



United States  
Department of  
Agriculture




Cooperative State  
Research, Education,  
and Extension Service

Washington, DC  
20250-2200

JUL 10 2005

TO: Directors, State Agricultural Experiment Stations

FROM: Colien Hefferan   
Administrator

SUBJECT: Distribution of the Hatch Act Funds for Fiscal Year (FY) 2005

Attached is a copy of CSREES-OD-1088-D, Distribution of Hatch Act Funds, for fiscal year (FY) 2005. The distribution identifies funds for the Small Business Innovation Research Program, reflecting the requirement that not less than 2.50 percent of funds be set aside for that purpose in FY 2005. The distribution also identifies a set aside for biotechnology risk assessment.

Section 203 of the Agricultural Research, Extension, and Education Reform Act of 1998 requires that all funds authorized under the Hatch Act, including Hatch Multistate Research Funds, be fully matched with non-Federal funds. In addition, the matching requirement for 1862 Land-Grant Institutions in the Insular Areas is 50 percent of the Federal formula funds per section 7213 of the Farm Security and Rural Investment Act of 2002 (FSRIA) (Pub. L. No. 107-171). The Certification of Offset Forms, FMB-2100 and CSREES-2103, for 1862 Land-Grant Institutions and 1862 Insular Institutions, respectively, for FY 2005, the Certification Regarding Lobbying Form, and the Primary Contact Person Form are available on our website in fillable format at the following web address:

[http://www.csrees.usda.gov/business/reporting/form\\_report.html](http://www.csrees.usda.gov/business/reporting/form_report.html)

Please complete and return all forms by **August 12, 2005**, to:

**Regular Mail**

Policy, Oversight, and Funds Mgmt. Branch  
Office of Extramural Programs  
CSREES, USDA  
STOP 2298  
1400 Independence Avenue, SW  
Washington, DC 20250-2298

**Overnight Express**

Policy, Oversight, and Funds Mgmt. Branch  
Office of Extramural Programs  
CSREES, USDA  
Room 2235  
800 9<sup>th</sup> Street, SW  
Washington, DC 20024

If you have any questions, please contact Janet Downey, Staff Accountant, Policy, Oversight, and Funds Management Branch, Office of Extramural Programs at (202) 205-0453, or via electronic mail to [jdowney@csrees.usda.gov](mailto:jdowney@csrees.usda.gov).

Attachments: Form CSREES-OD-1088-D (1)  
Form CSREES-2103 (1)  
Form FMB-2100 (2) (one for 1862 and one for 1890 Land-Grant Institutions)  
Certification Regarding Lobbying (1)  
Primary Contact Person Form (1)

**UNITED STATES DEPARTMENT OF AGRICULTURE  
COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE**

FISCAL YEAR 2005 - Distribution of Funds to the State Agricultural Experiment  
Stations Authorized under the Hatch Act, as Amended, August 11, 1955

<u>Location and Recipient</u>	<u>REGULAR FORMULA FUNDS</u>	<u>MULTISTATE RESEARCH FUNDS</u>	<u>TOTAL</u>	<u>MULTISTATE RESEARCH REQUIRED MATCH</u>
ALABAMA - Auburn University	\$ 2,913,343	\$852,763	\$3,766,106	\$865,553
ALASKA - University of Alaska	797,207	139,668	936,875	151,161
AMERICAN SAMOA - American Samoa Community College	666,650	21,203	687,853	22,947
ARIZONA - University of Arizona	1,100,478	738,492	1,838,970	799,260
ARKANSAS - University of Arkansas	2,515,939	707,928	3,223,867	758,575
CALIFORNIA - University of California	3,380,456	1,490,950	4,871,406	1,613,634
COLORADO - Colorado State University	1,500,379	949,347	2,449,726	978,763
CONNECTICUT - Connecticut Agricultural Experiment Station	625,242	133,982	759,224	142,134
CONNECTICUT - University of Connecticut	625,242	349,716	974,958	370,994
DELAWARE - University of Delaware	864,406	361,826	1,226,232	383,842
DISTRICT OF COLUMBIA - University of the District of Columbia	546,983	104,461	651,444	110,817
FLORIDA - University of Florida	2,146,344	642,127	2,788,471	688,066
GEORGIA - University of Georgia	3,306,451	1,287,359	4,593,810	955,512
GUAM - University of Guam	691,645	119,590	811,235	129,431
HAWAII - University of Hawaii	852,786	374,483	1,227,269	405,298
IDAHO - University of Idaho	1,411,792	582,240	1,994,032	630,150
ILLINOIS - University of Illinois	4,226,878	1,074,982	5,301,860	1,070,872
INDIANA - Purdue University	3,883,982	825,086	4,709,068	902,532
IOWA - Iowa State University	4,068,788	1,762,794	5,831,582	1,145,813
KANSAS - Kansas State University	2,456,110	758,562	3,214,672	829,764
KENTUCKY - University of Kentucky	3,888,038	853,897	4,741,935	866,768
LOUISIANA - Louisiana State University	2,328,819	668,219	2,997,038	716,025
MAINE - University of Maine	1,228,504	504,136	1,732,640	534,812
MARYLAND - University of Maryland	1,694,293	634,332	2,328,625	672,929
MASSACHUSETTS - University of Massachusetts	1,479,844	619,193	2,099,037	656,870
MICHIGAN - Michigan State University	3,884,400	923,772	4,808,172	950,319
MICRONESIA - College of Micronesia	704,846	0	704,846	0
MINNESOTA - University of Minnesota	3,806,755	865,794	4,672,549	947,061
MISSISSIPPI - Mississippi State University	2,987,977	816,839	3,804,816	875,277
MISSOURI - University of Missouri	3,680,755	773,457	4,454,212	846,057
MONTANA - Montana State University	1,334,762	652,073	1,986,835	705,729
NEBRASKA - University of Nebraska	2,283,399	877,710	3,161,109	960,095
NEVADA - University of Nevada	791,291	357,027	1,148,318	386,404
NEW HAMPSHIRE - University of New Hampshire	1,001,162	362,582	1,363,744	384,644
NEW JERSEY - Rutgers State University	1,456,358	1,213,920	2,670,278	777,322
NEW MEXICO - New Mexico State University	1,135,945	392,815	1,528,760	425,139
NEW YORK - Cornell University	3,331,048	975,722	4,306,770	1,035,091

**UNITED STATES DEPARTMENT OF AGRICULTURE  
COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE**

FISCAL YEAR 2005 - Distribution of Funds to the State Agricultural Experiment  
Stations Authorized under the Hatch Act, as Amended, August 11, 1955

<u>Location and Recipient</u>	<u>REGULAR FORMULA FUNDS</u>	<u>MULTISTATE RESEARCH FUNDS</u>	<u>TOTAL</u>	<u>MULTISTATE RESEARCH REQUIRED MATCH</u>
NEW YORK - Geneva Agricultural Experiment Station	370,116	521,964	892,080	399,900
NORTH CAROLINA - North Carolina State University	4,962,818	1,158,325	6,121,143	1,241,194
NORTH DAKOTA - North Dakota State University	1,673,947	582,823	2,256,770	637,529
NORTHERN MARIANAS - Northern Marianas College	654,062	0	654,062	0
OHIO - Ohio Agricultural Research and Development Center	4,622,902	938,275	5,561,177	1,026,346
OKLAHOMA - Oklahoma State University	2,337,676	580,865	2,918,541	622,421
OREGON - Oregon State University	1,774,601	923,552	2,698,153	999,548
PENNSYLVANIA - Pennsylvania State University	4,569,280	1,232,999	5,802,279	1,264,754
PUERTO RICO - University of Puerto Rico	3,133,477	719,271	3,852,748	770,730
RHODE ISLAND - University of Rhode Island	791,188	375,451	1,166,639	398,296
SOUTH CAROLINA - Clemson University	2,543,911	667,087	3,210,998	714,812
SOUTH DAKOTA - South Dakota State University	1,732,086	587,788	2,319,874	642,960
TENNESSEE - University of Tennessee	3,684,749	827,049	4,511,798	886,218
TEXAS - Texas A&M University	4,855,444	1,231,476	6,086,920	1,260,644
UTAH - Utah State University	995,635	718,022	1,713,657	728,402
VERMONT - University of Vermont	1,052,586	317,924	1,370,510	337,268
VIRGIN ISLANDS - University of the Virgin Islands	676,492	115,719	792,211	123,998
VIRGINIA - Virginia Polytechnic Institute and State University	3,151,620	756,711	3,908,331	810,848
WASHINGTON - Washington State University	2,014,526	1,459,444	3,473,970	931,526
WEST VIRGINIA - West Virginia University	1,984,931	528,356	2,513,287	560,505
WISCONSIN - University of Wisconsin	3,822,590	985,670	4,808,260	901,448
WYOMING - University of Wyoming	939,850	520,261	1,460,111	563,072
Net Payments to States	\$ 127,943,784	\$40,518,079	\$168,461,863	\$40,518,079
Small Business Set-Aside	3,290,461	1,049,069	4,339,530	
Biotech Risk Assessment	384,210	128,070	512,280	
IR-5(CRIS)	-	267,549	267,549	
Federal Administration	3,895,451	1,230,143	5,125,594	
<b>TOTAL HATCH ACT FUNDS</b>	<b>\$135,513,906</b>	<b>\$43,192,910</b>	<b>\$178,706,816</b>	<b>\$40,518,079</b>

**FINAL FY 2005**  
**UNITED STATES DEPARTMENT OF AGRICULTURE**  
**COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE**

**CERTIFICATION OF OFFSET AND ENTITLEMENT**  
(Section 1449 Matching Funds Requirement for Research and Extension Activities at Eligible Institutions)

**1890 Land-Grant Institutions**

- Section 1444 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) funds for extension activities
- Section 1445 of NARETPA funds for research activities

**1862 Land-Grant Institutions in Insular Areas**

- Hatch Act funds for research activities (Regular allocation)
- Hatch Act funds for research activities (Multistate Research Funds)
- Section 3(b) and (c) of the Smith Lever Act funds for extension activities

To establish eligibility for allotment of funds for the fiscal year ending September 30, 2005 certification is hereby made that the

\_\_\_\_\_  
[Name of Institution]

Guarantees the sum of \$ \_\_\_\_\_ or \$ \_\_\_\_\_  
(Requirement) (Amount Available)

that the funds guaranteed are derived from non-Federal sources listed below and are available and budgeted for expenditure for agricultural research, extension, and education activities in accordance with the provision of the Act cited above.

SOURCES	AMOUNTS
	\$
	\$
	\$
	\$
Total	\$

It is further certified that the above reported non-Federal funds are in addition to those used in matching other Federal funds; that if any portion of the money thus guaranteed is not received from sources shown above, the institution or institutional unit will either make up this deficiency from other non-Federal sources which may become available or report at the end of the fiscal year 2005 an equivalent unobligated balance of Federal Funds derived from appropriations under the Act above mentioned, which will be deducted from the subsequent year allotment.

\_\_\_\_\_  
Chief Financial Officer

\_\_\_\_\_  
Administrator/Dean/Director

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

**UNITED STATES DEPARTMENT OF AGRICULTURE  
 COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE  
FINAL  
 CERTIFICATION OF OFFSET AND ENTITLEMENT**

(For Federal Funds Authorized for Appropriation Under the Act of Congress of August 11, 1955 [Hatch Act, as Amended] 7 U.S.C.361a-361i)

[Place] \_\_\_\_\_ [Date] \_\_\_\_\_

To establish eligibility for allotment of funds for the fiscal year ending September 30, 2005, under the terms of the Act of August 11, 1955, certification is hereby made that the

[Institution] \_\_\_\_\_ guarantees the sum of \_\_\_\_\_ or \_\_\_\_\_;  
 (Requirement) (Amount Available)

that the funds guaranteed are derived from non-Federal sources listed below and are available and budgeted for expenditure for agricultural research in accordance with the provisions of the Act cited above.

SOURCES AND AMOUNTS

Direct State Appropriations.....	\$ _____
College Funds Allotted to the Eligible Institutional Unit.....	\$ _____
Fees.....	\$ _____
Sales.....	\$ _____
Other.....	\$ _____
.....	\$ _____
Total Guaranteed Funds.....	\$ _____

It is further certified that the above reported non-Federal funds are in addition to those used in matching other Federal funds; that if any portion of the money thus guaranteed is not received from sources shown above, the State Agricultural Experiment Station will make up this deficiency from other non-Federal sources which may become available or report at the end of the fiscal year 2005 an equivalent unobligated balance of Federal funds derived from appropriations under the Act above mentioned, which will be deducted from the subsequent year allotment.

It is further certified that there has been satisfactory progress during fiscal year 2004 on the **Hatch Regular Formula Research Programs**; that, to the best of my knowledge, the expenditures of Federal funds for the support of the research program were in accordance with laws and regulations governing their use; and that non-Federal matching (offset) funds have been obligated in accordance with the requirement.

\_\_\_\_\_  
 Director

**FINAL FY 2005**  
**UNITED STATES DEPARTMENT OF AGRICULTURE**  
**COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE**

**CERTIFICATION OF OFFSET AND ENTITLEMENT**

(Section 1449 Matching Funds Requirement for Research and Extension Activities at Eligible Institutions)

**1890 Land-Grant Institutions**

- Section 1444 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) funds for extension activities
- Section 1445 of NARETPA funds for research activities

**1862 Land-Grant Institutions in Insular Areas**

- Hatch Act funds for research activities (Regular allocation)
- Hatch Act funds for research activities (Multistate Research Funds)
- Section 3(b) and (c) of the Smith Lever Act funds for extension activities

To establish eligibility for allotment of funds for the fiscal year ending September 30, 2005 certification is hereby made that the

\_\_\_\_\_ [Name of Institution]

Guarantees the sum of \$ \_\_\_\_\_ or \$ \_\_\_\_\_  
(Requirement) (Amount Available)

that the funds guaranteed are derived from non-Federal sources listed below and are available and budgeted for expenditure for agricultural research, extension, and education activities in accordance with the provision of the Act cited above.

SOURCES	AMOUNTS
	\$
	\$
	\$
	\$
Total	\$

It is further certified that the above reported non-Federal funds are in addition to those used in matching other Federal funds; that if any portion of the money thus guaranteed is not received from sources shown above, the institution or institutional unit will either make up this deficiency from other non-Federal sources which may become available or report at the end of the fiscal year 2005 an equivalent unobligated balance of Federal Funds derived from appropriations under the Act above mentioned, which will be deducted from the subsequent year allotment.

\_\_\_\_\_  
Chief Financial Officer

\_\_\_\_\_  
Administrator/Dean/Director

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

**UNITED STATES DEPARTMENT OF AGRICULTURE  
 COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE  
FINAL  
 CERTIFICATION OF OFFSET AND ENTITLEMENT**

(For Federal Funds Authorized for Appropriation Under the Act of Congress of August 11, 1955 [Hatch Act, as Amended] 7 U.S.C.361a-361i) .

[Place] \_\_\_\_\_ [Date] \_\_\_\_\_

To establish eligibility for allotment of funds for the fiscal year ending September 30, 2005, under the terms of the Act of August 11, 1955, certification is hereby made that the

[Institution] \_\_\_\_\_ guarantees the sum of \_\_\_\_\_ or \_\_\_\_\_;  
 (Requirement) (Amount Available)

that the funds guaranteed are derived from non-Federal sources listed below and are available and budgeted for expenditure for agricultural research in accordance with the provisions of the Act cited above.

**SOURCES AND AMOUNTS**

Direct State Appropriations.....	\$ _____
College Funds Allotted to the Eligible Institutional Unit.....	\$ _____
Fees.....	\$ _____
Sales.....	\$ _____
Other.....	\$ _____
.....	\$ _____
Total Guaranteed Funds.....	\$ _____

It is further certified that the above reported non-Federal funds are in addition to those used in matching other Federal funds; that if any portion of the money thus guaranteed is not received from sources shown above, the State Agricultural Experiment Station will make up this deficiency from other non-Federal sources which may become available or report at the end of the fiscal year 2005 an equivalent unobligated balance of Federal funds derived from appropriations under the Act above mentioned, which will be deducted from the subsequent year allotment.

It is further certified that there has been satisfactory progress during fiscal year 2004 on the **Hatch Multistate Programs**; that, to the best of my knowledge, the expenditures of Federal funds for the support of the research program were in accordance with laws and regulations governing their use; and that non-Federal matching (offset) funds have been obligated in accordance with the requirement.

\_\_\_\_\_  
 Director

**UNITED STATES DEPARTMENT OF AGRICULTURE  
COOPERATIVE STATE RESEARCH, EDUCATION,  
AND EXTENSION SERVICE**

**Certification Regarding Lobbying**

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The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement;

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal

contract, grant, loan, or cooperative agreement the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions:

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of the fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

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Organization name

FY2005 Hatch Act Funding  
Award Number or Project Name

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Name and Title of Authorized Representative

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Signature

Date



**UNITED STATES DEPARTMENT OF AGRICULTURE  
COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE  
NOTICE TO APPLICANTS-  
CERTIFICATION/DISCLOSURE REQUIREMENTS RELATED TO LOBBYING**

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Section 319 of Public Law 101-121 (31 U.S.C.), signed into law on October 23, 1989, imposes new prohibitions and requirements for disclosure and certification related to lobbying on recipients of Federal contracts, grants, cooperative agreements and loans. Certain provisions of the law also apply to Federal commitments for loan guarantees and insurance; however, it provides exemptions for Indian tribes and tribal organizations.

Effective December 23, 1989, current and prospective recipients (and their subtier contractors and/or subgrantees) will be prohibited from using Federal funds, other than profits from a Federal contract, for lobbying Congress or any Federal agency in connection with the award of a particular contract, grant, cooperative agreement or loan. In addition, for each award action in excess of \$100,000 (or \$150,000 for loans) on or after December 23, 1989, the law requires recipients and their subtier contractors and/or subgrantees to: (1) certify that they have neither used nor will use any appropriated funds for payment to lobbyists, (2) disclose the name, address, payment details, and purpose of any agreements with lobbyists whom recipients or the subtier contractors or subgrantees will pay with profits or nonappropriated funds on or after December 23, 1989; and (3) file quarterly updates about the use of lobbyists if material

changes occur in their use. The law establishes civil penalties for noncompliance.

If you are a current recipient of funding or have an application, proposal, or bid pending as of December 23, 1989, the law will have the following immediate consequences for you:

- You are prohibited from using appropriated funds (other than profits from Federal contracts) on or after December 23, 1989, for lobbying Congress or any Federal agency in connection with a particular contract, grant, cooperative agreement, or loan;
- You are required to execute the attached certification at the time of submission of an application or before any action in excess of \$100,000 is awarded; and
- You will be required to complete the lobbying disclosure form if the disclosure requirements apply to you.

Regulations implementing Section 319 of Public Law 101-121 have been published as an Interim Final Rule by the Office of Management and Budget as Part III of the February 26, 1990, **Federal Register** (pages 6736-6746).

**COOPERATIVE STATE RESEARCH, EDUCATION, AND EXTENSION SERVICE  
LETTER OF AUTHORIZATION FORMULA PROGRAMS  
PRIMARY CONTACT PERSON FORM**

**INSTITUTION:** \_\_\_\_\_

**FORMULA PROGRAM:** HATCH ACT FUNDS

**RESEARCH DIRECTOR:**

**Name:** \_\_\_\_\_

**Address:** \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Phone:** \_\_\_\_\_

**Fax:** \_\_\_\_\_

**Email:** \_\_\_\_\_

**PRIMARY CONTACT PERSON:**

**Name:** \_\_\_\_\_

**Address:** \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Phone:** \_\_\_\_\_

**Fax:** \_\_\_\_\_

**Email:** \_\_\_\_\_

\_\_\_\_\_