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C O N F I D E N T I A L

FINANCIAL DISCLOSURE FORM

The FDA requires that the following confidential financial disclosure information be collected for all investigators (see 21CFR 54.4). Any pharmaceutical company that submits a marketing application for any drug, biologic product, or device is required to submit certain information concerning the compensation to, and financial interests of, any clinical investigator participating in any clinical study submitted in the marketing application. The Cancer Therapy Evaluation Program (CTEP) is collecting this confidential information annually for all NCI-registered investigators.

Please indicate below if you, your spouse, or dependent children have any of the following disclosable financial arrangements.

Yes___ No___ Do you currently have or have you at any time in the past year had any compensation made to you by a pharmaceutical company in which the value of the compensation could be affected by the study outcome?

Yes___ No___ Do you currently have or have you at any time in the past year had a proprietary interest in any drug, biologic product, or device, including, but not limited to, a patent, trademark, copyright, or licensing agreement?

Yes___ No___ Do you currently have or have you at any time in the past year had any equity interest in a pharmaceutical company that exceeds $50,000 in value?

Yes___ No___ Do you currently have or have you at any time in the past year had significant payments of other sorts totaling $25,000 or more from any single pharmaceutical company to you or to your institution to support activities exclusive of the costs of conducting clinical studies, such as a grant to fund your ongoing research, compensation in the form of any equipment not directly related to the conduct of the clinical trial, or retainers to you for ongoing consultation or honoraria?

If you answered **"Yes"** to any of the questions above, please provide the name of the pharmaceutical company or companies with whom the financial arrangement exists (add an attachment if needed).

Pharmaceutical Company(ies) _____

_____

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This form must be signed (original signature required) and dated and submitted with your signed FDA Form 1572 (original signature required) and Supplemental Investigator Data Form (signature required) as part of your annual NCI investigator registration packet. Completed forms will be maintained by the Pharmaceutical Management Branch, CTEP as part of your confidential investigator registration file. This information will only be provided (1) to a pharmaceutical company which has an agreement (e.g., a Clinical Trials Agreement [CTA] or a Cooperative Research and Development Agreement [CRADA]) with CTEP if CTEP is notified that a licensing application is being prepared by that company or (2) to a Cooperative Group of which you are a member if CTEP is notified that a clinical trial is being developed by that Group and a pharmaceutical company with whom you have indicated a financial arrangement. You may be contacted in the future by a pharmaceutical company representative or by your Cooperative Group administrative staff for additional information.

_____ _____ _____

Signature **Date** **Printed Name**