

INTRODUCTION

There has been an explosion in the identification and characterization of RNAs that have functions other than those of messenger RNAs, transfer RNAs, or ribosomal RNAs. Many of these RNAs are integral components of RNA-protein complexes, in which the RNAs serve a variety of functions. For instance, the U small nuclear RNA (snRNA) constituents of the eukaryotic spliceosome utilize base-pairing interactions to identify splice sites as well as to interact with other spliceosomal RNAs [reviewed in (1)]. The U snRNAs ultimately may serve as the catalytic center within the spliceosome. The RNA present in the signal recognition particle, 4.5S RNA and SRP RNA in bacteria and eukaryotes, respectively, is a major structural component of the ribonucleoprotein complex in which it resides [reviewed in (2)]. The telomerase RNA serves as a template for the synthesis of telomeres on the ends of eukaryotic chromosomes [reviewed in (3)]. RNase P RNA has intrinsic enzymatic function, although it too is found within a ribonucleoprotein *in vivo* [reviewed in (4)]. Bacterial SsrA/tmRNA has a unique mechanism of action in which it serves as both a tRNA mimic and an mRNA, functioning to release ribosomes trapped on damaged mRNAs [reviewed in (5)]. In addition to these RNA components of critical cellular enzymes, a large number of newly identified RNAs have been found to function as regulators. As illustrated in Figure 1, these regulatory RNAs impact all steps in gene expression pathways. It is these RNAs and how they function that are the focus of this review. In general, regulatory RNAs act via one of two basic mechanisms: base-pairing interactions with other nucleic acids and binding to and modifying the activity of a protein or protein complex.

Studies of the regulatory RNAs have largely occurred in parallel in bacterial and eukaryotic systems and are in the early stages in archaea. Examination of the mechanisms of action of many of the RNAs in bacteria and eukaryotes points to a surprising number of similarities between regulatory RNAs in all types of organisms. Thus, one aim of this review is to compare and contrast what has been learned about the regulatory RNAs found in bacteria and eukaryotes. It is clear that approaches used in one system are applicable to other systems, and more importantly, insights gained regarding the mechanism of action of RNAs in one organism often are relevant to the regulatory RNAs found in other organisms.

Unfortunately, the nomenclature used for regulatory RNAs has not been uniform. Generally, the term small RNA has predominated for the bacterial RNAs, whereas noncoding RNA has predominated for the eukaryotic RNAs, although the terms functional RNA (fRNA) and small nonmessenger RNAs (snmRNA) also are used. Here, we refer to the RNAs as noncoding RNAs (ncRNAs). We also use some of the designations given to specific classes of eukaryotic RNAs, such as small nucleolar RNAs (snoRNAs) and microRNAs (miRNAs) based on their subcellular localization or size. We discuss examples of well-characterized regulatory RNAs; more comprehensive tabulations of regulatory RNA sequences and properties can be found at <http://www.sanger.ac.uk/Software/Rfam/> (6), <http://biobases.ibch.poznan.pl/ncRNA/> (7), <http://jrm-research.imb.uq.edu.au/rnadb>, <http://bioinfo.md.huji.ac.il/marg/> (8), and <http://dir2.nichd.nih.gov/nichd/cbmb/segr/segr.html>.

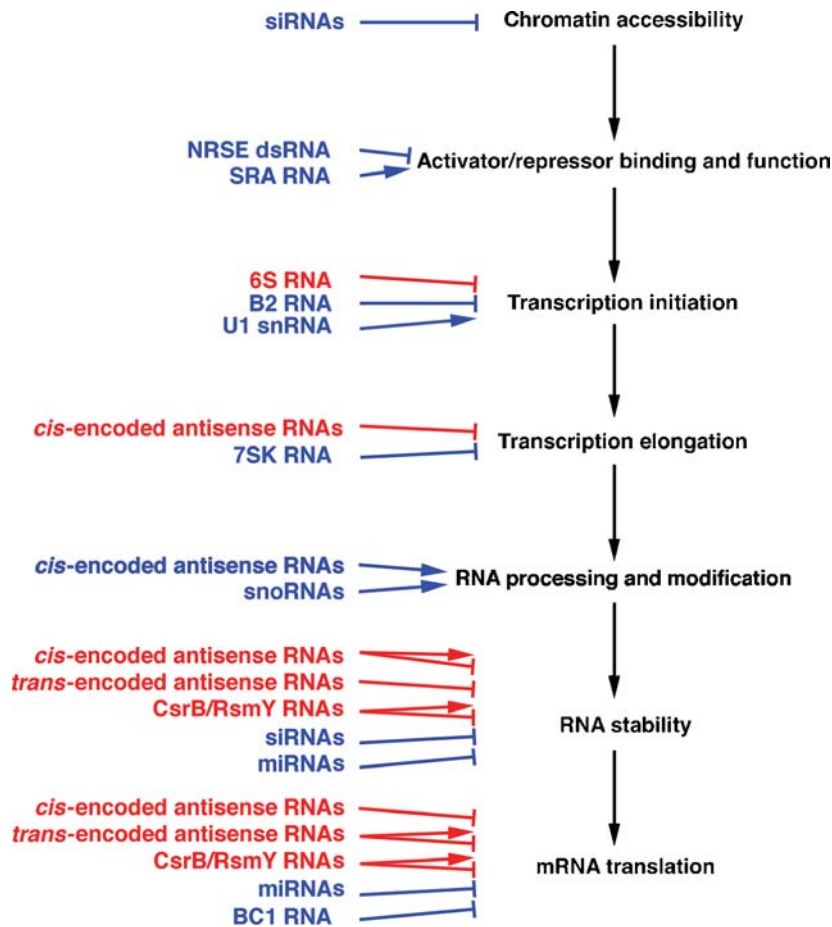


Figure 1 Steps in gene expression at which RNAs have been found to modulate gene expression. Bacterial and plasmid (*red*) and eukaryotic (*blue*) noncoding RNAs (ncRNAs) exert positive (*arrows*) and negative (*bars*) regulation at every step in the expression of a gene. Specific examples of *cis*- and *trans*-encoded antisense RNAs and microRNAs (miRNAs) that modulate transcription elongation, RNA processing or stability, or mRNA translation are described in the text. Abbreviations are siRNA, small interfering RNAs, and snoRNA, small nucleolar RNA.

BASE-PAIRING RNAs

Thus far, the most common mechanism by which ncRNAs regulate gene expression is by base pairing with target transcripts. The RNAs that act by base pairing can be grouped into two broad classes; *cis*-encoded RNAs that are encoded at the same genetic location, but on the opposite strand to the RNAs they act upon and therefore

sequence, most likely by Argonaute, a conserved component of the RISC complex. Although siRNAs are usually derived from foreign RNAs, there are examples in which sense and antisense transcripts derived from endogenous sequences, particularly repeated sequences, can “silence” the expression of the sense transcript by targeting the sense transcript for degradation or by modulating the structure of the chromosome encoding the RNAs [reviewed in (21, 21a)]. The details by which siRNAs modulate chromatin structure remain to be delineated but also require Dicer- and Argonaute-containing complexes and may involve DNA-RNA or RNA-RNA base pairing given that silencing is highly sequence specific.

trans-Encoded Antisense RNAs

In contrast to the relatively few chromosomal *cis*-encoded antisense RNAs known to have function, many chromosomal *trans*-encoded antisense RNAs have been found to exert regulation in bacteria and eukaryotes. Whether this discrepancy reflects the mechanistic requirements for antisense regulation or is simply a consequence of the RNAs that have been fortuitously characterized is not clear.

HFQ-BINDING RNAs IN BACTERIA The functions of more than a dozen *trans*-encoded base-pairing RNA regulators have been characterized in *E. coli* [reviewed in (22)]. These RNAs have been shown to destabilize mRNAs and to either repress or activate translation and are defined by the fact that they bind to a common protein, Hfq. Examples of RNAs that block translation by base pairing with mRNA sequences adjacent to or overlapping the ribosome binding sites are MicC RNA (109 nt) (23) and MicF RNA (93 nt) [reviewed in (24)], which repress the translation of the OmpC and OmpF outer membrane porins, respectively. Because MicC and MicF are reciprocally expressed under a variety of environmental conditions, the RNAs contribute to the reciprocal expression of the two porin proteins. Another example of an RNA that represses translation is Spot42 RNA (109 nt); its expression is modulated in response to different carbon sources. This RNA base pairs with sequences internal to the *galETKM* mRNA, resulting in differential expression of different genes within the operon (25). Two RNAs, DsrA RNA (85 nt) and RprA RNA (105 nt), have been shown to promote translation of the *rpoS* mRNA by preventing the formation of an inhibitory secondary structure that normally occludes the ribosome binding site within the long *rpoS* transcript (26–29). Examples of ncRNAs that affect mRNA stability are the RyhB/SraI RNA (90 nt), which is induced under conditions of low iron (30) and the SgrS/RyaA RNA (~200 nt), which is induced by elevated phosphosugar levels (31). Upon iron starvation, RyhB RNA base pairs with and promotes the degradation of transcripts encoding iron-containing enzymes, thus allowing alternative utilization of the limited iron. Elevated levels of SgrS RNA are associated with decreased levels of the mRNA encoding the major glucose transporter (*ptsG*). The functions of these regulatory RNAs were elucidated using knowledge of the conditions under which the RNAs were expressed, defining phenotypes associated with overexpression of the RNAs, and testing predictions of possible base-pairing targets.

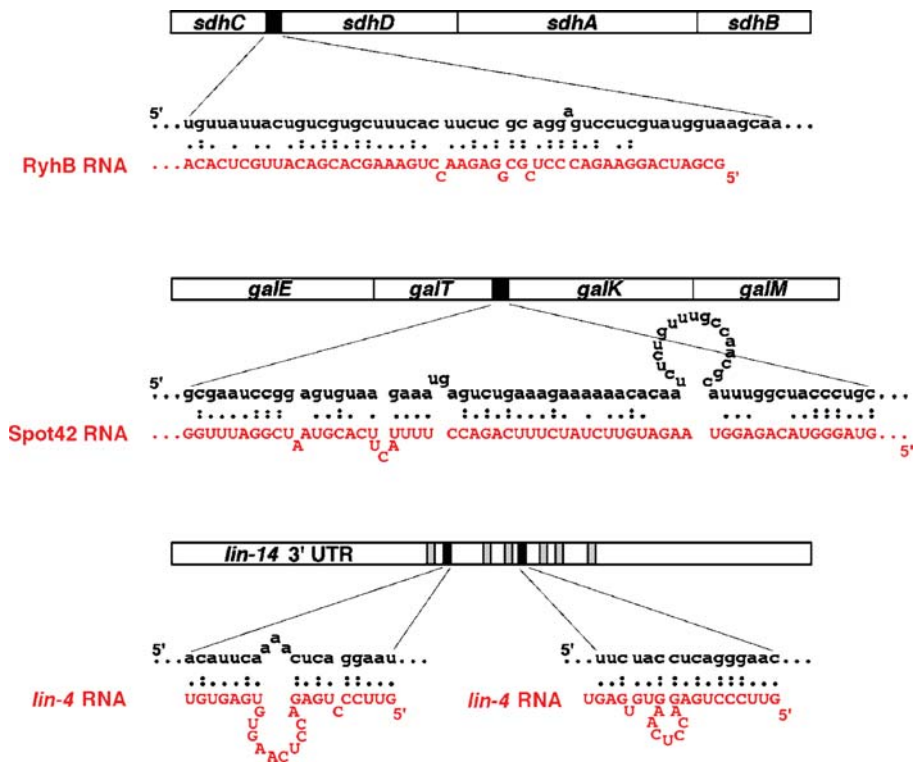


Figure 2 Similarities between *trans*-encoded base-pairing RNAs in bacteria and eukaryotes. The ncRNA sequences are given in red, capital letters, and the sequences of the target mRNA are given in black, lower case letters. Base pairing between the *E. coli* RyhB RNA and *sdhCDAB* mRNA leads to *sdhCDAB* mRNA degradation. Base pairing between the *E. coli* Spot42 RNA and *galETKM* mRNA leads to repression of *galK* translation. The *C. elegans* *lin-4* miRNA is able to base pair with seven different regions, shaded in gray or black, within the 3' UTR of the *lin-14* mRNA. Base pairing between the *lin-4* miRNA and *lin-14* mRNA also leads to decreased translation. Regions of potential base pairing are shown; it is not clear whether complete base pairing is needed for regulation.

rRNAs, it is thought that they may regulate the expression of specific mRNAs by directing their methylation or pseudouridylation.

RNAs THAT MODIFY PROTEIN ACTIVITY

Not all regulatory RNAs act by base pairing. In recent years several regulatory RNAs that bind to proteins and modify the activities of these proteins have been characterized. Although far fewer of this type of ncRNA are known at this time,

are missed in genetic studies as a consequence of their small size and because they are resistant to frameshift and nonsense mutations, which only apply to protein-coding genes. In addition, RNAs are often missed in biochemical experiments designed to assay proteins. Thus, it is of value to discuss the methods that have recently been developed to identify ncRNAs and their genes. It should be noted that many features of ncRNAs, such as the ability to form secondary structures, also can be found in many regulatory 5' and 3' untranslated regions (UTRs) of mRNAs. Therefore, all predictions of ncRNA genes need to be confirmed by direct detection of these transcripts, for instance by Northern analysis.

Computational Approaches

Computational approaches have been quite successful in finding families of ncRNAs with well-defined sequence elements or characteristics, such as the C/D box family of snoRNAs (84, 85). Few regulatory RNA families contain such defined elements. Even so, a number of computational approaches have successfully predicted the presence of additional ncRNA genes. Approaches based on straight sequence conservation between related species in nonprotein-coding regions of the genome (intergenic regions), alone and in combination with algorithms based on other criteria listed below, have been very successful in predicting ncRNA genes in *E. coli* (15, 86), *C. elegans* (87), and *Arabidopsis thaliana* (51). Other searches based on predictions of RNA structure conservation in intergenic regions led to the identification of regulatory RNAs in *E. coli* (88), *Saccharomyces cerevisiae* (89), *C. elegans* (87), and *A. thaliana* (51). The presence of binding sites for specific DNA-binding proteins as well as promoter and terminator sequences in the intergenic regions was another criteria used to predict possible RNA genes in *E. coli* (90, 86), *P. aeruginosa* (32), *V. harveyi*, *V. cholerae* (33), and *S. cerevisiae* (91). The detection of GC-rich regions in the AT-rich genomes of *Methanococcus jannaschii* and *Pyrococcus furiosus* led to the identification of ncRNAs (92). A few *S. cerevisiae* ncRNAs also were detected in unusually long intergenic regions (>2 kb) (91). Finally, others have extracted features of known ncRNAs using a machine learning approach to search for other ncRNAs in *E. coli* (93). However, these last predicted ncRNA genes have not been experimentally tested for expression.

The computational approaches have led to the identification of many ncRNAs in bacteria, yeast, *C. elegans*, and *A. thaliana*, but they are limited because they have focused on the intergenic regions and thus have missed ncRNAs encoded within protein-coding regions of the genome, such as expected for *cis*-encoded antisense RNAs. Most of the computational approaches also rely heavily on sequence conservation and therefore overlook ncRNAs that are species specific or are less well conserved.

Direct Detection

The first ncRNAs were identified directly by size fractionation of total RNA labeled in vivo (94–96). This approach was successful in the detection of very abundant

