timing of those
23 climate states, and it identifies upper and lower bound proxy
24 records for the future climates to represent those.

25 Next slide, please? In considering uncertainty, we

1 look to the NRC recommendations or guidance provided in the
2 Yucca Mountain Review Plan, and I just wanted to highlight
3 that there are some specific guidelines provided by NRC. The
4 first one is related to time-varying boundary conditions, and
5 precipitation is a good example of that. That uncertainty
6 should be--or these conditions should be considered such that
7 net infiltration is not under estimated. I think NRC has a--
8 there is an understanding that net infiltration is a
9 contributor to dose, and they want assurance that there's not
10 an under estimate of that.

11 The second one relates to making sure that
12 uncertainties in parameters are adequately evaluated. And,
13 we focused a lot on trying to characterize and define the
14 uncertainties. And, that the treatment of the conceptual
15 model uncertainty, your choice of model introduces
16 uncertainty in a problem, and it's important to acknowledge
17 that and try to minimize it.

18 Next slide? The goal here, or the motivation is to
19 produce long-term estimates of steady state infiltration
20 fluxes. That's the way it's applied in the TSPA. Even
21 though we know that these are episodic, so we need to upscale
22 these to a steady state effective rate that you could apply
23 over very long periods of time, on the order of thousands of
24 years. Specifically, the new model requires daily values
25 from a representative set of years of precipitation, minimum

1 and maximum temperature, and daily wind speed. And, I just
2 mention that that's sort of the, in the development of the
3 model, that constitutes the weather that's applied as a
4 boundary condition.

5 Some of the concerns in using historical records,
6 and Alan Flint mentioned this, is that climate variability
7 occurs over time-scales that are shorter than climate
8 durations expected at Yucca Mountain. We're trying to model
9 climates that can range as long as 8,000 years. The observed
10 record is a very short representation of that. In order to
11 adequately represent those long periods of time, I think you
12 need to incorporate uncertainty in those estimates,
13 recognizing that you may be experiencing an especially wet or
14 dry period of the record. And, there's support to that if
15 you look to the tree ring records from the--it's hard to tell
16 now, you know, where we are in this long-term variability.

17 Next slide? Another challenge, and there's many
18 ways of doing this, one of the challenges is you need to not
19 only simulate precipitation, but you need to distribute it
20 over a diverse topographic environment. And, we have in the
21 model domain that we're using, which is very similar to the
22 one that was used previously, it varies by 1,000 meters in
23 difference. The way we are going to handle this is we
24 actually simulate it for a reference elevation, which we
25 treat as the top of Yucca Mountain, and this is provided to

1 us in the Future Climate Report, as the reference point to
2 apply the proxy climate records for the future stations. So,
3 you will hear a little bit about the reference elevation.

4 Next slide? As a summary of the future climate
5 AMR, I identified three climates, the present day, monsoon,
6 and glacial transition periods that cover from the present to
7 the next 10,000 years. For the present day, the guidance is
8 use the regional observations around Yucca Mountain. It's
9 shown there as a circle. Essentially, that's how they
10 identify the uncertainty in Yucca Mountain. It says, "Use
11 the regional available data." They don't specify any given
12 stations to use or how to use them.

13 For the monsoon climate, and I'm showing the
14 durations, there's some uncertainty as to the timing, for the
15 monsoon climate, it identifies an upper and a lower bound.
16 The lower bound is defined as the present day climate. And,
17 the upper bound is defined as weather observed in Hobbs, New
18 Mexico and Nogales, Arizona. And, this was actually a
19 challenge. I'll discuss this a little bit. This was a
20 challenging climate to simulate because it's defined as being
21 kind of switching between present day conditions and a more
22 monsoonal period. And, furthermore, Hobbs and Nogales
23 actually behave slightly differently. They have slightly
24 different weather patterns temporally, so it was actually a
25 challenge.

1 The glacial transition climate has lower bound
2 stations from Beowawe, I believe is the way you pronounce it,
3 Nevada, and Delta, Utah. And, upper bound stations up in
4 Washington state, Spokane, Rosalia, and St. John.

5 Next slide, please? Estimates available for
6 present day precipitation, mean annual precipitation,
7 indicate that there's quite a bit of uncertainty in the
8 published estimates that we were able to find. One thing
9 that's very clear is that precipitation in the vicinity of
10 Yucca Mountain, and in most areas, is dominated by elevation
11 changes. And, I'll show you an example of this.

12 The published estimates vary significantly. There
13 was a study by Spaulding based on data from the Nevada Test
14 Site estimated precipitation at Yucca Mountain of 189
15 millimeters a year. It was based on records from '63 to '72,
16 local to the site.

17 Thompson, in a paper in 1999, came up with a much
18 lower estimate, but that was based on climate division
19 normals. And, if your climate division normal is
20 essentially, it's a region of the country where they take a
21 set of weather stations, not picked to be either aeriually
22 distributed, or distributed by elevation, it's just an
23 arithmetic mean of the records.

24 2002, Chris Daly, who runs the prism model, you're
25 probably familiar with that, it's a model for distributing

1 precipitation over complex terrains, he actually had an
2 interesting just conference paper where he looked at
3 elevation biases of climate normals. And, he identified
4 actually the Nevada 3 and 4 as being under represented
5 because they preferentially have lower elevation stations.
6 So, they are probably under estimated.

7 I don't really want to assess--I didn't go into the
8 details of this. I'm just saying that there's reason to
9 believe that the estimates near or around 200 millimeters a
10 year seem to be supported by the local data when you include
11 elevation effects.

12 So, an analysis of the meteorological data up to
13 about 2004, is where we had our stop period, we used ten
14 regional stations, we used stations on Yucca Mountain, and we
15 used stations Area 12, 4JA, King Springs, we used a bunch of
16 different stations with longer records. We come up with
17 basically a mean annual precipitation range of 200 to 220.

18 Next slide? This just demonstrates the importance
19 of elevation in considering precipitation. This is basically
20 the mean annual precipitation from those ten stations plotted
21 against the station elevation, and you can see the
22 correlation is very significant.

23 Next slide? Actually, back up one slide, please.
24 One of the goals in trying to estimate the--you can see there
25 is scatter along that line. So, one way of estimating an

1 uncertainty at a particular location is to estimate the
2 uncertainty around that regression.

3 Next slide? Yes?

4 GARRICK: I have a question about uncertainty. I take
5 it that the uppers and lower bounds are based more on station
6 observations than they are on the propagation of
7 uncertainties in parameters through the model.

8 STEIN: This was actually--it's not clear in the future
9 climate report how you take upper and lower bounds, or
10 actually, I should, let me back up. It is clear for the
11 future climate results. For the present day climate, they
12 don't identify upper and lower bounds. That's the bottom
13 line.

14 GARRICK: So, there are, in the infiltration
15 calculations, in the information we got, there's upper and
16 lower bounds in those, indicating an attempt to account for
17 uncertainty, but there's some anomalies there that are not
18 very well understood.

19 STEIN: In terms of the MASSIF results?

20 GARRICK: Yes.

21 STEIN: Yes, I can--we can discuss those.

22 GARRICK: Okay.

23 STEIN: I mean, I think what you're referring to is the
24 fact that if you look at mean annual precipitation from the
25 stochastic simulations that are used as input, they aren't

1 directly--the highest precipitation doesn't necessarily
2 correspond to the highest infiltration.

3 GARRICK: Yes, and not only that, but there's greater
4 uncertainty in the far out climates than there is in the
5 near-term climates.

6 STEIN: Yes, and I'll get a little bit into that. I
7 think I would support that, just because the establishment
8 of, you know, when you're trying to predict 8,000 years into
9 the future rather than--I would imagine that there may be
10 more uncertainty.

11 Next slide? These give kind of a bounds and
12 description of the various climate states. Monsoon, like I
13 said, is really kind of a--it's a transition period between
14 present day and the monsoonal period. So, the climate report
15 describes periods of time when you really are much more like
16 a present day climate with lots of rain in the winter, or
17 predominant rain in the winter, not very much rain in the
18 summer, and then you move onto a more monsoonal cycle, where
19 you've got wetter summer, more intense rains.

20 The upper bound monsoon, mean annual precipitation,
21 these are based on those analog stations, range from 405 to
22 420 millimeters a year.

23 And, then, the glacial transition is a cooler
24 period. The precipitation, that's focused in the winter
25 season, and you usually have dry summers--usually wet winter

1 season with warm but not too hot, and cool summers, usually
2 dry relative to present day summers.

3 The lower bound range is 207 to 241 millimeters,
4 based on the analog station, and the upper bound, 419 to 455.
5 And, it's stated in the future climate analysis that these
6 analog stations should be applied to the top of Yucca
7 Mountain.

8 Next slide? Because of the challenge of simulating
9 net infiltration rates for on the order of hundreds to
10 thousands of years, we chose a similar approach to the Markov
11 approach, except that we simulated 1,000 year sets.

12 We chose a fairly well established and simple
13 approach based on Woolhiser and Pegram, published in 1978.
14 It's a fairly simple model. It's a Markov chain. It's a
15 first order Markov chain model. It's a model precipitation
16 frequency, and on days that it rains, we examined various
17 probability distributions, and chose a log normal
18 distribution, because it seemed to fit the data the best.

19 And, then, we used actual observed meteorological
20 data to parameterize the model. And, I'll just explain
21 quickly the model parameters.

22 Next slide? There are four basic parameters to the
23 model, and Woolhiser and Pegram extended that by allowing
24 seasonal variability in those parameters. And, so, the
25 primary variables are the probabilities of rain,

1 characterized by P00 and P10, and then there are two
2 parameters for the log normal, describing the log normal
3 distribution. Each of those four parameters is described by
4 the Fourier series, the first order Fourier series, shown
5 below, which is described by parameters A, which is the
6 average annual value, B, which is the variation, annual
7 variation, and a theta term, which tells you the shift.

8 Next slide? Okay, to implement this model, for
9 each climate state, we have these four primary parameters,
10 each described by three fitting parameters, which gives you
11 twelve stochastic parameters, we used a least-squares
12 approach to fit those twelve parameters to the available
13 observations from each of the meteorological stations.

14 The parameter distributions were defined for each
15 of those stochastic parameters. So, for instance, for
16 present day, we have ten meteorological sites, we have ten
17 values for each of the stochastic parameters that are best
18 fit.

19 We defined probability distributions for those
20 parameters, and then we screened them into an uncertainty
21 analysis, and the screening was defined as if the relative
22 uncertainty in that parameter was greater than 15 percent,
23 then it was included in our sampling. And, we used a Latin
24 hypercube sampling approach, you're probably familiar with
25 it, it's a structured way of doing a Monte Carlo sample.

1 And, so, we have, for each climate, different
2 precipitation parameters were screened into the analysis, and
3 then for the other parameters that weren't screened in, we
4 used the nominal values, either the mean or the median,
5 whatever was appropriate. And, that's justified in the
6 report.

7 So, for each LHS--yes?

8 HORNBERGER: I'm not quite clear on this now.

9 STEIN: Yes.

10 HORNBERGER: You screened the stochastic parameters, you
11 said, depending upon some uncertainty threshold of 15
12 percent. Uncertainty--

13 STEIN: Standard uncertainty.

14 HORNBERGER: So, you're talking about your estimation
15 error of the parameter?

16 STEIN: Yes. You basically have ten samples of that
17 parameter, based on your meteorological stations. If they
18 all agree very well, you're going to have a very small
19 uncertainty. And, therefore, you just pick a mean value. If
20 there's a lot of variability, you define a distribution, you
21 calculate a standard uncertainty. 15 percent was an
22 arbitrary value. We had to choose something because we
23 wanted the problem to be tractable.

24 For each LHS realization then, so we have one
25 sampling of these twelve parameters, we created a very large

1 set of random numbers, and then using those random numbers,
2 stochastically simulated 1,000 daily values of precipitation.
3 So, we have 1,000 now randomly, stochastically simulated
4 years.

5 Next slide? So, of each of those sets, we wanted
6 to include--and, when you're trying to understand the
7 implications of long-term processes, and we know that there
8 may be non-linear effects, we wanted to include the effects
9 of some of the low probability events that might really drive
10 net infiltration. We know, like what Scott was talking
11 about, years go by where there's no net infiltration, and
12 then all of a sudden, you have a dumping event. We felt that
13 it was important to include some of these events that we
14 hadn't experienced in these simulations. And, we'll weight
15 them accordingly.

16 So, each of those 1,000 year sets, we sort by
17 annual precipitation, from highest to lowest, and then we
18 selected from within predefined bins, we randomly selected
19 years, such that we got ten years. And, I'm just giving you
20 an example from one of the replicates. We have
21 representative years, years one through ten. The first year
22 happens to be the wettest year in 1,000 years. And, if you
23 look at the whole replicate, it has an annual precipitation
24 average of 708 millimeters per year. That's a lot of rain.
25 It's more than observed at Yucca Mountain.

1 However, it's weighted one in a thousand. So, if
2 the effect is, you know, the intent here is to try to
3 understand, and basically include these non-linear effects.
4 And, so, each of these ten years then would be run through
5 the infiltration model, and then the results of the model for
6 each year are weighted according to the weight attributed to
7 the year. So, you get an infiltration from year one, it
8 would be multiplied by a weight of .001, you get infiltration
9 from year two, it would be multiplied by .002, and the sum of
10 all those products gives you your long-term estimate of your
11 net infiltration.

12 Next slide? Just some comparisons of our
13 stochastic simulations based--I'm comparing back to the
14 actual site meteorological records. These are box plots.
15 The line is the mean. The dashed line is the median. The
16 box represents from your 25th to your 75th percentile. I
17 believe it's 10 to the 90th, are the bars, and then anything
18 outside of that are shown by dots.

19 You can see if you take the present day sites, and
20 don't correct for elevation, you get a very large
21 variability. But, once you've corrected them for elevation,
22 here is the distribution for the present day observations,
23 and here are two replicates of 20 realizations showing that
24 the realizations compare well to the replicates. And, I've
25 given some statistics comparing some of the observation means

1 to the stochastically simulated means.

2 Next slide? For monsoon, we have a lower bound,
3 which is present day, so this is the elevation corrected
4 present day. The scale has changed. That's why it looks a
5 little bit funny. The two observations from the upper bound
6 monsoon site, and the two replicates. You can note that
7 there are a few realizations that are higher than anything
8 observed, or are higher than the mean annual. We feel this
9 is justified based on some of the descriptions about the
10 monsoon climate. There's some language in the future climate
11 report that suggests that--they weren't able to find any
12 analog stations that actually matched the criteria that they
13 laid out for that climate. So, these were the best
14 available, and there's reason to believe, based on the
15 OSTRACOD records that they were using to characterize the
16 monsoon climate, that higher precipitation values might be
17 justified. So, we have included some higher precipitation
18 values.

19 Next slide? In the glacial transition, we have two
20 lower bound sites, two upper bound sites. And, this is kind
21 of an interesting one, in that the two replicates look quite
22 different, and this is a stochastic result. And, so, this
23 actually, we didn't go and resample to try to make them look
24 better. So, we went forward ahead with those.

25 Next slide? So, summary and preliminary

1 conclusions. We're using purely stochastically generated
2 weather, and we're including very low probability events.
3 The stochastic model is based on analog stations, and then
4 because we're generating 1,000 years, we end up producing
5 some weather that's outside of our band of representation, as
6 you'd expect. We represent the seasonality in the
7 probabilities and the amount of rain, using a first order
8 Fourier series, which implies that you have a single wet and
9 a single dry season.

10 We looked actually at a second order Fourier
11 series. You can match the data, obviously, if you increase
12 the order, you can match the data better. The question
13 really is is how do you combine stations. In the first
14 order, the parameter is actually a physical meaning. So,
15 it's easier to understand. If you go to a second order, how
16 do you actually combine data from different stations when you
17 fit. So, we actually have some comparisons using a second
18 order, but we actually used the first order.

19 We used ten representative years out of 1,000, and
20 our simulated precipitation matches the observations when you
21 compare the observations to the simulations.

22 That's all I have for this.

23 HORNBERGER: Questions? Thure?

24 CERLING: Cerling, Board.

25 So, when you're making your rainfall simulations,

1 are you taking each day of the year, in any order, and then
2 calculating a probability of rainfall? Or are you taking--

3 STEIN: Yes. We actually, yeah, to calculate the
4 probabilities, we actually--

5 CERLING: My follow-on question that's sort of related
6 to this, does this produce El Nino like years, or would you
7 get a different result if you modeled sort of years, and then
8 distributed the rainfall within a year?

9 STEIN: I does not, because we generate 1,000 random
10 years, and then we selectively pick representative ones, and
11 we run them individually, starting at a fixed initial
12 condition, you know, each one, there's no--we don't
13 incorporate any period in the climate record.

14 Now, what it does do is it includes years that are
15 much wetter than we've ever experienced, but they are
16 weighted lower because they are presumed to be low
17 probability events.

18 CERLING: But, those might be because of very high
19 individual events, as opposed to an El Nino year where you
20 might get a lot of smaller events that results in a large
21 infiltration for one year?

22 STEIN: Yes, you can get both of those. You can get,
23 you know, in 853 years from today, you know, the hurricane
24 that comes up--I'm being facetious--and sits over Yucca
25 Mountain, it captures that type of event, but it also will

1 have events where you will have a very wet winter. The
2 probabilities actually, especially when you get into the
3 glacial transition, your probabilities of having lots of wet
4 days followed by more wet days, you know, increases.

5 There are other ways of doing this. I mean, you
6 can look at--there are methods that are published looking at
7 spell lengths, trying to do stochastic simulations of the wet
8 spell lengths. You can go to multiple--you can look, you
9 know, Markov, third order of Markov, which then requires six
10 parameters, so it's a trade-off. The more details you add,
11 the more parameters, the more data you really need. And, I
12 think the uncertainty in this case sort of outweighs--you
13 don't know whether what you see today is necessarily going to
14 hold, you know, next millennium.

15 GARRICK: You said that there's many ways of doing this.
16 Some characteristics of this problem make me think that a
17 Bayesian type analysis might be a corroborating way of going
18 about it. Has anybody looked at it from the standpoint of
19 looking at the past as a basis for a prior, and then looking
20 at the present, updating it with the present, and seeing what
21 kind of posteriors you get and whether or not you can
22 correlate that time span in any effective manner with future
23 conditions? Because in the past, we've had glacial
24 conditions and we've had monsoon conditions, and the evidence
25 is just as strong for those, or stronger than the future.

1 So, it would seem to me that there is kind of a fundamental
2 foundation here for a pretty effective Bayesian type
3 analysis. I didn't hear you mention any--

4 STEIN: We haven't looked into that. I mean, it's a--

5 GARRICK: Sandia is not Bayesian.

6 STEIN: Right. It's a difficult, and who's to tell if
7 we got it right or wrong.

8 GARRICK: Yeah. It just would seem to me it would be a
9 much simpler and more transparent approach, and I was just
10 curious as to why--

11 STEIN: Well, one problem with doing that is, I mean,
12 you can go back and look, the approaches of looking back in
13 the past actually have quite a bit of uncertainty, because
14 typically, they are based on pack rat mittens and things
15 where you're looking at present species, and where you find
16 the seeds from those various species, what conditions, do
17 they exist today. There's temperature issues that may have
18 been different. There's a lot of--and I discussed this with
19 Saxon Sharpe, you know, that's her area, this is not my area,
20 and my take-home message from her was that be careful about
21 narrowing your uncertainty too far, because when you really
22 look at what these records are based on, they're based on
23 proxies of--lots of assumptions go into, you know, where did
24 that pack rat go for food, you know, what types of--is the
25 pack rat an actual, a good gathering, that it's gathering a

1 random sample. I mean, there's a lot of issues. I'm not an
2 expert.

3 HORNBERGER: Bill?

4 MURPHY: Bill Murphy. You mentioned several times
5 conducting long-term predictions of climate, and you refer to
6 climate states expected in the next 10,000 years on one
7 slide, and for a monsoonal or a glacial transition climate
8 lasting over 8,000 years. Have you really considered long-
9 term precipitation rates over the hundreds of thousands of
10 years period?

11 STEIN: That's I guess a question that I would throw
12 back at the future climate analysis. I mean, that was there.
13 They were charged, in that analysis, they're looking back
14 hundreds of thousands of years, and trying to get an answer
15 to that question, and their response was use these bounds to
16 characterize the uncertainty, and, you know, these existing
17 records. So, I guess I would defer that to a
18 paleoclimatologist.

19 HORNBERGER: Josh, as you pointed out with your glacial
20 transition climate stage, it looks like there is a question
21 of the stability of your estimates of the distribution. And,
22 my question is it's cheap to run that stochastic model. Why
23 not run it for 10,000 years and still do your statistics for
24 a thousand year sample?

25 STEIN: Yes, that will be rev, the next rev. I mean, we

1 had to make a call, and we felt that 1,000 years was, you
2 know, an order of magnitude greater than what was done
3 before, and that--I mean, yeah, you could argue that it could
4 get, you know, for 8,000 years, that the wettest event in
5 8,000 years is--

6 HORNBERGER: Yes. That wasn't what I was suggesting. I
7 was suggesting to simulate 10,000, but then just do the
8 statistics for the thousand years, because in your thousand
9 year simulation, when you pick that wettest year, you have
10 one representative for the thousand year event, which isn't a
11 very good estimator.

12 STEIN: Yeah, but we have that for 20 realizations. So,
13 for each climate, we have 40 years that are the wettest years
14 in 1,000. And, for each--that's for each climate.

15 HORNBERGER: Okay. So, you're doing it then.

16 STEIN: Yes.

17 HORNBERGER: I mean, you make a point that the mean
18 annual precipitation might be 200 millimeters, or so, at the
19 Yucca crest. My recollection is that for INFIL, it was like
20 193 millimeters. Am I wrong there?

21 STEIN: I guess I would ask Dan to help me out on that
22 one if he has information.

23 LEVITT: I can't remember exactly.

24 HORNBERGER: I was just curious whether there was a
25 difference there. You are making a point of it, and I didn't

1 know whether you were making a point that it was now
2 different.

3 STEIN: Well, the thing to remember about the INFIL, how
4 they represented, they ran, and Dan could probably help me
5 out on how they actually represented the present day, they
6 ran a 4JA simulation. They ran an Area 12 simulation, which
7 were pretty different, and you could see that in some of
8 Alan's plots, where the Area 12 plot is a lot wetter. And, I
9 guess I found--I guess I was just approaching the problem a
10 little differently, where we have an answer, you know,
11 there's an objective, an estimated value, and we're trying to
12 essential capture what that is from the available records
13 around Yucca Mountain, rather than making assumptions that
14 4JA and Area 12 are the bounds, and they're symmetrical, or
15 whatever. Because when you make an average between two
16 numbers, you're sort of assuming that your objective is
17 halfway between.

18 HORNBERGER: I guess what I'm trying to get my arms
19 around is how different are your mean precipitation maps from
20 previous mean precipitation?

21 STEIN: If you look at precipitation distributed over
22 the whole domain, we typically have slightly lower
23 precipitation values.

24 HORNBERGER: That's what I thought.

25 STEIN: And, part of that is due to the--we use a linear

1 lapse rate, precipitation lapse rate, and INFIL used an
2 exponential form. So, the functional forms were different.

3 HORNBERGER: Dave?

4 DIODATO: Diodato, Staff.

5 Thank you for the presentation. I'm going to try
6 to get my hands around your statistics, so if you can bear
7 with me? You had, on Slide 9, you talked about maybe 70
8 years of data, or so, and you analyzed those and came up with
9 a mean annual precipitation for Yucca Crest, like George has
10 referred to, of about 200 millimeters per year. So, what is
11 mean annual precipitation? Is that the expected value of
12 precipitation? How does that translate?

13 STEIN: Yes.

14 DIODATO: What's the mean? Does that include, I guess
15 I'd say straight out, does that include all of the years,
16 consideration of all the years of data?

17 STEIN: Yes.

18 DIODATO: All right. So, even years when there is low
19 annual precipitation?

20 STEIN: Yes.

21 DIODATO: And, years when there was high?

22 STEIN: Yes.

23 DIODATO: So, okay, so that's your--so, then, if I go to
24 Slide 15, for example, and I know that the recurrence
25 interval relates to the probabilities in some way. But,

1 you've also got these weights here. So, I'm trying to kind
2 of mentally put together a cumulative distribution function,
3 but it should be on the recurrence, not on the weights,
4 right? But, if I did it on the weights, if I count up from
5 the bottom, from year ten, this is out of 365,000 Row Excel
6 spreadsheet. I mean, how did you calculate? This comes from
7 your precipitation calculation?

8 STEIN: Yes, it's a thousand, I believe it's a thousand
9 rows, and--actually, it would have to be the other way, 365
10 columns.

11 DIODATO: Yes. So, every day of every year for 1,000
12 years in one spreadsheet?

13 STEIN: Yes.

14 DIODATO: So, you've got that all put together there.
15 And, then, you pull these representative years out.

16 STEIN: Yes. Are you clear on what the recurrence
17 interval means?

18 DIODATO: It's related to the probability of occurrence;
19 right?

20 STEIN: Yeah, it has to do with, I mean, you could think
21 of it as it's the average number of years that would occur
22 before the event exceeds that event. So, I mean, for the
23 wettest year, it would be, on average, it would be 1,000
24 years before you'd get an event that was equal to or exceeded
25 that.

1 DIODATO: But, in terms of looking where the numbers
2 might fall for a mean annual precipitation, to relate it back
3 to this Yucca Crest number, can you do a cumulative
4 distribution function going up your weight column, so adding?

5 STEIN: Well, the weights add up to one.

6 DIODATO: Adding up to one. Right.

7 STEIN: So, if you took your--I mean, I suppose I
8 haven't actually--

9 DIODATO: So, if I go up from year ten to year nine to
10 year eight, then that will be like 54 percent on the CDF in
11 terms of probability, and read across, I would say with a 54
12 percent probability, precipitation would be as great as 157
13 millimeters per year, or less.

14 STEIN: I think--yes, I believe that's right.

15 DIODATO: Okay. So, that's less than the mean annual
16 precipitation, even with a higher than 50 percent frequency,
17 right, on the CDF. I'm just trying--I don't know what this
18 all means. I'm trying to figure this out in real time.

19 STEIN: Part of this is the--you notice the years six
20 through year ten have a probability weight of 18 percent,
21 cumulative.

22 DIODATO: Yes.

23 STEIN: And, so, I mean, those are chunks. You know,
24 you have to look, it varies probably between 157 and 186.

25 DIODATO: Okay, yes, I was just trying to figure out how

1 these--

2 STEIN: Or 227, yes.

3 DIODATO: I was just trying to figure out how these
4 numbers relate to a--

5 HORNBERGER: Basically, it's not a CDF, it's a
6 histogram. It's a discrete form of a CDF.

7 DIODATO: Right, exactly. But, you can interpret it in
8 a similar fashion.

9 STEIN: Yes.

10 DIODATO: That's kind of an important thing.

11 STEIN: And, this is for one replicate.

12 DIODATO: And, you have 40 replicates--

13 STEIN: Two replicates, 20 realizations in each
14 replicate.

15 DIODATO: Okay. All right, of 1,000 years of
16 precipitation.

17 STEIN: Yes.

18 DIODATO: Great, thanks.

19 HORNBERGER: Actually, that does raise a question then.
20 So, let's take your 1,000 year recurrence interval. You're
21 saying 708 is what, the mean of 20 realizations?

22 STEIN: Yes.

23 HORNBERGER: It's the mean?

24 STEIN: Yes. We actually included, and this gets to
25 your question about maximum, you know, some of these may be

1 due to very large events, which does occur, we actually, in
2 the infiltration model, we've applied a maximum daily
3 precipitation value that we allow. Now, it turns out it
4 doesn't actually--we've looked at this formally through a
5 sensitivity study, but it doesn't actually--the results
6 aren't very sensitive, because when you get a very large
7 event, and we used the largest daily observed precipitation
8 in the U.S., you get lots of runoff, as you can imagine. So,
9 at that point, you're limited by your soil properties and
10 bedrock properties.

11 HORNBERGER: Other questions?

12 (No response.)

13 HORNBERGER: Well, thanks very much, and we will give
14 you a brief rest, so you can have a glass of water before
15 your next talk. We'll take a 20 minute break.

16 (Whereupon, a brief recess was taken.)

17 HORNBERGER: Okay, we are reconvened, if everybody can
18 find a seat. So, Josh, we're now into your second
19 presentation about the estimates of infiltration.

20 STEIN: Okay, are we ready?

21 HORNBERGER: We are ready.

22 STEIN: Okay, next slide, please?

23 There are a whole long list of people to
24 acknowledge, and I don't probably have enough time today, but
25 I want to point out that this was not only a Sandia National

1 Lab effort, we involved in the development team folks from
2 academia and folks from a whole variety of different
3 backgrounds.

4 Dan and I were kind of the leads in terms of
5 getting the conceptual model and the implementation and
6 stuff. Al Reed is a Sandian, and really, without his help, I
7 don't think we would have met the quality assurance and also
8 the--we produced a product that in Mathcad that really I
9 would encourage you, once it's released, to look at, because
10 it's Al Reed that takes a lot of this credit, and it's a good
11 example of how you can use technology to really improve
12 traceability and transparency, and I will talk a little bit
13 about that.

14 Rick Allen, we had him on contract, he's the author
15 of FAO 56, which is the basis for the new ET model that we're
16 using, and David Groeneveld has a business, and he's worked
17 at Yucca Mountain in the field before for the NRC, I believe,
18 as a consultant. But, he helped us look at satellite data to
19 try to characterize local vegetation characteristics, which I
20 will talk a little bit about. John Stormont at the
21 University of New Mexico started out really helping us go
22 through the INFIL documentation, and try to understand
23 whether we could reproduce the actual properties for the
24 bedrock, for soils, and provided a lot of guidance on
25 conceptual model verification. Because we weren't able to

1 communicate with the originators of the INFIL during this
2 process, he provided a lot of basis on sort of making the
3 judgment call of whether we were going to go with the
4 approach as we understood it from the INFIL, or take a
5 different approach.

6 Next slide? Actually, the person, Kaylie Rasmuson
7 works at BSC. She's an expert at Yucca Mountain vegetation,
8 and she actually had collected a lot of data at these
9 environmental study plots, which we used to calibrate our
10 satellite measurements of vegetation. It's important to have
11 ground trees when you look up from so far.

12 Next slide? I mentioned those. Daniel B. Stephens
13 was not directly involved in producing the model, but he was
14 tasked to do an independent review, and, so, as we were going
15 from step to step, we would basically apprise him and give
16 him an update and Todd Umstodt is representing Daniel B.
17 Stephens. He was the project lead for that project. So,
18 they're still looking at our model, and they've provided
19 comments and they will produce a final report.

20 Okay, next slide? So, starting in about July, and
21 this is when I got involved in this project, was July 2005,
22 and we were tasked to do two things, and I think we have
23 spent a lot of time talking about the first one, which is the
24 replacement of the INFIL model, but the original INFIL
25 calculations, those nine maps, in order to be used in TSPA,

1 they had to be weighted, basically provide a probability of a
2 weighting function, and that was done in another AMR called
3 the analysis of infiltration uncertainty. And, when we were
4 starting this project, we decided that we would redo that AMR
5 as well, so, we wanted to really incorporate the estimate of
6 uncertainty into the calculations directly rather than trying
7 to do it afterwards.

8 In addition, when we started the work, BSC was
9 leading a team to produce nine data qualification reports,
10 and it's the boundaries between BSC and Sandia, this was
11 during the transition period, so the details are a little bit
12 more complicated than that, and some of the people were from
13 Sandia, but those included site maps of properties, bedrock
14 types, soil properties and bedrock properties, vegetation
15 units, and also kind of a review and compilation of the
16 available weather data. That's what I talked about before.

17 We produced a new model, it's called MASSIF, it's
18 unlike INFIL in the sense that it's not a FORTRAN code. It's
19 actually a--I kind of liken it more to a--you see these e-
20 books out there where you can take--it's written in Mathcad,
21 and I'll talk a little bit about that next. The report is
22 going to be documented as a revision in name to the AMR, MDL,
23 MDS, they just 00023, it will be Rev 1, it's an absolute
24 replacement, it's just a revision in name. It's more of a
25 procedural issue. And, it's, like I said before, the work is

1 still in the checking phase, so it's inherently preliminary.

2 Next slide? The goals for this, we weren't really
3 given free license to go out and create a brand new model.
4 The idea here was to build upon INFIL, the conceptual model,
5 and so we used a mass balance approach, we used the similar
6 grid domain, we're using a field capacity representation of
7 flow in the system. And, as we were developing this, we were
8 evaluating the sub-models, based on the available
9 documentation, to make sure that we could stand behind the
10 justifications, and, in some cases, we didn't have enough
11 information, that we felt uncomfortable. Based on the
12 information we had, if we had taken that data with the
13 knowledge that we did have, we would have made a different
14 decision. In those cases, we went with that different
15 decision. I'll try to point some of those differences out.

16 We took the quality assurance priorities and
17 objectives very seriously. I mean, I came from the WIPP
18 project before this, and actually, in listening to some of
19 the--and, when I started on Yucca Mountain, I have a slightly
20 different perspective of quality assurance requirements,
21 because the way it was, and this is purely by happenstance
22 perhaps, but the way it was introduced to me when I started
23 on the WIPP project was we had QA people working on the team
24 with us, and it was described as this is the way you should
25 do your work. This is, and I think QA and the scientific

1 method fit together naturally, so I'm very proud of this work
2 in terms of its transparency, its traceability, its accuracy
3 in terms--I want to mention a little bit that the actual
4 algorithms and the routines used in MASSIF have been
5 independently verified by John Case, who has been
6 independently verifying these things separate from us. We've
7 set it up so he can run a complete different set of
8 calculations that are linked to our calculations, and verify
9 in real time essentially that everything is working as
10 planned.

11 Go to the next slide. Just talk about the choice
12 of Mathcad. Mathcad is not a compiled language. It's a
13 graphical kind of interface to a calculation. It
14 incorporates documentation, so inline, you don't have to--you
15 aren't limited to comment fields, you can draw pictures, you
16 can draw diagrams, you can have every step of the calculation
17 is described in great detail. There are hyperlinks. When
18 you open the calculation, it opens up as a table of contents.
19 It's just like a book. You can go into the introduction, it
20 talks about how the calculation is structured. If you want
21 to go in and look at how ET is calculated, you double click
22 on it, it will bring you to the section of the documentation
23 where ET is described. It will then have a hyperlink to the
24 actual implementation, and the implementation is documented
25 step by step. So, this has been an eye opener for me,

1 because I did come from a programming background, at least
2 with my graduate work. I used FORTRAN codes, and it can be
3 problematic unless it's very well managed. And, Mathcad
4 really does offer an environment where you can really
5 document a calculation in real time.

6 And, I really do believe that when you do see it,
7 you will be able to repeat the calculations. I can guarantee
8 it. And, I'm hoping I'll never get another call from anybody
9 regarding this.

10 Next slide? I took this actually, and Alan showed
11 this, and actually, there's one modification. MASSIF, we had
12 a competition for the acronym, and we came up with MASSIF,
13 mass accounting system for soil infiltration and flow.
14 There's one change here that I actually just noted when Alan
15 was talking about it. This is the diagram that shows the net
16 infiltration boundary, and one change that we do have in the
17 MASSIF model is that our net infiltration boundary is the
18 bedrock soil interface. I just wanted to make that clear.
19 It's not shown there.

20 This equation shown on the bottom here shows
21 essentially the mass, or in reality, it's a volume balance,
22 where you have--this is done on a cell by cell basis. We
23 chose a 30 by 30 meter digital elevation map based on the
24 shuttle radar topography mission, which is a little different
25 than--we didn't use the USGS DM. And, basically, this

1 equation is solved on a daily time step for each cell in each
2 watershed, and you start, the order of the calculation is you
3 start at the highest elevation cell, such that you can see in
4 the left-hand side, runoff is the output, and that then
5 becomes run-on to the next downstream cell. So, it's the
6 same watershed routing process, the same model for INFIL.

7 The parameters there are runoff equals
8 precipitation plus run-on, plus any snow melt that's added to
9 the soil, minus any precipitation that falls as snow, snow
10 fall, minus sublimation, plus any change in storage, minus
11 ET, and then minus any net infiltration that leaves the
12 bottom boundary of your domain.

13 The challenge, I mean, Scott, I think, alluded to
14 this, the challenge of solving this type of equation is that
15 precipitation typically is a dominant input, and ET is a
16 dominant output. So, we're trying to calculate the
17 difference between two large numbers, with a lot of
18 uncertainty. And, that is a difficult problem to get
19 accurately.

20 Next slide? I'm going to go through each sort of
21 groups of different input parameters. So, for water input
22 into the system, I've talked about daily precipitation being
23 stochastically simulated, and ten representative years, and
24 I've also talked about the precipitation lapse correction.
25 We model temperature as a sinusoidal function, and it's

1 modeled separately on wet and dry days. Because if you
2 actually look at--there is a difference in many of these
3 stations that you end up getting differences, less difference
4 in daily temperature when it rains than you do on dry days.
5 You get higher highs and lower lows on dry days.

6 So, on days when the average daily temperature, the
7 mean of the maximum and minimum is below zero, we assume that
8 the precipitation falls as snow. That's similar to the INFIL
9 model. And, then, water enters the soil as snow melt as a
10 function of the daily average temperature. It's kind of a
11 temperature index method.

12 Sublimation losses are represented. They are
13 removed, if the temperature is below zero on the day that it
14 snows, we remove a portion of that water rather than trying
15 to model the removal of the snow pack dynamically.

16 Next slide? The water content and the change in
17 storage in the system, this is very similar to the INFIL 2
18 methodology, except with some minor changes. We still use a
19 field capacity approach. One of the differences with out
20 approach is that we define field capacity as ranging between
21 the water contents at a suction pressure of negative one-
22 third, and negative one-tenth bar. So, that we introduce
23 some uncertainty in that, based on just textbook definitions.

24 The model is layered vertically into as many as
25 three layers, and the top layer has actually two sublayers

1 that are defined in terms of how much plant canopy exists at
2 that time. So, this is a--you can think of the cell as being
3 divided between parts of the area of the cell that's shaded
4 by any plants, and areas that have bare soil. This gets at
5 the choice of the ET model that we use, which is the FAO 56
6 guidelines, and specifically, we're using a dual crop
7 formulation of that which includes explicitly modeling the
8 bare soil evaporation. So, those fractions of that surface
9 layer cell, or the evaporation layer, as we like to call it,
10 vary depending on how much vegetation is present and the time
11 of year, and I'll get into that in a little bit more detail.

12 Layer two is the remaining portion of the root
13 zone, and we defined a maximum rooting depth in the model.
14 And, that's based on looking at present species at the site
15 for present day, and then also looking at future climates and
16 looking at predictions of what plant species will be existing
17 there and doing some statistics.

18 All these parameters that we assign spatially are
19 upscaled to 30 by 30 meter grid cells. It's just something
20 to keep in mind. So, you have to be a little careful when
21 you take point measurements at various properties. We're
22 upscaling them.

23 The bottom boundary is the top of bedrock, and we
24 do make the assumption that roots do not penetrate bedrock.
25 And, I imagine this is one of the key differences between

1 INFIL and MASSIF, and perhaps it's a distinction of
2 definition rather than process, because I think--I mean, I
3 believe that there is water that is removed from bedrock. I
4 mean, that's why there is caliche there. The question I have
5 is how much, and what basis do you have to estimate those
6 rates?

7 So, in our modeling, we didn't identify a
8 quantitative way of estimating that process, and based on
9 some of the NRC guidelines that I had outlined in the
10 previous talk about be concerned about under estimating an
11 infiltration, we made the decision to exclude that process.

12 Now, one of the questions I do have is, you know,
13 there obviously is some amount of water that is removed from
14 bedrock, and I guess the real question is how much.

15 Next slide? So, what moves from, just like in the
16 previous one, this is the cascading bucket model, water moves
17 from upper to lower layers when the field capacity is
18 exceeded, and low is limited by the soil conductivity. So,
19 there are two ways that you can generate runoff in this
20 model. One is if your intensity of your rain is such that
21 you are applying water fast enough that the soil can't absorb
22 it. I didn't talk about rain intensity. There is a
23 parameter in the--we looked at hourly weather data from the
24 different stations for various climates, and we related the
25 number of hourly intervals in which you measure precipitation

1 on a day, to the amount of rain that actually fell on that
2 day. And there is a loose correlation, it's not a strong
3 correlation, there's a lot of variability, but what you can
4 say is that monsoonal stations tend to have much more
5 intense, shorter bursts of precipitation at Hobbs and
6 Nogales, and glacial transition tends to be a lot longer
7 period of low intensity rain, and present day is somewhere in
8 between. And, so, we have used some data to actually do some
9 regression analyses and come up with parameters for that.

10 Net infiltration occurs once the soil layer
11 contacting bedrock exceeds field capacity. And, it's limited
12 by the bedrock conductivity. And, bedrock conductivities are
13 significantly different than what was used in the previous
14 results. And, that will actually--that's a fairly
15 significant difference. The soil conductivities are
16 different as well, and I probably should, this has come up.
17 Part of the effort in looking at the original data sources,
18 there were lots of measurements that were referred to this
19 morning, and in going back through the records, and I wasn't
20 involved directly in this work, but this is one of the teams
21 that BSC had put together for looking at the various data
22 sources, the records in many cases were insufficient to
23 reproduce the values that we found in the tables in the INFIL
24 report. And, I don't know, I guess I can't speak to the
25 specifics on every case. Ken Rayfeld was a key member of

1 that team, basically managing that team, so he might be able
2 to answer some questions specific to that.

3 But, in the cases where we weren't able to verify,
4 basically take records in TDMS and arrive at the values that
5 were in the tables, we chose different approaches, different
6 methods. And, I'll talk a little bit about some of those.

7 Next slide? The model domain, you've seen some
8 pictures, the composite here is the model domain, and
9 actually, we had information sort of from within that square,
10 or the rectangle, and we essentially had shuttle radar
11 topography data, and we used watershed terrain processing
12 toolbox from ARC GIS to essentially route water through the
13 topography.

14 We filled sinks, which I'd note it's a common
15 practice when you take digital elevation models, occasionally
16 you will get areas which have actual local lows. And, you
17 know, if you were in a karst terrain, that would actually be
18 expected. In this terrain, it may exist locally, however,
19 for the routing of stream flow, we have assumed that it
20 doesn't exist. So, any time that there's a local sink, we
21 fill it.

22 In most cases, the fillings are on the order of 1
23 meter or 2 meters. There's occasional noise in the data that
24 causes higher amounts of sinks. I think there's one cell
25 that had to be filled with 16 meters of soil. It's a radar

1 measurement, so there's probably, you know, there's going to
2 be various causes for some anomalies.

3 So, each watershed, the domain is actually divided
4 into, given this data set, we had to divide it into eleven
5 drainages. The previous DEM was divided into ten drainages.
6 Each watershed drains to a single point at the outside.
7 These are like in Forty Mile Wash down here. And, the
8 constraint is is we had to include everything within the UZ
9 modeling boundary, because we needed to make sure we had
10 coverage, because that's where the results are moved
11 downstream in the TSPA. And, so, eleven watersheds were
12 required to fill this area, and I'm showing the repository
13 boundary here.

14 Each cell drains to a neighboring cell with the
15 lowest elevation, and it's commonly referred to as U8
16 algorithm because each cell is surrounded by eight cells.
17 You're looking for the lowest one.

18 Next slide? For the ET model, I said before we
19 used FAO, which is Food and Agricultural Organization of the
20 United Nations. It's designed for calculating
21 evapotranspiration for crops, agricultural setting. However,
22 there are extensive, if you look in the back of the document,
23 the FAO document, they have a whole host of extra chapters in
24 there on how to apply it to natural vegetation, and, so we
25 identified this as a good candidate for incorporating actual

1 Yucca Mountain specific vegetation, desert specific
2 vegetation. It has ways of incorporating plants with high
3 resistances, such as you would expect in the desert, and it
4 also has a dual crop formulation which explicitly introduces
5 the bare soil evaporation. And, those are both potentially
6 important in this environment. It's based rather than on a
7 potential ET, it's based on a reference ET, which is a
8 predefined, there's various references, it's calculated with
9 a Penman Montief formulation, and it's based on a well--these
10 are the reference grass, it's clipped to a certain height,
11 it's well watered, and it reflects essentially how much that
12 particular reference crop under unstressed conditions would
13 evapotranspire.

14 And, then, to calculate actual ET, you multiply it
15 by a series of coefficients, which act to modify that. So,
16 there's a water stress coefficient, which basically varies
17 from zero when there's plenty of water--or, sorry--zero when
18 there's no water, or very little water, to 1 when there's
19 plenty of water. And, that's a function of soil properties
20 and vegetation itself. There's the basal transpiration
21 coefficient, or the basal crop coefficient, K_{cb} , and this has
22 the function of the vegetation. I mean, it varies from zero
23 when there's no vegetation, to 1.35, approximately. That
24 would be for something like a lettuce, you know, something
25 that actually transpires more. So, we're dealing with much

1 lower Kcb's in this environment. And, then, there's the Ke,
2 or evaporation coefficient, which is a function of soil
3 properties, and also vegetation, because it varies depending
4 on how much canopy there is.

5 Next slide? A quick discussion on the reference
6 ET. We used a Penman-Monteith equation as recommended by
7 Rick Allen and FAO 56. You know, recognizing that solar
8 radiation is the most important, probably source of energy in
9 the system, we do some--it's, I guess, it's a similar
10 approach to the SOLRAD program, except there's slightly
11 different processes that are being considered.

12 We estimate, basically, the solar radiation on a
13 horizontal surface based on the Hargreaves equation, which
14 relates the solar radiation on a daily basis to the
15 temperature difference on that day, so the idea is on a clear
16 day, you tend to have a larger swing in temperatures, and
17 that's especially true in the desert. And, in that case, you
18 would get a higher incoming solar radiation on the horizontal
19 surface. And, on a cloudy day, it would be reduced. That's
20 essentially the Hargreaves equation.

21 We do a slope-azimuth correction, and this is based
22 on time of year, orientation of the sun. This is work that's
23 published by Rick Allen. And, we have actually validated
24 this approach, the Hargreaves and some of the other
25 coefficients that go into this, using solar radiation data

1 collected at the desert rock station nearby.

2 Minimum and maximum temperature are modeled
3 separately on wet and dry days. I mentioned that before.
4 And, because we don't have an analog for wind speed in future
5 climates, we make the assumption that the wind speed measured
6 during the present day is applicable for all future climates.
7 And, actually, the reason why we decided to go with a daily
8 fit to actual data is because there's a very strong seasonal
9 pattern in the wind speed, where you get a peak in April, and
10 it's repeatable throughout. It appears to be a fairly robust
11 pattern.

12 Next slide? Okay, this is a little complicated.
13 The real, you know, we went into this--we decided to go with
14 a new version of the ET model because of some of the stuff
15 that Scott I think was talking about, and there's a bunch of
16 papers published around 2004, which really keyed in on the
17 importance of getting the local vegetation correct, and the
18 importance of vegetation in estimating ET in arid
19 environments. And, so, I think I'm going to give you the
20 lessons learned.

21 We've gone to great effort to actually incorporate
22 a new ET model that does use available site information. It
23 uses satellite measurements of vegetation, and actually tries
24 to model the dynamics of how vegetation comes and grows over
25 the year, senescence times, and at the end, we're actually

1 getting results that are very similar to INFIL. I just
2 wanted to point that out. We didn't know that going in
3 there, but we actually, INFIL was doing quite a good job,
4 even with just textbook values.

5 But, the Kcb, we have to estimate that for each
6 grid cell, for each day of the year, depending on the
7 characteristics of the year. And, so, there's various
8 components to that. First, what we did is we obtained many,
9 many images, basically land images that you could calculate a
10 normalized difference vegetation index, and this is a
11 standard way, it's basically the difference between the near
12 infrared, minus the red, divided by the sum of those two, the
13 radiances, and the higher that value is, the greener your
14 good cell is, or your pixel. And, so, it's been used, it's a
15 classic, it's one of the sort of most widely used vegetation
16 indices.

17 What we did is we collected data on an
18 approximately monthly period through three different years, a
19 very wet year, sort of a moderate year, and a very dry year.
20 This map right here is a parameter that we're calling the
21 potential vegetation response, and in essence what it is is
22 it's a normalized difference of NDVI from the wettest year,
23 subtracting out the driest year. And, the reason why we
24 needed to do this is because you actually can get NDVI
25 signals from rock varnish, and actually, this is pretty new

1 stuff. We had some problems looking at some of the dry year.
2 We were getting this NDVI signal, but what you realize is if
3 you subtract out from the dry signal, you could actually--we
4 identified it. It was actually coming from bare exposed
5 rock.

6 So, this is a difference map, essentially.
7 Anywhere that's dark, the darker colors down here, indicate a
8 potential for greater amounts of greenness, greater amounts
9 of vegetation. And, the places that are red, like up here,
10 are areas where there was no vegetation, essentially, no
11 difference between the wet and the dry year. These areas up
12 here are characterized by pretty harsh terrain, lots of bare
13 bedrock, things like that. So, that gives you kind of a
14 spatial picture.

15 This picture right here is a plot of day of water
16 year. This is for the wet year that we chose. And, it shows
17 two things. It shows in the bars here are the NDVI,
18 including the uncertainty, for an upland area--actually, this
19 is for--let me back up. We had data collected at the sit
20 over a number of years from these ecological study plots,
21 where they actually did vegetation monitoring within these
22 areas, well controlled areas, they knew where they were.
23 They had weather stations set up. They measured on a monthly
24 basis, I believe, they went out and measured percentage of
25 different species of plants. They went and did a full

1 catalog of the ecology of the site. They did leaf area
2 measurements. So, they had the types of plants, which you
3 could then go and look in a textbook and find, or, you know,
4 in the literature and find, stomatal resistance values.

5 So, these lines here are the uncertainties, or
6 basically, this is the mean, the upper bound and the lower
7 bound for a K_{cb} calculated from site specific data. And, we
8 compare that to NDVI measured at that same location. So, we
9 located the pixels for each of those study plots, and they
10 aren't the exact same years, but they are on years that have
11 comparable precipitation. We just weren't able to get the
12 data on this in time. So, this is the relationship here.

13 And, then, we do a regression analysis where we
14 plot NDVI versus K_{cb} and the regression, we're using as a--
15 minimizing K_i squared approach, which incorporates individual
16 uncertainties in each of the data points. So, there's been a
17 little bit of confusion about--the values we get, it's a
18 rigorous treatment of the uncertainty. And, this ends up
19 being a significant uncertainty that gets screened into the
20 uncertainty analysis.

21 So, essentially, we have a location, we have a day
22 of year, and then we have total annual precipitation. And,
23 we come up with a scaling factor that relates--essentially,
24 it's a model that predicts NDVI for any location as a
25 function of precipitation, and also slope and azimuth.

1 There's a lot going on in this slide, and it would be almost
2 a whole talk just to talk about it.

3 But, essentially, we're trying to get at spatially
4 and temporally, how does vegetation signal respond at Yucca
5 Mountain. And, then, we're relating that to NDVI and Kcb
6 values at ground location. And, so, therefore, the model
7 really predicts an NDVI, and we then use this regression to
8 assess out a Kcb.

9 HORNBERGER: --how you got your Kcb's. You said you did
10 it from site data.

11 STEIN: Yes.

12 HORNBERGER: Site data on evapotranspiration?

13 STEIN: No, site data on actual plant--it's from these
14 environmental study plots. There's a, in the back of FAO 56,
15 they have essentially a whole series of methods to use.

16 HORNBERGER: So, it's the FAO empirical approach?

17 STEIN: Yes.

18 HORNBERGER: What you did is identified how much of
19 which plant, and then looked up in their tables and picked
20 off--

21 STEIN: Well--

22 HORNBERGER: And deleted them?

23 STEIN: Yeah, yeah, that's essentially--it's not in
24 their table because they're mostly focused on crops. So, we
25 had to go to other literature that actually you can measure

1 stomatal resistance by sticking little sensors over the
2 leaves, I guess.

3 HORNBERGER: Yes, but you didn't do that. You just
4 looked it up--

5 STEIN: Yes, that's right, we looked it up from
6 basically other people who had done that. We used those
7 values and we used the equations in FAO 56.

8 HORNBERGER: Okay.

9 STEIN: Okay, next slide? Okay, some of the other
10 inputs. These are maps of soil depth class, soil group, and
11 bedrock type. And, these were maps that were produced by
12 some of those other efforts that we're looking at, the
13 underlying data. And, one of the problems we had initially
14 with using soil depth, there was a set of equations in INFIL
15 that related to soil depth at any one location to a set of
16 empirical equations that were related to slope of the
17 surface, and what soil depth class it was in.

18 And, actually, I should just note that the numbers
19 got reversed. If you're looking at an INFIL, what we call
20 shallow soil, which is soil depth class 4, is soil depth
21 class 1 in the INFIL model.

22 Ken could speak to specifics, but we looked at, we
23 couldn't trace back where those equations came from, and when
24 we compared soil depths observed at various borehole
25 locations, we were unable to justify those fits. And,

1 there's actually not that--this is approximately 125 square
2 kilometers, and there aren't actually that many soil depth
3 measurements made that we could find. And, so, we decided to
4 treat these as larger regions where we would upscale a value.

5 So, the way this was set up, we actually assigned
6 soil depth based on measurements that were made within each
7 of these regions. And, the key one, as you can see, is blue,
8 soil depth class 4, we used two different approaches to
9 estimate the uncertainty in the upscaled value for that, and
10 it's defined as a uniform distribution between 10 centimeters
11 and 50 centimeters. And, this ends up becoming a very
12 important parameter, as you might imagine, because it
13 controls how much water you can store. It's one of the
14 components in controlling the water.

15 The soil groupings, we did a similar thing here.
16 I'm going to talk a little bit about soil properties in the
17 upcoming slide, but we looked at the various soil--
18 information we had on the soils from within these soil
19 groupings, and there were originally nine soil groupings, and
20 we used statistical, basically statistical tests to see
21 whether information from one soil, even if it had a different
22 soil classification, its properties, if they were
23 statistically similar, we grouped those together in order to
24 increase the number of data. We didn't want to propagate a
25 soil classification scheme if we didn't have the data to back

1 up the distinction between them.

2 So, we ended up grouping soils 2 through 6, 3 and
3 4, and then 5, 7 and 9. And, I think the real basic way of
4 thinking about this is we have kind of two main soil types.
5 We have a 5, 7 and 9, which is reflective of the uplands
6 area, and we have this soils 3 and 4, which is more focused
7 in channels, so that will actually come up later.

8 Next slide?

9 HORNBERGER: I have a question. You mentioned your soil
10 depth and you say you're doing upscaling. What do you mean
11 by upscaling? You're taking your point measurements and
12 doing something to them to--

13 STEIN: Yeah, what we looked at is we had point
14 measurements from various locations, and what you find--
15 basically, I believe, for soil depth class 4, it was--it
16 looked like the data followed a lognormal distribution. And,
17 so, one of the approaches that we used was to essentially
18 estimate the, basically, the, I think it was estimate an
19 effective value for a lognormal distribution, lying somewhere
20 between the mean and the median. So, that gave you
21 essentially a point 1 to point 5 spread.

22 Alternatively, we actually went--we had somebody
23 independently go out and take photos at the site, and make
24 soil depth measurements, and it's in a scientific notebook.
25 We had a statistician look at the observations from that

1 scientific notebook independent of the point measurements,
2 and come up with a spatial distribution, and estimate an
3 upper and lower bound based on that data. And, they both
4 actually agreed to that level of uncertainty.

5 Just to give you a perspective, this area which
6 covered, you know, soil depth class 4 covers, I think, 60 or
7 70 percent of the model domain, we had 35 point measurements
8 in an area that's like 70 square kilometers. There's a lot
9 of variability going on there.

10 I wish, I mean, I think that with further work, you
11 could come up with a model of soil depth, and relate it to
12 conditions on the ground. I know I've seen--I've heard of
13 some work done by the Center where they have actually done,
14 you know, a more mechanistic model of soil depth. We just
15 didn't have time.

16 For soil properties, this was another case where
17 there are tables in the INFIL report, they're in Appendix B,
18 and where it lists essentially the essential properties of
19 each of the soil groups. And, there are DTN lists. We had
20 people go back and try to recreate from the underlying data,
21 and because of transparency and traceability issues, we
22 weren't able to do that for all soils.

23 We had another effort with the data qualification
24 where we did have lots of soil texture data, several hundred
25 measurements across the site of soil texture data, and this

1 group decided to use a pedotransfer function approach,
2 working with a data set in Hanford, Washington at the Hanford
3 site, where they had taken detailed measurements of the soil
4 characteristic curves for those soils, and then matching them
5 in a non-parametric way, kind of a closest match based on
6 percent of the various size fractions, matching them to a
7 Yucca Mountain sample, and then making a correction for rock
8 fragments. And, there's a whole separate report on that
9 approach.

10 And, from that, we get hydraulic conductivity,
11 field capacity, which we defined as between these two suction
12 pressures, a wilting point defined at minus 60 bar, same as
13 the INFIL model used, and a saturated moisture content,
14 sometimes referred to as porosity, although it's not really
15 porosity, but it's essentially porosity.

16 Next slide? Okay, this is bedrock conductivity. I
17 mean, early this morning, Scott talked about the use of
18 inferred data, and once again, we are using inferred data,
19 and we estimate conductivity. Previously, the conductivity
20 was actually assumed that all fractures were filled. And,
21 so, we had essentially all fractures filled with a caliche
22 material. And, then, the differences in conductivity would
23 be attributed to differences in the matrix conductivity, the
24 aperture of the filled fracture, the filled fracture
25 conductivity, and the fracture densities. When looking

1 through the data at various observations, it was noted that
2 there are areas where fracture filling is not pervasive.
3 There are areas that the fractures are not filled at all.

4 I've been out at the site. I've got to say that if
5 you, depending on where you go, you will get a very different
6 impression. When I was talking to some of the Center, we had
7 an OR visit, I heard--there's places where the fractures are
8 filled with soils. I didn't actually see that, but there's a
9 lot of uncertainty as to how much of the fractures are
10 filled, and what they're filled with. And, it obviously
11 varies depending on where you are.

12 This is the Alcove 1 infiltration test, which
13 infers a conductivity. This is sort of a strange plot. This
14 is bedrock, hydrogeologic unit number, so there's no meaning
15 in terms of increasing value. It's just a categorical
16 variable. And, this is a log scale of Bulk Ksat. You can
17 see this particular case is approximately an order of
18 magnitude above the inferred 100 percent fracture fill.

19 What we did--or, this was also a group that was the
20 qualification group. They looked at what--there appeared to
21 be evidence that some portion of these fractures at some
22 locations were actually open, and there were some open
23 components. And, so, we defined an uncertainty between an
24 upper and a lower bound, lower bound being 100 percent
25 fractures filled with caliche, and an upper bound with each

1 fracture having a 200 micron open fracture component. And,
2 that's shown in the red line here. The black line down here
3 shows your full fractures, and this yellow line is based on
4 air permeability tests done in the same rock types, but at
5 much deeper depths, where you don't have any pedogenic
6 calcite. So, you could think of that as possibly a
7 representative of an upper bound.

8 So, that's how we characterized the uncertainty.
9 Another data point that I don't have shown on here was a
10 study done at Fran Ridge where they took a large block out
11 for testing, and they did a flow experiment there where they
12 ponded water and watched it infiltrate, and they actually
13 went back and excavated with dye so they could see where the
14 fractures were flowing. And, that actually inferred a much
15 higher infiltration rate, upwards of I don't remember--Dan,
16 do you remember the actual value? Yes, 4 meters a day.

17 We didn't include that in this plot because it was
18 collected not at the surface. It was actually collected
19 slightly below the surface, so you might expect it to be
20 higher.

21 Next slide? Okay, the model has approximately 200
22 parameters, and we have vegetation parameters, we have soil
23 and rock property parameters for various different rock
24 classes and categories. For each one of those parameters, we
25 used the available data to define an uncertainty

1 distribution, or a probability distribution for that
2 parameter. And, then, we had to go through a screening
3 process because we didn't have the resources to run, you
4 know, 200 parameters. We would have had to run thousands of
5 realizations.

6 We chose a screening process that's based on these
7 two criteria. If it was a geospatial parameter, meaning that
8 it was a parameter related to location, then if that unit
9 covered more than 15 percent of the UZ modeling domain, then
10 it was included. So, that brought in soil depth class and
11 two bedrock properties, bedrock types that were within the UZ
12 model domain.

13 If it was a non geospatial parameter, then we used
14 the same screening that we did for the precipitation, that
15 standard uncertainty is greater than 15 percent. Now, this
16 is arbitrary, so we actually later on went back and made a
17 validation of this, which I will refer to, to make sure we
18 didn't miss any parameters. But, we had to do that on a
19 smaller domain.

20 So, the screened-in parameters, if they're in
21 between 11 to 15 that were sampled with LHS, or Latin
22 Hypercube Sampling, for each climate, and for each climate,
23 we created two replicates, and these are the same replicates
24 that I showed for the stochastic parameters, and we did two
25 replicates because we wanted to test the stability. We knew

1 these were small, you know, when you're doing 20 realizations
2 and 11 or 15 parameters, you may have the opportunity for
3 some instability in your results. So, typically, you can
4 evaluate that, and quantify that, the added uncertainty from
5 the small sample size, by running two replicates. And, we
6 did that.

7 So, then, when we compiled the actual output of the
8 model, we combined both replicates. So, we have 40
9 realizations representing each.

10 Next slide? Okay, this is a very quick and just
11 overview of the new results. And, we're looking at, these
12 are the MASSIF results and these are the 10th, the 50th, and
13 the 90th percentiles of those 40 realizations. And, these
14 are averaged over the whole domain.

15 Now, you can slice and dice this a variety of
16 different ways, and we've reported different numbers. I
17 mean, if you look at just the repository footprint, you get a
18 slightly different number than you would--and, so, we have
19 here compared with the numbers coming out of the 2004 version
20 of the INFIL report, which those numbers are the same as
21 coming out of the 2001 version as well.

22 So, you can see that we've--the means have gone up,
23 depending on where you look, approximately a factor of two to
24 three. I actually think this is a little bit more
25 interesting, and this is basically presenting the mean water

1 flux fractions as a percentage of the total precipitation,
2 that enters in, and you can see the percent of the
3 precipitation, this is kind of, you know, similar to a Maxey
4 Eakin way of categorizing it, we're getting 8, 9 and 10
5 percent respectively for each climate of the precipitation as
6 infiltration. And, these are the percentages for ET,
7 percentages for runoff, and I want to just point out that
8 runoff is a very small fraction of the water budget. So, you
9 have to be--things that control runoff don't necessarily
10 control net infiltration. And, this is sublimation. You can
11 see it starts to kick in a little bit when you get to the
12 glacial transition where we're actually getting snow.

13 HORNBERGER: I want to make sure I have this right. Up
14 until now, I haven't heard you say that you've done any
15 calibration whatsoever. You haven't tried to match anything.

16 STEIN: We thought about doing calibration, and we--I
17 guess we--I mean, this is a very difficult problem to
18 calibrate because what's your goal? Your goal is to try to
19 estimate net infiltration. If you calibrate to stream flow,
20 I guess my feeling is is that stream flow occurs on a
21 different time scale, you know, it's what controls stream
22 flow. It's controlled by the surface, conditions of the
23 soil. It's controlled by antecedent moisture conditions.
24 You look at it like a runoff curve method.

25 The parameters that are actually going to control

1 runoff, I don't feel are sensitive parameters in controlling
2 infiltration. And, you know, I guess I feel uncomfortable
3 calibrating something that's 1 or 2 percent of the water
4 budget, to calibrate net infiltration.

5 HORNBERGER: I mean, I didn't mean to get into an
6 argument with you, I just wanted to clarify that you have not
7 calibrated up to this point.

8 STEIN: We did not, we decided to, instead of
9 calibrating, we decided to try to assess the uncertainty
10 range. It's kind of--

11 HORNBERGER: No, that's fine. But, I mean, when you're
12 comparing MASSIF with INFIL, INFIL was calibrated.

13 STEIN: Right.

14 HORNBERGER: And, so, this factor of 3 or 4, whatever,
15 is not surprising at all.

16 STEIN: Okay.

17 CERLING: Well, another question that has to do with
18 what exactly the 10th or 90th percentile means, and is that
19 like the 10th percentile or the 90th percentile of 20
20 realizations or--

21 STEIN: Of 40 realizations.

22 CERLING: Of 40 realizations.

23 STEIN: Yes, we combined the replicates.

24 CERLING: You take all of those realizations and that's
25 the 10th and 90th of the realizations, not of all of the

1 things making up each realization?

2 STEIN: That's correct. So, that's one way of--I mean,
3 that was our way of characterizing an upper--I mean, one
4 thing to note is, you know, when you're trying to estimate
5 uncertainty at the tails of a distribution, you're in--we
6 didn't want to go and try to say that the estimate--a maximum
7 or a minimum, because the uncertainty in a maximum or a
8 minimum are much larger than when you get into--closer into
9 the distribution. It's just more robust.

10 And, actually, the UZ flow group is using the 10th,
11 the 30th, the 50th, and the 90th, and that will be explained.
12 Their model uses a different set of data. It uses our data
13 coming in as a boundary condition. But, it's also based on
14 data collected deeper within the UZ. And, so, they have more
15 information in order to help guide weights and stuff.

16 HORNBERGER: Now, again, the top left table, this is
17 what I think John had alluded to earlier. So, your 90th
18 percentile under monsoon, you have 52 at the 90th--53 at the
19 90th percent, and 47 at the glacial transition.

20 STEIN: Yeah, that's because--

21 HORNBERGER: In your precipitation model, you have more
22 wet days with less precip under one--

23 STEIN: There are differences between the glacial
24 transition and the monsoon, both in precipitation, so, it's
25 one of the reasons, maybe, and I'm going to show you a more

1 detailed plot next.

2 Maybe we can just go to the next plot. Is this one
3 not in there?

4 HORNBERGER: There it is.

5 STEIN: Okay, good. 22, okay. It's different than what
6 I have. Here, I've plotted precipitation versus infiltration
7 on a linear scale, and the pink, there are 40 small pink
8 boxes. Those are the present day results. The triangles are
9 the glacial transition and the green boxes, the small green
10 boxes are the monsoon.

11 And, one thing that's clear when you look at the
12 monsoon is that it really spans a much larger precipitation
13 range, and that has to do with the fact that when I first
14 talked, talking about the monsoon is really a transition
15 period, and it's unclear, there's a lot of uncertainty in
16 terms of whether it's going to be a classic monsoon or
17 whether it will be reflective of present day, which is the
18 lower bound. And, so, you get a larger range.

19 I've also just plotted on here for reference some
20 of the INFIL calculations. These are the raw calculations.
21 This is an Area 12 calculation. These are the MOD 3 PBT, 4JA
22 and then a subset of 4JA for the driest years. And, then,
23 these are the upper bound monsoon, and these are the upper
24 bound glacial transition sites, and then lower bound glacial
25 transition.

1 I've also put in just a reference line. I find
2 this useful, is just putting in a 5 and 10 percent of
3 precipitation, because when you're comparing different plots,
4 it's always good to--those are kind of easy ones to draw in.

5 So, one thing that's clear here, though, is that
6 precipitation is one aspect of net infiltration. What are
7 the other aspects? Well, I'm going to discuss those. We did
8 a pretty detailed sensitivity study, and we'll discuss those
9 in a future slide.

10 But, let me just show you some of the spatial
11 distributions. I've just chosen the 10th, 50th, and 90th
12 percentiles. These scales go from zero, which are gray, to
13 100, it's a truncated scale, so, there are some values that
14 are higher than 100, specifically in some of the channel
15 areas and, you know, very localized areas. But, this seems
16 to be a scale, but it works well through all the different
17 climates.

18 And, this shows you kind of the variability. Can
19 you go back? So, this is 10th, 50th, and 90th, and there's a
20 lot of variability, both in the magnitude, and also if you
21 look at various locations in the details, and where the
22 infiltration occurs. You can see in this case, you can
23 actually see stream channels pretty clearly, and you can see
24 them showing up within here, and there's other simulations
25 where you don't see this. I'll talk a little bit about that

1 in some of the validation stuff later on.

2 Let's go to the next slide. This is for the
3 monsoon climate, same kind of variability.

4 Next slide? The glacial transition. These are
5 representative of, you know, there are 40 different pictures
6 you could look at.

7 So, the sensitivity analysis, we had, you know, we
8 had run these LHS analyses with these parameters. We
9 actually did three separate types of sensitive analyses.
10 Basically, we used a stepwise regression method, where we
11 related the uncertainty in the inputs to the uncertainty in
12 the outputs. And, we basically recognized that there's two
13 types of uncertainty in this system. There's the uncertainty
14 in the future weather patterns, based on, this is like the
15 uncertainty in the future, it's aleatory uncertainty. This
16 has to do with the particular years that we chose, the
17 particular patterns of wet and dry days, the--you can imagine
18 you could get the same amount of annual precipitation, but if
19 it all occurred on one day, you'd get a different answer than
20 if it occurred for two weeks straight. You might get a lot
21 more infiltration if you had steady rain for two weeks,
22 rather than a very intense storm where you got a lot of
23 runoff.

24 So, the first analysis was just--used all the raw--
25 it included the aleatory uncertainty. It included,

1 basically, it took the results, using the 40 different
2 precipitation files, the 40 different parameter sets, and it
3 did a stepwise regression, and essentially it identified
4 which parameters were important.

5 The second analysis, we chose to fix the aleatory
6 uncertainty, and this is typically done if you want to try to
7 focus in on parameters that are epistemic, have epistemic
8 uncertainty, that you have a chance at actually going back
9 later and doing more work, trying to reduce that uncertainty.
10 Soil depth would be a good example. If you came up with a
11 more detailed approach, you might be able to reduce the
12 uncertainty in your soil depth, and, therefore, you would
13 reduce the uncertainty in your predictions.

14 And, then, the third analysis was an extended
15 analysis. This is that validation. We ran a single
16 watershed. We did 200 realizations, and we decreased those
17 criteria such that we included a lot more parameters, allowed
18 them to vary, and then we ran it.

19 So, the results here, the first analysis, 70
20 percent of the variance in mean infiltration is attributed to
21 annual precipitation and shallow soil depth. So, if you fix
22 those, you can really dial in your uncertainty--I mean, dial
23 in your result. It's controlled by the uncertainty in those.

24 In the analysis two, if you take out the aleatory
25 uncertainty, so we use the same weather input file for each

1 of the LHS samples, soil depth and the water holding
2 capacity, which is defined as the difference in water content
3 between field capacity and wilting point, that accounts for
4 90 percent of the remaining variants. So, you know from this
5 that soil depth and holding capacity, of the parameters if
6 you had to go and design a monitoring program, that's what I
7 would recommend, you know, if you wanted to extend this and
8 try to reduce the uncertainty, if that's deemed necessary.
9 You would focus in on those parameters, because that's going
10 to increase your confidence.

11 The third analysis is just that we ran this
12 extended set, and we found the same top players. So, we
13 didn't miss--it sort of gives us confidence that we didn't
14 miss any important parameters.

15 Next slide? Okay, I'd like to go through quickly
16 now some comparisons in the model, running with actual
17 observed weather data, and trying to match predictions, both
18 at the site, and at other sites, in an effort to--this is
19 sort of a summary of the model validation.

20 We compared--I already showed you comparisons of
21 precipitation records from our stochastic stuff, so, you have
22 seen that. Let me back up. There's two kind of
23 methodologies that you need to do for model validation,
24 according to the procedure. One is confidence building
25 during model development. And, we treated those as tests to

1 the specific submodels. As we were developing the submodels,
2 we wanted to have test cases so that we could make sure that
3 they were reasonable.

4 And, then, there's post-model development
5 validation, which corroborates our predictions at Yucca
6 Mountain in various locations to actual measurements at Yucca
7 Mountain. So, the ET submodel, we compared against a data
8 set collected at the Nevada Test Site. That's weighing
9 lysimeters. And, if you aren't familiar with weighing
10 lysimeters, they're essentially a--you can think of them as a
11 dumpster that sits buried in the ground on top of a load
12 cell, and very accurately measures changes with time with the
13 weight of that, and basically, you use that to track
14 precipitation entering the system, and then tracking ET
15 losses over time.

16 We have a nine or ten year data set from the Nevada
17 Test Site, Area 5. We also had a lysimeter data set from
18 Reynolds Creek Experimental Watershed up in Idaho, which is
19 probably a more--reflective of conditions more
20 representative, more like a glacial transition climate.

21 We also compared runoff results. We validated
22 those by simulating some smaller sub-watersheds where there
23 was actual gauging station data, and comparing them. Alan
24 Flint talked a little bit about this, similar data sets to
25 what he had used to calibrate the model, the INFIL model.

1 And, then, we did extended sensitivity study, which
2 was just validating that we hadn't missed any parameters.

3 For the post-model development validation, we
4 looked at the observed seepage event that occurred in the
5 South Ramp in the winter of 2005. And, we actually simulated
6 and worked with Berkeley National Lab in their simulations of
7 that, too, and basically verified that we actually found
8 seepage at that location.

9 We also looked at some data compiled by Gary Lucain
10 (phonetic), at the USGS, infiltration data from Pagany Wash,
11 at the base of Pagany Wash. And, I'll discuss that a little
12 bit.

13 We looked at a literature search of published
14 regional recharge estimates. And, then, we also compared the
15 model to sort of a--the MASSIF model to a more mechanistic or
16 Richard's equation approach, based on HYDRUS-1D. And, we
17 also compared our results to an expert elicitation, which is
18 another form of making estimates on the project.

19 So, let's jump ahead. I'm going to talk about the
20 HYDRUS-1D experiments first, because we actually used HYDRUS
21 2 for the lysimeter data. The alternative model comparisons,
22 we defined four models that differed only on the soil depth.
23 So, they're 1D simulations. We ran MASSIF, and we ran
24 HYDRUS-1D, which is based on the Richard's equation, and
25 although the transient responses, if you look on a day to day

1 basis, as you might imagine, a more mechanistic approach, the
2 transient responses were different. When you integrated them
3 over the year, which is more reflective of kind of what we're
4 trying to get at of these predictions, we have a pretty good
5 comparison.

6 This just compares the results, net infiltration,
7 and these are all for net infiltration, or actually net
8 infiltration, ET, runoff and change in storage between MASSIF
9 and HYDRUS for the four simulations. And, they are pretty
10 comparable.

11 Next slide? This is a plot of data from the Area 5
12 weighing lysimeters from the Nevada Test Site. The red curve
13 here is the actual observations for the bare soil lysimeter.
14 There are two lysimeters. One where they tried to plant
15 native vegetation, and it took a while for it to get hold.
16 Actually, Dan Levitt was involved in actually the set-up and
17 installation of those lysimeters. And, the vegetated
18 lysimeter. So, the red is the data. The blue is the MASSIF
19 simulation. And, the darker, the black line is the HYDRUS
20 simulation. And, there's also a published simulation using
21 UNSAT-H for a smaller subsection of this data. And, all of
22 the approaches do a pretty good job, especially when you
23 compare cumulative differences.

24 You know, the transients are a little harder to
25 predict. Some of that is uncertainty in terms of, you know,

1 the weather station data. There's uncertainty in how do you
2 actually take weather station data and apply them to the
3 lysimeter, because there are some days when, you know, the
4 change in storage is not possible, given the amount of
5 precipitation you're predicting. So, there are some
6 uncertainties in this, but the comparisons were encouraging.

7 HORNBERGER: You use site specific soil properties for
8 these tests?

9 STEIN: Yes.

10 HORNBERGER: So, actual measurements?

11 STEIN: Yes. Next slide? Okay, this is an example.
12 There were, I believe, six stream gauges--or five stream
13 gauges and three major and several minor runoff events that
14 were logged at stream gauges. And, this is just an example
15 of Wren Wash. And, one of the real uncertainties in trying
16 to predict, you know, actual response at a given location is
17 that you have limited positions of your weather station. So,
18 we actually took surrounding weather station data and ran
19 each one of those separately. And, so, you can see some of
20 the differences. Those are the four plots here.

21 The information on the plot, the dotted line just
22 shows the snow level at the top of the watershed. The solid
23 line shows the snow level and equivalent, just height of
24 water at the bottom of the watershed. We show that just
25 because sometimes in the simulations, snow melt actually is

1 contributing in these cases to runoff.

2 The blue dots are precipitation, shown on the
3 right. The triangles are the actual gauge measurement of
4 runoff in cubic feet per second. And, the bars here are a
5 range of model estimates. And, what we did in order to match
6 the runoff, we had to adjust soil conductivities. We had to
7 dial down soil conductivities, because with the nominal
8 values that we had, we didn't get runoff in those cases. So,
9 we turned down the soil conductivities. Typically, it's a
10 modest amount considering that conductivity is usually log
11 normally distributed. We had to reduce it by a factor of
12 between 2 and 3 generally. And, then, we are able to match
13 both the timing and the relative magnitudes pretty well, and
14 I just chose one example. There's a whole series of examples
15 of those matches.

16 The mean infiltration, I should just say, for those
17 simulations, when you reduce the soil conductivity, the mean
18 infiltration is relatively insensitive to changes in soil
19 conductivity. So, we didn't feel that--I mean, this is a
20 case where like, you know, you might say well, why didn't you
21 calibrate each of these watersheds, soil conductivity? Well,
22 there didn't seem to be any need to because we can
23 demonstrate that essentially, the infiltration isn't
24 sensitive to it.

25 I want to show the next slide, but don't go there

1 quite yet, we also had one additional estimate of an
2 infiltration event in lower Pagany Wash from Gary Lucain, and
3 we re-examined that data and we were actually able to run
4 that watershed and adjust soil properties in a little bit
5 different fashion. We actually had to increase the soil
6 conductivity in the channel, and decrease the soil
7 conductivities outside the channel, and we were able to match
8 both the runoff and that infiltration event for 1998.

9 And, those changes were--I don't remember the
10 specifics, I think we had to increase the permeability an
11 order of magnitude. It was consistent with some of the--
12 there's two published data points, one of which occurs in
13 Pagany Wash from a double ring infiltrometer test from the
14 USGS, and it's consistent with the adjustments that we had to
15 make.

16 We took that just to test the sensitivity of the
17 model to those particular changes, so, you know, we have one
18 infiltration estimate, and a few runoff events, we've dialed
19 the dials and we've changed just soil conductivity. We
20 decided to use those parameters and rerun the full domain.
21 This is just an example. So, go to the next slide.

22 So, here is a base case. This is the 30th
23 percentile infiltration map from present day. We took the
24 present day and we varied the conductivity in order to match
25 both runoff and infiltration measurement at the bottom of UZ.

1 And, what you see is the infiltration rates are relatively
2 similar. I mean, I would caution anybody from--we've
3 arbitrarily taken one, or a tenth of a millimeter as a
4 cutoff, but when you compare replicates, the uncertainty in a
5 mean, if you want to take an uncertainty in a mean as the
6 standard error, we're looking at approximately 2 to 3
7 millimeters of uncertainty in the mean. And, it would a
8 greater uncertainty in your--so, I consider these to be
9 essentially equivalent.

10 However, look what's happened, is that the
11 infiltration has moved to the channels in this case. The
12 take-home message here is that there is a lot of uncertainty
13 given the data that we have spatially around Yucca Mountain
14 as to exactly where the infiltration is occurring. And,
15 you'd have to do a lot of very specific site studies, I
16 think, to really get at--characterize that uncertainty.

17 Next slide? Here's the same data point, the 40 for
18 each climate. This is just a comparison to a literature
19 search of models that are out in the literature. There's the
20 Maxey Eakin relationship here, and these are some other
21 published model estimates. We tend to be, especially at the
22 lower precipitation values, we tend to be on the higher end
23 of estimates, and that could be due to the uncertainty in our
24 soil depth, or other parameters. You know, if you went and
25 studied the site--if you needed to get that much--if you

1 needed to refine that, you may find that your numbers would
2 come down if you actually made detailed measurements at
3 every, you know, all 100,000 grid cells. Is that necessary?
4 Well, that's a question for TSPA. I mean, if it ends up
5 being a critical sensitive parameter, maybe more effort will
6 be placed there. There's probably other features in the
7 system that may play in that would be more important.

8 Let's go to the next slide. I think you saw this
9 slide. This was included in a talk by Russ Dyer in January.
10 One thing I wanted to mention here, this is basically our
11 predictions from the MASSIF model, compared to regional
12 predictions based in Nevada. And, one thing just to--one
13 thing I think is not necessarily obvious, if you're looking
14 at this, we show that Maxey Eakin model as a line, and then
15 we show a whole bunch of Maxey Eakin model specific points,
16 and they don't fall on the line. The reason for that is each
17 of those point estimates is looking at a basin that has a
18 different precipitation map.

19 And, so, you're looking at it, it's like a weighted
20 mean infiltration. So, you know, the upper parts of the
21 basin will have more precipitation, and it may, you know,
22 fall over here. The lower parts may fall over here and have
23 zero precipitation. And, when you combine the whole basin
24 together, you get an effective value that ranges in this
25 region. And, these are very consistent with our estimates.

1 Next slide? So summary and preliminary
2 conclusions? As Dan alluded to, we have, although it's not
3 in the scope of the model report that we're producing, we
4 have run the INFIL code against the MASSIF code, and if you
5 parameterize them the same way, you get very, very similar
6 results. So, one of the things I just want to make sure it's
7 clear, we went into this thinking that the ET may--the ET
8 model and the characterization of site specific data might be
9 an important factor, and it's not as important, apparently,
10 as other factors.

11 Our estimates, if you look at the uncertainty
12 analysis, and, you know, look at a 50th percentile as a
13 representative value, the infiltration estimates are
14 generally higher, they're always higher. As Jim will
15 explain, there is more data, and there's other sources, ways
16 of basically ranking these estimates based on other
17 information. So, this would be more like a Bayesian
18 approach, where you're kind of like, this is a first prior
19 information, if you add more information from different parts
20 of the mountain, you may find that you would weight some of
21 these differently.

22 MASSIF accounts for parameter uncertainty, and it's
23 kind of incorporated in the whole model development. The
24 bedrock conductivity values are significantly higher than
25 those used in INFIL, and that has to do with this component

1 of open fractures. And, I think if you wanted to go out and
2 constrain that a little bit, you'd have to go out and do some
3 more ponding experiment. And, those are difficult to do, and
4 sometimes difficult to interpret. But, that's the type of
5 data you'd need to get in order to really get more of that
6 information.

7 Soil depth, soil properties and precipitation are
8 the most important parameters, and the MASSIF model appears
9 to match the available site data that we've compared to quite
10 well. And, I think that's it.

11 HORNBERGER: Thank you, Joshua. Questions?

12 GARRICK: I just want to comment. I very much liked
13 Scott Tyler's comments this morning about monitoring, because
14 that's something we have to face. Based on what you have
15 done, and your sensitivity analysis, and if you were put on
16 the spot to make a recommendation of a monitoring program,
17 what are some of the things you would do?

18 STEIN: I would try to go out and collect information to
19 create a model of soil depth, is the first thing I would do.
20 I would go and--so, I mean, I would try to, you know, either
21 use satellite data, use some sort of a--

22 GARRICK: Isn't that characterizing rather than
23 monitoring?

24 STEIN: Okay, so let's talk about monitoring. Let me
25 think a little bit about that. I don't know, Dan, do you

1 want to--do you have any ideas?

2 LEVITT: Right now, as far as the numbers, no. As far
3 as stream flow monitoring--

4 STEIN: Yes.

5 LEVITT: We have data from events in '95, 1995, a couple
6 of events in '95, and one or two in '98. Alan used just the
7 '95 data, I believe. They're very rare, and I don't think
8 they are being monitored right now. I would be useful to
9 have more stream flow gauges. Again, that was, Josh pointed
10 out that's a small percentage of the water budget, but it
11 still helps to know how much water is running off the
12 mountain. It would help to be able to either, to have some
13 data to calibrate to or validate to that is better direct
14 measurements of infiltration.

15 Neutron logging is one way to do that, but neutron
16 logging is really labor intensive. But, there are--

17 HORNBERGER: So, did you use the neutron log data that
18 were available? Why not use them if you need those to
19 calibrate?

20 LEVITT: We did, we tried to. Comparisons weren't, they
21 weren't that great.

22 STEIN: One of the issues with the neutron logging data,
23 when we went and actually looked at that data, there were
24 questions concerning the calibrations. A lot of those
25 neutron logging profiles that were shown were shown through

1 soil and bedrock. They were not separate calibrations done
2 as far as I know for those. I mean, the instruments, it's
3 sort of a raw--it's a more qualitative measurement than a
4 strictly quantitative one, because it's uncertain how to
5 calibrate.

6 GARRICK: How do you measure water holding capacity? Is
7 that an input/output?

8 STEIN: That would be, you would measure that by
9 actually collecting soil, and doing a--basically,
10 characterizing the moisture characteristic curves for that.
11 And, water holding capacity is the conceptual approach. So
12 you have to make a definition of what it is, so you basically
13 would saturate the sample, and start drawing suction on it,
14 and measure water content at various intervals.

15 LEVITT: Instead of neutron logging, you know,
16 technology has gotten quite a bit better for things like
17 sensors, you can go out and bury sensors to measure water
18 content, depth of wetting fronts, so that you have direct
19 measurements of infiltration. There's very little of that
20 data that was collected that's actually qualified and in
21 TDMS, other than the neutron logging data. That would be
22 quite useful.

23 HORNBERGER: I'm a fan of technology, but I fail to see
24 why the neutron logging data are so deficient. That's the
25 first time I've heard anyone suggest that those neutron

1 logging data weren't good to get moisture contents.

2 LEVITT: Well, I mean, let me clarify, a great effort
3 was taken to go through all the old neutron log data, clean
4 it up, trace it back to original calibrations, and come out
5 with new qualified DTN's, that was done, but there were, we
6 know of limitations such as one calibration equation is
7 applied for the probe being dropped through alluvium, and
8 through the alluvium/bedrock interface, and into tuff.

9 So, that's a limitation of the data. It's been
10 suggested that when the boreholes are drilled, they disturb
11 the surrounding bedrock, and, so, you know the rest of the
12 story, yes, so maybe you've created preferential pathways
13 through the rock by doing that. I mean, we definitely looked
14 very hard at this data set, to compare model results to data
15 results, and we actually give a figure comparing the two in
16 the AMR. But, it's not a real clean one to one figure.

17 HORNBERGER: Other questions? Anyone?

18 Okay, I have several. So, another thing that you
19 said that you found, that the data, the conductivity data,
20 you couldn't find--the data weren't sufficient to reproduce
21 the values in the tables?

22 STEIN: Yes.

23 HORNBERGER: So, that's why you had to go to your
24 pedotransfer function?

25 STEIN: I don't know. Ken, do you want to--you are more

1 familiar with the details of those issues.

2 RAYFELD: My name is Ken Rayfeld. I'm from Los Alamos.
3 I was part of the team that was assessing the data sets that
4 were originally used in the INFIL model, and what we did is
5 we took all of those existing DTN's and we had a team of QA
6 specialists essentially who went through those DTN's with
7 respect to compliance with the procedures, with respect to
8 traceability and transparency, and that's documented in I
9 think it's CR 6334. And, through that process, we identified
10 data sets that we thought we could use and keep as qualified
11 data sets that had some form of deficiency, and it was at
12 that point that the team that we were using could not take
13 that information out of the DTN's and then go forward with it
14 to reproduce what was in the original report. And, then, we
15 chose to use another approach that we thought we could, that
16 we could take through the whole process, from the beginning
17 until the end, and trace everything that we had done.

18 HORNBERGER: I mean, this seems to me on the surface
19 that there really then were some significant problems with
20 your whole QA review. I didn't--Gene's presentation to me
21 didn't jive with what I just heard.

22 RUNKLE: What is being referred to here is part of the
23 validation and verification process of the data sets, and
24 that was handled under another CR than what I was discussing.
25 Okay, that was handled under--

1 RAYFELD: We started out at 6334, I think.

2 RUNKLE: Right. And, so, there are many of those types
3 of things that are documented in that CR. The 5223 team that
4 was looking at that root cause looked at the information that
5 was coming out of here as part of their extent of condition,
6 and so on. So, that's where you might be disconnected, but
7 there is a process that was used to look at data sets. Jerry
8 Westerman (phonetic) is the lead for that process.

9 HORNBERGER: I mean, the concern is obviously that, to
10 over-simplify it unfairly, we're saying the model is found to
11 be good, but the data used to run the model are not.

12 RUNKLE: Well, I mean, I think it might be better
13 characterized as saying that some of the data sets needed to
14 be, or the quality assurance and the pedigree behind them may
15 not have been where they needed to be, and, so, they were
16 going back and redoing all that to make sure that absolutely
17 everything had a full pedigree and that it could be brought
18 forward into the Sandia work without question of traceability
19 and transparency. And, so, with doing that, there were
20 things that may have been questioned or they couldn't be
21 reproduced or they couldn't come to the same bottom line,
22 and, so, that was the process that was used.

23 HORNBERGER: But, the anticipation might be that in the
24 future, the neutron log data and the ring infiltrometer data,
25 and all the other data, could be qualified and brought

1 forward to refine?

2 RUNKLE: We have most of it brought forward at this
3 point in time.

4 HORNBERGER: You do?

5 RUNKLE: Yes, I mean, that was needed to do the work
6 with Sandia.

7 HORNBERGER: So, do your pedotransfer function,
8 hydraulic conductivities, jive with the measurements that
9 were actually done at the site?

10 STEIN: There are significant differences, but there's a
11 lot of uncertainty. I mean, so, I guess no.

12 HORNBERGER: Okay.

13 STEIN: But, in our sensitivity analysis, those moved to
14 a lower priority because they weren't sensitive, the
15 infiltration results were not sensitive to those
16 conductivities.

17 HORNBERGER: Fair enough. I'm just trying to get a
18 handle on this. Another thing, of course, that you mentioned
19 that does make a difference is you said that you don't have
20 any transpiration from the bedrock. And, it struck me when
21 you were saying this, but I saw sort of an inconsistency in
22 your whole approach, and you just said oh, we know that
23 there's something going on in the bedrock, we just don't know
24 how much. Now, in the rest of your approach, if there's
25 uncertainty to be had, you build it into the model, and then

1 you evaluate the uncertainty. Here, you didn't seem to do
2 that. You just said zero.

3 STEIN: Here, we didn't identify a basis to quantify it.
4 So, I mean, I think with further work, you might be able to
5 go in and do that. But, we didn't.

6 HORNBERGER: Okay. I know you didn't. I'm just trying
7 to understand what the philosophy is.

8 STEIN: A lot of it was a time and resource issue. We
9 had a deadline.

10 GARRICK: It seems to me, though, that when you have a
11 situation like that, and we have seen that on several
12 presentations, that you somehow ought to account for that as
13 a contributor to uncertainty in the bottom line results. You
14 know, it's sort of like assuming that the solubility of
15 neptunium is a constant, and, therefore, there's no
16 uncertainty. And, assumptions do not take away the
17 uncertainty. So, that is kind of a modeling anomaly that
18 we've seen several times in these presentations.

19 STEIN: I guess I attribute it to model uncertainty. I
20 mean, you know, with the absence of an actual mechanism, you
21 know, what's the physics behind it, and how do we
22 parameterize it. With the absence of that, it's, you know,
23 it's a model uncertainty, and model uncertainties are
24 difficult to quantify because you need to--how do you
25 quantify them? You create a new model and you create

1 multiple models, that's typically the approach.

2 HORNBERGER: Yeah, well, I mean, I suppose I could argue
3 that you have a plant removal function in your third soil
4 layer, and why couldn't you have a plant removal function for
5 the top two meters of the bedrock. But, never mind, I
6 understand your answer. One more--so, again, I understand
7 all the issues with calibration and why you don't want to do
8 it, or maybe why you don't want to use some of the data.

9 On a larger scale, my recollection is that if you
10 look at the chloride concentration in the groundwater, at
11 some level, you have to believe a chloride mass balance, at
12 some level, and I think the suggestion is that the
13 groundwater gives you something on the order of 10 to 15
14 millimeters per year recharge. And, on the face of it, that
15 might sound good to you, except that it's thought to have
16 recharged in the late Pleistocene, which isn't very good for
17 you. How do you make your estimate of 13 jibe with that?

18 STEIN: I guess the way I think of it is that we have
19 focused on the surface data available at the surface, and
20 characterized that uncertainty. I don't claim to say that
21 that net infiltration pattern or amounts absolutely have to
22 be recharge. I mean, there's a lot of distance in the vadose
23 zone. There's a lot of potential processes that could occur.

24 HORNBERGER: Okay, fair enough.

25 STEIN: Are you familiar with like some of the--there's

1 some data about secondary calcite, you know, that suggests
2 maybe there are water removal mechanisms. So, it's a
3 complicated system.

4 HORNBERGER: It sure is. David?

5 DIODATO: Thanks. Dave Diodato, Staff.

6 I'm going to follow up on some of the data
7 questions, and then get back to some of the statistics
8 questions. I appreciate your presentation, Josh.

9 On the bedrock, George already asked about the soil
10 hydraulic conductivity, but on the bedrock hydraulic
11 conductivity, you chose to add these 200 micron apertures
12 because of your--

13 STEIN: As an upper bound.

14 DIODATO: As an upper bound, based on a belief that you
15 have that most of the fractures would not be filled.

16 STEIN: It's not based on a belief. It's based on a
17 limitation of the underlying observation that all fractures
18 are filled. I mean, nobody went in there with a microscope
19 and did an actual thorough study. You look at a photograph
20 and you say all the fractures are filled. From, I mean,
21 experiences in other environments, volcanic environments, you
22 know, it's the exceptions to those that where all the water
23 is flowing. So, I just feel like that there's enough
24 evidence in the fracture flow literature that it's the very
25 small fraction of the focused channelized pathways that

1 really will drive that flow. So, I feel like you can't
2 justify not considering the possibility.

3 DIODATO: Have to add the fractures in on that
4 justification. So, then, is that consistent with the rock
5 properties in the UZ model? I mean, you've got Slide 17
6 showing all the different--showing the bedrock hydraulic
7 conductivity. So, the UZ model, you've got these--the line
8 kind of would suggest they're connected, but they're not
9 really connected. They are discrete units that don't have
10 any relation lined out. So, are the numbers that you use for
11 these different units with the aperture, with the hydraulic
12 aperture of the added fractures, is that consistent with
13 what's going to be used in the UZ model then?

14 STEIN: I guess I would--I haven't looked at that
15 explicitly, but because I believe the UZ model doesn't
16 include a significant portion of fracture filling--Jim could
17 address that. I would assume that the UZ permeabilities or
18 conductivities are higher.

19 HOUSEWORTH: Yeah, the UX permeabilities are not built
20 on any assumption in terms of fracture filling or not.
21 They're built on borehole air permeability measurements and
22 subsequent calibration, and I'll be discussing some of that
23 in the next talk.

24 DIODATO: Excellent. Okay, I appreciate that. So,
25 we'll hold off on the discussion of the rock permeability.

1 But, it gets us back to the one data point. In discussion of
2 neutron logging, having done it myself, I mean, typically,
3 I'm very comfortable doing logging through multiple
4 stratigraphic units with a single calibration at the
5 beginning of the log and at the end of the log, and you know
6 what units, and you do the correction according to that
7 measured standard. So, that's not really something that I
8 would view as a major limitation for doing neutron logging
9 across multiple units. You know the geology, and maybe do
10 some other logging associated with that.

11 Getting back to the statistics of your infiltration
12 results, I'd like to look at Slide 19 again, and this has the
13 MASSIF net infiltration results. So, let's look at the 90th
14 percentile for present day, 26.8 millimeters per year. And,
15 I apologize for not quite understanding how the precipitation
16 works still. We don't have the slide up now. It's a
17 different presentation. But, recall that the representative
18 years had 40 1,000 year simulations, and you took the average
19 of those, or you had two replicates of 20 each.

20 And, George has said this is a histogram, really,
21 can be viewed as a histogram of the observations of the
22 magnitude of precipitation in your simulations of
23 precipitation. So, then, if I add up the bins from the
24 representative year ten, all the way up to representative
25 year six, that's like 90 percent right there, or 90 percent

1 on the weight. Is that 90th percentile also on the
2 observations? Do 90 percent of the observations, are they at
3 or less than 227 millimeters per year? Is that how I can
4 read that, or could I not read it as--this is for Slide 15
5 from the precipitation. And, so, how would that number
6 relate to the 90th percentile?

7 STEIN: I guess I haven't done the exact analysis that
8 you--I'd have to--I mean, the data is available to do it. I
9 mean, in the post-processing of the model results, we have
10 the precipitation for each year. We have the infiltration
11 for each year. Everything is--we just haven't done that
12 analysis. I guess I'm not sure I--I don't exactly understand
13 what you're getting at, what observation?

14 HORNBERGER: I think what Dave is asking is perhaps
15 we're misinterpreting the link between your precip talk and
16 your ET, your infiltration talk. So, in your precip talk, if
17 we understood it correctly, you're using this table where you
18 use ten representative years with the weights.

19 STEIN: Uh-huh.

20 HORNBERGER: They're the ones that carry forward through
21 your infiltration calculation.

22 STEIN: Right. So, for a given realization, we run ten
23 separate years, one year simulations, it's based on the water
24 year, so October to the end of September, and we run the
25 first year, we get an infiltration map. We run the second

1 year, we get an infiltration map. We take, at each cell
2 location, we have ten infiltration values, and we calculate a
3 weighted mean infiltration. And, that's what we're
4 reporting, you know, when we show the--

5 HORNBERGER: So, there is a link between this table of
6 ten representative values, and your infiltration map.

7 STEIN: Yes.

8 HORNBERGER: So, then, if we go back to your
9 precipitation table, half a dozen of your things have equal
10 weight of .18, or five of them.

11 STEIN: Yes.

12 HORNBERGER: Which takes you all the way up to--

13 STEIN: 90 percent.

14 HORNBERGER: 90th percentile, and that corresponds with
15 a precip of 227 or less. So, 90 percent of your precip
16 values fall below 227?

17 STEIN: You know, can I look at--I have a--

18 HORNBERGER: Go ahead.

19 STEIN: I have a CDF of the precipitation for each
20 climate in the report. You have seen a--this is Draft B,
21 it's on Page 207, there's a CDF of mean annual precipitation
22 versus--or probabilities, and so if you go up to 90 percent,
23 it's upwards of--what did you say, 227?

24 HORNBERGER: 227.

25 STEIN: That looks right.

1 DIODATO: Okay. Thank you. That's very helpful to
2 clear that up. So, we would just put this plot into the
3 record.

4 STEIN: I mean, one of the things to keep in mind with
5 that table is, you know, although we are calculating for
6 those very high years, it's not--yeah.

7 HORNBERGER: Gene?

8 RUNKLE: George, if I could come back to the comment
9 where you said that my presentation was not consistent with
10 this other one, there's a subtlety here and I would like to
11 clarify it because in the AMR issues, I said that there were
12 multiple issues found after the AMR had been accepted. That
13 is where I said 35 CRs were created, one of them having 100
14 issues, if you recall that statement? It's not in the--
15 that's what I was referring to, is this stuff over here with
16 the data and the other processes. And, so, that's where it
17 was said maybe not with the clarity that you've heard--but,
18 that's where it was included.

19 HORNBERGER: Thanks. David?

20 DIODATO: And, I have to ask one follow-up question of
21 Gene that was prompted by Atef, and he asked me to ask this
22 question. This was related to the release of INFIL 2.2, but
23 I think you might remember back in May, we talked about the
24 value to the scientific community of the utility of this kind
25 of tool, and when I asked you at that time at the meeting if

1 you would, subsequent to your work on it, just release it to
2 the public, and you seemed to suggest that that would be not
3 a problem. Is that still your intention to do that? I mean,
4 Atef asked about this, and, so, we wanted to kind of follow
5 up on that. Back in May of '06, you seemed like that wasn't
6 going to be a problem, but I don't know if that's still your
7 position, so I just want to be clear.

8 RUNKLE: Are you referring to the work that USGS is
9 doing?

10 DIODATO: No, this would be the Idaho National
11 Engineering Laboratory product with the DOE interface, the
12 cleaned up version, because that's what we were talking
13 about, whether that would be available for the public or not.
14 That's what we asked about back in May.

15 RUNKLE: I don't recall.

16 HORNBERGER: David, what you presented, is that publicly
17 available?

18 LEVITT: Not right now, it's not.

19 HORNBERGER: Okay. Are there plans to make it publicly
20 available?

21 LEVITT: Not that I know of.

22 DIODATO: Okay, so that's changed since last year. All
23 right, I just wanted to ask that for Atef.

24 RUNKLE: We can check on that to see what the position
25 is.

1 HORNBERGER: Well, thanks very much. I'm sure there are
2 more questions, but we'll let you off the hook for now.

3 I'm going to take the Chair of the meeting
4 prerogative and declare a five minute stretch break.

5 (Whereupon, a brief recess was taken.)

6 HORNBERGER: All right, we are going to reconvene, and
7 the final scheduled presentation for today is Jim Houseworth,
8 who is going to tell us a little bit about the connection
9 with the UZ model.

10 HOUSEWORTH: The UZ model is the principal user of the
11 infiltration information, and, so, I will be going over some
12 of the effects of the new infiltration results on the UZ
13 model.

14 Okay, going over the outline, I'll begin with an
15 overview, just a couple of slides that discuss the
16 unsaturated zone flow model, describing the geological
17 characteristics and hydrological processes that are in the
18 model.

19 Then, I'll give just a one slide, very brief
20 summary of comparison of new infiltration results and the old
21 infiltration model, kind of couched in a format that's
22 applicable to the UZ model.

23 Then, I will go through several data sets that have
24 been used to develop the UZ model, and looking in particular
25 at model sensitivities, using the infiltration model, and the

1 old infiltration maps, trying to define which parameters are
2 sensitive to infiltration and which aren't.

3 Then, we will, with that background, go over some
4 of the preliminary results from the UZ model, using the new
5 infiltration maps for present day climate. And, that will
6 lead us into a discussion of our efforts to integrate the UZ
7 model with the infiltration model.

8 Next? The figures shown here give the picture of
9 the UZ modeling domain, and the geological characteristics
10 that are contained in that domain. The figure on the right
11 shows the model footprint. It's about a 40 square kilometer
12 footprint, and that's roughly a third the size of the
13 infiltration model. It also shows the incorporation of
14 discrete faults, the major faults in the model, which are
15 labeled on that figure.

16 The repository domain lies within the center,
17 roughly, of this domain, and it has a footprint of about six
18 square kilometers. The cross-sectional diagram gives a
19 little bit more detail and the geology that's included in the
20 model, and this shows some of the structural features that
21 are incorporated, the faults, the fault offsets, the
22 stratigraphic dip that is represented in the grid. And, the
23 model has, on average, about 59 computational layers to
24 represent the 30 stratigraphic units, and each of those
25 units carries its own set of hydrologic properties.

1 Zeolitic alteration is also included. And, in
2 fact, this alteration in some layers is, the variations
3 within the layer are also captured within the grid because of
4 the hydrologic and mineralogic significance of that
5 alteration.

6 In addition to the flow model, I'm going to be
7 discussing a few other models that support the low model,
8 namely the chloride model, which uses this same grid, also a
9 pneumatic and temperature model that use a similar 3D grid,
10 have a slightly smaller footprint, and somewhat coarser
11 girding, and I'll also be discussing a calcite model, which
12 is a 1D model, has a grid that represents geology along
13 Borehole 1WT24, which is just north of the waste emplacement
14 area.

15 Next? The UZ model, flow model, computes steady
16 state flow fields over the three dimensional domain, and it
17 uses the spatially variable infiltration rate that's computed
18 from the infiltration model as the boundary condition at the
19 ground surface. The model represents flow in fractured rock
20 using a dual permeability approach, which explicitly accounts
21 for flow in the fractures and flow in the matrix, and inner-
22 flow between those continuum.

23 And, in the table below the figure, you will see
24 that there's variations in the percent of flux that's carried
25 in the fractures and matrix, and that varies in the different

1 layers. And, that reflects changes in properties of those
2 layers. The model also includes small scale focusing at the
3 sub-grid level through the use of an active fracture model,
4 which limits the population of flowing fractures to a subset
5 of the total fracture population.

6 Lateral flow is included in the model through
7 incorporation of capillary and permeability barriers. The
8 main capillary barrier are in the Paintbrush, non-welded
9 unit, above the repository horizon. And, the main
10 permeability barriers lie at or near the Topopah
11 Spring/Calico Hills zeolitic interface below the repository.
12 The permeability barriers are the main features responsible
13 for the formation of perched water that has been observed in
14 those regions, and, it also leads to a substantial amount of
15 flow focusing in the faults in the model results. And,
16 again, if you look in the table, you can see below the
17 repository. We have a substantial pickup in the amount of
18 flow that's moved into the faults.

19 Actually, I had one other thing to say. I wanted
20 to also mention that the processes, these hydrologic
21 processes are what's involved in the flow model. When we go
22 through the chloride model, we'll also be talking about
23 advective and diffusive mass transfer processes that are
24 represented.

25 In the thermal model, we have phased behavior and

1 advective and diffusive heat transfer processes that are
2 represented. And, the calcite model, the most process rich
3 model, we have--it incorporates all of those, as well as
4 geochemical reactions.

5 Next? The new infiltration model has resulted in a
6 change in the range and distribution of infiltration and
7 precipitation. And, the figure in the upper right shows the
8 probability distribution for infiltration rate for the old
9 and the new models, averaged over the repository domain.
10 And, I will be talking primarily about repository domain
11 averages in this talk.

12 And, as you can see, there's a greater
13 infiltration, as we've heard before, in the new infiltration
14 model, and over the repository domain, this was about, on the
15 average, a factor of three higher. And, from this figure, I
16 would also like to define some terminology. The three points
17 there for the old infiltration model, I'll be referring to
18 its present day lower, present day middle, and present day
19 upper. And, then, these four points, which are points out of
20 that distribution of 40 realizations that Josh spoke of,
21 these are the present day 10th percentile, present day 30th,
22 present day 50th, and then 90th percentile.

23 I'd also like to state at this time, and we'll come
24 back to this later, is that these percentages in the past
25 have been essentially what we have used to weight the UZ flow

1 fields for sampling in TSPA. So, the infiltration
2 distribution also represents a flow field weighting
3 distribution that's sampled in the TSPA. And, that's what we
4 did previously with these points from the old infiltration
5 model.

6 In the lower figure, we plot the evaporative
7 concentration as a function of infiltration rate. Well,
8 evaporative concentration is kind of the net arrival of water
9 at the ground surface. It's the precipitation, plus run-on,
10 minus runoff, and then divided by the net infiltration. And,
11 as you can see from the figure, there's been a decrease in
12 the evaporative concentration in the new model as compared
13 with the old model, and that reduction is roughly a factor of
14 six on average.

15 The significance of this is that the evaporative
16 concentration times the surficial water chloride content,
17 gives the chloride content of the infiltrating water. So, in
18 terms of the UZ model, it's primarily important for the
19 chloride model.

20 Next? So, for the next few slides, I'll be going
21 over the data that has been used to develop the UZ model, and
22 I will be talking about model sensitivities to infiltration
23 for each of these data types.

24 On this slide, we're talking about water saturation
25 and water potential data. This data has been used to

1 calibrate rock properties in the unsaturated zone flow model.
2 These calibrations have been carried out in a series of two
3 steps. There's 1D calibrations, which use data from 16
4 boreholes, and then an automated inversion methodology, which
5 simultaneously optimizes the calibration for the data from
6 those boreholes.

7 The parameters that have been calibrated in the 1D
8 calibrations are the matrix permeability, fracture and matrix
9 capillary strength, the van Genuchten alpha, and an active
10 fracture parameter.

11 Then, the 3D calibrations are carried out to
12 account for effects of lateral flow and perched water, which
13 cannot be captured in the 1D calibrations. But, in the
14 figures, what we show are the 3D results after calibration,
15 so we have water saturation against depth and log of water
16 potential against depth from SZ 12. So, on these figures, we
17 have data points as well as model calculated results.

18 And, let's look first at the water saturations.
19 What we see is we've made calculations for three infiltration
20 cases, and those are listed down here on the table at the
21 bottom. There's present day middle case has an average
22 infiltration rate of 3.9 millimeters per year, and we go
23 through up to the glacial transition middle case of 17.6
24 millimeters per year. For that range, we see very little
25 variation in the predicted water saturations.

1 And, similarly, we ran actually there's nine
2 different model calculations here, which has an even greater
3 range of infiltration rate, and there's, again, not much
4 sensitivity to these matrix water saturation, water potential
5 properties.

6 So, the conclusion from this is that there's really
7 very low sensitivity to changes in percolation flux in the
8 matrix water saturation and water potential.

9 Next? We also used pneumatic pressure data, and
10 this data is used as part of the calibration of the fracture
11 permeabilities in the model. Again, we go through a two step
12 process of 1D and 3D calibrations. The calibrations are
13 based on the natural barometric pressure fluctuations at the
14 ground surface, and the propagation of those pressure
15 fluctuations into the unsaturated zone.

16 The plot on the right shows a 3D calibration
17 calculation, along with data from SD-12, at various depth
18 intervals in the borehole. The calculations were done using
19 the present day middle infiltration map I referred to before.

20 And, in terms of the sensitivity of this kind of
21 parameter to infiltration, if you look at the lower figure,
22 we have effective hydraulic conductivity against water
23 saturation for a fracture continuum in the TSw35. And, what
24 this shows is that over the range of fracture, of flow rates
25 that are consistent with what we think is going on at Yucca

1 Mountain, this goes from zero to over 40 millimeters a year,
2 we get a range of water saturations of about 1 percent. That
3 leads to very little, or small changes in the effective gas
4 permeability, and therefore, very low sensitivity of any
5 pressure fluctuations to this kind of process.

6 Next? Calcite model is used to check the long-term
7 percolation rates in the repository host horizon. And,
8 calcite is a secondary mineral that precipitates from
9 infiltrating water. Its precipitation is primarily driven by
10 the geothermal gradient, and the reduction in calcite
11 solubility in water, with increasing temperature.

12 The figure here shown a total calcite abundance, as
13 measured in Borehole WT-24 in terms of parts per million by
14 volume, and stuff. And, 1D geochemical simulations are shown
15 along with this data. These simulations incorporate heat
16 transfer and geochemical reaction processes, and the
17 calculations are done over a 10 million year period,
18 representative of the history of Yucca Mountain. So, this is
19 kind of a cumulative build-up of calcite over a very long
20 period of time.

21 The findings from the model study is that over a
22 range, a fairly wide range of infiltration rates, 2 to 20
23 millimeters per year, simulated abundances generally fall
24 within the range of the observed values. But, the limited
25 sensitivity here to infiltration rate and fairly poor time

1 resolution relative to the interglacial period means this is
2 not a data set that's particularly suited for looking at
3 present day infiltration rates, at least.

4 Next slide? The temperature data has been used
5 primarily as a check on infiltration rates, percolation rates
6 really in the unsaturated zone. Temperature is dependent on
7 the boundary conditions. The temperature at the ground
8 surface and at the water table depends upon infiltration
9 rates, and it also depends upon advective and diffusive heat
10 transfer processes within the unsaturated zone.

11 What we have here on the right are four boreholes
12 with temperature data that's been taken from these boreholes.
13 And, we have model calculations along with those temperature
14 data. The model calculations were conducted using the old
15 present day middle infiltration map, an average rate of 3.9
16 millimeters per year.

17 But, I should point out that there are significant
18 spatial variations locally in those infiltration rates, and
19 that would be perhaps important to what's measured at the
20 boreholes.

21 The sensitivity of temperature to infiltration is
22 shown in this figure here. This is a 1D analytical result
23 for temperature distribution in a homogeneous rock. And,
24 what I've calculated here is the temperature profiles, over a
25 range of flow rates from zero to 34 millimeters a year.

1 What you find is that at low flow rates, zero up to
2 at least 4 millimeters a year, you get very little deflection
3 of the temperature profile. But, at higher infiltration
4 rates, you start to see more effects of the percolation on
5 the temperature. This is a result of the dominance of the
6 thermal diffusion process over advection in the low flow
7 rates.

8 So, what we can take away from this is that in
9 areas where the infiltration rates are sufficiently high, we
10 can expect to see sensitivity to infiltration rates in the
11 temperature profiles.

12 MR. HORNBERGER: How would your simulations for right-
13 hand panels look if you used the new infiltration rates?

14 HOUSEWORTH: I will show that in two slides.

15 The UZ model uses chloride data similarly to check
16 percolation rates in the unsaturated zone. Chloride
17 concentrations in the unsaturated zone depend on chloride
18 concentrations in the infiltrating water, infiltration rates
19 and advective and diffusive mass transport processes within
20 the unsaturated zone.

21 The plots on the right show chloride data taken
22 from the ESF and the ECRB, as well as model calculations for
23 a variety of infiltrate maps. Probably the feature that
24 stands out the most is that for the present day low, you can
25 see that the concentrations, or the predicted concentrations,

1 tend to come up relatively high compared to the data. And,
2 that's true also in the ECRB. These two lines are two
3 alternative present day low models.

4 And, similarly, if you look at the table here, the
5 infiltration rates that we use in the chloride calculation,
6 as well as the evaporative concentrations that go along with
7 those infiltration maps, you can see that the present day low
8 has substantially higher evaporative concentration. So,
9 that's driving a much higher concentration. What that's
10 telling us is that the average level of chloride
11 concentration in the saturated zone is sensitive to the
12 evaporative concentration, which really sets the average
13 concentration of chloride in the infiltrating water.

14 HORNBERGER: So, Jim, can you just--I'm not sure I
15 understand the legend.

16 HOUSEWORTH: Oh, yes, okay. These have some different--
17 this is present day, these three are present day, upper, mean
18 and lower, it's a U, M, and L.

19 HORNBERGER: Upper, mean and lower.

20 HOUSEWORTH: And, then, this is the glacial transition
21 mean, is also run for the ESF case.

22 HORNBERGER: Okay.

23 HOUSEWORTH: On this legend, we have present day, upper,
24 mean and lower with two alternative cases, A and B, which I
25 didn't really discuss in this talk, but there's an

1 alternative model for the property sets that primarily
2 changes in the PTn properties, in effect, the degree of
3 lateral flow that occurs in the PTn. And, for Model A, you
4 have more lateral flow in the PTn. For Model B, you have
5 less lateral flow.

6 Next slide? So, with that background now, we'll
7 take a look at some pretty early preliminary results of the
8 UZ models using the new infiltration maps.

9 So, here we have, again, these same four boreholes
10 that I showed earlier, with the temperature profile, only
11 this time now, we're using the new infiltration maps, the
12 10th percentile, 30th percentile, 50th and 90th percentile.
13 So, all four cases are plotted on here, along with the
14 borehole measured temperature data.

15 These infiltration rates are shown in the table in
16 the lower left, range from 4 to 34 millimeters a year. What
17 we're seeing in the upper figures are not a great deal of
18 sensitivity until maybe we get out to the 90th percentile.
19 Certainly, the 10th, 30th and 50th percentile cases tend to lie
20 right on top of each other. This is indicative of a low
21 percolation flux zone. And, in fact, I happen to know NRG-6
22 has very low local percolation flux. And, when you have
23 those kinds of conditions, you won't see much spreading of
24 the temperature profile with changes in infiltration rate.

25 The bottom figures show a different story, where

1 you get a much more significant movement of the temperature
2 profile with the change in infiltration rates. And, in these
3 cases, what we see is where we have sensitivity, where we
4 have sufficient percolation flux to get this sensitivity, the
5 predictions of temperature using the 50th percentile
6 infiltration map tend to run below the data, tend to be cool,
7 and that the 10th percentile case provides a better match to
8 the data.

9 Next? So, we're now going back over the same
10 ground here with the new infiltration maps for the chloride,
11 which were one of the data sets we said would be expected to
12 be sensitive to changes in the infiltration result.

13 Again, we have the ESF data and the ECRB data, now
14 with the model plotted using the new infiltration, same set
15 of infiltration maps as before. And, generally, what we find
16 is that the 50th percentile case, which is the dotted gold
17 line there, and kind of a thin gold line down here in the
18 ECRB, tend to fall below most of the data. In general, it
19 doesn't match up too well with the observed data.

20 However, the 10th percentile case does a pretty
21 good job in the ECRB, tends to run a little bit high in the
22 ESF. And, this is consistent again with the evaporative
23 concentration, where now we're down at present day to a level
24 of around 42, which is pretty close to the present day middle
25 case from the old infiltration map. It then drops down quite

1 a bit for the 30th, 50th and 90th percentile. That drops your
2 average chloride concentrations pretty much across the board.

3 The reductions that we find in the chloride
4 concentration appear to be more universal here and in other
5 cases that we've run than for temperature, and that's because
6 we don't lose sensitivity in the chloride case at low
7 infiltration rates, like we do in the temperature case.

8 Next? So, recapping, what we have found is that
9 the UZ model predictions for temperature and chloride, using
10 the present day median case from the new infiltration model,
11 tends to deviate somewhat from the temperature and chloride
12 observations in the unsaturated zone.

13 So, in an effort to try to integrate and align
14 these models, what we want to do and what we are pursuing is
15 using the, again, the probabilities as generated from the new
16 infiltration model, in combination with the residuals between
17 the calculated temperature and chloride values, and the
18 observed values. And, the mechanism for pulling all that
19 together is using this Generalized Likelihood Uncertainty
20 Estimate methodology, and it goes something like this in the
21 way we are trying to do this.

22 You could say determine the prior weights. We, the
23 prior weights are the weights as determined by the
24 infiltration model. Then, there are likelihood values based
25 on the chloride and temperature data and the UZ model

1 predictions.

2 So, we have residuals, and we use a likelihood
3 function, which gives a greater magnitude value for lower
4 residuals, tending to weight calculations that produce values
5 closer to the observations. It gives those greater weight.
6 Then, we calculate the final weighting factors using a
7 relationship shown there.

8 So, now we're coming back to the same plot I showed
9 at the beginning of the talk, these are the same points and
10 as I mentioned, this is not only an infiltration probability
11 of distribution, but a distribution for sampling of the
12 unsaturated zone flow models that use these infiltration
13 rates in the TSPA.

14 So, we have, again, the old infiltration
15 distribution, the new infiltration distribution, and then
16 this is a very preliminary output in terms of a weighted
17 adjusted value using this GLUE method that accounts for both
18 infiltration probability, as well as the observations and
19 calculations from the unsaturated zone for chloride and
20 temperature.

21 Next slide? So, to summarize, first of all, UZ
22 flow model is a 3D mountain-scale process model that is
23 calibrated and validated against a number of data sets, which
24 I have attempted to present here in this talk. The results
25 that we found primarily in terms of sensitivities are that

1 water saturation, water potential, and pneumatic pressure
2 have very low sensitivity to infiltration flux.

3 Calcite deposition has limited sensitivity to
4 infiltration rate, but really poor present day climate, has
5 poor time resolution. Temperature profiles along boreholes
6 are sensitive to infiltration at locations with sufficiently
7 high infiltration rates, but not sensitive in the low
8 infiltration environment. Average chloride concentrations
9 are sensitive to the infiltration output, and in particular,
10 the average values get shifted in terms of the changes in the
11 evaporative concentration, which is a function of the
12 infiltration and the precipitation.

13 The preliminary UZ model results for temperature
14 and chloride, using the median new infiltration rates for
15 present day climate, tend to deviate from the observation in
16 terms of temperature and chloride.

17 The UZ flow and infiltration models are going to be
18 integrated using the prior uncertainty information from the
19 infiltration model, plus the residuals between UZ model
20 predictions and UZ observations for temperature and chloride,
21 using GLUE methodology to develop an adjusted weighting
22 factor distribution for sampling the flow fields in TSPA.

23 We believe that the integration of the US flow and
24 infiltration models through these weighting factors provides
25 an improved treatment of uncertainty while maintaining

1 consistency with the unsaturated zone observations.

2 I'll take questions at this time.

3 HORNBERGER: Thanks, Jim. Questions? Thure?

4 CERLING: I'd like to go to Slide 11. I'm just really
5 trying to understand what's going on here, because all of
6 these have, you model it with different infiltration rates,
7 but it's only the lower two panels that show the sensitivity.
8 So, is that basically a difference in the thermal
9 conductivity of the upper two versus the lower two?

10 HOUSEWORTH: No, I don't think there's a great deal of
11 difference in the geology and the thermal conductivity at
12 these boreholes that would be driving this difference. It's
13 basically that when you have a low infiltration rate, like
14 let's say NRG-6, and we say our infiltration rate is may be
15 millimeter a year, or less there. It's just a low coolant
16 environment, has a low rate. The advective heat transport is
17 very weak, and, so, you double that, and you go to 2
18 millimeters a year, you still don't see anything because it's
19 still dominated by thermal diffusion. You have to really
20 bump it up a long way to start to see any deflection of the
21 temperature profile.

22 Down at UZ 7a and SD-12, where it's starting, you
23 know, at the 10th percentile, it's several millimeters a
24 year, well above the 4 millimeters a year here, that I showed
25 on the other slide where you started to see some sensitivity,

1 so it's the local variations in the percolation flux, and
2 they do vary considerably.

3 CERLING: Well, I guess the way I was interpreting these
4 slides is that all of these, according to the modeling, had
5 different percolation fluxes, but the upper two, the high
6 percolation flux and the low percolation flux don't seem to
7 make any difference in the temperature profile.

8 HOUSEWORTH: Okay. Well, here, maybe I can clarify
9 this. This is a 3D model, okay? And, we have spatially
10 variable infiltration and percolation, and these boreholes
11 are at different locations in the domain. And, so, locally,
12 you're not in the same percolation flux environment. PD10,
13 the 10th percentile, you might be at 5 millimeters a year at
14 SD-12, and .5 millimeters a year at NRG-6.

15 CERLING: But, all your models, your 10th percentile,
16 30th percentile, presumably, those refer to these
17 infiltration fluxes, or percolation flux; right?

18 HOUSEWORTH: They're the infiltration maps. Josh showed
19 they computed 40 realizations. The 10th percentile, if you
20 lined them up in their rank order and give them a percentile,
21 the 10th percentile is the--10 percent of the way up that
22 distribution. The 90th percentile is close to his--coming up
23 close to his 40th. This is 36 realizations.

24 CERLING: Yes, but the 4 millimeters per year, for
25 example, is the mean infiltration over the whole footprint.

1 But, that has to be spatially distributed.

2 HOUSEWORTH: Right.

3 CERLING: So each of these is--the 10th percentile is
4 spatially distributed.

5 HOUSEWORTH: Right.

6 CERLING: Okay. I get it now.

7 HORNBERG: Other questions. John?

8 GARRICK: I just want to--what's your prognosis as to--I
9 know you're not the TSPA guy, but what's your prognosis as to
10 what this is going to do to the performance assessment, with
11 respect to the performance of the UZ?

12 HOUSEWORTH: Well, I mean, the increase in the
13 infiltration rates is fairly large, and it would probably
14 lead to more seepage, more rapid radionuclide transport, but,
15 I mean, I think with our adjustments of the sampling
16 frequencies of these things, we may not see quite as strong
17 of an effect.

18 GARRICK: So, one possible characterization is that with
19 the increased infiltration, it's actually less of a barrier,
20 but there's less uncertainty about that? You say one of the
21 outcomes of this model is reduction--is a better treatment of
22 the uncertainty.

23 HOUSEWORTH: Yes, I think that what I'm really saying is
24 is a broader look at uncertainty as done through the MASSIF
25 model. I think that that model put a lot more emphasis on

1 uncertainty than the previous model, and, therefore, has
2 perhaps a broader range, a little bit better representation
3 of uncertainty than what we've had in the past.

4 By utilizing that, and carrying forward with the
5 adjusting weighting factors, as I'm suggesting, should help
6 allow us to incorporate that wider range of uncertainty, and
7 not deviate too much from what we believe are the results
8 based on chloride and temperature.

9 GARRICK: But, without getting into the chemistry, there
10 isn't much we can--we don't see much good news here, as far
11 as the performance.

12 HOUSEWORTH: Performance?

13 GARRICK: Of the UZ.

14 HOUSEWORTH: No, I wouldn't say that this is going to be
15 good news for UZ performance necessarily, no, not this.

16 HORNBERGER: I can understand why you are proposing to
17 use the GLUE procedure. On the other hand, I would think
18 that a skeptic might see this as in one of the categories of
19 "lies, damned lies, or statistics," and I'm not sure which.
20 We might want to put it in statistics because it's a
21 statistical adjustment. But, it can look a little funny
22 because we go to all of this trouble to develop an
23 infiltration model, and to produce these estimates of net
24 infiltration, and then we come back and say, well, but these
25 don't tie in with our data, so we have to adjust them, and lo

1 and behold, we adjust them back to a model that we calibrated
2 for the site.

3 HOUSEWORTH: I don't really find that unusual at all.
4 And, let me remark as to why.

5 The infiltration model was developed with as much
6 information as they had available to them in this very narrow
7 zone at the ground surface. But, they did not delve and use
8 any of this information that we're pulling in now. We're
9 pulling in entire new data sets. That model had no benefit
10 of that information. And, these data sets are maybe some of
11 the best ways we have for estimating for glacial flux in the
12 unsaturated zone, and, thereby, infiltration in an average
13 sense. It doesn't perhaps help nearly as much in terms of
14 the spatial distribution, but when you pull in this
15 information, I think that it's to be expected that you may be
16 adjusting some things, because it's new information, and
17 there's a lot of uncertainty in the infiltration model. So,
18 that's where we're ending up.

19 GARRICK: Just to be argumentative a little bit, if one
20 were doing this project as a totally integrated project, do
21 you think that this would be the best way to do it, or do you
22 think that one might calibrate on, let's say, soil depth?

23 HOUSEWORTH: Well, I guess if you were talking about an
24 idealized world, what I would recommend is an integrated
25 infiltration and unsaturated zone flow model. And, that way,

1 we can integrate these effects in the unsaturated zone, which
2 are key for us bounding our estimates of infiltration
3 directly into the model. I mean, we kind of cut up these
4 things somewhat arbitrarily as a matter of convenience. The
5 system is broken into all these different pieces, and
6 sometimes when you break them up, you lose on some of the
7 integration--that you could gain from if the model was--

8 HORNBERGER: David? Anyone else?

9 (No response.)

10 HORNBERGER: Great, thank you very much, Jim.

11 We have requests from three people who wish to make
12 comments during our public comments period. First on my list
13 here is Judy Treichel.

14 GARRICK: Judy, you can come up and use the podium if
15 you--

16 TREICHEL: Oh, no, no, this is just fine.

17 Judy Treichel, Nevada Nuclear Waste Task Force. I
18 just made a couple of notes and it's going to sound probably
19 like the same thing I say all the time. But I was wondering
20 how much of the new climate data that's come out of the
21 international committee has been looked at and incorporated
22 in? Because much of it goes away from the sort of waves that
23 it showed on old DOE maps when they would show the glacial,
24 inter-glacial, monsoon, those sorts of things, and for, I
25 don't know, hundreds of thousands of years, you would see

1 these up and down kind of evenly rolling things. And with
2 some of the new data coming out of the new studies, they are
3 showing that if a lot of the climate change is man made, then
4 you're not going to have these normal cycles the way they
5 have been, and the predictions given for the southwest are
6 actually drier, hotter, more fires, and the precipitation
7 would be far more drastic and you might get less rain, but
8 you might get it in maybe two events. So, you'd have huge
9 events, and those might be very different if you had burned
10 off a lot of the vegetation. So, I just wondered if any of
11 that had been considered?

12 So, it's likely that at least with the new data
13 that's coming in from the international community, that the
14 past doesn't predict the future. If it did before, they find
15 that it does less now.

16 And, I always get upset about the weighting factor,
17 whether it's in doses or no matter what it's used for, but
18 once you come up with weighting and averages, or weighted
19 mean, which is a weighted average, you have a double mask on
20 something. And, when you're talking about what happens with
21 Yucca Mountain, you're going to have to use some realistic
22 stuff rather than averaging and weighting and GLUEing and
23 whatever else is going on. But, even when you call it net,
24 it's still another average.

25 So, that's it, thank you.

1 HORNBERGER: Thank you, Judy. Tom Buqo (phonetic)?

2 BUQO: My name is Tom Buqo, I'm a hydrogeologist,
3 consultant to Nye County. The comments I'm going to make do
4 not necessarily reflect the views, opinions or stated
5 policies of either the Nye County Board of County
6 Commissioners, nor the Nye County Nuclear Waste Repository
7 Office.

8 I'd like to touch on five topics. The significance
9 of recharge to Nye County, the e-mails, the water rights
10 hearing that Nye County was involved in last year, an AGU
11 book that we're aware of, and then the topic of
12 corroboration.

13 We don't look on it as infiltration. Nye County
14 looks at it as recharge, and we are desperate for every drop
15 we get. Every millimeter of water that falls over Amargosa
16 Desert translates to 1,600 plus acre feet a year, because
17 there's over half a million acres there. So, we've been
18 following this work very closely, because the more recharge,
19 the better we like it, because the more water resources we
20 have.

21 I sat at a meeting, I want to say it was in 1985,
22 when a gentleman from one of the National Labs got up and
23 stated with a certain Markovian certainty that it was going
24 to take 80,000 years for that molecule of water to get from
25 the top of Yucca Mountain to the saturated zone. Well,

1 things have changed, and we now realize that's probably not
2 the case. So, with great interest, we have watched as the
3 work has continued.

4 Moving onto the e-mails, I think this document is
5 really a good document. It was good to see it. We had seen
6 most of the e-mails before, and our immediate reaction when
7 they came out was just go, but three of them in particular
8 kind of give me pause. One is the one on Page A-9, where
9 they state, "We need a product or we're screwed and we'll
10 take the blame." It tells me it's very product oriented.
11 They had to get a product out.

12 The one on Page A-12, "They're going to continue
13 their regional model even if it ignores direct orders from
14 YMP management. Get a project out and the Death Valley
15 regional model fits the bill."

16 And, then, the last one, which I think is the one
17 that really gives me the most pause. "These guys are trying
18 to put bandaids on a road kill. They don't get it. The more
19 they start digging, the more dangerous it starts to get.
20 There are many skeletons in the closet."

21 One of my roles in working for Nye County and
22 oversight is to dig. So, we're always looking at the work
23 that's being done. We're always digging into it. So, a
24 statement like that really kind of concerns me.

25 Moving onto the water rights hearing last year in

1 June, this is where things kind of came to a head, because we
2 were hit with the results, they took that regional flow
3 system model, and they turned around and used it against us
4 in our water rights hearing to try to use it as a transient
5 model to forecast the impacts of groundwater development in
6 Amargosa Desert.

7 According to the results that they presented in
8 that water rights hearing, the Devil's Hole pupfish ceased to
9 exist in about 1976 because the water table was lowered so
10 far below the breeding shelf, that it would no longer be able
11 to be viable, and the water level never rose again to current
12 levels. In fact, they show a significant drop later.

13 The point is they're trying to use a model for
14 something that it's not intended to be used for. We really
15 got into digging into that model, because into that model
16 because we had to be able to present an argument when we went
17 to the water rights hearing. So, I'm probably one of the few
18 people that sat down and read every chapter, and put it all
19 on the regional closed system modeling report. We read the
20 stuff about infiltration, the chapter. We're well aware of
21 some problems with that, and we brought those out at the
22 hearing.

23 But, there's a link between recharge and discharge,
24 and we have consistently maintained that the estimates of
25 discharge being used in that flow model are significantly

1 under-estimating the amount of discharge by a factor of at
2 least two.

3 Now, it's interesting hearing these folks come out
4 today and say, well, when we look at it this way, our
5 estimates are two to four times higher than the previous
6 estimates. Well, let's apply that to the recharge. Does
7 that apply to the Spring Mountains and the Sheep Mountains
8 which feed our system? Is the recharge there two to four
9 times higher? We certainly hope so. We've always thought it
10 was at least doubled, and that's why we think that if you're
11 going to go out digging and looking for skeletons, the
12 discharge estimates that are used to balance this regional
13 model are something that should be subjected to a high level
14 of forensics.

15 Corroboration. You know, we try to keep an eye on
16 the literature. I may live on the side of a hill in rural
17 Nevada, but I belong to AGU, I get--so when I saw this book
18 come out, Groundwater Recharge in Desert Environment in the
19 Southwestern United States, I grabbed a copy.

20 And, in that, there's a paper by Flint, Flint and
21 Hevesi, Fundamental Concepts of Recharge in the Desert
22 Southwest and Regional Modeling Perspective. And, in that,
23 they summarized their results. Let's use Amargosa Desert as
24 an example. Amargosa Desert, the Maxey Eakin recharge
25 predicted, or estimated 1,500 acre feet of recharge to

1 Amargosa Desert. Hevesi, et al, 2002, estimated over 8,000.
2 Hevesi, et al., 2003, estimated a little over 2,000, a factor
3 of four difference between the two years. Then in 2004, they
4 come back with 2,000 again.

5 What happened between 2002 and 2003? I can only
6 speculate. But, I speculate it works something like this.
7 The Department of Energy went to a lot of trouble, time and
8 effort, 15 years and \$20 million, to develop the most
9 sophisticated groundwater flow model I have ever seen. Then
10 in 2002, someone comes along and says, oh, by the way, your
11 recharge may be off by a factor of four. Well, when that
12 happens, it has an incredible cost and schedule impact, and I
13 think there was a reluctance to go in and take a look at it
14 and say well, what happens if the recharge is significantly
15 higher? We have to redo our regional model, we have to
16 recalibrate it, and we have to take a look at it all from
17 new, and I didn't see any sort of effort that that be done,
18 and I see the next year, the same people come back with
19 totally different results.

20 And, then, finally, on corroboration, there's a
21 Table H in this report, which I think is kind of interesting.
22 Table H goes in and list recharge estimates for West Texas,
23 New Mexico, and Arizona. That's Table H-3. Table H-1 lists
24 estimates of recharge for Nevada hydrographic areas. When I
25 look at the basins that are of concern to Nye County, Mercury

1 Valley, Rock Valley, Buckboard Mesa, Forty Mile Wash, Crater
2 Flat, Amargosa Desert, Pahrump Valley, everything that's
3 listed in Table H-1 comes from Maxey Eakin. Maxey Eakin is a
4 very old estimate, dating back 40 or 50 years. That's not
5 corroboration.

6 When I look at the next table, H-3, recharge
7 estimates in West Texas, New Mexico, and Arizona, that's not
8 corroboration, until I see in there the studies that have
9 been done in the State of Nevada. I know for a fact that
10 there have been chloride balance methods applied in the state
11 of Nevada, yet as I look at this, I don't see any reference
12 to any of those studies.

13 And, I appreciate the time to express these
14 comments. Thank you.

15 HORNBERGER: Thank you, Tom. Finally, I have Charles
16 Fitzpatrick.

17 FITZPATRICK: It's a tough act to follow. Charles
18 Fitzpatrick, State of Nevada.

19 I guess I have one sort of inquiry for Josh Stein,
20 and then a comment. The inquiry has to do with timing,
21 because I think you made the point when you began that the
22 MASSIF model is preliminary at this stage, and so your
23 comments are to be taken that way. And, I think it was also
24 mentioned that when it is complete, it's going to be reviewed
25 independently by Oak Ridge. I guess that could result in

1 some refinements backed by you all.

2 It's a two-part question. The first question is
3 when do you think that the model will be in final, final,
4 final form? And, then, the second part of it is we recently
5 heard that DOE is going to begin doing many of the--lots and
6 lots of runs they have to do on the TSPA as soon as the end
7 of March or April here, and do them over succeeding months.
8 And, I'm wondering, depending on your answer to Part A, when
9 will the MASSIF model be final? How can it be successfully
10 incorporated into these many TSPA runs that are going to
11 begin well before the model is finished?

12 STEIN: I can answer the first question. The issue of
13 the Oak Ridge review--to either lead lab representative or
14 DOE. But, the last question is we actually, this is in the
15 draft report right now, we ran the model and got results back
16 in I want to say October, it may have been earlier than that.
17 I'd have to look. We got preliminary results. Those
18 preliminary results were fed to TSPA, or fed to the UZ flow
19 model, and then propagated through.

20 After that point, we found a series of minor errors
21 or inconsistencies in some of the data as a function of the
22 review that was going on. We made those corrections. We
23 submitted a CR on ourselves for basically, you know, to
24 document that, and what we've done is actually, we're
25 carrying forward both sets. The errors were in--essentially

1 had very small effects on the actual predicted infiltrations.
2 There were minor rounding errors, and that's an example of
3 one of the data sets.

4 So, we've run both of them. We have records of
5 both of them. We're going ahead and qualifying in the report
6 the current results, and then we're going to do a data
7 qualification effort basically by comparing the preliminary
8 results of the run for the UZ model and show that they are
9 essentially within the--for their intended use, the
10 preliminary results are adequate.

11 FITZPATRICK: Forgetting Oak Ridge for the moment, you
12 can't control it--

13 STEIN: We are going to be--we're going to try to
14 resolve checking by end of next week. Then, we go, the
15 procedure then goes for interdisciplinary review, and I guess
16 I'd have to defer to management in terms of--I'd have to--I
17 don't know exactly how long that will take.

18 NEWBURY: This is Claudia Newbury, DOE. Hi. We've done
19 this before. This is a deliverable to DOE, so in terms of
20 when, I'd have to check our schedules for when that
21 particular document will be delivered to us. But, then, we
22 will do a final review on it before it is a final document
23 that's available. So, I can get back to you with a schedule.

24 FITZPATRICK: And, would it be incorporated in the TSPA
25 sort of in preliminary form?

1 NEWBURY: The model results could be incorporated into
2 the UZ model, which then feeds into the TSPA, so we can use
3 those preliminary results and make sure that they're valid
4 when the model is complete.

5 FITZPATRICK: I'll now turn to a comment. I'm concerned
6 that what we may see over the next many months is
7 preliminary, not yet final inputs being submitted for TSPA
8 runs, which will then become preliminary TSPA/LA output, not
9 available to the public because the TSPA/LA itself is
10 preliminary, and the inputs are preliminary. And, on the eve
11 of LA, we will suddenly have a dump of all the final inputs
12 and the final TSPA/LA. That's my concern.

13 The other comment was sort of a follow-up to Judy
14 Treichel's observation about she's concerned about the use of
15 means and averages and I mean, I just have a practical
16 question about it in my own mind. Mr. Stein, Dr. Stein,
17 showed us that by way of example perhaps the most
18 precipitation you might anticipate over a thousand year
19 period would be about 700 millimeters, you know, and all the
20 others would be well under that, most of them under 200.
21 But, once every thousand years, you're going to get 700
22 millimeters, or more.

23 And, we also saw that net infiltration tracks, I
24 mean, the most significant input to net infiltration is
25 precipitation. So, my curiosity, and this is just a comment,

1 not a question because you're not a corrosion guy, but, for
2 instance, when it comes to corrosion, it is said that the
3 most important factor in corrosion is when water gets to the
4 tunnel, and how much water gets to the tunnel, and when.

5 So, from what you seem to be illustrating, and I
6 understand it's preliminary, is that about once every 1,000
7 years, you're going to have a humongous precipitation year
8 and a humongous infiltration year, and maybe that would be
9 important to the corrosion--to the issue of corrosion, access
10 of water to the waste containers, and initiation of
11 corrosion. But, it may never be analyzed that way if the DOE
12 corrosion experts use mean precipitation and mean
13 infiltration.

14 In the real world, the mean may never happen. But,
15 I mean, over a thousand years, I guess you'd say that's just
16 what would happen. But, in a visual year within the thousand
17 years, you may have sufficient precipitation and infiltration
18 to initiate a lot of corrosion. And, I'm concerned if they
19 use only the outputs of mean this and average that, that they
20 won't come up with correct information on when corrosion will
21 take place. And, that's just an observation.

22 HORNBERGER: Thank you, Charles. Scott?

23 TYLER: Scott Tyler from the University of Nevada, Reno.

24 Just two quick comments, if I may, to the Panel.
25 First off, is I too was surprised to hear that there's some

1 questions regarding some of the neutron data. That is, to
2 me, some of the longest running data sets that the project
3 has with respect to infiltration and recharge, and perhaps
4 some of the most valuable data. So, I'm surprised that those
5 data were not used in the analysis.

6 Secondly, the modeling from Sandia, my sense is
7 that it shows, and Josh showed us, that the depth to bedrock
8 was one of the most key factors. The storage in the soil
9 zone, that is, the water holding capacity was perhaps second.
10 And, then, the question of whether there are roots that are
11 extracting water from the fractures, appears also to be a
12 fairly important factor.

13 I think probably Alan Flint's INFIL model showed
14 probably pretty much the same kind of sensitivity. I haven't
15 read it, but I would assume so. And, to me, it seems like
16 those are two, at least, of the most simple things that could
17 be measured at the site, depth to bedrock, when the bedrock
18 is between 10 and 30 or 50 centimeters below the land
19 surface. My students and I will happily go out with a hammer
20 and a stick if you pay us at the rate that's being paid, and
21 we will do that work all over the mountain. And, I am
22 serious about that. I am surprised that those data have not
23 been collected at the frequency that they should be.

24 The effects of roots in the fractured rock, again,
25 soil pits are not that difficult to dig with a backhoe.

1 These things can be measured, and why they haven't been
2 measured, surprises me.

3 Thank you.

4 HORNBERGER: Claudia?

5 NEWBURY: Claudia Newbury, DOE.

6 Earlier today, there were a couple comments made
7 about \$12 billion being spent on this program. Total amount
8 of money spent on this program to date is only \$9 billion.
9 So, there's a small difference there.

10 HORNBERGER: A billion here, a billion there.

11 NEWBURY: And, that's on everything, including a second
12 repository, the transportation program, the waste acceptance
13 program. So, it's not just on the repository itself. The
14 amount spent on the repository is, of course, less than that,
15 and that's over more than 20 years.

16 So, two points on that. One, yes, there's a lot of
17 data that could have been collected and wasn't, and work
18 could have been modeled and wasn't, but it's not because we
19 didn't want to, but we have been restricted, and if Congress
20 would appropriate the amount of money at \$12 billion over the
21 same time period, we probably could have done a lot more.

22 The second point is that, you know, science is
23 science, and are there still questions? Of course there are
24 questions. They are different questions than they were 20
25 years ago. But, every time you find out something new, there

1 are more questions, and we certainly have a long-term testing
2 program that we intend to have in place, and a performance
3 confirmation program that will do a lot toward answering some
4 of those questions, and no doubt will raise more.

5 And, then, I have one other thing to say, and that
6 is the MASSIF model was out here in public for the first time
7 today, and it's a new model, it's been developed in a very
8 short time frame, with a limited amount of people, and money.
9 And, I really appreciate their coming out here and talking to
10 you about it. It will be controversial. There's a lot of
11 work that needs to be done on it yet, but we appreciate the
12 opportunity to talk about it.

13 Thanks.

14 HORNBERGER: Okay. Well, I want to thank all of the
15 people who came here to speak to us. I thought that the
16 presentations today were excellent. I think we learned a lot
17 and I appreciate your willingness to put up with all of our
18 questions and sometimes seemingly hostile behavior. It's
19 only that we want answers to questions. That's all.

20 We do thank you, seriously, for coming. And, I
21 think with that, I will turn it back to you, John, or should
22 I just close the meeting?

23 GARRICK: Close the meeting.

24 HORNBERGER: The public meeting is hereby closed.

25 (5:23 p.m. - The meeting was adjourned.)

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C E R T I F I C A T E

I certify that the foregoing is a correct transcript of the Panel on Postclosure Performance of the Nuclear Waste Technical Review Board held on March 14, 2007 in Berkeley, California taken from the electronic recording of proceedings in the above-entitled matter.

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