## Peer Review of NIH Research Grant Applications



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# REVIEW PROCESS FOR A RESEARCH GRANT

Principal Investigator

**School or Other Research Center** 



**National Institutes of Health** 

**Center for Scientific Review** 

Assign to



**Scientific Review Group** 

IC and IRG

Initiates Research Idea



**Submits application** 





Scientific Merit

**Institute** 

**Evaluate for** 



Relevance

**Advisory Council or Board** 

Recommend



Action

**Conducts Research** 



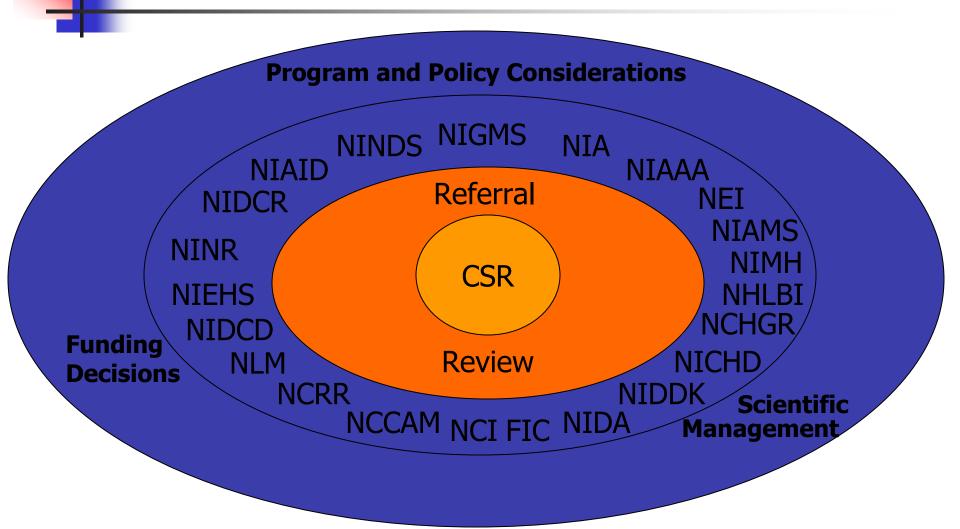
**Allocates Funds** 



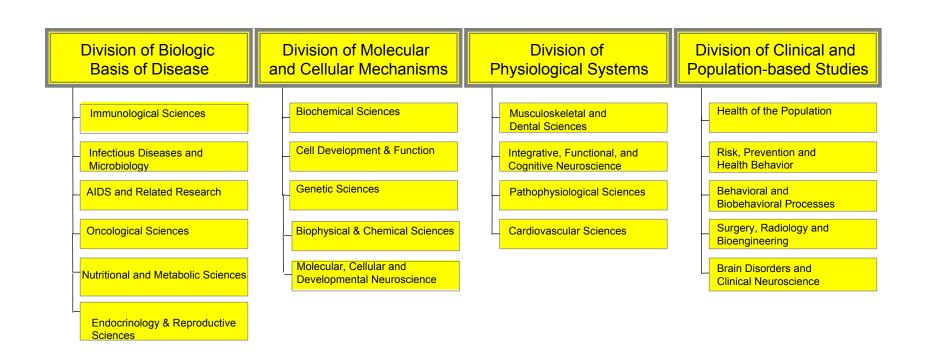
**Institute Director** 

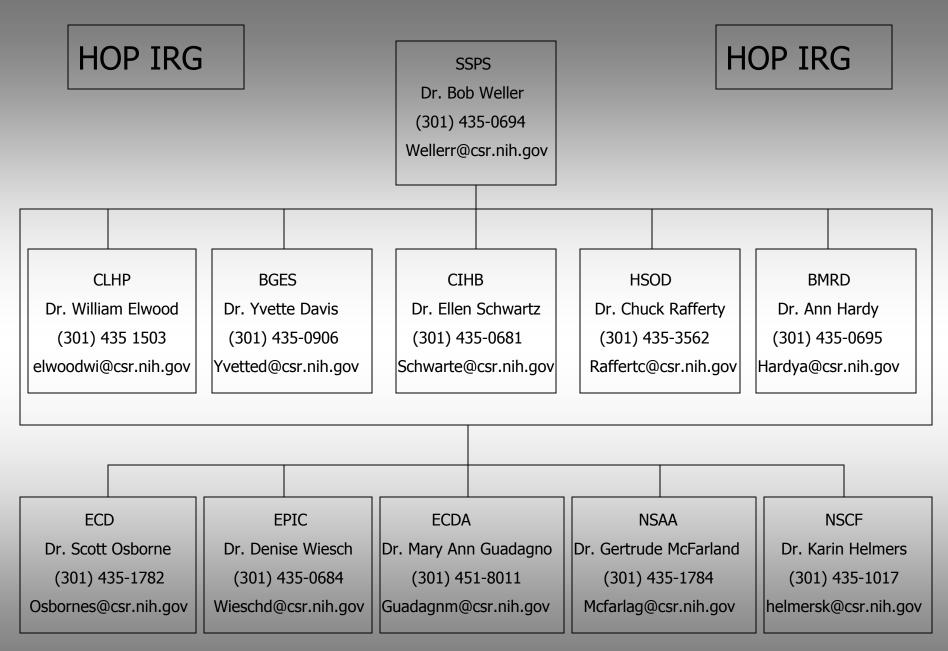


# NIH Referral and Review Process



### Distribution of IRGS to 4 Divisions Center for Scientific Review Review Divisions





WWW.CSR.NIH.GOV/REVIEW/IRGDESC.ASP#HOP

## WHERE ARE APPLICATIONS REVIEWED?

CSR Research projects

**Academic Research** 

**Enhancement Awards** 

**Postdoctoral Fellowships** 

**Small Business Innovation** 

**Shared Instrumentation** 

Research

**Institutes** 

**Program projects** 

**Centers** 

**Institutional Training Grants** 

**Conference Grants** 

**Career Awards** 

**Small Grants** 

**RFAs** 

**Contracts** 

\*DEPENDS UPON MECHANISM AND INSTITUTE

## WHO/WHAT DETERMINES WHICH GROUP REVIEWS MY APPLICATION?

- Mechanism
- Referral and Review Staff
  Scientists, most of whom also serve as Scientific Review
  Administrators (SRAs) of CSR Study Sections
- Initial Review Group (IRG) Chiefs and SRAs
  IRGs: Clusters of scientifically related study sections
  IRG Chiefs are also SRAs with own Study Section(s)
- Past review history (if any) of application
- Principal Investigator
   Letter attached to application; self-referral

#### SCIENTIFIC REVIEW GROUP

Scientific Review Administrator

Recruits and selects reviewers

Sees that each application gets review that is competent, thorough and fair

Assures that proper review criteria used

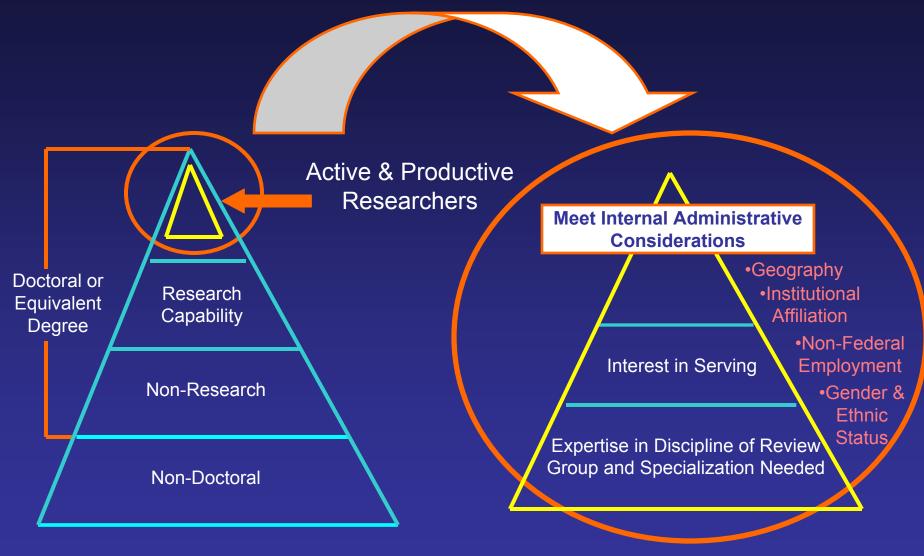
Reviewers

Some charter members; some temporary members Scientists with appropriate expertise High professional profiles Dependable, reasonable, open minded



Grants Technical Assistant
 Mails material to reviewers
 Handles paperwork
 Organizes meeting room
 Enters scores and codes
 Assists in preparation of summary
 statements

#### Criteria for Selection of Peer Reviewers



**Scientific Community** 

Active and Productive Researchers

# WHO ASSIGNS REVIEWERS TO MY APPLICATION?

#### Scientific Review Administrator

Based upon expertise of reviewers
Based upon knowledge of the field
May consult with professional staff in Institute
May consult with chairperson
Considers review history





## Review of Research Grants

#### **REVIEW CRITERIA:**

- Significance
- Approach
- Innovation
- Investigator
- Environment



### WHAT IS STREAMLINING?

The process by which applications judged by the reviewers to be in the worse half of those assigned for review are not discussed at the Scientific Review Group meeting.

- Any member can object to the streamlining of an application
- Streamlined applications receive written critiques from the reviewers
- Allows more time for discussion of more meritorious applications
- Shortens meetings and some persons more willing to serve

## WHAT HAPPENS IN A STUDY SECTION MEETING?

- Closed (FACA)
- Orientation

**Conflict of interest** 

**Developments of interest to the study section** 

Changes in policy or procedure

Role of persons present

- Streamlining list provisionally approved
- Application by application review

Persons with conflicts of interest excused

Assigned reviewers give preliminary scores

Discussion of application's scientific and technical merit

Assigned reviewers first, then other members

Range of scores set

Assignment of gender, minority, and children codes,

human subjects codes

**Every member scores every application** 

Changes to budget



## STUDY SECTIONS DO NOT FUND

### **INSTITUTES FUND!**

- Study Sections judge application's scientific and technical merit
- Institutes take these evaluations very seriously
- Institutes also consider relevance of application to the Institute's research priorities





### **SOME USEFUL WEBSITES**

- WWW.NIH.GOV
   NIH homepage; directories; information;
   gateway to parts of NIH
- WWW.NIH.GOV/ICD
   Information about Institutes
- WWW.CSR.NIH.GOV/REFREV.HTM
   Application forms, rosters, policies
- WWW.CSR.NIH.GOV/irgdesc.asp#hop Information about HOP

