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**Draft ICCVAM Summary Review Document: The Low Volume
Eye Test**

**Interagency Coordinating Committee on the Validation of Alternative
Methods (ICCVAM)**

**National Toxicology Program Interagency Center for the Evaluation of
Alternative Toxicological Methods (NICEATM)**

**National Institute of Environmental Health Sciences
National Institutes of Health
U.S. Public Health Service
Department of Health and Human Services**

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**Appendix A Low Volume Eye Test (LVET) A.I.S.E. Submission To ECVAM
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Preface

202 Accidental contact with hazardous chemicals frequently causes eye injury and visual
203 impairment. United States and international regulatory agencies currently use the Draize
204 rabbit eye test (Draize et al. 1944) to identify potential ocular hazards associated with
205 chemicals. The U.S. Consumer Product Safety Commission, U.S. Environmental Protection
206 Agency (EPA), U.S. Food and Drug Administration, and U.S. Occupational Health and
207 Safety Administration have testing requirements and guidelines for assessing the ocular
208 irritation potential of substances such as pesticides, household products, pharmaceuticals,
209 cosmetics, and agricultural and industrial chemicals.

210 Although ocular safety assessment has clearly helped to protect consumers and workers,
211 concerns have been raised about the humane aspects of the Draize rabbit eye test (Draize et al.
212 1944). Regulatory authorities have adopted various modifications that reduce the number of
213 animals used and the potential pain and distress associated with the procedure. Significant
214 progress has been made during the last decade. Now only one to three rabbits are required per
215 test, compared to six rabbits in the original protocol. Provisions have been added that allow for
216 animals with severe lesions or discomfort to be humanely euthanized.

217 The low volume eye test (LVET) was developed by Griffith et al. (1980) as an alternative
218 with the intent to both refine the Draize rabbit eye test and to potentially more closely predict
219 the accidental human response to ocular hazard due to the site of test substance application
220 (corneal surface) and decreased volume of exposure (10 μ L) used. However, this hypothesis
221 has yet to be clearly demonstrated, and thus the LVET has yet to be adopted as a reference
222 test method by any regulatory agency.

223 ICCVAM is now reviewing the validity of the LVET because LVET data are used to support
224 the validity of one of the *in vitro* test methods proposed in an *in vitro* testing strategy for
225 antimicrobial cleaning products (see ICCVAM 2009 Summary Review Document,
226 <http://iccvam.niehs.nih.gov/methods/ocutox/antimicro/BRD.pdf>). The OTWG and
227 NICEATM prepared a draft summary review document (SRD) that summarizes the current
228 validation status of the LVET based on available information and data obtained by
229 NICEATM. This draft ICCVAM SRD forms the basis for draft ICCVAM test method
230 recommendations, which are provided in a separate document.

231 An international independent scientific peer review panel (Panel) will be convened in public
232 forum on May 19-21, 2009, to develop conclusions and recommendations on the LVET. The
233 panel includes expert scientists nominated by ECVAM and JaCVAM and we anticipate that
234 these organizations will be able to use the independent report of the panel for their deliberations
235 and development of test method recommendations. The Panel will meet to consider this SRD
236 and to evaluate the extent to which the available information supports the draft ICCVAM test
237 method recommendations. ICCVAM will consider the conclusions and recommendations of the
238 Panel, along with comments received from the public and SACATM, and then finalize the SRD
239 and test method recommendations. These will be forwarded to Federal agencies for their
240 consideration and acceptance decisions where appropriate.

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Executive Summary

265 Accidental eye injury due to contact with hazardous chemicals represents a major cause
266 of visual impairment. U.S. and international regulatory agencies currently use the Draize
267 rabbit eye test (Draize et al. 1944) as the preferred method to identify potential ocular
268 hazards associated with such chemicals. This procedure involves the introduction of
269 100 μ L of the test substance into the conjunctival sac of each animal's eye. Alternatives to
270 the Draize test have been explored to reduce the possibility of pain and distress during the
271 test procedure. One such method, the low volume eye test (LVET), was developed by
272 Griffith et al. (1980) as an alternative with the intent to both refine the rabbit eye test and
273 to potentially more closely predict the accidental human response to ocular hazard due to
274 the site of test substance application (corneal surface) and decreased volume of exposure
275 (10 μ L) used. However, this hypothesis has yet to be clearly demonstrated, and thus the
276 LVET has yet to be adopted as a reference test method by any regulatory agency. This
277 report provides a summary of the usefulness and limitations of the LVET as an
278 acceptable *in vivo* reference test method by reviewing the currently available scientific
279 literature.

280 The majority of available LVET data were generated with surfactant-based mixtures or
281 products, which produce only a mild ocular irritant response or no response. Gettings et
282 al. (1996) evaluated 25 surfactant formulations and their hazard classifications by the
283 EPA and GHS, and reported several incidences of underprediction of an ocular corrosive
284 or severe irritant response in the Draize rabbit eye test by the LVET method. While some
285 have used these data to state that the Draize eye test is excessively overpredictive, there is
286 no information on the performance of known human corrosives in the LVET.

287 Freeberg et al. (1984) used both LVET and Draize to test 29 household cleaning products
288 for which human accidental exposure data are available. The authors concluded that the
289 LVET more accurately predicts the human accidental response to such substances.

290 Similarly, Freeberg et al. (1986b) used both LVET and Draize to test 14 cleaning
291 products, and compared the responses to human accidental eye exposures. They too
292 concluded that the LVET response more closely relates to the human experience than the
293 Draize rabbit test. Ghassemi et al. (1993) and Roggeband et al. (2000) both concluded

294 that the smaller volume (10 μ L) used in the LVET is more appropriate when compared
295 directly with human clinical data. However, the lack of available Draize rabbit eye test
296 data in these studies precludes any direct comparison with LVET.

297 This review of the validity of the LVET was undertaken because LVET data is used to
298 support the validity of one of the *in vitro* test methods proposed in an *in vitro* testing
299 strategy for antimicrobial cleaning products (see ICCVAM 2009 Summary Review
300 Document, <http://iccvam.niehs.nih.gov/methods/ocutox/antimicro/BRD.pdf>). Comparative
301 traditional Draize rabbit data with which to evaluate the accuracy of the LVET are only
302 available for limited types and numbers of substances (i.e., surfactant-containing personal
303 and household cleaning products), and comparative human data from clinical studies and
304 accidental exposures proposed to support its accuracy are largely with substances that are
305 mild or non-irritating. Ethical considerations have limited the severity of substances that can
306 be tested in human clinical studies. As a result, LVET comparisons to human clinical study
307 data are based on tests with mild irritant or substances not labeled as irritants. Such data
308 provide little assurance to the regulatory agencies charged with protecting public health that
309 the LVET can provide adequate protection from substances that may cause moderate or
310 severe ocular injuries in humans.

311 Thus, while the LVET is proposed as more likely to approximate the volume of a substance
312 that could enter the human eye experimentally, there are limited data to indicate whether it
313 can accurately identify the ocular hazard of substances known to cause moderate, severe, or
314 permanent human ocular injuries. In contrast, there are no documented instances where a
315 substance with a hazard category determined in the Draize eye test produced a more severe
316 hazard category response in humans following accidental exposures or ethical human
317 studies.

318 **1.0 Background on Ocular Safety Testing**

319 Accidental eye injury is a leading cause of visual impairment in the U.S., and many of these
320 injuries occur due to contact with workplace or household chemicals. According to the
321 National Institute of Occupational Safety and Health (NIOSH), each day about 2000 U.S.
322 workers have a job-related eye injury that requires medical treatment. Even more eye
323 injuries occur in the home, with about 125,000 eye injuries a year caused by accidents
324 involving common household products such as oven cleaner and bleach (source, American
325 Academy of Ophthalmology). U.S. regulatory agencies such as the Consumer Product
326 Safety Commission (CPSC), Environmental Protection Agency (EPA), Food and Drug
327 Administration (FDA), and Occupational Safety and Health Administration (OSHA) have
328 testing requirements for assessing the hazard potential of substances that may come in
329 contact with human eyes. These testing requirements have effectively protected consumers
330 and workers. The primary method currently accepted by U.S. and international regulatory
331 agencies for assessing ocular safety hazards is the Draize rabbit eye test (Draize et al. 1944).
332 Testing guidelines describing the procedure have been published (EPA OPPTS 870.2400
333 [EPA 1998]), OECD Test Guideline 405, [OECD 2002]) and several legislative statutes
334 have been enacted that enable government agencies to regulate a variety of substances with
335 the potential to pose a risk to ocular health (see **Table 1-1**).

336 **Table 1-1 Summary of Current U.S. Legislation Related to Ocular Health¹**

Legislation (Year of Initial Enactment)	Agency	Substance
Food, Drug and Cosmetic Act (1938)	FDA	Pharmaceuticals and cosmetics
FIFRA (1947) and Federal Environmental Pesticide Control Act (1972)	EPA	Pesticides
FHSA (1964)	CPSC	Household products
FHSA (1964) and TSCA (1976)	Department of Agriculture and EPA	Agricultural and industrial chemicals
Occupational Safety and Health Act (1970)	OSHA	Occupational materials
Clean Air Act Amendments (1990)	Chemical Safety and Hazard Investigation Board and EPA	Accidentally released chemicals and air pollutants

337 ¹Adapted from Wilhelmus (2001).

338 Abbreviations: CPSC = U.S. Consumer Product Safety Commission; EPA = U.S. Environmental Protection
339 Agency; FDA = U.S. Food and Drug Administration, FHSA = Federal Hazardous Substances Act; FIFRA =
340 Federal Insecticide, Fungicide, and Rodenticide Act; OSHA = Occupational Safety and Health
341 Administration; TSCA = Toxic Substances Control Act.

342 **2.0 Regulatory Testing Requirements for Ocular Hazards**

343 Depending on the legislative mandate of various regulatory agencies and their goals for
344 protecting human health, the classification of irritant responses evaluated by each agency
345 varies (**Table 2-1**). The EPA ocular irritation classification regulation and testing
346 guidelines (EPA 1998, 2003) are based on the most severe response in one animal in a
347 group of three or more animals. This classification system takes into consideration the
348 kinds of ocular effects produced, as well as the reversibility and the severity of the
349 effects. The EPA classifies substances into four ocular irritant categories, ranging from I
350 to IV (EPA 2003). Category I substances are defined as corrosive or severe irritants,
351 while classification from II to IV is based on decreasing severity of irritation, as well as
352 the time required for irritation to clear. Irritation that clears in 8 to 21 days is classified as
353 Category II, while irritation that clears within seven days is classified as Category III. For
354 Category IV substances, irritation clears within 24 hours.

355 The U.S. Federal Hazardous Substances Act (FHSA) guideline for ocular irritation
356 classification (CPSC 1995) categorizes a test substance as corrosive, irritant, or
357 nonirritant. The definition of a corrosive, according to the FHSA, is a substance that
358 causes visible destruction or irreversible alterations in the tissue at the site of contact
359 (CPSC 2004). FHSA classification depends on the number of test animals exhibiting a
360 positive ocular response within 72 hours after application of the test substance in the
361 conjunctival sac.

362 Hazard classification of ocular irritants in the European Union (EU) is characterized by
363 two risk phrases: 1) R36 denotes “Irritating to eyes”; 2) R41 denotes “Risk of serious
364 damage to the eyes” (EU 2001). These risk phrases are based on whether the levels of
365 damage, averaged across the 24-, 48- and 72-hour observation times for each ocular
366 lesion, fall within or above certain ranges of scores.

367 For the purpose of harmonizing the classification of ocular irritants internationally, the
368 United Nations (UN) Globally Harmonized System of Classification and Labeling of
369 Chemicals (GHS; UN 2003) includes two harmonized categories, one for irreversible
370 effects on the eye/serious damage to the eye (Category 1), and one for reversible effects
371 on the eye (Category 2). Reversible effects are further subclassified, based on the

372 duration of persistence, as Category 2A (“irritating to eyes”; reverses within 21 days) and
373 Category 2B (“mildly irritating to eyes”; reverses within seven days). The GHS
374 categories are based on severity of the lesions and/or the duration of persistence.

375 Table 2-1 Ocular Toxicity Classification Systems

Regulatory Agency (Authorizing Act)	Number of Animals	Observation Days (after treatment)	Mean score taken?	Positive response	Irritant/Non-irritant Classification
EPA (FIFRA, The Federal Environmental Pesticide Control Act, and TSCA)	At least 3	1 hr, 1, 2, 3, 7, 14, 21	No	-Maximum score in an animal used for classification -Opacity or Iritis ≥ 1 or Redness or Chemosis ≥ 2	-One or more positive animals needed for classification in categories below. Category: I = Corrosive, corneal involvement, or irritation persisting more than 21 days II = Corneal involvement or irritation clearing in 8-21 days III = Corneal involvement or irritation clearing in 7 days or less IV = Minimal effects clearing in less than 24 hours
European Union	1 if severe effects are suspected or 3 if no severe effects are suspected	1, 2, 3 (observation until Day 21)	Yes	Mean study values (scores averaged over all animals in study over Days 1, 2, and 3) of: Opacity or Chemosis ≥ 2 , Redness ≥ 2.5 , or Iritis ≥ 1 OR Individual animal mean values (scores for each endpoint are averaged for each animal over Days 1, 2, and 3) of: Opacity or Chemosis ≥ 2 , Redness ≥ 2.5 , or Iritis ≥ 1	R36 Classification (1) Mean study value where: $2 \leq \text{Opacity} < 3$ or $1 \leq \text{Iritis} < 1.5$ or Redness ≥ 2.5 or Chemosis ≥ 2 (2) If 2/3 tested animals have individual animal mean values that falls into one of the following categories: $2 \leq \text{Opacity} < 3$ $1 \leq \text{Iritis} < 2$ Redness ≥ 2.5 Chemosis ≥ 2 R41 Classification (1) Mean study value where: Opacity ≥ 3 or Iritis > 1.5 (2) If 2/3 tested animals have individual animal mean values that fall into one of the following categories: Opacity ≥ 3 Iritis = 2 (3) At least one animal (at the end of the observation period, typically Day 21) where Opacity or Chemosis ≥ 2 , Redness ≥ 2.5 or Iritis ≥ 1

Regulatory Agency (Authorizing Act)	Number of Animals	Observation Days (after treatment)	Mean score taken?	Positive response	Irritant/Non-irritant Classification
GHS: Irreversible Eye Effects	3	1, 2, 3 (observation until Day 21)	Yes	Mean animal values (over Days 1, 2, and 3) of: Opacity ≥ 3 and/or Iritis ≥ 1.5	-At least 2 positive response animals = Eye Irritant Category 1 -At least 1 animal with at least one of the following scores on Day 21 = Eye Irritant Category 1: Cornea ≥ 1 Iritis ≥ 1 Redness ≥ 2 Chemosis ≥ 2
GHS: Reversible Eye Effects	3	1, 2, 3 (observation until Day 21)	Yes	Mean animal values (over Days 1, 2, and 3) of: Opacity or Iritis ≥ 1 or Redness or Chemosis ≥ 2 and the effect fully reverses in 7 or 21 days	-At least 2 positive response animals and the effect fully reverses in 21 days = Eye Irritant Category 2A - At least 2 positive response animals and effect fully reverses in 7 days = Eye Irritant Category 2B <u>Definition of Full Reversal:</u> Cornea and Iritis scores < 1 and Redness and Chemosis scores < 2
CPSC (Federal Hazardous Substances Act, FDA (Food, Drug, and Cosmetics Act), and OSHA (Occupational Safety and Health Act)	6 (12, 18 possible)	1, 2, 3	No	Opacity or Iritis ≥ 1 or Redness or Chemosis ≥ 2 for any animal on any day	1 st Tier: 4 or more positive animals = Irritant 2-3 positive animals = Go to 2 nd Tier 3 or more positive animals = Irritant 1-2 positive animals = Go to 3 rd Tier

Abbreviations: CPSC = U.S. Consumer Product Safety Commission; FDA = U.S. Food and Drug Administration; EPA = U.S. Environmental Protection Agency; FIFRA = Federal Insecticide, Fungicide, and Rodenticide Act; GHS = United Nations (UN) Globally Harmonized System of Classification and Labeling of Chemicals; OSHA = U.S. Occupational Safety and Health Administration; TSCA = Toxic Substances Control Act

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380 3.0 Principle of the Low Volume Eye Test (LVET)

381 The LVET is an *in vivo* rabbit eye test developed by Griffith et al. (1980), which, like the
 382 Draize test, was designed to determine the extent of potential ocular hazard of a test
 383 material by evaluating the ocular irritation response in the rabbit when administered to the
 384 eye as a single dose. The LVET differs from the Draize rabbit eye test primarily by applying
 385 10 µL (instead of 100 µL) of a test substance directly on the cornea (instead of the
 386 conjunctival sac) (**Table 3-1**). Scoring of corneal, iridal, and conjunctival lesions in the
 387 LVET is identical to that of the Draize rabbit eye test (**Table 3-2**).

388 **Table 3-1 Comparison of Draize Eye Test and LVET Protocols**

	LVET	Draize
Dose Volume	10 µL	100 µL
Dose Location	Applied directly onto the cornea	Applied into the lower conjunctival sac
Eyelid Closure	No forced eyelid closure	Eyelids held closed for one second
Scale for Scoring Ocular Lesions	Draize	Draize

389 LVET = low volume eye test

390 To date, the LVET has not been demonstrated as an adequately valid *in vivo* reference test
 391 method, and has yet to be formally adopted by any regulatory agency. For this reason, the
 392 Interagency Coordinating Committee on the Validation of Alternative Methods (ICCVAM)
 393 is reviewing the validity of the LVET as an acceptable *in vivo* reference test method. The
 394 International Association for Soaps, Detergents and Maintenance Products (A.I.S.E.)
 395 submitted a background review document (BRD) in February 2007 to the European Centre
 396 for the Validation of Alternative Methods (ECVAM) for an independent peer review by
 397 their Scientific Advisory Committee (ESAC) (**Appendix A**). The A.I.S.E. BRD provides a
 398 comprehensive summary of available data and information with which to evaluate the
 399 usefulness and limitations of the LVET.

400 Since its original development, proponents of the LVET have suggested that it is a more
 401 appropriate *in vivo* reference test method for comparisons to *in vitro* data than is the Draize
 402 rabbit eye test. This is primarily based on the assertion that the LVET is more representative

403 of the human response to a potential ocular hazard than the Draize rabbit eye test, given that
 404 the site (corneal surface) and volume of exposure used in the LVET more closely resemble
 405 that of accidental human exposure than does the Draize. As a result, a reported advantage of
 406 the LVET is that it underpredicts the Draize test and is thereby less overpredictive of the
 407 human response than the Draize test. However, definitive data to support this claim are not
 408 available.

409 **Table 3-2 Scale of Weighted Scores for Grading the Severity of Ocular Lesions¹**

Lesion	Score²
Cornea	
A. Opacity – Degree of density (area which is most dense is taken for reading)	
Scattered or diffuse area – details of iris clearly visible	1
Easily discernible translucent areas, details of iris slightly obscured	2
Opalescent areas, no details of iris visible, size of pupil barely discernible	3
Opaque, iris invisible	4
B. Area of cornea involved	
One quarter (or less) but not zero	1
Greater than one quarter but less than one-half	2
Greater than one-half but less than three quarters	3
Greater than three quarters up to whole area	4
Score equals A x B x 5 Total maximum = 80	
Iris	
A. Values	
Folds above normal, congestion, swelling, circumcorneal injection (any one or all of these or combination of any thereof), iris still reacting to light (sluggish reaction is positive)	1
No reaction to light, hemorrhage; gross destruction (any one or all of these)	2
Score equals A x 5 Total possible maximum = 10	
Conjunctiva	
A. Redness (refers to palpebral conjunctiva only)	
Vessels definitely injected above normal	1
More diffuse, deeper crimson red, individual vessels not easily discernible	2
Diffuse beefy red	3
B. Chemosis	
Any swelling above normal (includes nictitating membrane)	1
Obvious swelling with partial eversion of the lids	2
Swelling with lids about half closed	3
Swelling with lids about half closed to completely closed	4
C. Discharge	
Any amount different from normal (does not include small amount observed in inner canthus of normal animals)	1
Discharge with moistening of the lids and hairs just adjacent to the lids	2
Discharge with moistening of the lids and considerable area around the eye	3
Score equals (A + B + C) x 2 Total maximum = 20	

410 ¹ From Draize et al. (1944)

411 ² The maximum total score is the sum of all scores obtained for the cornea, iris and conjunctiva. Scores of
412 0 are assigned for each parameter if the cornea, iris, or conjunctiva are normal.
413
414

415 **4.0 Performance of the LVET vs. the Draize Rabbit Eye Test**

416 As noted in the ECVAM BRD (**Appendix A**), most of the original data generated with
 417 the LVET were from surfactant-based mixtures or surfactant-based products. Most of the
 418 products tested produced only mild ocular irritant responses or are not ocular irritants.
 419 According to the ECVAM BRD (**Appendix A**), "most of the LVET results do not trigger
 420 an eye hazard classification based on European regulatory criteria and this correlates well
 421 with the extensive data on human experience with these products from the marketplace."
 422 However, a comparison of the substances that have been classified by the Draize rabbit
 423 eye test as ocular corrosives or severe irritants that have also been tested in the LVET
 424 indicates that the LVET routinely underpredicts the ocular corrosive or severe irritant
 425 response in the Draize, in many cases by more than one hazard category. This is
 426 illustrated by the results of Gettings et al. (1996) in their evaluation of 25 surfactant-
 427 containing formulations and the resulting hazard classifications according to the EPA and
 428 GHS classification systems (**Tables 4-1** and **4-2**).

429 **Table 4-1 Performance of the LVET in Identifying Ocular Hazard Classification**
 430 **According to the EPA Classification System When Compared to Draize**
 431 **Rabbit Eye Test Results**

EPA		LVET				
		I	II	III	IV	Totals
Draize	I	3	1	6	0	10
	II	0	0	0	0	0
	III	0	0	9	2	11
	IV	0	0	0	4	4
	Totals	3	1	15	6	25

432 EPA = Environmental Protection Agency ocular hazard classification; LVET = low volume eye test

433

433 **Table 4-2 Performance of the LVET in Identifying Ocular Hazard Classification**
 434 **According to the GHS Classification System When Compared to Draize**
 435 **Rabbit Eye Test Results**

GHS		LVET				
		1	2A	2B	Not Labeled	Totals
Draize	1	0	0	4	4	8
	2A	0	0	0	0	0
	2B	0	0	0	1	1
	Not Labeled	0	0	0	16	16
	Totals	0	0	4	21	25

436 GHS = United Nations Globally Harmonised System ocular hazard classification; LVET = low
 437 volume eye test

438

439 Based on the data provided in **Tables 4-1** and **4-2**, it is clear that there are multiple
 440 instances of underprediction of an ocular corrosive or severe irritant response in the
 441 Draize rabbit eye test by the LVET. When using the EPA hazard classification system,
 442 60% (6/10) of Draize Category I substances were underpredicted as Category III (i.e.,
 443 mild irritant) in the LVET (**Table 4-3**). When using the GHS hazard classification
 444 system, all eight of the Draize Category 1 substances were underpredicted; 50% (4/8) as
 445 Category 2B (i.e., mild irritant) and 50% (4/8) as Not Labeled (i.e., nonirritant) (**Table 4-**
 446 **4**). These data raise concern about the capability of the LVET to reliably detect ocular
 447 corrosives or severe irritants (i.e., EPA Category I, EU Category R41, or GHS Category
 448 1).

449 **Table 4-3 Extent of Underprediction of LVET vs. Draize Rabbit Eye Test**
 450 **Results According to the EPA Classification System**

Draize Category	LVET Category	Product
Category I	Category II	HZY (Anti-dandruff shampoo)
Category I	Category III	HZA (Shampoo #7)
Category I	Category III	HZE (Gel cleanser)
Category I	Category III	HZF (Baby shampoo #2)
Category I	Category III	HZL (Foam bath)
Category I	Category III	HZR (Facial cleaning foam)
Category I	Category III	HZX (Shampoo #2)

451 Abbreviations: EPA = Environmental Protection Agency; LVET = low volume eye test

452

453

453 **Table 4-4 Extent of Underprediction of LVET vs. Draize Rabbit Eye Test**
 454 **Results According to the GHS Classification System**

GHS Category	LVET Category	Product
Category 1	Category 2B	HZI (Skin cleanser)
Category 1	Category 2B	HZK (Bubble bath)
Category 1	Category 2B	HZS (Shower gel)
Category 1	Category 2B	HZY (Anti-dandruff shampoo)
Category 1	Not Classified	HZL (Foam bath)
Category 1	Not Classified	HZF (Baby shampoo #2)
Category 1	Not Classified	HZX (Shampoo #2)
Category 1	Not Classified	HZA (Shampoo #7)

455 Abbreviations: GHS = United Nations Globally Harmonised System ocular hazard classification;
 456 LVET = low volume eye test
 457

458 **5.0 Performance of the LVET vs. the Draize Rabbit Eye Test** 459 **Considering Human Study Data and Experience**

460 Human data on potential ocular hazards are available either from accidental exposures or
461 from clinical studies. Accidental exposures are not generally considered to be a reliable
462 source of the true ocular hazard potential since such exposures are likely immediately
463 followed by flushing the eyes with large volumes of water, and may not represent the most
464 severe lesion that might be produced by such an exposure. Griffith et al. (1980) conducted a
465 series of rabbit eye test studies using either 0.01 or 0.1 mL of substances "recognized as
466 slightly irritating, moderately irritating, or severely irritating/corrosive to humans". Among
467 the ocular corrosive or severe irritant substances were:

- 468 • Acetic acid (10%), which is referenced as a severe irritant based on
469 splashes of vinegar (containing 4% to 10% acetic acid) reported to cause
470 pain, conjunctival hyperemia, and occasionally permanent opacity of the
471 human cornea
- 472 • Calcium hydroxide (hydrated lime), which is referenced as one of the most
473 common causes of severe chemical burns of the eye (McLaughlin 1946;
474 Grant 1974)
- 475 • Formaldehyde (38%), which is referenced for the range of injuries caused
476 by splashes in the human eye from minor transient discomfort to severe,
477 permanent corneal opacities (Grant 1974)

478 Although detailed animal data are not available, the summary data provided by Griffith et
479 al. (1980) indicate that the lesions induced by 0.01 or 0.1 mL of these substances were not
480 reversible within 21 days. However, such accidental exposures as human reference data
481 make definitive quantitative measures of amount and time of exposure impossible to obtain.
482 Ethical considerations, and results based largely on the Draize rabbit eye test, have limited
483 the severity of substances that can be tested in human clinical studies. As a result,
484 comparisons to human data are based on clinical study tests with mild irritant or substances
485 not labeled as irritants. Such data provide little assurance to the regulatory agencies charged

486 with protecting public health that the LVET can provide adequate protection from
487 substances that may cause moderate or severe ocular injuries.

488 The fact that seemingly innocuous commercial consumer products were identified as ocular
489 corrosives or severe irritants by the Draize eye test in the evaluation described above could
490 be seen as providing support for the contention that the Draize eye test is excessively
491 overpredictive of the actual hazard to humans. However, because of the paucity of
492 information on the performance of known human corrosives in the LVET, these data cannot
493 simply be dismissed.

494 Several studies are cited in the ECVAM BRD (**Appendix A**) as supporting data for the
495 demonstrated usefulness of the LVET (Freeberg et al. 1984, 1986a, 1986b; Ghassemi et al.
496 1993; Roggeband et al. 2000).

497 **5.1 Ghassemi et al. (1993)**

498 Ghassemi et al. (1993) provides an evaluation of *a single product*, a liquid household
499 cleaner (pH 3) reportedly containing the following qualitative formula: non-ionic surfactant,
500 amphoteric surfactant, hydrotrope, solvent, and water. This study is a direct comparison of
501 LVET results to human clinical data (using either 10 μL or 100 μL doses) for the same test
502 substance. There are no Draize rabbit eye test data reported, and therefore no comparison of
503 the LVET to the standard eye test is possible. The ocular lesions that were produced in this
504 study and their subsequent time to clear would suggest that this product is a mild ocular
505 irritant (**Table 5-1**). The authors conclude that because the direct application to the human
506 eye using either 10 μL or 100 μL doses produced similar results, the smaller volume for
507 testing is more appropriate anatomically and physiologically based on eye volume capacity
508 and subsequent tear volume.

509

509 **Table 5-1 Summary of Rabbit and Human Responses to an Undiluted Liquid**
 510 **Household Cleaner (Ghassemi et al. 1993)**

Species	Ocular Tissues Involved	Number of Eyes Affected			Mean CR at 24 hr	Eyes Cleared/ Time-to-Clear	Max Time-to-Clear
		Cornea	Iris	Conj			
Rabbit LVET	Cornea Iris Conj	3/3	2/3	3/3	2	2/4 days 1/7 days	7 days
Human (10 μ L)	Conj	0/10	0/10	10/10	0.1	1/1hr; 4/2hr; 6/4hr; 10/24hr	48 hr
Human (100 μ L)	Conj	0/10	0/10	10/10	0.2	1/1hr; 2/2hr; 9/24hr; 2/46hr	70hr

511 Abbreviations: Conj = conjunctiva; CR = conjunctival redness; hr = hour; LVET = low volume eye test (10 μ L dose
 512 volume)

513 **5.2 Roggeband et al. (2000)**

514 Similarly, Roggeband et al. (2000) provides an evaluation of *two products*, a dishwashing
 515 liquid (pH 8, contains anionic surfactant, non-ionic surfactant, soap, ethanol, water) and a
 516 liquid laundry detergent (pH 7, contains anionic surfactant, non-ionic surfactant, ethanol,
 517 water). This study is a direct comparison of modified LVET results to those of a human
 518 clinical study. Both rabbits and humans were dosed with either 3 μ L (dishwashing
 519 detergent) or 1 μ L (liquid laundry detergent) of the test products. There are no
 520 corresponding Draize rabbit eye test data. The ocular lesions that were produced in this
 521 study and their subsequent time to clear would suggest that these products are mild ocular
 522 irritants (**Table 5-2**). The authors conclude that these data support the notion that an
 523 accidental exposure would be approximately 10 μ L or less, and that a volume of 10 μ L
 524 would provide a suitable margin of safety. This is based on: 1) knowledge of the anatomical
 525 and physiological characteristics of the eye, and 2) the fact that study participants in
 526 Roggeband et al. (2000) could, "only be exposed to 1 μ L of dishwashing liquid and 3 μ L of
 527 liquid laundry detergent before pre-determined 'cut-off' ocular responses were observed
 528 above which it would have been ethically unacceptable to proceed."

529 **Table 5-2 Human and Rabbit Eye Responses to a Liquid Laundry Detergent (1 µL, Roggeband et al. 2000)**

Human Volunteer	Human				Animal Number	Rabbit LVET ¹			
	1 hour		24 hour			1 hour		24 hour	
	Cornea	Conj	Cornea	Conj		Cornea	Conj	Cornea	Conj
5	0	1/1	0	0/0	28 (c)	0/0	1/1/0	1/2	2/1/1
6	0	1/0	0	0/0	29 (c)	0/0	1/1/0	1/2	2/1/1
21	0	1/0	0	0/0	30 (c)	0/0	1/1/0	0/0	2/1/1
23	1/2	1/0	0	1/0	31 (scs)	0/0	1/1/0	1/4	2/1/0
25	1/1	1/0	0	0/0	32 (scs)	0/0	1/1/0	1/3	2/1/1
27	0	1/0	0	1/0	33 (scs)	0/0	1/1/0	1/4	2/1/1
28	0	1/0	0	0/0					
30	0	0/0	0	0/0					
32	0	1/0	0	0/0					
34	0	1/0	0	0/0					

530 (c) = test substance dosed on the central cornea; Conj = conjunctiva; LVET = low volume eye test; (scs) = test substance dosed on the superior conjunctival sac

531 ¹Low volume eye test was modified to use 1 µL instead of 10 µL

532 **5.3 Freeberg et al. (1984)**

533 A series of studies by Freeberg et al. (1984) provides comparisons of data from LVET,
 534 Draize rabbit eye test, and human studies or experience. Freeberg et al. presents LVET and
 535 Draize rabbit eye test data for 29 cleaning products (laundry products, household cleaning
 536 products, and dishwashing products) as compared to human experience data. The ocular
 537 lesions that were produced in this study and their subsequent time to clear would suggest
 538 that these products are either mild ocular irritants or nonirritants (**Table 5-3**). The human
 539 data were obtained from medical records of factory and consumer accidental eye exposures
 540 (515 reports over a two-year period). The results indicate that both rabbit LVET and Draize
 541 eye tests overpredicted (based on time-to-clear of ocular lesions) the human response based
 542 on accidental eye exposure to the cleaning products. The time-to-clear was longer in the
 543 Draize eye test than the LVET for the same product, forming the basis for the conclusion
 544 that the LVET more closely predicts the human response.

545 **Table 5-3 Summary of Rabbit and Human Accidental Exposure Data from**
 546 **Freeberg et al. (1984)**

Species	Test Method	Number of Products	Average \pm SD Mean Time to Clear (Days) (Range)	Average \pm SD Median Time to Clear (Days) (Range)	Average \pm SD Number of Incidents (Range)
Rabbit	LVET	17	7.3 \pm 7.2 (1.3-28.8)	6.2 \pm 8.8 (0.7-35)	Not Applicable
Rabbit	Draize	26	20.4 \pm 7.2 (3.1-33.5)	20.2 \pm 12.3 (1.4-35)	Not Applicable
Human	Experience data ¹	29	2.4 \pm 2.1 (0.2-9.5)	1.5 \pm 1.5 (0.1-1.8)	16.2 \pm 8.4 (3-68)

547 Abbreviations: LVET = low volume eye test; SD = standard deviation

548 ¹Experience data = combined manufacturing and consumer accidental exposures

549

550 **5.4 Freeberg et al. (1986a)**

551 Freeberg et al. (1986a) compares rabbit eye test results (both LVET and Draize) with those
 552 of human studies (both 10 μ L and 100 μ L dose volumes) for four cleaning products (a
 553 liquid fabric softener, liquid shampoo, liquid hand soap, and liquid laundry detergent). The
 554 results indicate that the LVET in rabbits overpredicted human response to 10 μ L or 100 μ L
 555 of the same product. The ocular lesions (both type and longevity) in the rabbit Draize (100

556 μL) were more severe than in the human test using the same volume as the rabbit Draize
 557 (100 μL). While the majority of effects in humans were conjunctival, the corneal effects in
 558 humans were minimal and transient. The corneal effects in rabbits were more severe and
 559 recovered less quickly. The ocular lesions that were produced in this study and their
 560 subsequent time to clear would suggest that these products are would be classified as mild
 561 ocular irritants based on the Draize eye test results, the LVET, or human results (**Table 5-**
 562 **4**).

563 **Table 5-4 Human Clinical Study and Rabbit Data from Freeberg et al. (1986a)**

Test Product	Concentration (% in water)	Time-to-Clear (hr)			
		Dosing Procedure			
		Rabbit	Human		Rabbit
		10 μL	10 μL	100 μL	100 μL
Liquid fabric Softener	60	45	18.9	24.9	45
	80	66	12.6	33.6	93
	100	27	13.2	12.5	84
Liquid Shampoo	4	5	1.5	2.5	NT
	16	19.8	1.9	2.6	36.5
	20	33	7.5	7.9	63
Liquid hand soap	8	24	1.5	31.5	63
	10	42	10.5	9.1	66
	12	42	1.7	NT	NT
Liquid laundry detergent	2	8.8	2	24.1	27.8
	3	19.8	4.7	1.8	60
	4	39.8	4.8	19.8	75

564 Abbreviations: NT = Not tested

565

566 5.5 Freeberg et al. (1986b)

567 Freeberg et al. (1986b) presents LVET and Draize rabbit eye test data for 14 cleaning
 568 products (liquid and solid laundry products, liquid and solid household cleaning products,
 569 liquid and solid dishwashing products, and liquid shampoos) compared to human
 570 experience data. The ocular lesions that were produced in this study and their subsequent

571 time to clear would suggest that these products would be classified as moderate to severe
 572 ocular irritants based on the Draize eye test results, while most would be classified as mild
 573 ocular irritants by the LVET (**Table 5-5**). The human data were obtained from medical
 574 records of factory and consumer accidental eye exposures (218 reports over an 18-month
 575 period). Similar to Freeberg et al. (1986a), rabbit LVET and Draize tests both overpredicted
 576 the human response due to accidental eye exposure (based on time-to-clear). Because the
 577 time-to-clear was longer for substances tested in the Draize rabbit eye test than in the
 578 LVET, the authors concluded that the LVET outcome more closely relates to the human
 579 experience than the Draize rabbit eye test.

580 **Table 5-5 Human Accidental Exposure and Rabbit Data from Freeberg et al.**
 581 **(1986b)**

Product	Mean Time-to-clear (Days)		
	Human	Rabbit LVET	Rabbit Draize
Liquid Laundry Product #1	1.92	26.6	35.0
Liquid Dishwashing Product #1	0.77	8.2	25.7
Solid Dishwashing Product #1	0.59	4.6	18.3
Liquid Dishwashing Product #2	0.43	7.7	11.7
Liquid Household Cleaning Product #1	0.38	-	11.1
Liquid Dishwashing Product #3	0.30	3.9	22.2
Liquid Household Cleaning Product #2	0.23	4.0	15.2
Solid Household Cleaning Product #1	0.19	1.3	29.2
Solid Dishwashing Product #1	0.08	2.1	13.8
Solid Dishwashing Product #1	0.06	2.9	15.1

582 LVET = low volume eye test

583 **6.0 Summary**

584 Because studies conducted with the LVET have been limited to tests of surfactant-
585 containing personal and household cleaning products, the applicability domain for which
586 the LVET can be considered is necessarily restricted to only these product types. Although
587 LVET data have been used by at least one personal care products company to support
588 submission of data to the EPA for registration of an antimicrobial cleaning product, these
589 results were reportedly used by EPA reviewers in a weight-of-evidence approach, with
590 supporting Draize rabbit eye test data and human post-marketing surveillance data (i.e.,
591 commercial products for which there is an opportunity for adverse events to be reported by
592 the consumer).

593 As indicated in the studies summarized above, human data on potential ocular hazards are
594 available either from accidental exposures or from clinical studies. Accidental exposures are
595 not generally considered to be a reliable source of the true ocular hazard potential since such
596 exposures are likely immediately followed by flushing the eyes with large volumes of
597 water. Such accidents make definitive quantitative measures of amount and time of
598 exposure impossible to obtain. Although the Draize eye test is reported to be excessively
599 overpredictive of the human response, ethical considerations, based largely on results from
600 the Draize rabbit eye test, are used to limit the types of substances that can be tested in
601 human clinical studies. As a result, comparisons to human clinical study data are based on
602 tests with mild irritant or nonirritant substances. Such data provide little assurance to the
603 regulatory agencies charged with protecting public health that the LVET can provide
604 adequate protection from more severe ocular injuries.

605 Thus, while the LVET is proposed as more likely to approximate the volume of a substance
606 that could enter the human eye experimentally, there are limited data to indicate whether it
607 can accurately identify the ocular hazard of substances known to cause moderate, severe, or
608 permanent human ocular injuries. In contrast, there are no documented instances where a
609 substance with a hazard category determined in the Draize eye test produced a more severe
610 hazard category response in humans following accidental exposures or ethical human
611 studies

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