

JMD Unified Financial Management System (UFMS)

Exhibit 300: Part I: Summary Information and Justification (All Capital Assets)

I.A. Overview

1. Date of Submission:	12/20/2006
2. Agency:	Department of Justice
3. Bureau:	Justice Management Division
4. Name of this Capital Asset:	JMD Unified Financial Management System (UFMS)
5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.)	011-03-01-01-01-1126-24
6. What kind of investment will this be in FY2008? (Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)	Mixed Life Cycle
7. What was the first budget year this investment was submitted to OMB?	FY2001 or earlier
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:	
<p>The Department of Justice (DOJ) has initiated an effort to implement a unified system that will improve the existing and future financial management and procurement operations across DOJ. The Department will address these needs via the implementation of the UFMS, which is planned to replace six (6) core financial management systems and multiple procurement systems currently operating across DOJ with an integrated Commercial Off The Shelf (COTS) solution. The UFMS will allow the DOJ to streamline and standardize business processes and procedures across all Components, providing accurate, timely, and useful financial data to financial and Program managers across the Department, and produce Component- and Department-level financial statements. In addition, the system will assist the DOJ by improving financial management performance and aid Department Components in addressing the material weaknesses and non-conformances in internal controls, accounting standards, and systems security identified by the DOJ Office of the Inspector General (OIG). Finally, the system will provide procurement functionality to streamline business processes, provide consolidated management information, and the capability to meet all mandatory requirements of the Federal Acquisition Regulation (FAR) and the Justice Acquisition Regulations (JAR).</p>	
9. Did the Agency's Executive/Investment Committee approve this request?	Yes
a. If "yes," what was the date of this approval?	
10. Did the Project Manager review this Exhibit?	Yes

11. Contact information of Project Manager?

Name

Clarey, Kay

Phone Number

202-305-3651

Email

Kay.Clarey@usdoj.gov

12. Has the agency developed and/or promoted cost effective, energy efficient and environmentally sustainable techniques or practices for this project.

No

a. Will this investment include electronic assets (including computers)?

Yes

b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

No

1. If "yes," is an ESPC or UESC being used to help fund this investment?

No

2. If "yes," will this investment meet sustainable design principles?

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment support one of the PMA initiatives?

Yes

If "yes," check all that apply:

Human Capital, Budget Performance Integration, Financial Performance, Expanded E-Government, Competitive Sourcing

13a. Briefly describe how this asset directly supports the identified initiative(s)?

UFMS supports the PMA by improving the quality and timeliness of financial and business information for the government through streamlined systems and standard financial management processes. It will result in improved audit opinions and more reliable, commercially proven, and timely financial statements. It leverages COTS technology and services readily available in the commercial marketplace, while ensuring a secure systems environment through the use of cost effective technologies.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

a. If "yes," does this investment address a weakness found

No

during the PART review?

b. If "yes," what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?

c. If "yes," what PART rating did it receive?

15. Is this investment for information technology? Yes

If the answer to Question: "Is this investment for information technology?" was "Yes," complete this sub-section. If the answer is "No," do not answer this sub-section.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance) Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council PM Guidance): (1) Project manager has been validated as qualified for this investment

18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)? Yes

19. Is this a financial management system? Yes

a. If "yes," does this investment address a FFMLA compliance area? Yes

1. If "yes," which compliance area: Compliance with federal accounting standards; federal financial management systems; and U.S. standard general ledger

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware 0.25

Software 17.39

Services 79.37

Other 2.99

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and Yes

included in your agency inventory, schedules and priorities?

22. Contact information of individual responsible for privacy related questions:

Name

Hitch, Van

Phone Number

202-514-0507

Title

OCIO

E-mail

vance.hitch@usdoj.gov

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

I.B. Summary of Funding

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY - 1 and Earlier	PY 2006	CY 2007	BY 2008	BY + 1 2009	BY + 2 2010	BY + 3 2011	BY + 4 and Beyond	Total
Planning									
Budgetary Resources	22.01635	6.815446	7.178005	6.003101					
Acquisition									
Budgetary Resources	1.319635	9.912274	44.319782	45.19782					
Subtotal Planning & Acquisition									
Budgetary Resources	23.335985	16.72772	51.497787	51.200921					
Operations & Maintenance									

Budgetary Resources	109.10112	27.264069	28.022915	34.112758					
TOTAL									
Budgetary Resources	132.437105	43.991789	79.520702	85.313679					
Government FTE Costs									
Budgetary Resources	43.888833	11.379584	12.931352	14.280503					
Number of FTE represented by Costs:	365.2	91.8	102.9	105.8					

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's? Yes

a. If "yes," How many and in what year?

The PMO will hire an additional 12 authorized positions in FY07. There will be no additional FTE hires for the PMO after FY07.

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes:

The summary of spending has changed to include the new contract awarded to IBM to supply integration services of CGI's Momentum software.

I.C. Acquisition/Contract Strategy

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Contracts/Task Orders Table:

[Contracts/Task Orders Table](#)

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

All development work is being conducted by IBM (and its sub-contractors) under Contract Number DJJ-06-F-1338. This contract requires IBM to use an ANSI 748-A compliant Earned Value Management System (EVMS) to manage the performance of all UFMS Task Orders. Earned Value is not required of the remaining contractors; their support is level of effort for program management and advisory services. Earned Value calculations for these level of effort contracts are completed by the UFMS Program Management Office and captured in the program management budget.

3. Do the contracts ensure Section 508 compliance? Yes

a. Explain why:

DOJ will ensure Section 508 compliance of the core FMS product by purchasing

software that is not only JFMIP/CFOC -compliant but also complies with the software application standards required by Section 508 of the Rehabilitation Act. Compliance with these standards is a component of JFMIP/CFOC testing. Compliance with Section 508 will be a requirement for all third-party software products purchased as well. UFMS will ensure that any configurations and/or modifications will maintain compliance.

4. Is there an acquisition plan which has been approved in accordance with agency requirements?	Yes
a. If "yes," what is the date?	7/28/2005
b. If "no," will an acquisition plan be developed?	
1. If "no," briefly explain why:	

I.D. Performance Information

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Performance Information Table 1:					
Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
2007	Meeting the principal criteria of the OMB high risk report. (Baseline with Clear Goals, Cost and Schedule Variance within 10%, Qualified Project Manager, and Avoiding	Providing a Baseline with Clear Goals, Cost and Schedule Variance within 10%, Qualified Project Manager, and Avoiding Duplication.	Answering "Yes" to all principal criteria of the OMB high risk report.	Continuing to answer "Yes" to the principal criteria of the OMB high risk report.	We are currently compliant with all of the principal criteria of the OMB high risk report.

	Duplication)				
2008	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve financial performance - Decrease AFP's cycle time for Budget Execution/Year End Close by 10%	Current average cycle time for Budget Execution/Year End Close at AFP is 50 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY08.	Decrease average cycle time for Budget Execution/Year End Close at AFP to 45 days. This planned metric will be revalidated upon implementation in FY08.	Results will be determined in Oct 08 for FY2008 Goals. These metrics will be tracked annually.
2008	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve operating efficiency of financial management and procurement functions - Decrease DEA's requisition cycle time by 10%	Current average cycle time for the Requisition process at DEA is 143 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY08.	Decrease average cycle time for Requisition process at DEA to 129 days. This planned metric will be revalidated upon implementation in FY08.	Results will be determined in Oct 08 for FY2007 Goals. These metrics will be tracked annually.
2008	UFMS will enable the Department to have the necessary tools to more effectively transact business electronically, directly supporting DOJ IT Strategic Goal # 2 - Infrastructure & Security Services	Improve operating efficiency of financial management and procurement functions - Decrease the number of manual forms for DEA's Requisition process by 50%.	Requisition process at DEA requires 6 manual forms. This baseline, originally developed in 2003, will be revalidated upon implementation in FY08.	Decrease the number of manual forms for DEA's Requisition process to 3. This planned metric will be revalidated upon implementation in FY08.	Results will be determined in Oct 08 for FY2007 Goals. These metrics will be tracked annually.
2008	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve financial performance - Decrease DEA's cycle time from issuing an invoice to receipt of payment by 10%	Current minimum average cycle time from the submittal of an invoice to the receipt of payment at DEA is 79 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY08.	Decrease minimum average cycle time from the submittal of an invoice to the receipt of payment at DEA to 71 days. This planned metric will be revalidated upon implementation in FY08.	Results will be determined in Oct 08 for FY2007 Goals. These metrics will be tracked annually.
2008	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve operating efficiency of financial management and procurement functions - Decrease AFP's requisition cycle time to receive and collect Check deposits by 10%	Current average cycle time to receive and collect a check deposit at AFP is 23 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY08.	Decrease average cycle time to receive and collect a check deposit at AFP to 21 days. This planned metric will be revalidated upon implementation in FY08.	Results will be determined in Oct 08 for FY2007 Goals. These metrics will be tracked annually.
2008	UFMS will enable the	Improve financial	Current average cycle time	Decrease average cycle time	Results will be determined in

	Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	performance - Decrease AFP's cycle time for Budget Execution/Year End Close by 10%	for Budget Execution/Year End Close at AFP is 55 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY08.	for Budget Execution/Year End Close at AFP to 50 days. This planned metric will be revalidated upon implementation in FY08.	Oct 08 for FY2007 Goals. These metrics will be tracked annually.
2008	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve operating efficiency of financial management and procurement functions - Yield a 15% productivity gain at DEA via reducing redundant data entry, eliminating reconciliations from redundant data entry, implementing budgeting automations, eliminating cuff records, expediting reconciliations, transferring data electronically, and reducing manual processes.	Accounting support at DEA requires 494K labor hours, level of effort, per year. This baseline, originally developed in 2004, will be revalidated upon implementation in FY08.	Annual cost savings or reallocation of resources of 74K labor hours at DEA. This planned metric will be revalidated upon implementation in FY08.	Results will be determined in Oct 08 for FY2008 Goals. These metrics will be tracked annually.
2008	UFMS will develop a high-performing IT workforce by recruiting, retaining, training, and rewarding high-quality employees, directly supporting DOJ IT Strategic Goal # 3 - IT Management	Develop a reputation for excellent program management and customer service - Train 100% of DEA UFMS users.	Zero employees at DEA are trained on UFMS.	6,500 users at DEA trained on UFMS. This planned metric will be revalidated upon implementation in FY06.	Results will be determined in Oct 08 for FY2008 Goals. These metrics will be tracked annually.
2008	UFMS will develop a high-performing IT workforce by recruiting, retaining, training, and rewarding high-quality employees, directly supporting DOJ IT Strategic Goal # 3 - IT Management	Develop a reputation for excellent program management and customer service - Train 100% of AFP UFMS users.	Zero employees at AFP are trained on UFMS.	67 users at AFP training on UFMS. This planned metric will be revalidated upon implementation in FY08.	Results will be determined in Oct 08 for FY2008 Goals. These metrics will be tracked annually.
2008	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information	Improve operating efficiency of financial management and procurement functions - Decrease DEA's requisition cycle time by 10%	Current average cycle time for the Requisition process at DEA is 129 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY08.	Decrease average cycle time for Requisition process at DEA to 116 days. This planned metric will be revalidated upon implementation in FY08.	Results will be determined in Oct 08 for FY2008 Goals. These metrics will be tracked annually.

	Sharing				
2008	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve financial performance - Decrease DEA's cycle time from issuing an invoice to receipt of payment by 10%	Current minimum average cycle time from the submittal of an invoice to the receipt of payment at DEA is 71 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY08.	Decrease minimum average cycle time from the submittal of an invoice to the receipt of payment at DEA to 64 days. This planned metric will be revalidated upon implementation in FY08.	Results will be determined in Oct 08 for FY2008 Goals. These metrics will be tracked annually.
2008	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve operating efficiency of financial management and procurement functions - Decrease AFP's requisition cycle time to receive and collect Check deposits by 10%	Current average cycle time to receive and collect a check deposit at AFP is 21 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY08.	Decrease average cycle time to receive and collect a check deposit at AFP to 19 days. This planned metric will be revalidated upon implementation in FY08.	Results will be determined in Oct 08 for FY2008 Goals. These metrics will be tracked annually.
2009	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve operating efficiency of financial management and procurement functions - Realize a 15% productivity gain at USMS via reducing redundant data entry, eliminating reconciliations from redundant data entry, implementing budgeting automations, eliminating cuff records, expediting reconciliations, transferring data electronically, and reducing manual processes.	Accounting support at USMS requires 42K labor hours, level of effort, per year. This baseline, originally developed in 2008, will be revalidated upon implementation in FY08.	Annual cost savings or reallocation of resources of 6K labor hours at USMS. This planned metric will be revalidated upon implementation in FY08.	Results will be determined in Oct 09 for FY2009 Goals. These metrics will be tracked annually.
2009	UFMS will develop a high-performing IT workforce by recruiting, retaining, training, and rewarding high-quality employees, directly supporting DOJ IT Strategic Goal # 3 - IT Management	Develop a reputation for excellent program management and customer service - Train 100% of FBI UFMS users.	Zero employees at FBI are trained on UFMS.	14,000 users at FBI trained on UFMS. This planned metric will be revalidated upon implementation in FY08.	Results will be determined in Oct 09 for FY2009 Goals. These metrics will be tracked annually.
2009	UFMS will enable the Department to more effectively and efficiently perform administrative and	Improve operating efficiency of financial management and procurement functions - Decrease USMS's requisition	Current average cycle time for the Requisition process at USMS is 237 days. This baseline, originally	Decrease average cycle time for Requisition process at USMS to 213 days. This planned metric will be	Results will be determined in Oct 09 for FY2009 Goals. These metrics will be tracked annually.

	business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	cycle time by 10%.	developed in 2003, will be revalidated upon implementation in FY08.	revalidated upon implementation in FY08.	
2009	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve financial performance - Decrease USMS's cycle time from issuing an invoice to receipt of payment by 10%.	Current minimum average cycle time from the submittal of an invoice to the receipt of payment at USMS is 41 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY09.	Decrease minimum average cycle time from the submittal of an invoice to the receipt of payment at USMS to 37 days. This planned metric will be revalidated upon implementation in FY09.	Results will be determined in Oct 09 for FY2009 Goals. These metrics will be tracked annually.
2009	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve operating efficiency of financial management and procurement functions - Decrease USMS's requisition cycle time by 10%	Current average cycle time for the Requisition process at USMS is 264 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY09.	Decrease average cycle time for Requisition process at USMS to 237 days. This planned metric will be revalidated upon implementation in FY09.	Results will be determined in Oct 09 for FY2008 Goals. These metrics will be tracked annually.
2009	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve financial performance - Decrease USMS's cycle time from issuing an invoice to receipt of payment by 10%	Current minimum average cycle time from the submittal of an invoice to the receipt of payment at USMS is 45 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY09.	Decrease minimum average cycle time from the submittal of an invoice to the receipt of payment at USMS to 41 days. This planned metric will be revalidated upon implementation in FY09.	Results will be determined in Oct 09 for FY2008 Goals. These metrics will be tracked annually.
2009	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve operating efficiency of financial management and procurement functions - Yield a 15% productivity gain at ATF via reducing redundant data entry, eliminating reconciliations from redundant data entry, implementing budgeting automations, eliminating cuff records, expediting reconciliations, transferring data electronically, and reducing manual processes.	Accounting support at ATF requires 623K labor hours, level of effort, per year. This baseline, originally developed in 2004, will be revalidated upon implementation in FY09.	Annual cost savings or reallocation of resources of 93K labor hours at ATF. This planned metric will be revalidated upon implementation in FY09.	Results will be determined in Oct 09 for FY2009 Goals. These metrics will be tracked annually.

2009	UFMS will develop a high-performing IT workforce by recruiting, retaining, training, and rewarding high-quality employees, directly supporting DOJ IT Strategic Goal # 3 - IT Management	Develop a reputation for excellent program management and customer service - Train 100% of ATF UFMS users.	Zero employees at ATF are trained on UFMS.	1,400 users at ATF trained on UFMS. This planned metric will be revalidated upon implementation in FY09.	Results will be determined in Oct 09 for FY2009 Goals. These metrics will be tracked annually.
2009	UFMS will develop a high-performing IT workforce by recruiting, retaining, training, and rewarding high-quality employees, directly supporting DOJ IT Strategic Goal # 3 - IT Management	Develop a reputation for excellent program management and customer service - Train 100% of USMS UFMS users.	Zero employees at USMS are trained on UFMS.	1,600 users at USMS trained on UFMS This planned metric will be revalidated upon implementation in FY09.	Results will be determined in Oct 09 for FY2009 Goals. These metrics will be tracked annually.
2010	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve operating efficiency of financial management and procurement functions - Decrease BOP's Receivable to Collect cycle time by 10%.	Current average cycle time for the BOP Receivable to Collect (Cooperative Agreements) is 62 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY10.	Decrease average cycle time for the BOP Receivable to Collect (Cooperative Agreements) is 55 days. This planned metric will be revalidated upon implementation in FY10.	Results will be determined in Oct 10 for FY2009 Goals. These metrics will be tracked annually.
2010	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve operating efficiency of financial management and procurement functions - Realize a 15% productivity gain at BOP via reducing redundant data entry, eliminating reconciliations from redundant data entry, implementing budgeting automations, eliminating cuff records, expediting reconciliations, transferring data electronically, and reducing manual processes.	Accounting support at BOP requires 4.9M labor hours, level of effort, per year. This baseline, originally developed in 2004, will be revalidated upon implementation in FY10.	Annual cost savings or realignment of resources of 737K labor hours at BOP. This planned metric will be revalidated upon implementation in FY10.	Results will be determined in Oct 10 for FY2010 Goals. These metrics will be tracked annually.
2010	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic	Improve operating efficiency of financial management and procurement functions - Realize a 15% productivity gain at OBDS via reducing redundant data entry,	Accounting support at OBDS requires 579K labor hours, level of effort, per year. This baseline, originally developed in 2004, will be revalidated upon	Annual cost savings or realignment of resources of 87K labor hours at OBDS. This planned metric will be revalidated upon implementation in FY10.	Results will be determined in Oct 10 for FY2010 Goals. These metrics will be tracked annually.

	Goal #1 - Information Sharing	eliminating reconciliations from redundant data entry, implementing budgeting automations, eliminating cuff records, expediting reconciliations, transferring data electronically, and reducing manual processes.	implementation in FY10.		
2010	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve operating efficiency of financial management and procurement functions - Realize a 15% productivity gain at OJP via reducing redundant data entry, eliminating reconciliations from redundant data entry, implementing budgeting automations, eliminating cuff records, expediting reconciliations, transferring data electronically, and reducing manual processes.	Accounting support at OJP requires 177K labor hours, level of effort, per year. This baseline, originally developed in 2004, will be revalidated upon implementation in FY10.	Annual cost savings or realignment of resources of 27K labor hours at OJP. This planned metric will be revalidated upon implementation in FY10.	Results will be determined in Oct 10 for FY2010 Goals. These metrics will be tracked annually.
2010	UFMS will develop a high-performing IT workforce by recruiting, retaining, training, and rewarding high-quality employees, directly supporting DOJ IT Strategic Goal # 3 - IT Management	Develop a reputation for excellent program management and customer service - Train 100% of BOP UFMS users.	Zero employees at BOP are trained on UFMS.	16,800 users at BOP trained on UFMS. This planned metric will be revalidated upon implementation in FY10.	Results will be determined in Oct 10 for FY2010 Goals. These metrics will be tracked annually.
2010	UFMS will develop a high-performing IT workforce by recruiting, retaining, training, and rewarding high-quality employees, directly supporting DOJ IT Strategic Goal # 3 - IT Management	Develop a reputation for excellent program management and customer service - Train 100% of OJP UFMS users.	Zero employees at OJP are trained on UFMS.	611 users at OJP trained on UFMS. This planned metric will be revalidated upon implementation in FY10.	Results will be determined in Oct 10 for FY2010 Goals. These metrics will be tracked annually.
2010	UFMS will develop a high-performing IT workforce by recruiting, retaining, training, and rewarding high-quality employees, directly supporting DOJ IT Strategic	Develop a reputation for excellent program management and customer service - Train 100% of OBDs UFMS users.	Zero employees at OBDs are trained on UFMS.	9,000 users at OBDs trained on UFMS. This planned metric will be revalidated upon implementation in FY10.	Results will be determined in Oct 10 for FY2010 Goals. These metrics will be tracked annually.

	Goal # 3 - IT Management				
2010	UFMS will enable the Department to more effectively and efficiently perform administrative and business services, directly supporting DOJ IT Strategic Goal #1 - Information Sharing	Improve operating efficiency of financial management and procurement functions - Decrease BOP's Receivable to Collect cycle time by 10%.	Current average cycle time for the BOP Receivable to Collect (Cooperative Agreements) is 55 days. This baseline, originally developed in 2003, will be revalidated upon implementation in FY10.	Decrease average cycle time for the BOP Receivable to Collect (Cooperative Agreements) is 50 days. This planned metric will be revalidated upon implementation in FY10.	Results will be determined in Oct 10 for FY2010 Goals. These metrics will be tracked annually.

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Performance Information Table 2:

Fiscal Year	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2008	Customer Results	Customer Benefit	Customer Satisfaction	Meeting all principal criteria of the OMB high risk report. (Baseline with Clear Goals, Cost and Schedule Variance within 10%, Qualified Project Manager, and Avoiding Duplication)	Answering, Yes, to all principal criteria.	Continuing to answer, Yes, to all principal criteria.	We continue to meet this criteria.
2008	Customer Results	Customer Benefit	Customer Training	Number of students accomplishing UFMS training successfully.	Zero employees at DEA are trained on UFMS.	6,500 users at DEA trained on UFMS	Results will be determined in Oct 08 for FY2008 Goals. These metrics will be tracked annually.
2008	Mission and Business Results	Financial Management	Accounting	Resource productivity gains	Accounting support at DEA requires 494K labor hours, level of effort, per year.	Annual cost savings or reallocation of resources of 74K labor hours at DEA.	Results will be determined in Oct 08 for FY2008 Goals. These metrics will be tracked annually.
2008	Processes and Activities	Cycle Time and Resource Time	Cycle Time	Requisition Cycle Time	Current average cycle time for the	Decrease average cycle time for Requisition	Results will be determined in Oct

					Requisition process at DEA is 143 days.	process at DEA to 129 days.	08 for FY2008 Goals. These metrics will be tracked annually.
2008	Processes and Activities	Cycle Time and Resource Time	Cycle Time	Cycle time from the submittal of an invoice to the receipt of payment	Current minimum average cycle time from the submittal of an invoice to the receipt of payment at DEA is 79 days.	Decrease minimum average cycle time from the submittal of an invoice to the receipt of payment at DEA to 71 days.	Results will be determined in Oct 08 for FY2008 Goals. These metrics will be tracked annually.
2008	Technology	Reliability and Availability	Availability	Requisition Manual processes	Requisition process at DEA requires 6 manual forms.	Decrease the number of manual forms for DEA's Requisition process to 3.	Results will be determined in Oct 08 for FY2008 Goals. These metrics will be tracked annually.
2009	Mission and Business Results	Information and Technology Management	Information Systems Security	% of laptops with encryption loaded and configured	Comply with federal and Department security standards	Ensure the confidentiality, integrity, and availability of the Department's financial information	Results will be determined in Oct 09 for FY2009 Goals. These metrics will be tracked annually.
2011	Customer Results	Service Accessibility	Automation	# of total legacy systems retired and replaced by UFMS	Consistently comply with federal, accounting and system standards		Results will be determined in Oct 11 for FY2011 Goals. These metrics will be tracked annually.
2011	Processes and Activities	Productivity and Efficiency	Productivity	Average time to close books across components	Improve financial performance	Consolidate cross-component financial and procurement information	Results will be determined in Oct 11 for FY2011 Goals. These metrics will be tracked annually.
2011	Technology	Effectiveness	User Satisfaction	Length of time to close help desk tickets	Develop a reputation for excellent program management and customer service	Establish mechanisms to facilitate open communication and collaboration to achieve program goals	Results will be determined in Oct 11 for FY2011 Goals. These metrics will be tracked annually.

I.E. Security and Privacy

In order to successfully address this area of the business case, each question below must be answered at the system/application level, not at a program or agency level. Systems supporting this investment on the planning and operational systems security tables should match the systems on the privacy table below. Systems on the Operational Security Table must be included on your agency FISMA system inventory and should be easily referenced in the inventory (i.e., should use the same name or identifier).

All systems supporting and/or part of this investment should be included in the tables below, inclusive of both agency owned systems and contractor systems. For IT investments under development, security and privacy planning must proceed in parallel with the development of the system/s to ensure IT security and privacy requirements and costs are identified and incorporated into the overall lifecycle of the system/s.

Please respond to the questions below and verify the system owner took the following actions:

1. Have the IT security costs for the system(s) been identified and integrated into the overall costs of the investment:	Yes
a. If "yes," provide the "Percentage IT Security" for the budget year:	9.01
2. Is identifying and assessing security and privacy risks a part of the overall risk management effort for each system supporting or part of this investment.	Yes

3. Systems in Planning - Security Table:

Name of System	Agency/ or Contractor Operated System?	Planned Operational Date	Planned or Actual C&A Completion Date
Unified Financial Management System (UFMS)	Contractor and Government	12/1/2007	11/1/2007

4. Operational Systems - Security Table:

Name of System	Agency/ or Contractor Operated System?	NIST FIPS 199 Risk Impact level	Has C&A been Completed, using NIST 800-37?	Date C&A Complete	What standards were used for the Security Controls tests?	Date Complete(d): Security Control Testing	Date the contingency plan tested
Federal Financial System (FFS)	Contractor and Government		Yes	8/1/2005	NIST 800-26	8/1/2005	6/7/2006
Financial Management Information System (FMIS)	Contractor and Government		Yes	6/30/2004	FIPS 200 / NIST 800-53	9/15/2006	4/20/2006
Financial Management System	Contractor and Government		Yes	11/1/2004	FIPS 200 / NIST 800-53	7/1/2006	6/7/2006

Financial Resources Desktop System (FRED)	Contractor and Government		No	8/28/2006	FIPS 200 / NIST 800-53	9/5/2006	6/26/2006
Integrated Financial Management Information System (IFMIS)	Contractor and Government		No	2/24/2006	FIPS 200 / NIST 800-53	2/24/2006	4/3/2006
Standardized Tracking Accounting and Reporting System (STARS)	Contractor and Government		No	7/31/2006	FIPS 200 / NIST 800-53	7/14/2006	4/5/2006

5. Have any weaknesses related to any of the systems part of or supporting this investment been identified by the agency or IG?

a. If "yes," have those weaknesses been incorporated agency's plan of action and milestone process?

6. Indicate whether an increase in IT security funding is requested to remediate IT security weaknesses?

a. If "yes," specify the amount, provide a general description of the weakness, and explain how the funding request will remediate the weakness.

7. How are contractor security procedures monitored, verified, validated by the agency for the contractor systems above?

The UFMS is currently under development; however, a development/test/learning environment is in place. UFMS systems security (current and planned) and related functions are monitored and enforced by the UFMS PMO Security Management Team (SMT). The UFMS PMO SMT performs security-related functions of the UFMS PMO including security planning, management, coordination, training, tracking, reporting and execution. The UFMS PMO SMT consists of the Program Security Manager, Information System Security Manager (ISSM), Information System Security Officer (ISSO) and additional security staff, comprised of federal employees and contracted support, who know their responsibilities and perform the day-to-day security operations of the UFMS PMO. The UFMS SMT works with Component liaisons to ensure that security is considered in all aspects of the development of the UFMS as well as the legacy systems, ensuring that if these systems are to connect to the UFMS that they have adequate security controls implemented. The UFMS PMO SMT uses the Department's Trusted Agent/Cyber Security Assessment Management (CSAM) tool to inventory and track IT systems, their vulnerabilities and mitigation actions, and accreditation status. The Department's Trusted Agent/CSAM is used for collection, analysis and management of information used to generate FISMA compliance reports and other legislative reports. The Department's Trusted Agent/CSAM is used by the Department as a system inventory and C&A tracking tool, generating information pertaining to system vulnerabilities and performance metrics. Even though UFMS is not operational, the UFMS PMO SMT ensures that all security relevant data is incorporated into this tool or otherwise provided to DOJ in a timely manner. All aspects of the C&A requirements are monitored, as well as the status and timely evaluation of security controls and risks, and corrective actions needed for previously identified weaknesses. As stated above, funding support for UFMS security will be centralized and managed by the UFMS PMO. The UFMS Program Security Manager is responsible for financial planning/budgeting for the UFMS PMO Security Program and ensures PMO management provides adequate funding and staffing to support the UFMS Security Program. Program Management Office Legacy systems security is funded out of the DOJ components Salaries and Expenses accounts. Each of these legacy systems is in Operations and Maintenance phase of the SDLC.

8. Planning & Operational Systems - Privacy Table:

Name of System	Is this a new	Is there a Privacy Impact	Is the PIA	Is a System of Records	Was a new or amended SORN published
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	system?	Assessment (PIA) that covers this system?	available to the public?	Notice (SORN) required for this system?	in FY 06?
Unified Financial Management System (UFMS)	Yes	Yes.	Yes.	Yes	Yes, because the existing Privacy Act system of records was substantially revised in FY 06.

I.F. Enterprise Architecture (EA)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture? Yes

a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy? Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. Unified Financial Management System

b. If "no," please explain why?

3. Service Reference Model (SRM) Table:

Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Agency Component Name	Agency Component Description	Service Domain	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused Name	FEA Service Component Reused UPI	Internal or External Reuse?	BY Funding Percentage
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JMD	Provides a comprehensive view of all customer interactions, including calls, email, correspondence and meetings; also provide for the maintenance of a customer's account, business and personal information.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities to allow the monitoring of activities within the business cycle.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the use of pre-conceived or pre-written reports.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities for the management and control of a particular effort of an organization.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities for measuring the effectiveness of an organization's financial assets and capital.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the determination of long-term goals and the identification of the best approach for achieving those goals.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the correlation between logical data and information sets.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the redirection to other documents and information for related content.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support document and data warehousing and archiving.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the rapid retrieval of documents through a structured numbering construct.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that allow access to data and information for use by an organization and its stakeholders.	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the termination or cancellation of documents and artifacts used by an	Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0

	organization and its stakeholders.							
		Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
		Back Office Services	Asset / Materials Management	Asset Cataloging / Identification			Internal	0
JMD	Defines the set of capabilities that support the interchange of information between multiple systems or applications.	Back Office Services	Data Management	Data Exchange			Internal	0
JMD	Provide for the efficient discovery of non-obvious, valuable patterns and relationships within a large collection of data.	Back Office Services	Financial Management	Activity-Based Management			Internal	0
JMD	Defines the set of capabilities that support the use of dynamic reports on an as needed basis.	Back Office Services	Financial Management	Activity-Based Management			Internal	0
JMD	Defines the set of capabilities that support the listing and analyzing of both positive and negative impacts associated with a decision.	Back Office Services	Financial Management	Activity-Based Management			Internal	0
JMD	Defines the set of capabilities that support the analyze information and predict the impact of decisions before they are made.	Back Office Services	Financial Management	Activity-Based Management			Internal	0
JMD	Defines the set of capabilities that support the analysis of information that has been summarized into multidimensional views and hierarchies.	Back Office Services	Financial Management	Activity-Based Management			Internal	0
JMD	Defines the set of capabilities that support the examination and verification of records for accuracy.	Back Office Services	Financial Management	Auditing			Internal	0
JMD	Defines the set of capabilities that support the charging, collection and reporting of an organization's accounts.	Back Office Services	Financial Management	Billing and Accounting			Internal	0
JMD	Defines the set of capabilities that support the use of credit cards or electronic funds transfers for payment and collection of products or services.	Back Office Services	Financial Management	Credit / Charge			Internal	0
JMD	Defines the set of capabilities that support the management and reimbursement of	Back Office	Financial Management	Expense Management			Internal	0

	costs paid by employees or an organization.	Services						
JMD	Support the methods and procedures used by the organization to safeguard its assets, produce accurate accounting data and reports, contribute to efficient operations, and encourage staff to adhere to management policies and mission requirements.	Back Office Services	Financial Management	Internal Controls			Internal	0
JMD	Defines the set of capabilities that support the process of accounts payable.	Back Office Services	Financial Management	Payment / Settlement			Internal	0
JMD	Defines the set of capabilities that involve the administration and determination of employees compensation.	Back Office Services	Financial Management	Payroll			Internal	0
JMD	Defines the set of capabilities that support the management of permissions for logging onto a computer or network.	Support Services	Collaboration	Document Library			Internal	0
JMD	Defines the set of capabilities that support the administration of computer, application and network accounts within an organization.	Support Services	Security Management	Access Control			Internal	0
JMD	Defines the set of capabilities that support the identification and monitoring of activities within an application or system.	Support Services	Security Management	Audit Trail Capture and Analysis			Internal	0

Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

4. Technical Reference Model (TRM) Table:

To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e. vendor or product name)
Auditing	Component Framework	Business Logic	Platform Dependent	CGI Federal Momentum
Internal Controls	Component Framework	Business Logic	Platform Dependent	CGI Federal Momentum
Asset Cataloging / Identification	Component Framework	Business Logic	Platform Independent	CGI Federal Momentum
Data Exchange	Component Framework	Business Logic	Platform Independent	EJB, C++
Billing and Accounting	Component Framework	Data Interchange	Data Exchange	CGI Federal Momentum
Credit / Charge	Component Framework	Data Interchange	Data Exchange	CGI Federal Momentum
Expense Management	Component Framework	Data Interchange	Data Exchange	CGI Federal Momentum
Payment / Settlement	Component Framework	Data Interchange	Data Exchange	CGI Federal Momentum
Information Retrieval	Component Framework	Data Management	Database Connectivity	(ODBC) Oracle Client
Information Retrieval	Component Framework	Data Management	Database Connectivity	Java Database Connectivity (JDBC)
Information Retrieval	Component Framework	Data Management	Database Connectivity	Object Linking and Embedding/Database (OLE/DB)
OLAP	Component Framework	Data Management	Reporting and Analysis	(OLAP) Business Objects
Payroll	Component Framework	Data Management	Reporting and Analysis	Business Objects
Asset Cataloging / Identification	Component Framework	Data Management	Reporting and Analysis	Business Objects
Auditing	Component Framework	Data Management	Reporting and Analysis	CGI Federal Momentum
Expense Management	Component Framework	Data Management	Reporting and Analysis	CGI Federal Momentum
Asset Cataloging / Identification	Component Framework	Data Management	Reporting and Analysis	CGI Federal Performance Budgeting
Information Retrieval	Component Framework	Presentation / Interface	Content Rendering	Cascading Style Sheets (CSS)
Information Retrieval	Component Framework	Presentation / Interface	Content Rendering	Dynamic HTML (DHTML)
Information Retrieval	Component Framework	Presentation / Interface	Content Rendering	eXtensible HTML (XHTML)
Information Retrieval	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Active Server Pages (ASP)
Auditing	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Business Objects
Internal Controls	Component Framework	Presentation / Interface	Dynamic Server-Side Display	CGI Federal Momentum
Information Retrieval	Component Framework	Presentation / Interface	Dynamic Server-Side Display	Java Server Pages (JSP)

Information Retrieval	Component Framework	Presentation / Interface	Static Display	Hyper Text Markup Language (HTML)
Identification and Authentication	Component Framework	Security	Certificates / Digital Signatures	Secure Sockets Layer (SSL)
Internal Controls	Component Framework	Security	Supporting Security Services	CGI Federal Momentum
Document Library	Component Framework	Security	Supporting Security Services	CGI Federal Momentum
Access Control	Component Framework	Security	Supporting Security Services	CGI Federal Momentum
Data Exchange	Service Access and Delivery	Access Channels	Collaboration / Communications	MS Exchange Server
Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	Uniform Resource Locator (URL)
Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	WebLogic
Data Exchange	Service Access and Delivery	Access Channels	Other Electronic Channels	WebMethods
Data Exchange	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
Data Exchange	Service Access and Delivery	Access Channels	Web Browser	Netscape Communicator
Data Exchange	Service Access and Delivery	Delivery Channels	Extranet	No spec exists
Billing and Accounting	Service Access and Delivery	Delivery Channels	Internet	CGI Federal Momentum
Billing and Accounting	Service Access and Delivery	Delivery Channels	Intranet	CGI Federal Momentum
Data Exchange	Service Access and Delivery	Delivery Channels	Intranet	No spec exists
Data Exchange	Service Access and Delivery	Delivery Channels	Virtual Private Network (VPN)	No spec exists
Identification and Authentication	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on	No spec exists
Billing and Accounting	Service Access and Delivery	Service Requirements	Legislative / Compliance	CGI Federal Momentum
Activity-Based Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	Section 508
Identification and Authentication	Service Access and Delivery	Service Requirements	Legislative / Compliance	Security
Information Retrieval	Service Access and Delivery	Service Requirements	Legislative / Compliance	Web Content Accessibility
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	File Transfer Protocol (FTP)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol (HTTP)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Hyper Text Transfer Protocol Secure (HTTPS)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Internet Protocol (IP)
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	Transport Control Protocol (TCP)
Network Management	Service Access and Delivery	Service Transport	Supporting Network Services	Directory Services (X.500)
Network Management	Service Access and Delivery	Service Transport	Supporting Network Services	Domain Name System (DNS)
Data Exchange	Service Access and Delivery	Service Transport	Supporting Network Services	Dynamic Host Configuration Protocol (DHCP)
Email	Service Access and Delivery	Service Transport	Supporting Network Services	Simple Mail Transfer Protocol (SMTP)

Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	Business Objects Data Interpreter
Payment / Settlement	Service Interface and Integration	Integration	Enterprise Application Integration	CGI Federal Momentum
Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	iGraphix
Enterprise Application Integration	Service Interface and Integration	Integration	Enterprise Application Integration	WebMethods
Payment / Settlement	Service Interface and Integration	Integration	Enterprise Application Integration	webMethods
Payroll	Service Interface and Integration	Integration	Enterprise Application Integration	webMethods
Enterprise Application Integration	Service Interface and Integration	Integration	Middleware	Database Access: NET8
Enterprise Application Integration	Service Interface and Integration	Integration	Middleware	Database Access: PL/SQL
Enterprise Application Integration	Service Interface and Integration	Integration	Middleware	Tuxedo
Data Integration	Service Interface and Integration	Interface	Service Description / Interface	Application Program Interface (API) / Protocol
Data Integration	Service Interface and Integration	Interoperability	Data Format / Classification	Electronic Data Interchange (EDI)
Data Integration	Service Interface and Integration	Interoperability	Data Format / Classification	eXtensible Markup Language (XML)
Data Integration	Service Interface and Integration	Interoperability	Data Types / Validation	XML Schema
Data Exchange	Service Platform and Infrastructure	Database / Storage	Database	Oracle 9i
Data Exchange	Service Platform and Infrastructure	Database / Storage	Storage	(SAN) Hitachi
Data Exchange	Service Platform and Infrastructure	Delivery Servers	Application Servers	WebLogic, IBM P630
Data Exchange	Service Platform and Infrastructure	Delivery Servers	Portal Servers	IBM P630
Data Exchange	Service Platform and Infrastructure	Delivery Servers	Web Servers	Apache
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	(RAM) IBM

Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	IBM Hard Disk Drive
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	IBM Microprocessor
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Redundant Array of Independent Disks (RAID)
Access Control	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	DOJ JCON
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	Ethernet
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Cisco Hub
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Firewall
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Gateway
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Network Interface Card (NIC)
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Router
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	Switch
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	HP LaserJet Printers
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	IBM P630, P690
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)	Asynchronous Transfer Mode (ATM)
Network Management	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)	Frame Relay
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	All Fusion
Modeling	Service Platform and Infrastructure	Software Engineering	Modeling	Unified Modeling Language (UML)
Activity-Based Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	MS Project
Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	RequisitPro

Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Serena TeamTracker
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Business Cycle Testing
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Configuration Testing
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Functional Testing
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Installation Testing
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	LoadRunner
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Performance Profiling
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Reliability Testing
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Security and Access Control Testing
Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Usability Testing (508 Testing)
Computers / Automation Management	Service Platform and Infrastructure	Support Platforms	Platform Dependent	UNIX - IBM AIX
Data Exchange	Service Platform and Infrastructure	Support Platforms	Platform Dependent	Windows 2000, IBM AIX
Data Exchange	Service Platform and Infrastructure	Support Platforms	Platform Independent	Java 2 Platform Enterprise Edition (J2EE)

Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)? Yes

a. If "yes," please describe.

Yes. The UFMS investment will leverage the eTravel, Federal Procurement Data System repository (FPDS)-NG, FedBizOps, Central Contractor Registration

(CCR), and the DOJ Hyperion Unified Reporting (HUR) initiative.

6. Does this investment provide the public with access to a government automated information system? No

a. If "yes," does customer access require specific software (e.g., a specific web browser version)?

1. If "yes," provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

Exhibit 300: Part II: Planning, Acquisition and Performance Information

II.A. Alternatives Analysis

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

In selecting the best capital asset, you should identify and consider at least three viable alternatives, in addition to the current baseline, i.e., the status quo. Use OMB Circular A- 94 for all investments, and the Clinger Cohen Act of 1996 for IT investments, to determine the criteria you should use in your Benefit/Cost Analysis.

1. Did you conduct an alternatives analysis for this project?	Yes
a. If "yes," provide the date the analysis was completed?	5/30/2003
b. If "no," what is the anticipated date this analysis will be completed?	
c. If no analysis is planned, please briefly explain why:	

2. Alternative Analysis Results:

Use the results of your alternatives analysis to complete the following table:

Send to OMB	Alternative Analyzed	Description of Alternative	Risk Adjusted Lifecycle Costs estimate	Risk Adjusted Lifecycle Benefits estimate
True	2	COTS Implementation (current UFMS)-UFMS will implement a DOJ-wide, COTS solution with BPR to standardize department processes. This includes O&M cost savings and productivity gains relative to the status quo alternative. UFMS will serve as the financial architecture for the entire Department, which supports DOJ's Enterprise Architecture. In addition, this alternative fulfills security requirements of the department and participating bureaus. *Updated analysis conducted on 7/21/2006	1059.787	241.107

3. Which alternative was selected by the Agency's Executive/Investment Committee and why was it chosen?

The UFMS investment, alternative 2, is forecasted to realize the greatest value to the Department, providing significantly improved financial management processes and procedures to DOJ Components and Justice Management Division (JMD) decision makers. Quantitative benefits (measured by direct cost savings) indicate substantially reduced O&M for financial management across the Department. These reduced payments, alone, nearly cover the cost of the UFMS investment. Qualitative benefits indicate significant performance improvements as measured by benefit reach (dispersion across DOJ) and impact (degree of improvement) measured across each of the UFMS goals.

4. What specific qualitative benefits will be realized?

1. UFMS will be centrally managed and operated. While this will generate cost savings, it is also expected to enable a more efficient, nimble, and responsive management structure. One of the benefits already realized during the early stages of the UFMS project is the implementation of Department-wide organizational structures to manage risk, communications, system configuration, security, and governance. Each of these centralized organizational structures will benefit the DOJ by implementing a collaborative approach to dealing with major areas that impact financial and procurement system implementation and operations. Centralization and collaboration of these functions will allow the Components of DOJ to benefit from a best of breed approach to their execution. This approach will decrease the redundancies in manpower and other resources necessary to carry out the functions while achieving similar or better results. 2. Increased system security. Better system integration and more modern security tools and practices enabled by a unified financial management system. 3. The standardization of data elements and processes will dramatically improve the visibility of DOJ senior managers into the financial health of the organization. Standardization of data elements eliminates translation or data conversion by managers in order to get a consistent view of financial performance across Components. Eliminating extra steps in analysis process decreases manpower requirements and improves quality and accuracy. The capability of UFMS to present information in a consistent, predictable manner reduces the need for data comprehension, mapping, and reformatting and directly impacts the time required to prepare reports based on Component information. 4. Improved timeliness and accuracy of financial and performance information. The Components and DOJ as a whole will be more capable of meeting financial reporting deadlines. The functional enhancements provided by Momentum will also decrease the numerous internal control weaknesses identified by DOJ auditors. Finally, senior managers at the Component level as well as those responsible for overarching financial and procurement operations will be more capable of performing their management functions because they will be equipped with the near real-time information necessary to identify financial variances and implement corrections or controls necessary to bring variances back within acceptable levels.

II.B. Risk Management

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

1. Does the investment have a Risk Management Plan?	Yes
a. If "yes," what is the date of the plan?	3/1/2006
b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?	No

c. If "yes," describe any significant changes:

2. If there currently is no plan, will a plan be developed?	
a. If "yes," what is the planned completion date?	
b. If "no," what is the strategy for managing the risks?	

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

To account for uncertainty and risk, all cost estimates are based on high and low hour estimates reflecting pessimistic and optimistic forecasts. To address and partially resolve the common challenge of cost estimation uncertainty and to develop a point estimate within an acceptable level of statistical confidence, a Monte Carlo uncertainty analysis was performed. Crystal Ball® is a simulation tool used to account for this uncertainty in the estimates and, using point estimates as inputs, provides the average expected results within a range of expected values.

II.C. Cost and Schedule Performance

1. Does the earned value management system meet the criteria in ANSI/EIA Standard-748?	Yes
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2. Answer the following questions about current cumulative cost and schedule performance. The numbers reported below should reflect current actual information. (Per OMB requirements Cost/Schedule Performance information should include both Government and Contractor Costs):

a. What is the Planned Value (PV)?	14069764
b. What is the Earned Value (EV)?	13381628

