
Nuclear Waste Technical Review Board Fiscal Year 2001 Performance Plan (Revised March 2001)

NWTRB General Goals And Strategic Objectives

The national goal for radioactive waste management established by Congress in the Nuclear Waste Policy Act of 1982 and the Nuclear Waste Policy Amendments Act of 1987 is safe disposal of civilian spent nuclear fuel and high-level radioactive waste in a permanent geologic repository at a suitable site or sites. In the acts, Congress directed the Department of Energy (DOE) to characterize a site at Yucca Mountain, Nevada, to determine its suitability as the potential location of a permanent repository for high-level radioactive waste. Congress charged the Nuclear Waste Technical Review board with reviewing the technical and scientific validity of the Secretary of Energy's activities associated with achieving this goal, including characterizing the site and packaging and transporting the waste. The Board's general goals have been established in accordance with its congressional mandate.

General Goals

To accomplish its congressional mandate, the Board has established four general goals.

1. Ensure that technical and scientific activities undertaken by the DOE related to determining the suitability of the Yucca Mountain site as the possible location of a permanent repository and predicting the performance of a potential repository establish a sound technical basis for a decision on

whether to recommend the site for repository development.

2. Ensure that technical and scientific activities undertaken by the DOE related to designing the repository and waste packages are well integrated and establish a sound technical basis for designing the repository system, including the engineered barrier system (EBS).
3. Ensure that technical and scientific activities undertaken by the DOE related to packaging, handling, and transporting spent nuclear fuel and high-level waste to a permanent repository are well integrated and establish a sound technical basis for designing and operating a waste management system.
4. Ensure that long-term technical and scientific activities undertaken by the DOE, including performance confirmation and design modifications, establish a sound technical basis for reducing uncertainties related to repository performance, operating a repository, and revising repository and waste package designs. (Will apply only if the site is found suitable and a site recommendation is approved.)

Strategic Objectives

To achieve its general goals, the Board has established the following long-term objectives.

1. *Objectives Related to Site Suitability and Predicting Repository Performance*

- 1.1 Evaluate the technical and scientific validity of DOE studies, testing, and analyses supporting a decision on whether to recommend the Yucca Mountain site.
- 1.2 Evaluate the hydrologic, geologic, chemical, and other natural processes at the Yucca Mountain site that establish the foundation for predicting repository performance.
- 1.3 Review the technical and scientific validity of models used to predict repository performance.
- 1.4 Evaluate the DOE's progress in developing a safety strategy for the Yucca Mountain site.
- 1.5 Review the *Record of Decision* and maintain awareness of legal challenges to the final environmental impact statement (EIS) for a potential Yucca Mountain site.

2. *Objectives Related to the Engineered Repository System*

- 2.1 Evaluate repository and waste package designs, including the technical bases for the designs.
- 2.2 Review the progress or results of materials testing being conducted to address uncertainties about waste package performance.
- 2.3 Assess the integration of science and engineering in the DOE program, paying particular attention to the effects of site-characterization studies (e.g. modeling, testing, and analyses of thermal and mechanical effects) on repository and waste package designs.

3. *Objectives Related to the Waste Management System*

- 3.1 Evaluate the accuracy and reasonableness of analyses, methods, and major assumptions used by the DOE in estimating health and safety risks associated with transporting spent nuclear fuel and high-level radioactive waste.

- 3.2 Review the adequacy of plans and requirements for developing the transportation infrastructure necessary to move significant amounts of spent fuel from individual reactor sites to a DOE storage or disposal site. Compare these requirements with current transportation capabilities, and determine the effort needed to develop a large-scale transportation capability.
- 3.3 Review the adequacy of the DOE's plans for safely handling and packaging spent nuclear fuel and high-level radioactive waste for transport to a permanent repository.
- 3.4 Evaluate the effectiveness of the DOE's efforts to integrate the various components of the waste management system (packaging, handling, transport, storage, and disposal of the waste).
- 3.5 Review the DOE's plans for addressing public safety concerns and for enhancing safety capabilities along transportation corridors. This includes activities related to development of plans (e.g., route selection), coordination, accident prevention (e.g., improved inspections and enforcement), and emergency response.

4. *Objectives Related to Long-Term Activities* (Will apply only if the site is found suitable and a site recommendation is ratified)

- 4.1 Monitor performance-confirmation activities undertaken by the DOE that are designed to reduce uncertainties related to repository performance.
- 4.2 Monitor performance-confirmation activities undertaken by the DOE, and evaluate the need to revise repository or waste package designs on the basis of the results of such activities.

Performance Goals for FY 2001

The Board's performance goals for FY 2001 have been developed to further the achievement of the Board's general goals and strategic objectives. Because some of the general goals and strategic objectives relate to work and activities that will be

undertaken in the future, they may not have corresponding annual performance goals in any given year. For example, the following performance goals for FY 2001 relate primarily to DOE activities supporting a DOE decision on whether to recommend the Yucca Mountain site to the President, the design of a potential repository and waste package, and transportation planning.

1. Performance Goals Related to Site Suitability and Predicting Repository Performance and Strategy for Achieving Performance Goals

Performance Goals

- 1.1.1 Review for technical validity the technical and scientific components of the DOE site recommendation report.
- 1.1.2 Review for technical validity the technical and scientific components of the DOE site recommendation "notification document."
- 1.1.3 Review for technical validity the technical components of the DOE site recommendation "consideration document."
- 1.1.4 Evaluate the DOE's use of risk assessment and quantification of uncertainty, and determine whether they are being used appropriately.
- 1.2.1 Monitor the results of flow-and-transport studies being conducted to obtain information on the potential performance of the saturated zone as a natural barrier in the repository system.
- 1.2.2 Evaluate geologic, hydrologic, and geochemical information obtained from the enhanced characterization of the repository block at Yucca Mountain.
- 1.2.3 Evaluate results of the fluid inclusion study.
- 1.3.1 Set priorities among and evaluate for technical validity the DOE process model reports that will be used to support a decision on site recommendation.
- 1.3.2 Determine the strengths and weaknesses of the total system performance assessment (TSPA) and recommend additional measures to strengthen DOE's repository safety case.
- 1.4.1 Determine the appropriateness of the "principal factors" identified by the DOE in its safety strategy.
- 1.4.2 On the basis of an evaluation of the natural processes at work at the Yucca Mountain site, recommend additional work needed to address uncertainties, paying particular attention to estimates of the rate and distribution of water seepage into the proposed repository.

Strategy for Achieving Goals

The strategy for achieving performance goals for fiscal year 2001 is similar to that used and proven successful in previous years. The Board will accomplish its goals by doing the following.

Reviewing critical documents provided by the DOE and its contractors, including contractor reports, process model reports, the TSPA, and the site recommendation.

Meeting with contractor's principal investigators on technical issues, including those related to climate change, unsaturated and saturated zone flow and transport, seepage, and the biosphere.

Holding public meetings with the DOE and contractor personnel at least three times a year involving the full Board and several meetings with individual Board panels.

Visiting and observing ongoing laboratory investigations, including the facilities at Lawrence Livermore National Laboratory, Lawrence Berkeley National Laboratory, Sandia National Laboratory, and the engineered barrier test facility.

Observing field investigations, including the niche, alcove, and sealed cross drift (ECRB) studies and Busted Butte.

Meeting with other entities carrying out research on, or providing input to, scientific and technical issues related to waste disposal, including the NRC and its contractors, the Southwest Research Institute, The Nye County Early Warning Drilling Program, the University of Nevada at Las Vegas project on fluid inclusions, the Environmental Protection Agency, and the State of Nevada Nuclear Waste Projects Office.

1. Performance Goals Related to the Engineered Repository System and Strategy for Achieving Performance Goals

Performance Goals

- 2.1.1 Evaluate the accuracy and completeness of the technical bases for repository and waste package designs.
- 2.1.2 Evaluate the extent to which the DOE is using the technical bases for developing repository and waste package designs.
- 2.1.3 Monitor and evaluate the DOE's progress in developing a technical basis for modified or novel design features.
- 2.1.4 Evaluate the adequacy for a site recommendation decision of corrosion studies on materials being proposed for the EBS.
- 2.1.5 Assess the integration of scientific studies with engineering designs for the repository and waste package. In particular, monitor the results of ongoing thermal tests and evaluate DOE plans for using the test results to support models of the thermally disturbed region near the repository and to decide on spacing between emplacement drifts, degree of preclosure ventilation, and closure date.

Strategy for Achieving Goals

The Board will accomplish its goals by doing the following.

Evaluating the technical bases for the EBS design by reviewing technical documents and databases (e.g., the controlled design assumption document

and the technical database), paying particular attention to the technical bases for making and inspecting final closure welds of the waste package and methods for making drip shield sections. Meetings will be held as necessary with project personnel to obtain clarification and confirmation.

Evaluating the technical bases for repository design by reviewing federal documents and databases, paying particular attention to design features designed to promote drainage, control ventilation, and protect workers in the exhaust end of the ventilation system.

Evaluating repository and waste package designs to identify which parts (if any) of the designs do not have a technical basis.

Evaluating the DOE's technical program to fill in the gaps. In addition, where the DOE is working on alternative design features, the Board will evaluate the technical basis for these features.

After identifying the corrosion mechanisms most important to performance of the overall repository system, reviewing the common database (literature, laboratory, and field data) and judging the adequacy of the database for a site recommendation decision.

3. Performance Goals Related to the Waste Management System and Strategy for Achieving Performance Goals

Performance Goals

- 3.1.1 Evaluate storage cask and container designs to ascertain whether there is a sufficient technical basis for predicting potential problems that could develop during storage and that could affect the performance of the spent nuclear fuel during subsequent repository disposal.
- 3.1.2 Evaluate the effects of "off-normal" events at the surface facility and how the events could affect the ability of the facility to receive waste shipments.

- 3.2.2 Evaluate the effects of reduced receiving capacity at the repository surface facility on the nationwide transportation system.
- 3.3.1 Examine the ability of storage casks and containers, including multipurpose canisters, to serve as disposal casks and containers in a repository.
- 3.4.1 Monitor progress by the railroad industry in implementing new technologies that would enhance the safety of spent-fuel transportation (e.g., electronic braking, wheel-bearing monitoring). Evaluate how well the DOE works with the railroad industry to design an integrated transportation cask-rail and car-train system that would ensure maximum safety and efficiency.
- 3.4.2 Review criteria for waste acceptance for storage to ensure that accepted material has been suitably characterized for subsequent disposal.
- 3.4.3 Evaluate the DOE's plans for enhancing safety capabilities along transportation corridors and review the DOE's planning and coordination activities (e.g., route selection), accident prevention activities (e.g., improved inspections and enforcement), and emergency response activities.

Strategy for Achieving Goals

The Board will accomplish its goals by doing the following:

Meeting with the American Association of Railroads (AAR), individual railroad companies, and railroad infrastructure manufacturers to determine the current state of rail infrastructure and noting the effects of a sustained transportation campaign on the railroad industry. The Board will monitor the construction of a short-line rail line currently under construction in Minnesota as an analog to a possible rail line in Nevada from a main line to a repository at Yucca Mountain.

Continuing to meet with the AAR to keep up to date on the work they are doing related to their

performance specification for shipping radioactive waste. Meeting with AAR personnel at the AAR Technology Center in Pueblo, Colorado.

Attending the semiannual DOE-sponsored Transportation External Working Group meetings to meet with first responders along the proposed transportation corridors to determine how well the DOE is working to implement Section 180(c) of the Nuclear Waste Policy Act.

Holding a meeting of the Board's Panel on the Waste Management System.

3. Performance Goal Related to Licensing and Performance Confirmation and Strategy for Achieving the Goal

Performance Goal

- 4.1.1 Monitor the DOE's proposed performance confirmation plans to help ensure that uncertainties identified as part of the site recommendation process are addressed.

Strategy for Achieving Goal

The Board will accomplish its goal by doing the following:

Reviewing critical documents provided by the DOE and its contractors, including contractor reports, process model reports, the TSPA, and the site recommendation.

Reviewing performance-confirmation plans and meeting with DOE personnel to discuss aspects of the plans.

Performance Measurement

The Board believes that measuring its effectiveness by directly correlating improvements in the DOE program with Board actions and recommendations would be ideal. However, the Board has no implementing authority, so it cannot compel the DOE to comply with its recommendations. Consequently, a judgment about whether a specific recommendation had a positive outcome for the DOE program is, in

most cases, (1) subjective and (2) an imprecise indicator of Board performance because implementation of Board recommendations by the DOE is outside the Board's direct control. Therefore, to measure its performance in a given year, the Board has developed performance measures. For each annual performance goal, the Board considers the following.

1. Were the reviews, evaluations, and other activities undertaken under the auspices of the goal completed?
2. Were the results of the reviews, evaluations, and other activities communicated in a timely, understandable, and appropriate way to Congress and the Secretary of Energy?

If both measures are met, the Board's performance in meeting the annual goal will be judged effective. If only one measure is met, the performance of the Board in achieving that goal will be judged minimally effective. Failing to meet both performance measures without sufficient and compelling explanation will result in a judgment that the Board has been ineffective in achieving that performance goal.

The Board will use its evaluation of its own performance from the current year, together with its assessment of current or potential key issues of concern related to the civilian radioactive program, to establish its annual performance objectives and develop its budget request for subsequent years. The results of the Board's performance evaluation are included in the Board's annual summary report to Congress and the Secretary.

Board Operations

The Board is composed of 11 members appointed by the President who serve on a part-time basis; are eminent in a relevant field of science or engineering, in-

cluding environmental sciences; and are appointed solely on the basis of distinguished service. Because of the comprehensive nature of the program and the part-time availability of the members, Congress authorized the Board to maintain a small professional staff of 10 full-time employees to support the Board's comprehensive review of the DOE program. In addition to the members and professional staff, the Board maintains a small administrative staff that supports its activities.

The full Board meets three or four times each year. The Board has organized itself into panels that meet as needed. The Board also gathers information from field trips to the Yucca Mountain site, visits to contractor laboratories and facilities, and informal meetings with individuals working on the project. On the basis of the information gathered throughout the year, the Board issues its findings in letters and reports.

FY 2001 Performance Plan Resource Allocation

The Board's budget request for fiscal year 2001 is \$3,200,000. Of that amount, \$1,583,285 will be allocated to activities related to site characterization and \$526,886 will be allocated to activities related to packaging and transportation. The activities are described in detail in the attached annual performance plan. That total represents 67 percent of the Board's total budget. The remaining 33 percent is allocated to administrative and information technology support, communication to Congress and the Secretary, and public outreach.

The budget allocations for site characterization and for transportation and packaging consist primarily of the salaries of Board members and technical staff. They also include travel to the project site at Yucca Mountain to meet with project staff and the expenses related to conducting meetings.