

American Forest Foundation

Standards of Sustainability for Forest Certification

Standard Setting Procedures

Acknowledgment

The American Forest Foundation, a 501c.3, not-for-profit, organization located at 1111 19th St., NW, Washington, DC is the sole proprietor and has sole responsibility for setting its “Standards of Sustainability for Forest Certification.” It is acknowledged that the standard setting process will be in compliance with PEFC Council’s requirements for standard setting.

These procedures were approved by the AFF Board of Directors in July 2006 and amended in January 2007 with the Board approved definition of consensus. The Standard Setting Procedures are publicly available from AFF upon request or from the AFF website.

1. Convening The Standard Setting/Review Forum

- 1.1) The AFF Board of Directors (BOD), will, at its discretion, but not exceeding a four-year period from the field implementation of the last standard revision, convene an Independent Standard Review Panel (ISRP). This panel will be constituted until it has presented its findings to the AFF Trustees, and upon Trustees receiving the final report, will be adjourned.
- 1.2) The BOD will invite a representative cross-section of forestry community leaders with a stake in AFF’s Tree Farm Program, or a sincere interest in forest sustainability on small private forest ownerships in the US to participate on the ISRP. The BOD will have the discretion to limit the ISRP to a size respective of AFF’s financial and staffing resources.
- 1.3) Interested parties which cannot participate in the ISRP due to their own or AFF financial or staffing resources can present their views and comments through ISRP members or through public consultation.
- 1.4) The BOD will publicly announce through various mediums the convening of the ISRP and the start of the Standard revision process.
- 1.5) Following its constitution, ISRP members will be publicly announced.

2. Balanced Representation and Decision Making

- 2.1) The ISRP will have a balance of appropriate interests and be constituted in such a manner that no single category of the interest representation can dominate decision making procedures of the group.
- 2.2) Interest categories shall include, but not necessarily be limited to: academia, environmental non-government organizations (ENGOS), forest industry, forest owners, professional logging community, and public forestry agencies serving family forest owners.
- 2.3) The ISRP agree to operate under the following parameters.
 - 2.3.1) Panel members are representing their respective organizations.
 - 2.3.2) Panelists are to review the current standard and determine if it adequately reflects the seven criteria set forth in the Montreal Process.
 - 2.3.4) 2.3.3) Panelists are encouraged to share the document with their colleagues
 - 2.3.5) Any modifications to the standard must be reached by panel consensus.
 - 2.3.5) Panelists are to keep in mind at all times that any proposed modifications to the standard are both adequate and appropriate for small private forest owners in the US. (Small

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ownerships are defined as not exceeding 20,000 contiguous acres with the panel working under the premise that the majority of forest properties certified by ATFS are under 1000 contiguous acres.)

2.4) The ISRP will make all decisions using a consensus based approach.

2.4.1) The definition of the term consensus is identical with definition of ISO Guide 2:

“Consensus: general agreement, characterized by the absence of sustained opposition to substantial issues by any important part of the concerned interests and by a process that involves seeking to take into account the views of all parties concerned and to reconcile any conflicting arguments. Note: Consensus need not imply unanimity.”

ISRP shall provide evidence on consensus having been reached before the formal approval of the standard. In order to reach consensus ISRP can utilise the following alternative processes to establish whether there is opposition to the standard:

- (a) A face-to face meeting where there is a verbal yes/no vote;
- (b) A face-to face meeting where there is a show of hands for a yes/no vote;
- (c) A face-to face meeting where there is a “secret ballot” of members on ayes/no vote;
- (d) A statement on consensus from the Chair at a face-to face meeting where there are no dissenting voices or hands (votes);
- (e) An e-mail meeting where a request for agreement is provided to members and the members providing written response (a proxy for a vote); or
- (f) A formal balloting process where votes are collated for the collective consensus decision.

No single concerned interest shall be allowed to dominate the process.

In any case of sustained opposition of any important part of the concerned interests to a substantive issue, the issue shall be resolved using the following mechanism:

- (a) Discussion and negotiation on the disputed issue within ISRP in order to find a compromise,
- (b) Direct negotiation between the ISRP member submitting the objection and member(s) with different view on the disputed issue in order to find a compromise,
- (c) Dispute resolution process.

The dispute resolution process is governed by AFF Dispute and Appeals Procedures.

- 2.5) Upon completion and consensus of the ISRP, the recommended standard revisions/modifications will be presented to the AFF BOD for approval and adoption.
- 2.6) The AFF BOD has the discretion to accept and adopt none, any or all of the ISRP recommended Standard revisions/modifications. Should the AFF BOD not accept the Standard as presented by the ISRP, it will return the Standard to the ISRP for further work. All material resubmitted to the AFF BOD by the ISRP will have been reached through consensus.
- 2.7) Upon presentation of the Standard from the ISRP, AFF will have the option of pilot testing any revisions or modifications to the Standard. Pilot testing will be used to ensure that the modification or revision is auditable, efficient and implementable.
- 2.8) Upon acceptance and adoption of the Standard by the AFF BOD, AFF will make the Standard publicly available.

3. Meetings, Comments and Consultation

- 3.1) All meetings will be convened under the auspices of AFF, its agents or others as assigned by AFF.
- 3.2) There will be a minimum of two face-to-face meetings (beginning and ending). Conference calls and other electronic media will be used when deemed appropriate.
- 3.3) The ISRP is encouraged to circulate any draft documents within its representative organization.

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- 3.4) Information on the standard setting process and document development is made available to interested parties upon request or through AFF's website.
- 3.5) Any presented comments or views of the ISRP members as well as their representative organization or other interested parties shall be reviewed and considered in an open and transparent manner. The comments, responses and respective modifications to the Standard shall be recorded and made available to all members of the ISRP and the Commentor's respective organization(s).

4) Public Review and Comment

- 4.1) Upon consensus of the ISRP, the Standard shall be made available for public review and comment.
- 4.2) The public shall have no less than 60 days from the release of the Standard to review and respond with comments.
- 4.3) All Commentors will receive an acknowledgement of receipt of comments from the ISRP
- 4.4) All comments received will be made openly available to the ISRP.
- 4.5) The ISRP will review all comments and upon consensus, adopt any modifications from the public comments that it may find appropriate.
- 4.6) The comments, responses to received comments and relevant modifications shall be made available to Commentors and public upon request of from AFF's website.

5) Maintenance Of The Standard and Interim Modifications

- 5.1) The AFF BOD will charge the American Tree Farm System's National Operating Committee (NOC) to seat a National Standards Interpretation Committee (NSIC). The NSIC is charged with making interpretations of the Standard which will be considered enforceable until the ISRP is again seated. Decisions rendered by the NSIC will be achieved through consensus.
- 5.2) All interpretations rendered by the NSIC will be made publicly available and all appropriate accredited certification bodies will be notified of the interpretations.

6) Records and Documentation

- 6.1) All records, minutes, communications and other pertinent and tangible evidence of the Standard revision/modification process will be maintained by AFF or its agents or assignees for a period of no less than five years and not to exceed seven years.

7) Openness, Transparency and Public Availability

- 7.1) All records, minutes, communications and other pertinent and tangible evidence of the process will be made available to the public at its request for a period of no less than five years and no more than seven years.

8) Dispute Resolution and Appeals Processes

- 8.1) All complaints, disputes or appeals relating to AFF Standard Setting can be submitted and shall be resolved according to AFF *Disputes and Appeals Procedures*.