

Small Business Innovative Research (SBIR) Program Fiscal Year 2008

The SBIR program was created by the “*Small Business Innovation Development Act of 1982*”, to ensure that small businesses received a greater share of federal research and development awards. The “*Small Business Innovation Development Enhancement Act of 1992*”, reauthorized the SBIR program.

The objectives of the SBIR program are to:

- Stimulate technological innovations by small businesses,
- Use an increasing number of small businesses to meet their R&D needs,
- Increase private sector commercialization of innovations derived from \$&D awards to small businesses, and
- Foster and encourage the participation of small businesses, small disadvantaged businesses, women-owned small businesses and small business located in HubZone areas.

For continuously updated information concerning these two programs, you should visit the Small Business Administration website at: <http://www.sba.gov/SBIR/indexsbir-sttr.html>. To obtain an electronic copy of an SBIR or STTR solicitation, visit NIH’s website at: <http://grants1.nih.gov/grants/funding/sbir.htm>. Or, to obtain a hard copy, contact the following:

PHS SBIR/STTR Solicitation Office

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For general information or questions concerning the **Administration for Children and Families'** (ACF) and the **Centers for Medicare & Medicaid Services'** (CMS) SBIR program, contact:

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Summary of the SBIR Program

Since the implementation of the program, HHS has received approximately 78,588 Phase I proposals and funded 18,137, with awards exceeding \$2 billion. Under Phase II of the SBIR Program, HHS has received approximately 15,510 proposals and funded 6,274 with awards exceeding \$4.8 billion.

In Fiscal Year 2008, HHS released three SBIR solicitations, several thousand copies were downloaded from the SBIR/STTR website to small businesses resulting in 3,414 Phase I proposals received and 995 funded, totaling over \$182 million. For Phase II, 637 proposals were received with approximately 346 funded, totaling over \$399 million.

The SBIR program also includes awards to women-owned, small disadvantaged and HUBZone small businesses. The chart below shows awards in those categories under the SBIR Program.

Fiscal Year 2008 SBIR Program						
	Phase One Awards			Phase Two Awards		
Category	No. of Awards	Dollar Amount	Percent	No. of Awards	Dollar Amount	Percent
		<i>(In Thousands)</i>			<i>(In Thousands)</i>	
Total SBIR Awards	995	\$ 182,064		346	\$ 399,647	
WOSB Awards	106	\$ 17,178	9.44	114	\$ 53,098	13.29
SDB Awards	47	\$ 7,167	3.95	22	\$ 20,497	5.13
Hubzone Awards	1	\$ 100	0.05	1	\$ 376	0.09

WOSB – Women-Owned Small Business

SDB – Small Disadvantaged Business

HZ – HubZone Small Business

Small Business Technology Transfer Research (STTR) Program

General Information

There are **two major differences** between the STTR and SBIR programs. They are:

1. The STTR program **requires** that a small business concern “team” with a research institution to collaborate on a project that has potential for commercialization. The collaboration is not required under an SBIR project.
2. The SBIR program **requires** the Principal Investigator to have their primary employment with the small business concern at the time of award through completion of the project.

The “*Small Business Research and Development Enhancement Act of 1992*”, requires HHS and certain other Federal agencies to reserve a specified amount of their extramural research and development (R&D) budgets for the STTR program. This legislation is intended to:

- Stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions;
- Foster technology transfer between small business concerns and research institutions;
- Increase private sector commercialization of innovations derived from Federal research and development; and
- Foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

One (1) STTR Solicitation was released in Fiscal Year 2008. The solicitation was made available ‘on-demand’ electronically for small businesses. HHS’ total extramural R&D budget for FY 2008 was \$23.1 billion, with an STTR budget of \$69.5 million.

Total STTR Obligations for Fiscal Year 2008	
<i>(To the Dollar)</i>	
Total Agency Obligations Reported	\$73,123,659
Total Amount to Small Businesses	\$41,485,049
Total Amount to Non-Profit Research Organizations	\$31,303,955
Net Dollar Amount of Other	\$337,655

Category	STTR Phase I Awards	STTR Phase II Awards
<i>(To the Dollar)</i>		
Total Dollars Obligated	\$24,062,356	\$54,736,312
Small Business Awards	\$12,687,512	\$28,794,537
Small Disadvantaged Business Awards	\$500,770	\$0
HUBZone Awards	\$0	\$0
Research Institutions	\$20,100,930	\$20,100,930

Updated: June 16, 2009