

Exhibit 300 FY2008

FY2008 Exhibit 300

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

Section A: Overview (All Capital Assets)

The following series of questions are to be completed for all investments.

I. A. 1. Date of Submission:

2006-06-16

I. A. 2. Agency:

005

I. A. 3. Bureau:

47

I. A. 4. Name of this Capital Asset:

(short text - 250 characters)

RMA-01 Financial Management Systems

I. A. 5. Unique ID: (For IT investments only, see section 53. For all other, use agency ID system.)

005-47-01-51-01-0001-00-402-124

I. A. 6. What kind of investment will this be in FY2008?

(Please NOTE: Investments moving to O&M ONLY in FY2008, with Planning/Acquisition activities prior to FY2008 should not select O&M. These investments should indicate their current status.)

Operations and Maintenance

I. A. 7. What was the first budget year this investment was submitted to OMB?

FY2001 or earlier

I. A. 8. Provide a brief summary and justification for this investment, including a brief description of how this, closes in part or in whole, an identified agency performance gap:

(long text - 2500 characters)

The Financial Management System (FMS), Investment 1, has been approved for the current fiscal year as a steady state investment. The USDA E-Board most recently approved this investment in August, 2005. Due to the age of the system, competition for funding, recommendations from the OCIO, and implementation of RMAs 5-Year IRM Plan this investment is currently slated to be replaced in FY 2010. The legacy investment will be taken off line no later than the end of FY 2010. Funding, performance, and the other features of the new, replacement EITA system are reported separately under its own Exhibit 300. The Risk Management Agency is the operations arm of the Federal Crop Insurance Corporation (FCIC). Federal crop insurance is available to agricultural producers through private insurance companies that market and service policies. FCIC reinsures the policies and provides a subsidy for the administrative and operating expenses of delivering the insurance products and/or programs. FCIC also provides a subsidy for the producer's premium. The reinsured companies electronically transmit to the FCIC, at least monthly, all data required under the reinsurance agreement to receive their contracted reimbursements. The RMA Research & Development Divisions (Actuarial Division and Fiscal Operations & Systems Division) assist in processing all insurance data, record detailed accounting and statistical data, prepare the required accounting, statistical, and management reports for business from all sources, and collect crop and accounting data needed for policy determinations, underwriting decisions and financial management. The FMS investment is RMA's single integrated financial management system (as required by OMB Circular A-127). FMS is a set of financial systems used by RMA/FCIC to carry out financial management functions, manage financial operations of the agency and report on the agency's financial status to central agencies, Congress and the public. The FCIC maintains two separate funds, one for administrative and operating purposes (A&O fund), and one for the crop insurance program (Insurance Fund). FMS manages both funds.

I. A. 9. Did the Agency's Executive/Investment Committee approve this request?

yes

I. A. 9. a. If "yes", what was the date of this approval?

2006-09-06

I. A. 10. Did the Project Manager review this Exhibit?

yes

I. A. 11. Contact information of Project Manager?

I. A. 12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

no

I. A. 12. a. Will this investment include electronic assets (including computers)?

no

I. A. 12. b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

no

I. A. 12. b. 1. If "yes", is an ESPC or UESC being used to help fund this investment?

I. A. 12. b. 2. If "yes", will this investment meet sustainable design principles?

I. A. 12. b. 3. If "yes", is it designed to be 30% more energy efficient than relevant code?

I. A. 13. Does this investment support one of the PMA initiatives?

yes

I. A. 13. a. If "yes", check all that apply:

Financial Performance

I. A. 13. b. Briefly describe how this asset directly supports the identified initiative(s).

(medium text - 500 characters)

The FMS Program is the central unifying system providing accountability & control over taxpayer's funds. It supports the initiative "Financial Performance" by routinely producing information that is timely, measurable & effective to immediate performance; useful to make more informed operational & financial decisions; reliable to ensure consistent, comparable trend analysis over time. FMS approach are key components of the President's Financial Performance initiative (timeliness, usefulness, reliability).

I. A. 14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?

(For more information about the PART, visit www.whitehouse.gov/omb/part.)

yes

I. A. 14. a. If "yes", does this investment address a weakness found during the PART review?

yes

I. A. 14. b. If "yes", what is the name of the PARTed Program?

(short text - 250 characters)

Crop Insurance

I. A. 14. c. If "yes", what PART rating did it receive?

Moderately Effective

I. A. 15. Is this investment for information technology? (see section 53 for definition)

yes

I. A. 16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 1 - Projects with low-to-moderate complexity and risk. Example: Bureau-level project such as a stand-alone information system that has low- to-moderate complexity and risk. Level 2 - Projects with high complexity and/or risk which are critical to the mission of the organization. Examples: Projects that are part of a portfolio of projects/systems that impact each other and/or impact mission activities. Department-wide projects that impact cross-organizational missions, such as an agency-wide system integration that includes large scale Enterprise Resource Planning (e.g., the DoD Business Mgmt Modernization Program). Level 3 - Projects that have high complexity, and/or risk, and have government-wide impact. Examples: Government-wide initiative (E-GOV, President's Management Agenda). High interest projects with Congress, GAO, OMB, or the general public. Cross-cutting initiative (Homeland Security).

Level 3

I. A. 17. What project management qualifications does the Project Manager have? (per OMB's PM Guidance):

(1) - The project manager assigned for this investment has been validated as qualified in accordance with OMB PM Guidance.; (2) - The project manager assigned for this investment is in the process of being validated as qualified in accordance with OMB PM Guidance.; (3) - The project manager assigned for this investment is not validated as qualified in accordance with OMB PM Guidance.; (4) - The qualifications for the project manager named have not been evaluated.; (5) - No project manager is currently assigned for this investment.; (6) - N/A -- This is not an IT investment.

(1) Project manager has been validated as qualified for this investment

I. A. 18. Is this investment identified as "high risk" on the Q4 - FY 2006 agency high risk report (per OMB's "high risk" memo)?

yes

I. A. 19. Is this a financial management system?

yes

I. A. 19. a. If "yes", does this investment address a FFMIA compliance area?

yes

I. A. 19. a. 1. If "yes" which compliance area?

(short text - 250 characters)

All. This investment addresses compliance with 1) Federal Financial Management System Requirements, 2) Federal Accounting Standards and 3) compliance with the Standard General Ledger at the transaction level.

I. A. 19. a. 2. If "no", what does it address?

(medium text - 500 characters)

I. A. 19. b. If "yes", please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

(long text - 2500 characters)

DMS - Debt Management System; Recon - Reconciliation System; RAS - Reinsurance Accounting System, ESC - Escrow, ITS - Ineligible Tracking System, POO - Plan of Operations, YEA - Year End Audit

I. A. 20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

I. A. 20. a. Hardware

0

I. A. 20. b. Software

0

I. A. 20. c. Services

100

I. A. 20. d. Other

0

I. A. 21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

yes

I. A. 22. Contact information of individual responsible for privacy related questions:

I. A. 22. a. Name

(short text - 250 characters)

Eric Baer

I. A. 22. b. Phone Number

I. A. 22. c. Title

(short text - 250 characters)

ISSPM

I. A. 22. d. Email

(short text - 250 characters)

eric.baer@rma.usda.gov

I. A. 23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

Section B: Summary of Funding

I. B. 1. Provide the total estimated life-cycle cost for this investment by completing the following table.

All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Note: For the cross-agency investments, this table should include all funding (both managing and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

	PY-1 Spending Prior to 2006	PY 2006	CY 2007	BY 2008					
Planning	0	0	0	0					
Acquisition	5.196	0	0	0					
Subtotal Planning & Acquisition	5.196	0	0	0					
Operations & Maintenance	4.217	0.70	0.70	0.70					
TOTAL	9.413	0.70	0.70	0.70					
Government FTE Costs	7.440	0.19	0.2	0.2					
Number of FTE represented by cost	87.7	2.4	2	2					

I. B. 2. Will this project require the agency to hire additional FTE's?

no

I. B. 2. a. If "yes", How many and in what year?

(medium text - 500 characters)

I. B. 3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

(long text - 2500 characters)

The Financial Management System (FMS), Investment 1, was approved by the USDA E-Board most recently for FY 2006 as a steady state investment. Due to the age of the system, competition for funding, and recommendations of the OCIO, this Investment will be retired at the end of FY 2010. The 5 Year IRM Plan identifies that Investment 13 will fully support the FMS systems beginning in FY 2011. It should be noted that RMA processes reinsurance years which run from July 1 through June 30 each year. Processing continues for a period of 5 years for any given reinsurance year, therefore, 2010 (which begins on July 1, 2009), is the last reinsurance year that this Investment will support. At the end of the 2010 FY all prior reinsurance years that were supported under this Investment will be moved to the new system developed under Investment 13. The FCIC maintains two separate funds, one for administrative and operating purposes (A&O fund), and one for the crop insurance program (Insurance Fund). The FMS supports the Insurance Fund. he reinsured companies electronically transmit to the FCIC at least monthly, all data required under the reinsurance agreement in order to receive their contractual reimbursements. The Deputy Administrator for Product Management (Product Analysis and Accounting Division - PAAD), assist in processing all insurance data, record detailed accounting and statistical data, prepare the required accounting, statistical and management reports for business from all sources and collect crop and accounting data needed for policy determinations, underwriting decisions and financial management. Funding for continued Steady State operations of FMS in FY 08 through FY 10 are reflected in the FMS budget. Beginning in FY 11, funding for FMS functions will be reflected in Investment 13.

Section C: Acquisition/Contract Strategy

I. C. 1. Complete the table for all contracts and/or task orders in place or planned for this investment:

(Character Limitations: Contract or Task Order Number - 250 Characters; Type of Contract/Task Order - 250 Characters; Name of CO - 250 Characters; CO Contact Information - 250 Characters)

[illegible]

I. C. 2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

(long text - 2500 characters)

I. C. 3. Do the contracts ensure Section 508 compliance?

yes

I. C. 3. a. Explain Why:

(medium text - 500 characters)

Contract has clauses that HW, SW and other covered IT purchases are 508 compliant; it also has clauses that custom software will also be 508 compliant. This compliancy includes passing requirements as laid out in both USDA and RMA policy and procedure.

I. C. 4. Is there an acquisition plan which has been approved in accordance with agency requirements?

yes

I. C. 4. a. If "yes", what is the date?

2006-01-01

I. C. 4. b. If "no", will an acquisition plan be developed?

I. C. 4. b. 1. If "no", briefly explain why:

(medium text - 500 characters)

Section D: Performance Information

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They

are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

I. D. 1. Table 1

(Character Limitations: Strategic Goal(s) Supported - 250 Characters; Performance Measure - 250 Characters; Actual/baseline (from Previous Year) - 250 Characters; Planned Performance Metric (Target) - 250 Characters; Performance Metric Results (Actual) - 250 Characters; Measurement Indicator - 250 Characters; Baseline - 250 Characters; Planned Improvement to the Baseline - 250 Characters; Actual Results - 250 Characters)

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
2006	USDA Goal 5 and Agency Goal 1.3 improve program integrity and protect taxpayer's funds.	Unqualified audit Opinion on the Financial Statements, no Material Weaknesses, and no non-conformances.	1. Received an unqualified audit opinion on the Financial Statements. 2. Received 2 material weaknesses. 3. Received 2 non-conformances.	Unqualified audit Opinion on the Financial Statements, no Material Weaknesses, and no non-conformances.	The results are not yet known, they depend on measurements that cannot yet be completed or determined. The scheduled completion date for this is October 2006.
2007	USDA Goal 5 and Agency Goal 1.3 improve program integrity and protect taxpayer's funds.	Unqualified audit Opinion on the Financial Statements, no Material Weaknesses, and no non-conformances.	1. Received an unqualified audit opinion on the Financial Statements. 2. Received 2 material weaknesses. 3. Received 2 non-conformances.	Unqualified audit Opinion on the Financial Statements, no Material Weaknesses, and no non-conformances.	The results are not yet known, they depend on measurements that cannot yet be completed or determined. The scheduled completion date for this is October 2007.
2006	RMA O.1: Increase availability & effectiveness of risk management solutions; RMA S.1.8: Increase capability of the RMA enterprise-wide IT infrastructure & provide increase data support	Program use (an indicator of system use): insured acres, insurance dollar liability, number of RMA county programs.	In 2000, insured 206,000 acres; \$34B liability; 36,000 county programs.	Program use (an indicator of system use): insured acres, insurance dollar liability, number of RMA county programs.	Results pending.
2007	RMA O.1: Increase availability & effectiveness of risk management solutions; RMA S.1.8: Increase capability of the RMA enterprise-wide IT infrastructure & provide increase data support	Program use (an indicator of system use): insured acres, insurance dollar liability, number of RMA county programs.	In 2000, insured 206,000 acres; \$34B liability; 36,000 county programs.	Program use (an indicator of system use): insured acres, insurance dollar liability, number of RMA county programs.	Results pending.
2008	RMA O.1: Increase availability & effectiveness of risk management solutions; RMA S.1.8: Increase capability of the RMA enterprise-wide IT infrastructure & provide increase data support	Program use (an indicator of system use): insured acres, insurance dollar liability, number of RMA county programs.	In 2000, insured 206,000 acres; \$34B liability; 36,000 county programs.	Program use (an indicator of system use): insured acres, insurance dollar liability, number of RMA county programs.	Results pending.
2006	RMA Objective O.2: Improve and protect the soundness, safety, efficiency, & effectiveness of the risk management delivery system; RMA S.2.3: Work with product providers to improve awareness & ease of access to the producers.	Program use (an indicator of ease of use and increased awareness): insured acres, insurance dollar liability, number of RMA county programs.	In 2000, insured 206,000 acres; \$34B liability; 36,000 county programs.	Program use (an indicator of ease of use and increased awareness): insured acres, insurance dollar liability, number of RMA county programs.	Results pending.
2007	RMA Objective O.2: Improve and protect the soundness, safety, efficiency, & effectiveness of the risk management delivery system; RMA S.2.3: Work with product providers to improve awareness & ease of access to the producers.	Program use (an indicator of ease of use and increased awareness): insured acres, insurance dollar liability, number of RMA county programs.	In 2000, insured 206,000 acres; \$34B liability; 36,000 county programs.	Program use (an indicator of ease of use and increased awareness): insured acres, insurance dollar liability, number of RMA county programs.	Results pending.
2008	RMA Objective O.2: Improve and protect the soundness, safety, efficiency, & effectiveness of the risk management delivery system; RMA S.2.3: Work with product providers to improve awareness & ease of access to the producers.	Program use (an indicator of ease of use and increased awareness): insured acres, insurance dollar liability, number of RMA county programs.	In 2000, insured 206,000 acres; \$34B liability; 36,000 county programs.	Program use (an indicator of ease of use and increased awareness): insured acres, insurance dollar liability, number of RMA county programs.	Results pending.
2006	RMA Objective O.3: Ensure customers and stakeholders have knowledge and awareness	System reliability is an indicator of FMS	A reliable FMS system supports	System reliability is an indicator of FMS	Maintained reliable system

	of risk management tools and products; RMA Strategy S.3.8: Improve internal and external communication through company meeting	performance; a reliable system is a prerequisite for RMAs communications, review, and prevention missions/strategies.	these objectives and strategies, including communications, reviews, and prevention.	performance; a reliable system is a prerequisite for RMA's communications, review, and prevention missions/strategies.	operations, greater than 98%.
2007	RMA Objective O.3: Ensure customers and stakeholders have knowledge and awareness of risk management tools and products; RMA Strategy S.3.8: Improve internal and external communication through company meeting	System reliability is an indicator of FMS performance; a reliable system is a prerequisite for RMA's communications, review, and prevention missions/strategies.	A reliable FMS system supports these objectives and strategies, including communications, reviews, and prevention. Contract Service Level Agreement = 99%	System reliability is an indicator of FMS performance; a reliable system is a prerequisite for RMA's communications, review, and prevention missions/strategies.	Results pending.
2008	RMA Objective O.3: Ensure customers and stakeholders have knowledge and awareness of risk management tools and products; RMA Strategy S.3.8: Improve internal and external communication through company meeting	Maintain reliable system and network operations, greater than 99%.	A reliable FMS system supports these objectives and strategies, including communications, reviews, and prevention. Contract Service Level Agreement = 99%	System reliability is an indicator of FMS performance; a reliable system is a prerequisite for RMA's communications, review, and prevention missions/strategies.	Results pending.
2006	RMA Objective O.4: Ensure effective oversight of the crop insurance industry, enhance deterrence, and prosecute fraud, waste, and abuse; RMA Strategy S.4.8: Continue to develop data management and integration_____	Ongoing system enhancements followed (from 2005 forward) by new system development (EITA) â€ 9+ new products each year, updated data, and other enhancements.	Baseline FMS system provides data management and integration tools; FMS also provides data base and reports in support of communication strategy.	10 to 12 new products, updated data, and other enhancements each year.	Results pending
2007	RMA Objective O.4: Ensure effective oversight of the crop insurance industry, enhance deterrence, and prosecute fraud, waste, and abuse; RMA Strategy S.4.8: Continue to develop data management and integration	Ongoing system enhancements followed (from 2005 forward) by new system development (EITA) â€ 9+ new products each year, updated data, and other enhancements.	Baseline FMS system provides data management and integration tools; FMS also provides data base and reports in support of communication strategy. New product utilization is a Contract SLA.	10 to 12 new products, updated data, and other enhancements each year.	Results pending.
2008	RMA Objective O.4: Ensure effective oversight of the crop insurance industry, enhance deterrence, and prosecute fraud, waste, and abuse; RMA Strategy S.4.8: Continue to develop data management and integration	Ongoing system enhancements followed (from 2005 forward) by new system development (EITA) â€ 9+ new products each year, updated data, and other enhancements.	Baseline FMS system provides data management and integration tools; FMS also provides data base and reports in support of communication strategy. New product utilization is a Contract SLA.	10 to 12 new products, updated data, and other enhancements each year.	Results pending.
2007	RMA Objective O.3: Ensure customers and stakeholders have knowledge and awareness of risk management tools and products; RMA Strategy S.3.8: Improve internal and external communication through company meeting	Customer satisfaction reports.	Contract Service Level determined by RMA program management	Customer satisfaction reports.	Results pending.
2008	RMA Objective O.3: Ensure customers and stakeholders have knowledge and awareness of risk management tools and products; RMA Strategy S.3.8: Improve internal and external communication through company meeting	Customer satisfaction reports.	Contract Service Level determined by RMA program management	Customer satisfaction reports.	Results pending.
2007	RMA Objective O.3: Ensure customers and stakeholders have knowledge and awareness of risk management tools and products; RMA Strategy S.3.8: Improve internal and external communication through company meeting_____	Percent resolved on time.	Contract Service Level Agreement requirement = 95% on time.	Percent resolved on time.	Results pending.
2008	RMA Objective O.3: Ensure customers and stakeholders have knowledge and awareness of risk management tools and products; RMA Strategy S.3.8: Improve internal and external communication through company meeting	Help Desk calls resolved 95% on time for priority level.	Contract Service Level Agreement requirement = 95% on time.	Percent resolved on time.	Results pending.
2007	USDA Goal 5: Improve program integrity and protect taxpayer's funds.	1. Achieve an unqualified audit opinion on the Financial Statements. 2. Eliminate all material weaknesses. 3.	1. Received an unqualified audit opinion on the Financial Statements. 2. Received 2 material	Unqualified audit opinion on the financial statements, no material weaknesses, and no non-conformances.	Results pending.

		Eliminate all systems non conformances.	weaknesses. 3. Received 2 non conformances.		
2008	USDA Goal 5: Improve program integrity and protect taxpayer's funds.	1. Achieve an unqualified audit opinion on the Financial Statements. 2. Eliminate all material weaknesses. 3. Eliminate all systems non conformances.	1. Received an unqualified audit opinion on the Financial Statements. 2. Received 2 material weaknesses. 3. Received 2 non conformances.	Unqualified audit opinion on the financial statements, no material weaknesses, and no non-conformances.	Results pending.
2009	USDA Goal 5: Improve program integrity and protect taxpayer funds.	1. Achieve an unqualified audit opinion on Agency Financial Statements; 2. No material weaknesses. 3. Eliminate all non-conformances.	TBD (Results of 2007/2008 Financial Audit will be recorded here.	Unqualified audit opinion on the financial statements, no material weaknesses, no non-conformances.	Results pending.

I. D. 2. Table 2

Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
2004	Mission and Business Results	Financial Management	1. Achieve an unqualified audit opinion on the Financial Statements. 2. Eliminate all material weaknesses. 3. Eliminate all systems non conformances	1. Received an unqualified audit opinion on the Financial Statements. 2. Received 2 material weaknesses. 3. Received 2 non conformances.	Unqualified audit opinion on the financial statements, no material weaknesses, and no non-conformances.	Unqualified audit opinion on the financial statements, no material weaknesses, and no non-conformances
2005	Mission and Business Results	Financial Management	1. Achieve an unqualified audit opinion on the Financial Statements. 2. Eliminate all material weaknesses. 3. Eliminate all systems non conformances	1. Received an unqualified audit opinion on the Financial Statements. 2. Received 2 material weaknesses. 3. Received 2 non conformances.	Unqualified audit opinion on the financial statements, no material weaknesses, and no non-conformances.	Unqualified audit opinion on the financial statements, no material weaknesses, and no non-conformances.
2006	Mission and Business Results	Financial Management	1. Achieve an unqualified audit opinion on the Financial Statements. 2. Eliminate all material weaknesses. 3. Eliminate all systems non conformances.	1. Received an unqualified audit opinion on the Financial Statements. 2. Received 2 material weaknesses. 3. Received 2 non conformances.	Unqualified audit opinion on the financial statements, no material weaknesses, and no non-conformances.	Unqualified audit opinion on the financial statements, no material weaknesses, and no non-conformances.
2007	Mission and Business Results	Financial Management	1. Achieve an unqualified audit opinion on Financial Statements; 2. No material weaknesses; 3. No areas of non-conformance.	TBD	TBD	TBD
2008	Mission and Business Results	Financial Management	1. Achieve an unqualified audit opinion on Financial Statements; 2. No material weaknesses; 3. No areas of non-conformance.	TBD	TBD	TBD
2009	Mission and Business Results	Financial Management	1. Achieve an unqualified audit opinion on Financial Statements; 2. No material weaknesses.; 3. No areas of non-conformance.	TBD	TBD	TBD

Section F: Enterprise Architecture (EA)

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

I. F. 1. Is this investment included in your agency's target enterprise architecture?

yes

I. F. 1. a. If "no", please explain why?
(long text - 2500 characters)

I. F. 2. Is this investment included in the agency's EA Transition Strategy?

no

I. F. 2. a. If "yes", provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

(medium text - 500 characters)

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I. F. 2. b. If "no" please explain why?

(long text - 2500 characters)

USDA is developing its Transition Strategy and the investment may be listed under its own name and associated with Financial Performance and Eliminating Improper Payments PMA initiatives.

I. F. 3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

FEA SRM Component - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM. FEA Service Component Reused - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission. Internal or External Reuse? - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government. Funding Percentage - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service. (Character Limitations: Agency Component Name - 250 Characters; Agency Component Description - 500 Characters)

Agency Component Name	Agency Component Description	FEA SRM Service Type	FEA SRM Component	FEA Service Component Reused - Component Name	FEA Service Component Reused - UPI	Internal or External Reuse?	BY Funding Percentage
Process Automation Services	Assure SRA compliance in gain/loss & finance program	Financial Management	Process Tracking	Program / Project Management	005-47-01-51-01-0001-00-402-124	No Reuse	20
Support Services	Issue, store & manage payment to private. industry partners	Financial Management	Payment / Settlement	Billing and Accounting	005-47-01-51-01-0001-00-402-124	No Reuse	35
Back Office Services	Manage debt accts. in compliance w/Treasury Offset Program	Financial Management	Debt Collection	Debt Collection	005-47-01-51-01-0001-00-402-124	No Reuse	10
Back Office Services	Assure timely, accurate finance statements, auditor reports & confirm.	Financial Management	Debt Collection	Auditing	005-47-01-51-01-0001-00-402-124	No Reuse	25
Business Management Services	Assure finance viability: RMA industry partners sharing risk	Financial Management	Auditing	Risk Management	005-47-01-51-01-0001-00-402-124	No Reuse	10

I. F. 4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component - Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications. Service Specification - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate. (Character Limitations: Service Specification (i.e., vendor and product name) - 250 characters)

FEA SRM Component	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (i.e., vendor and product name)
Process Tracking	Service Platform and Infrastructure	Delivery Servers	Application Servers	
Process Tracking	Service Platform and Infrastructure	Database / Storage	Database	
Process Tracking	Service Platform and Infrastructure	Delivery Servers	Web Servers	
Process Tracking	Service Platform and	Delivery Servers	Application Servers	

	Infrastructure			
Process Tracking	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	
Process Tracking	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	
Process Tracking	Service Platform and Infrastructure	Delivery Servers	Database	
Process Tracking	Component Framework	Presentation / Interface	Static Display	
Process Tracking	Component Framework	Presentation / Interface	Dynamic Server-Side Display	
Process Tracking	Component Framework	Business Logic	Platform Dependent	
Process Tracking	Component Framework	Business Logic	Platform Dependent	
Process Tracking	Component Framework	Business Logic	Platform Dependent	
Process Tracking	Component Framework	Business Logic	Platform Independent	
Process Tracking	Component Framework	Business Logic	Platform Independent	
Process Tracking	Component Framework	Data Management	Database Connectivity	
Process Tracking	Component Framework	Data Management	Database Connectivity	

I. F. 5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

I. F. 5. a. If "yes", please describe.
(long text - 2500 characters)

I. F. 6. Does this investment provide the public with access to a government automated information system?

no

I. F. 6. a. If "yes", does customer access require specific software (e.g., a specific web browser version)?

I. F. 6. a. 1. If "yes", provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).
(medium text - 500 characters)

PART III: FOR "OPERATION AND MAINTENANCE" INVESTMENTS ONLY (STEADY-STATE)

Part III should be completed only for investments which will be in "Operation and Maintenance" (Steady State) in FY 2008, i.e., selected the "Operations and Maintenance" choice in response to Question 6 in Part I, section A above.

Section A: Risk Management

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investments life-cycle. Answer the following questions to describe how you are managing investment risks.

III. A. 1. Does the investment have a Risk Management Plan?

yes

III. A. 1. a. If "yes", what is the date of the plan?

2006-07-15

III. A. 1. b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

yes

III. A. 1. c. If "yes", describe any significant changes:

(medium text - 500 characters)

Risk Management Plan reviewed and reanalyzed in light of recent breaches to government systems (such as loss of data in transport; inappropriate storage of privacy data by personnel in travel, commuting or telework status; access to agency systems, etc.).

III. A. 2. If there currently is no plan, will a plan be developed?

III. A. 2. a. If "yes", what is the planned completion date?

III. A. 2. b. If "no", what is the strategy for managing the risks?

(long text - 2500 characters)