



***Charlie Crist, Governor***  
***Don Winstead, Special Advisor***

**Accountability and Transparency Provisions**  
**The American Recovery and  
Reinvestment Act of 2009**

**Senate Committee on Governmental  
Oversight and Accountability**

April 7, 2009



# The American Recovery and Reinvestment Act of 2009

## Purposes

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive State and local tax increases.



## **Division A**

### **Appropriation Provisions**

- TITLE I—AGRICULTURE, RURAL DEVELOPMENT, FOOD AND DRUG ADMINISTRATION, AND RELATED AGENCIES
- TITLE II—COMMERCE, JUSTICE, SCIENCE, AND RELATED AGENCIES
- TITLE III—DEPARTMENT OF DEFENSE
- TITLE IV—ENERGY AND WATER DEVELOPMENT
- TITLE V—FINANCIAL SERVICES AND GENERAL GOVERNMENT
- TITLE VI—DEPARTMENT OF HOMELAND SECURITY
- TITLE VII—INTERIOR, ENVIRONMENT, AND RELATED AGENCIES
- TITLE VIII—DEPARTMENTS OF LABOR, HEALTH AND HUMAN SERVICES, AND EDUCATION, AND RELATED AGENCIES
- TITLE IX—LEGISLATIVE BRANCH
- TITLE X—MILITARY CONSTRUCTION AND VETERANS AFFAIRS AND RELATED AGENCIES
- TITLE XI—STATE, FOREIGN OPERATIONS, AND RELATED PROGRAMS

- TITLE XII—TRANSPORTATION, HOUSING AND URBAN DEVELOPMENT, AND RELATED AGENCIES
- TITLE XIII—HEALTH INFORMATION TECHNOLOGY
- TITLE XIV—STATE FISCAL STABILIZATION FUND
- TITLE XV—ACCOUNTABILITY AND TRANSPARENCY
- TITLE XVI—GENERAL PROVISIONS—THIS ACT

## **Division B Tax, Unemployment, Health, State Fiscal Relief, and Other Provisions**

- TITLE I—TAX PROVISIONS
- TITLE II—ASSISTANCE FOR UNEMPLOYED WORKERS AND STRUGGLING FAMILIES
- TITLE III—PREMIUM ASSISTANCE FOR COBRA BENEFITS
- TITLE IV—MEDICARE AND MEDICAID HEALTH INFORMATION TECHNOLOGY; MISCELLANEOUS MEDICARE PROVISIONS
- TITLE V—STATE FISCAL RELIEF
- TITLE VI—BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM
- TITLE VII—LIMITS ON EXECUTIVE COMPENSATION

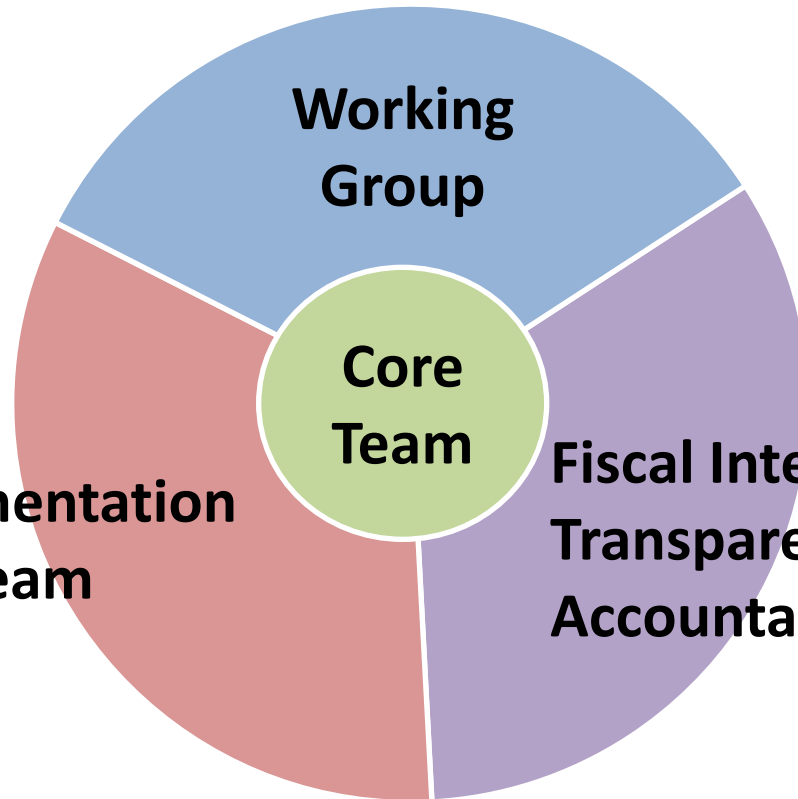


# Organizing The Task

**The People**

**The Governor**

- **Chief Inspector General**
- **Agency Inspectors General**
- **Director of Open Government**
- **Chief Financial Officer**
- **Auditor General**
- **Office of Program Policy Analysis & Government Accountability**



**Implementation Team**

- **Agencies**
- **Office of Policy and Budget**
- **Florida's Washington Office**
- **Local Government**
- **Other Stakeholders**

**Fiscal Integrity  
Transparency &  
Accountability**



# Funding Summary

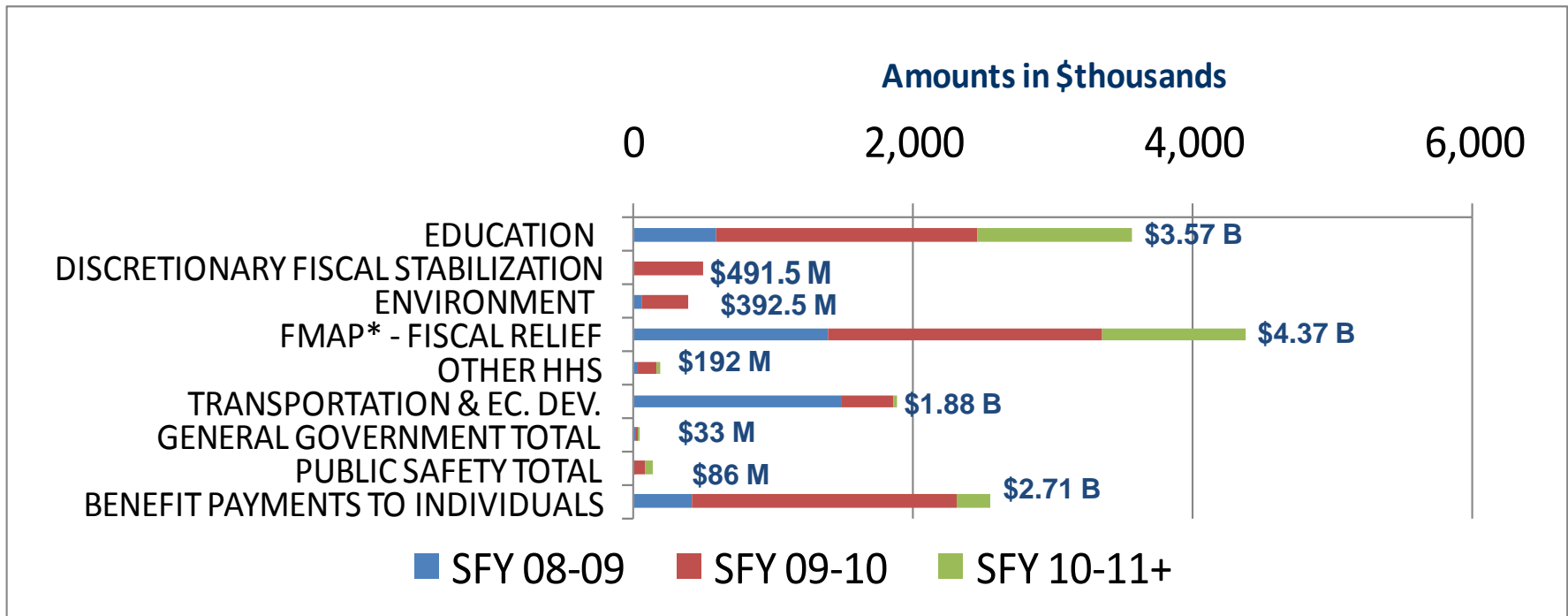
*Does not include competitive grants*

Funding Type	Amount (\$billions)
Funding through state agencies:	
• Funding through the Appropriations Act	\$11.010
• Funding involving State Agencies not flowing through the Appropriations Act (primarily benefit payments to individuals).	2.713
<b>Total</b>	<b>\$13.723</b>
Additional funding from federal agencies to other entities in Florida (including cities, counties, military construction, etc.)	\$1.175
Estimated impact of tax changes for Floridians	\$9.853



# Funding from Recovery Act Over \$13.7 Billion

*Does not include competitive grants*



\* FMAP is the Federal Medicaid Assistance Percentage (the percentage of federal matching funds)

Note: fiscal year amounts may change during appropriations process



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- ~~TITLE XIV—STATE FISCAL STABILIZATION FUND~~
- **TITLE XV—ACCOUNTABILITY AND TRANSPARENCY**
- ~~TITLE XVI—GENERAL PROVISIONS—THIS ACT~~

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- TITLE VI—BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM
- TITLE VII—LIMITS ON EXECUTIVE COMPENSATION



# Accountability and Transparency

- Transparency and Oversight Requirements
  - Certifications for infrastructure investments
  - Reporting requirements on all recipients of funds (other than individuals)
  - Review by CBO\* and GAO\*
  - Reviews by Federal Inspectors General
- Recovery Accountability and Transparency Board
- Recovery Independent Advisory Panel

\* Congressional Budget Office and Governmental Accountability Office





## **Recovery Accountability and Transparency Act Board**

The Honorable Earl E. Devaney	Chairman
The Honorable Phyllis K. Fong	Inspector General Department of Agriculture
The Honorable Todd J. Zinser	Inspector General Department of Commerce
The Honorable Gregory H. Friedman	Inspector General Department of Energy
The Honorable Daniel Levinson	Inspector General Department of Health and Human Services
The Honorable Richard L. Skinner	Inspector General Department of Homeland Security
The Honorable Glenn A. Fine	Inspector General Department of Justice
The Honorable Calvin L. Scovel, II	Inspector General Department of Transportation
The Honorable Eric M. Thorson	Inspector General Department of Treasury
The Honorable J. Russel George	Treasury Inspector General for Tax Administration
Mary Mitchelson	Acting Inspector General Department of Education



# GAO Oversight

- The U.S. Government Accountability Office (GAO) has been given oversight responsibility by the Congress.
- GAO has selected 16 States, including Florida, for special focus during implementation of the Recovery Act.



# GAO Reports

## (from title IX of the Act)

### SEC. 901. GOVERNMENT ACCOUNTABILITY OFFICE REVIEWS AND REPORTS...

(1) IN GENERAL.—The Comptroller General shall conduct bimonthly reviews and prepare reports on such reviews on the use by selected States and localities of funds made available in this Act. Such reports, along with any audits conducted by the Comptroller General of such funds, shall be posted on the Internet and linked to the website established under this Act by the Recovery Accountability and Transparency Board.

#### **From GAO staff based on their entrance meetings**

##### **Reporting Objectives (for GAO's 1<sup>st</sup> bimonthly report).**

- (1). How are states and localities planning to spend Recovery Act funds and ensure that such spending is consistent with the stated purposes of the Act?
- (2). What up-front safeguards (i.e., before the money is spent) as well as ongoing monitoring, audits, and evaluations do the selected states and localities have or are putting in place to guard against mismanagement and waste, fraud, and abuse?
- (3). What are the selected states and localities reported uses and plans to evaluate the impact of the funds they have received to date?
- (4). What improvements, if any, could be made to OMB's guidance to states and local government to make it more clear and complete?



# Florida Inspector General Act

## *Section 20.055, F.S.*

- **Accountability, Integrity, and Efficiency**
  - **Conduct Audits, Investigations & Reviews**
    - **Professional Standards**
  - **Liaison with Law Enforcement & External Auditors**
    - **Avoid Duplication**
    - **Monitor Corrective Action**
  - **Keep Agency Head Informed of Fraud, Waste and Abuse**
  - **Annual Work Plans, Risk Assessments, Annual Reports**



# Chief Inspector General

## *Section 14.32, Florida Statutes*

- **Coordinate, Monitor & Oversee Accountability Efforts**
- **Conduct Audits & Investigations**





# Office of Open Government

## JoAnn Carrin, Director





[www.FlaRecovery.com](http://www.FlaRecovery.com)