Training and Career Development Evaluation Plan

<u>Trainee</u>

- Level of Training
- Field of Training
- Personal Factors
- Age/Sex/Ethnicity
- Selection Factors
- Test Scores
- Program Factors
- Duration
- Completion
- Institutional Factors



Career Outcome Factors

- Research Involvement
- Further Training
- Employment
 - Level
 - Setting
- Publications
- Citations
- Patents
- Grant Applications/Awards
- Advisory or Review Panels
- Clinical Involvement
- Specialty Certifications
- Clinical Activities

Overview of Early Career Outcomes and Group Comparisons in the Biomedical Sciences

Outcome	Observed Results			More Progress on Outcome for NRSA Trainees and Fellows vs.	
	NRSA Trainees and Fellows	Ph.D.s from NIH Training Institutions	Ph.D.s from Non-NIH Training Institutions	NIH Training Institutions	Non-NIH Training Institutions
Completed Ph.D. in less time (years) ^a	6.5	6.9	7.0	+	+
Pursued postdoctoral training ^b	77.9	59.9	47.6	+	+
Working in a research career position in 1995	81.3	74.0	69.8	+	+
Had academic, tenure-line position	39.3	29.1	32.0	+	+
Employed by top-ranked academic institution	36.6	23.2	15.7	+	+
Applied for one or more NIH/NSF grants	46.9	35.0	29.6	+	+
Awarded an grant (of those who applied)	66.8	55.0	47.2	+	+
Number of post-Ph.D. journal publications ^c	12.8	9.7	8.9	+	+
Average citations to published articles ^c	28.5	24.7	18.9	+	+

Note. Unless indicated otherwise, included are those individuals who received their Ph.D. in the biomedical sciences between 1981 and 1988. A "+" indicate the observed difference (unadjusted) was significant and in the direction where NRSA trainees and fellows outperformed their comparison group counterparts favorable ways. Enclosing the "+" by a box indicates that NRSA predoctoral support was found to be statistically significant in helping to explain the observe difference, after adjusting for the influence of other variables; shading of the box indicates that its role was marginally significant (p < 0.06).

^a1981-92 Ph.D.s

b1981-90 Ph.D.s

c1981-82 Ph.D.s

Evaluation of NIH Extramural Training and Career Development Programs

Central Data Available

- National Research Service Awards
 - ✓ Trainees
 - √ Fellows
- Individual Career Development Awards

No Central Data Available

- Institutional Career Development Awards (K12)
- Education Grants (R25)
- Minority Supplements (SITS)
- Supplements for Individuals with Disabilities (SITS)
- Loan Repayment (LRP database)
- Research Assistants and Associates
 - √ Graduate Students
 - ✓ Postdoctorates

Source of Evaluation Information

Trainee

Level of Training	 2271 – TFF
Field of Training	 2271 – TFF
Age/Sex/Ethnicity	 2271 – TFF
•Test Scores	 ETS
Duration of Training	 2271 – TFF
 Completion of Training 	 2271 – TFF
•Degree Earned	 2271 – TFF

Career Outcome Factors

Comparison Groups	 DRF
Further Training/Education	 SDR & TA Module
Employment Setting/Rank	 SDR, FRS, IRP
Publications	 NLM & ISI
•Citations	 ISI
 Grant Applications/Awards 	 398/416 – CGAF
Patents	 i-Edison
 Advisory or Review Panels 	 Committee Management
•Clinical Certification	 FRS
•Clinical Activities	 FRS

NLM = National Library of Medicine

ETS = Educational Testing Service

TFF = Trainee Fellow File

IRP = Intramural Research Program

FRS = AAMC Faculty Roster System SDR = NSF/NIH Survey of Doctoral Recipients

ISI = Institute of Scientific Information

DRF = Doctorate Record File - Surv. Earned Doc.

CGAF = Consolidate Grant Applicant File

Professional Profile (PPF)

- Personal Information
 - Name
 - SSN
 - Sex
 - Ethnicity
 - Race
 - Date of Birth
 - Address
 - Phone Number
 - FAX Number
 - E-Mail
 - Citizenship
 - Disability
- 2. Education
 - Degree Awarded/Expected
 - Year Awarded/Expected

- Institution
- Major
- Minor
- 3. Employment
 - Employer
 - Address
 - Work Phone
 - Work FAX
 - Work E-Mail
 - Start Date
 - End Date
 - Rank
 - Position
- 4. Publications
 - NLM Accession Number
 - Citation Text

Current PPF Data Entry Model

Receipt/Referral

- 1.Research Grants
- 2.Career Awards
- 3.Fellowships

NRSA Trainees

Appointment to a Training Grant

Professional Profile

- 1. Personal Information
- 2. Education
- 3. Employment
- 4. Publications

Committee
Management
Review/Council

Future PPF Data Entry Model

Receipt/Referral

- 1.Research Grants
- 2.Career Awards
- 3.Fellowships

All "Trainees"

- 1. Training Grant
- 2.Inst. Career Award
- 3. Education Grant

Professional Profile

- 1. Personal Information
- 2. Education
- 3. Employmenかり
- 4. Publications

Committee
Management
Review/Council

Research Assistants

Minority Suppl.

Disability Suppl.

Predocs

Postdocs

Department of Health and Human Services Follow attached instructions carefully. Submit this form at the time the Public Health Services individual is appointed, is reappointed, or the reported appointment is amended. Return this form to the PHS awarding component. For new postdoctoral trainees Statement of Training Appointment under NRSA, signed and dated payback agreement must accompany this form. (Please Type) 2. TRAINEE'S NAME (Last. first. initial) SEX 1. PHS GRANT NUMBER Type Activity ID Serial No. □м□г 4. TYPE OF ACTION (Mark X for only one type) PRIOR SUPPORT (Individual or institutional) □ NO □ YES (If "Yes," see instructions) NEW appointment (NOT previously supported by this grant) REAPPOINTMENT (Previously supported by this grant) ☐ AMENDMENT of items checked: П2 П9 BIRTHDATE (Month, day, year) 8. CITIZENSHIP (See instructions) 6. SOCIAL SECURITY NO. U.S. Citizen or U.S. Noncitizen National Permanent Resident of U.S. 9 PERMANENT MAILING ADDRESS Are you Hispanic (or Latino)? Mark (X) ☐ YES □ NO e-mail: 11. What is your racial background? Mark (X) one or more 12 FIFI D OF TRAINING American Indian or Alaska Native Enter a 4 digit code from instructions: Native Hawaiian or other Pacific Islander ☐ Asian 13. PERIOD OF APPOINTMENT (Month. day, year) ☐ Black or African American White 14. EDUCATION—AFTER HIGH SCHOOL (Indicate all academic and professional education, For foreign degrees, give U.S. equivalent.) (a) Name of Institution, Department and Location (b) Month and Year (c) Degree(s) List most recent first.) Attended Received (d) Major Field (e) Minor Field To Mo. & Yr. From Degree 15. NAMES OF SPECIALTY BOARDS 18. SUPPORT FOR PERIOD OF APPOINTMENT Total for This Grant (Omit cents.) Type 16. DEGREE(S) SOUGHT Stipend \$ Tuition/fees (estimated) \$ Are you in a double degree program (e.g., M.D./Ph.D.)? YES NO Travel (estimated) 17. COMPLETION DATE TOTAL 19. STATEMENT OF NONDELINQUENCY ON FEDERAL DEBT. Is the trainee delinquent on the repayment of any Federal debt(s)? □NO YES (If "Yes," please explain below. Use additional pages if necessary.) 20.CERTIFICATION AND ACCEPTANCE: I certify that the statements herein are (a) SIGNATURE OF TRAINEE (b) DATE true and complete to the best of my knowledge and that I will comply with all applicable Public Health Service terms and conditions governing my appointment. I am aware that any false, fictitious or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties 21. This individual is qualified for this program and is eligible to receive financial (a) SIGNATURE OF PROGRAM DIRECTOR (b) DATE support for the period specified above. A copy of this appointment form will be given to the individual. (a) TYPED NAME OF PROGRAM DIRECTOR (b) INSTITUTION'S NAME, ADDRESS, AND PHONE NO. (Street, city, state, zip code) (c) SCHOOL (d) DEPARTMENT

Department of Health and Human Services Follow attached instructions carefully. Submit this form at the time the Public Health Services individual is appointed, is reappointed, or the reported appointment is amended. Return this form to the PHS awarding component. For new postdoctoral trainees Statement of Training Appointment under NRSA, signed and dated payback agreement must accompany this form. (Please Type) 2. TRAINEE'S NAME (Last, first, initial) 5. PRIOR SUPPORT (Individual or institutional) 4. TYPE OF ACTION (Mark X for only one type) □ NO □ YES (If "Yes," see instructions) ■ NEW appointment (NOT previously supported by this grant) REAPPOINTMENT (Previously supported by this grant) AMENDMENT of items checked: □2 □9 BIRTHDATE (Month, day, year) SOCIAL SECURITY NO. 12. FIELD OF TRAINING Enter a 4 digit code from instructions: 13. PERIOD OF APPOINTMENT (Month, day, year) 18. SUPPORT FOR PERIOD OF APPOINTMENT 15. NAMES OF SPECIALTY BOARDS Total for This Grant (Omit cents.) Туре 16. DEGREE(S) SOUGHT Stipend \$ \$ Tuition/fees (estimated) Are you in a double degree program (e.g., M.D./Ph.D.)? YES NO Travel (estimated) 17. COMPLETION DATE TOTAL 19. STATEMENT OF NONDELINQUENCY ON FEDERAL DEBT. Is the trained delinquent on the repayment of any Federal debt(s)? □NO YES (If "Yes," please explain below. Use additional pages if necessary.)

Simplified Appointment Process

- Unambiguous linkage to Existing Professional Profile using
 - name
 - SSN
 - DOB
 - assigned identifier
- 2. Information about Appointment
 - Date of appointment
 - Completion date of appointment
- 3. Remainder of Information in PPF
- 4. Strong incentive to update PPF
- 5. Required for every transaction

Development of a Training Evaluation Database

- Data structure designed for career tracking and evaluation
 - Personal Information
 - Education
 - Employment
 - Publications
 - Transactions Role Records (applications, awards, traineeship, fellowships, reviewer, inventions, etc.)
 - Incorporate existing TFF, CGAF, and Master Index
 - Links to institutional attributes or rankings
 - Links to external databases with updates as needed
 - SED
 - SDR
 - FRS
 - IRP
 - LRP?
- Develop routine reporting tools
- External linkages can be used to verify data items

To Do List

- Clear concept
- Establish workgroup
- Design tasks
 - Redesign X-Train
 - Redesign TA (to include part-time training)
 - Redesign SITS
 - Design NIH eRA Commons RA appointment module (K12, R25, other Rs)
 - Design table-view to accommodate RA appointment information
 - Design data warehouse (preserve existing records)
 - Design data warehouse update process and schedule
 - Identify external data sources and elements of interest
 - Design reporting modules
- Prepare cost estimates and justification for funding