

Inspectors' Assessment of an FSIS-Regulated Slaughter and Processing
Establishment's Food Defense Plan

Please respond to the following items for Establishment XXXXX

Please answer every question. Check "Yes", "No", or "Unknown" if you can not tell from reading the plan. If questions 12 through 14 are not applicable to this establishment, select "N/A".

1. Please ask the plant management if they have a plan containing food defense measures that they could make available. They may have several plans containing measures for you to consider and not just one such as a food defense plan, crisis management plan, loss prevention or risk management plan.
 - No, the establishment said they had a plan but would not share it with me (end survey)
 - No, the establishment said they did not have a plan (end survey)
 - No, the establishment did not say whether they had a plan or not (end survey)
 - No, other (end survey)
 - Yes (GO TO QUESTION 2)

2. Does the food defense plan designate a person or team to oversee and implement the plan?
 - Yes
 - No
 - Unknown (can't tell from reading the plan)

3. Does the food defense plan require training of key personnel in provisions of the plan?
 - Yes
 - No
 - Unknown (can't tell from reading the plan)

4. Does the food defense plan require periodic tests or drills on operational elements of the plan?
 - Yes
 - No
 - Unknown (can't tell from reading the plan)

5. Have the food defense plan and associated records been tested or drilled in the last four months (120 days)?
 - Yes
 - No
 - Unknown (can't tell from reading the plan)

6. Do the food defense plan and associated records have a frequency at which they must be reviewed and revised?
 - Yes
 - No
 - Unknown (can't tell from reading the plan)
7. Have the food defense plan and associated records been revised in the last four months (120 days) as a result of a tested event or drill?
 - Yes
 - No
 - Unknown (can't tell from reading the plan)
8. Does the food defense plan have security procedures in place to prevent intentional product contamination in outside premises?
 - Yes
 - No
 - Unknown (can't tell from reading the plan)
9. Does the food defense plan have security procedures in place to prevent intentional product contamination in maintenance?
 - Yes
 - No
 - Unknown (can't tell from reading the plan)
10. Does the food defense plan have security procedures in place to prevent intentional product contamination in water systems?
 - Yes
 - No
 - Unknown (can't tell from reading the plan)
11. Does the food defense plan have security procedures in place to prevent intentional product contamination in employee behavior?
 - Yes
 - No
 - Unknown (can't tell from reading the plan)
12. Does the food defense plan have security procedures in place to prevent intentional product contamination in live animals (slaughter only)?
 - Yes
 - No
 - N/A
 - Unknown (can't tell from reading the plan)
13. Does the food defense plan have security procedures in place to prevent intentional product contamination in equipment calibration?

- Yes
- No
- N/A
- Unknown (can't tell from reading the plan)

14. Does the food defense plan have security procedures in place to prevent intentional product contamination in incoming raw materials?

- Yes
- No
- N/A
- Unknown (can't tell from reading the plan)

15. Does the food defense plan have security procedures in place to prevent intentional product contamination in production/processing areas?

- Yes
- No
- Unknown (can't tell from reading the plan)

16. Does the food defense plan have security procedures in place to prevent intentional product contamination in control/use of hazardous material?

- Yes
- No
- Unknown (can't tell from reading the plan)

17. Does the food defense plan have security procedures in place to prevent intentional product contamination in loading docks/shipping?

- Yes
- No
- Unknown (can't tell from reading the plan)

18. Does the food defense plan have security procedures in place to prevent intentional product contamination in storage areas?

- Yes
- No
- Unknown (can't tell from reading the plan)