

CUTTING EDGE

Cutting Edge: Heterozygote Advantage in Autoimmune Disease: Hierarchy of Protection/Susceptibility Conferred by HLA and Killer Ig-Like Receptor Combinations in Psoriatic Arthritis¹

George W. Nelson,^{2*} Maureen P. Martin,^{2*} Dafna Gladman,[†] Judith Wade,[‡] John Trowsdale,[§] and Mary Carrington^{3*}

Functionally relevant combinations of HLA and killer Ig-like receptor (KIR) genotypes influence resistance to several diseases in humans. Analysis of genetic data from such studies is challenging because it involves multiple linked and unlinked loci that exert their influence in an epistatic manner. We previously reported that subjects with certain activating receptors were susceptible to developing psoriatic arthritis (PsA), an effect that was strongest when HLA ligands for corresponding homologous inhibitory receptors were missing. In this study, we present a novel model in which susceptibility to PsA is determined by the overall balance of activating and inhibitory composite KIR-HLA genotypes. This model fits our knowledge of clonal NK cell expression of KIR and regulation of NK cell activity better than does the previous model, as reflected in a robust trend for increasing susceptibility to PsA with more activating genotypes. These data emphasize the remarkable influence of KIR/HLA combinations on this disease. The Journal of Immunology, 2004, 173: 4273–4276.

Several human diseases have been shown to associate with variability at the killer Ig-like receptor (*KIR*)⁴ gene cluster, supporting a model whereby disease morbidity/mortality generates and maintains diversity at this locus (1–3). Although haplotypes containing multiple activating *KIR* may mediate protective NK cell responses against infectious disease (2) and enhance beneficial maternal-fetal interactions,⁵ these same haplotypes may predispose to autoimmune pathogenesis. Indeed, activating *KIR*, in particular *KIR2DS1* and *KIR2DS2*, have been associated with autoimmune disorders (2, 3).

KIR2DS1 and *KIR2DS2* show 98% sequence similarity to *KIR2DL1* and *KIR2DL2/3* (*KIR2DL2* and *KIR2DL3* are alleles

of the same gene and their products recognize the same HLA ligands), respectively, in their extracellular domains, but the inhibitory *KIR2DL* bind HLA-Cw ligands (HLA-Cw group 2 for *KIR2DL1* and HLA-Cw group 1 for *KIR2DL2/3*) with significantly greater affinity than do the activating *KIR2DS* (4). Despite its low affinity, *KIR2DS* binding to HLA class I is likely to be functionally relevant under various circumstances. Genes encoding *KIR2DL1* and *KIR2DL2/3* are present in virtually all individuals, unlike their activating counterparts, *KIR2DS1* and *KIR2DS2*, which are present in ~35% and 56% of European Americans, respectively.

We recently reported a strong association of *KIR2DS1* and/or *KIR2DS2* with development of psoriatic arthritis (PsA) (2). The effect was exacerbated in the absence of ligands for the corresponding inhibitory NK cell receptors, *KIR2DL1* and *KIR2DL2/3*, respectively (odds ratio = 3.2, 95% confidence interval = 1.8–5.5, $p = 3 \times 10^{-5}$), compared with subjects lacking both *KIR2DS1* and *KIR2DS2*). For example, individuals with *KIR2DS1* were most strongly associated with PsA when the HLA-Cw group 2 ligands for *KIR2DL1* were absent; the same was true of *KIR2DS2* when HLA-Cw group 1 ligands for *KIR2DL2/3* were absent. We concluded that the presence of an activating *KIR* was particularly detrimental when ligand for the corresponding inhibitory *KIR* was missing, in which case an inhibitory signal would not quench a potentially harmful activating signal.

We reconsidered the model tested in this study because theoretically, any inhibitory KIR-HLA ligand combination should be able to provide counteracting inhibition. The function of NK cells is regulated by positive and negative signals transmitted through paired activating and inhibitory receptors (5, 6). In vivo, NK cells are under the constitutive dominance of inhibitory receptors for self MHC class I ligands (7, 8). Thus, effector

*Basic Research Program, Science Applications International Corporation-Frederick, National Cancer Institute, Frederick, MD 21702; †Toronto Western Research Institute and Centre for Prognosis Studies in the Rheumatic Diseases, Toronto Western Hospital, and ‡Regional HLA Laboratory, University Health Network, Toronto, Ontario, Canada; and §Immunology Division, Department of Pathology, University of Cambridge, Cambridge, United Kingdom

Received for publication June 22, 2004. Accepted for publication August 3, 2004.

The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked *advertisement* in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

¹ This work was supported with federal funds from the National Cancer Institute, National Institutes of Health, under Contract NO1-CO-12400.

² G.W.N. and M.P.M. contributed equally to this work.

³ Address correspondence and reprint requests to Dr. Mary Carrington, National Cancer Institute-Frederick, P.O. Box B, Frederick, MD 21702. E-mail address: carringt@ncifcrf.gov

⁴ Abbreviations used in this paper: KIR, killer Ig-like receptor; PsA, psoriatic arthritis.

⁵ S. E. Hiby, J. J. Walker, K. M. O'Shaughnessy, C. W. G. Redman, M. Carrington, J. Trowsdale, and A. Moffett. Combinations of maternal KIR and fetal HLA-C genes influence the risk of pre-eclampsia and reproductive success. *Submitted for publication.*

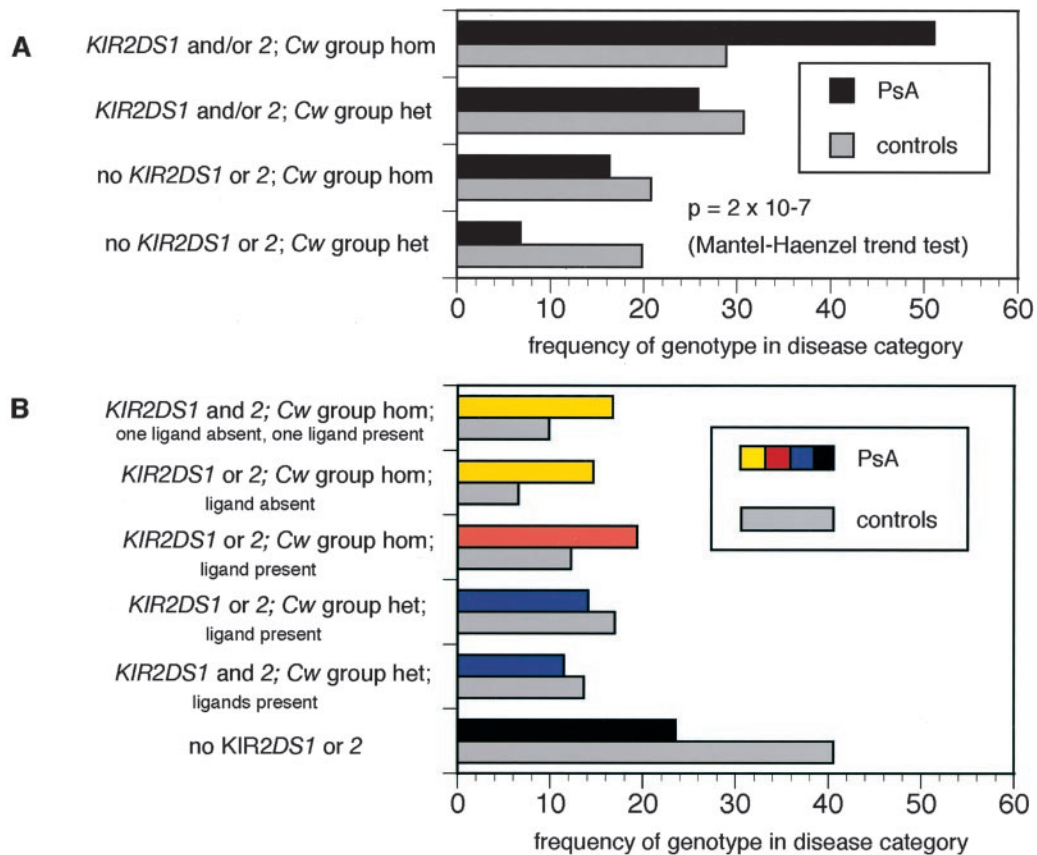


FIGURE 1. *A*, Trend toward increasing susceptibility to PsA with genotypes conferring increasing KIR-mediated NK cell activation. Subjects are divided into four groups according to the presence or absence of the activating *KIR2DS1* and/or *KIR2DS2*, and zygosity (hom, homozygosity; het, heterozygosity) for the *HLA-Cw* groups that serve as ligands for the inhibitory *KIR2DL1* or *2DL2/3*. The frequencies of each genotype among PsA patients (■) and controls (▨) are shown for each comparison group. Significance was determined from the Mantel-Haenzel trend test for a progressive difference in frequencies between groups, ranging from most susceptible (*top*) to most protected (*bottom*). The two *middle* groups were combined in the test for trend, as both represent intermediate susceptibility. *B*, Test of a genotypic group with different predicted PsA susceptibility under the two alternate models. Subjects predicted to be relatively protected under the old model but susceptible under the new model (red) are compared with subjects predicted to be susceptible under both models (yellow) and subjects predicted to be intermediate in terms of risk under both models (blue).

functions occur only when activating signals are able to overcome inhibitory signaling. This is achieved either by a predominance of activating receptor:ligand interactions or a lack of inhibitory receptor:ligand interactions (9). KIR are clonally expressed on NK cells in a stochastic manner such that each NK cell clone expresses only a portion of the genes within the genetic profile (8, 10). This predicts that, depending on the genotype, a given individual could have a relatively high frequency of NK cells that are: 1) primarily under the control of inhibitory receptors (most inhibition); 2) controlled by inhibitory and activating receptors fairly equally (relatively neutral); or 3) primarily under the control of activating receptors (most activation). In a similar vein, individuals who are missing ligands for some inhibitory receptors (as is the case among *HLA-Cw* group 1 or 2 homozygotes) will have fewer NK cells under inhibitory control than individuals who have all ligands present. Thus, an activating KIR, such as *KIR2DS1*, might be detrimental in terms of developing PsA if the ligand for either *KIR2DL1* or *KIR2DL2/3* is missing (i.e., homozygotes for either group of *HLA-Cw* ligands). Based on this reasoning, we propose a novel model that appropriately fits our understanding of KIR expression and function, and that shows more robust statistical support for the role of KIR in susceptibility to PsA than does our previous model.

Materials and Methods

The study population and methodology are as previously described (2). The trends for increasing susceptibility to PsA for combined *KIR-HLA* genotypes, under the two models, were evaluated by the Mantel-Haenzel test (SAS PROC FREQ; SAS Institute, Cary, NC). Analysis comparing the effect of multiple factors on PsA used logistic regression (SAS PROC LOGISTIC; Cary Institute).

Results and Discussion

We recently reported a strong association between *KIR* genotypes conferring NK cell activation and the development of PsA (2). Based on the model used in the analyses, we proposed that *KIR2DS1* and *KIR2DS2* (both activating receptors with unknown high affinity ligands) increase the risk of developing PsA, particularly when *HLA* class I ligands for the corresponding inhibitory *KIR* (*KIR2DL1* and *KIR2DL2/3*, respectively) were missing. However, based on further considerations (see *Introduction*), we now hypothesize that susceptibility to PsA increases progressively with increasing levels of KIR-mediated activation of NK cells, a phenomenon that increases both with the presence of certain activating KIR and with the absence of ligand for inhibitory KIR. The absence of ligand for inhibitory KIR occurs when individuals are homozygous for either *HLA-Cw* group 1 (ligands for *KIR2DL2/3*) or group 2 (ligands for *KIR2DL1*). Therefore, we tested for effects of *HLA-Cw*

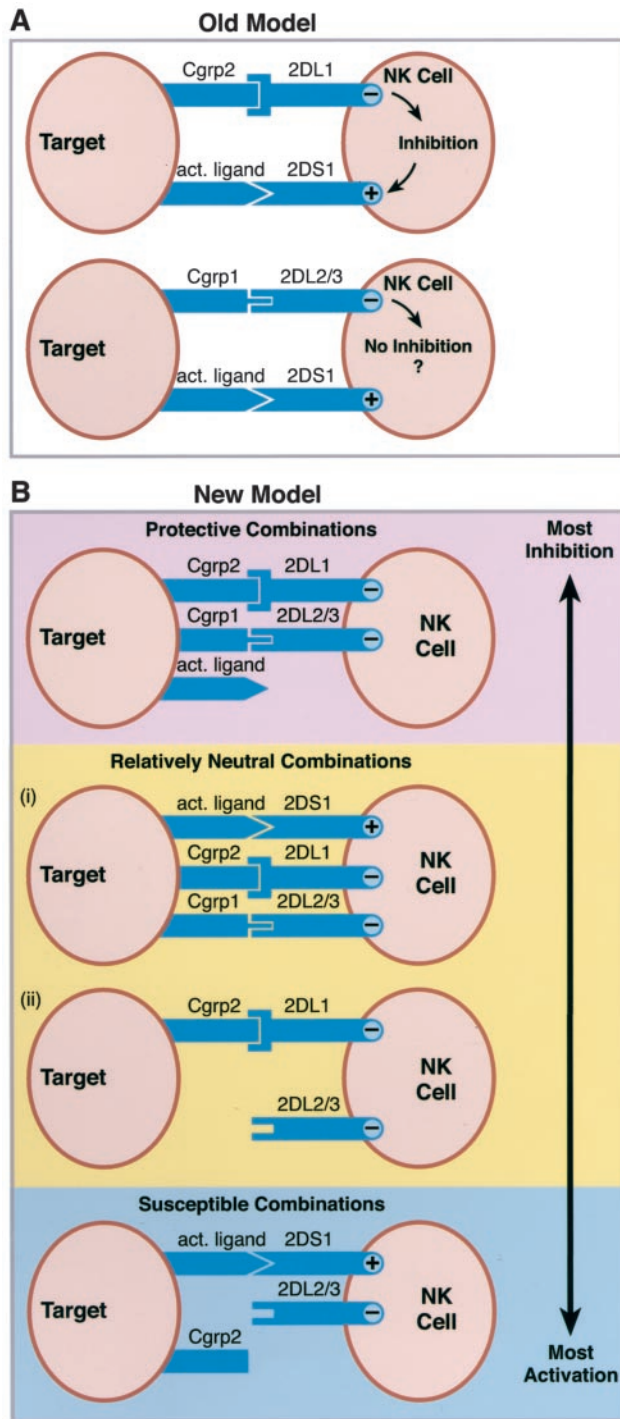


FIGURE 2. Susceptibility to PsA: old vs new model. *A*, Old model. The activity of an activating receptor (in this case, KIR2DS1) is quenched in the presence of ligand for the corresponding inhibitory receptor (KIR2DL1 + Cw group 2), but not in the presence of another inhibitory receptor-ligand combination (in this case, KIR2DL2/3 + Cw group 1). *B*, New model. The trend for susceptibility to develop PsA increases when genotypes are ordered by their ability to confer the most inhibition (protection) to the most activation (susceptibility). A putative protective genotype, 2DL1-2DL2/3; Cw group 2-Cw group 1, characterized by the absence of activating KIR2DS in combination with heterozygosity for HLA-Cw group is shown in the top panel (pink). The middle panel illustrates two intermediate genotypes: *i*, 2DS1-2DL1-2DL2/3, Cw group 2-Cw group 1, in which one or both activating KIR2DS are present in combination with HLA-Cw group heterozygosity; and *ii*, 2DL1-2DL2/3, Cw group 2 in which no activating KIR2DS are present in combination with HLA-Cw group homozygosity (yellow). The bottom panel shows a putative susceptible genotype, 2DS1-2DL2/3, Cw group 2, in which one or both activating KIR2DS are present, in combination with HLA-Cw group homozygosity (blue).

Table I. Influence of KIR and HLA on psoriatic arthritis

Allele/Gene	<i>p</i>
HLA-B*27 (dominant)	0.0001
HLA-Cw*0602 (dominant)	0.00003
KIR2DS1 and/or KIR2DS2	0.0001
HLA-Cw group homozygosity	0.005
KIR2DS1 and/or KIR2DS2, without ligand	0.80

group zygosity in combination with the presence/absence of KIR2DS genes based on genotypes expected to result in a range of NK cell activity from the most activating to the most inhibitory as follows: 1) KIR2DS1 and/or KIR2DS2, HLA-Cw group 1 or 2 homozygous; 2) KIR2DS1 and/or KIR2DS2, HLA-Cw group heterozygous; 3) no KIR2DS1 or KIR2DS2, HLA-Cw group homozygous (in the test for trend, we combined groups 2 and 3, as there is no a priori reason why one should be more susceptible than the other); and 4) no KIR2DS1 or KIR2DS2, HLA-Cw group heterozygous (Fig. 1A). A χ^2 test of 26.8 for trend ($p = 2 \times 10^{-7}$) was determined using this new model compared with $\chi^2 = 7.8$ ($p = 2 \times 10^{-5}$) in the old model (i.e., an activating KIR in the absence of ligand for corresponding inhibitory KIR).

Statistical support for the old model, although weaker than that for the new model, was still quite strong, probably because the levels of susceptibility hypothesized by the two models overlap somewhat. In fact, all individuals in the most susceptible group under the old model are also in the most susceptible group under the new model because an individual who lacks a ligand for either KIR2DL1 or KIR2DL2/3 (one of the defining characteristics of the most susceptible group in the old model) are necessarily homozygous for HLA-Cw group, because Cw group heterozygotes necessarily carry the ligand for both inhibitory receptors. In contrast, some individuals in the most susceptible group under the new model were not included in the most susceptible group under the old model. Specifically, under the old model, some individuals who were homozygous for HLA-Cw group were classified in an intermediate group. These included: 1) those who had KIR2DS1 in the absence of KIR2DS2 and were homozygous for Cw group 2 (i.e., the ligand for the corresponding KIR2DL1); and 2) those who had KIR2DS2 in the absence of KIR2DS1 and were homozygous for Cw group 1 (i.e., the ligand for the corresponding KIR2DL2/3). These individuals are classified under the most susceptible group in the new model, because they are homozygous for a Cw group and positive for KIR2DS1 and/or KIR2DS2. Thus, the level of susceptibility of these particular genotypes allows direct determination of the model that best fits the genotypic data. As shown in Fig. 1B, individuals who are homozygous for Cw group are at increased risk of developing PsA regardless of whether ligand for corresponding inhibitory KIR is present or absent (compare the top three sets of bars, where yellow represents individuals susceptible in the old model, and where yellow and red combined represent individuals susceptible in the new model). All three sets of homozygous individuals show very similar levels of susceptibility to one another, but they are distinct from groups who are heterozygous for Cw group (blue bars). These data fit the prediction of the new but not the old model.

The primary difference between the old and new models is the hypothesis regarding the interaction between activating and

inhibitory receptors. In the old model (Fig. 2A), the assumption was made that only a corresponding inhibitory receptor could quench the activity of an activating receptor. Therefore, activation mediated by KIR2DS1, for example, could be quenched by KIR2DL1, but not by KIR2DL2 (Fig. 2A). The new model, in contrast, does not make that assumption; rather, it proposes that the trend for susceptibility to develop PsA increases when genotypes are ordered by their ability to confer the most inhibition (protection) to the most activation (susceptibility) (Fig. 2B).

We further tested five explanatory factors (*HLA-B*27*, *HLA-Cw*0602*, presence of *KIR2DS1* and/or *KIR2DS2*, presence of *KIR2DS1* and/or *KIR2DS2* in the absence of *HLA-Cw* group ligand for the corresponding inhibitory *KIR*, and *HLA-Cw* group homozygosity) in a multiple logistic regression (Table I). The presence of *KIR2DS1* and/or *KIR2DS2* is highly significantly associated with susceptibility to PsA, as are the established factors *HLA-B*27* and *HLA-Cw*0602* ($p \leq 0.0001$). *HLA-Cw* group homozygosity is also significantly associated with increased risk of developing PsA ($p = 0.005$), but the presence of *KIR2DS1* or *KIR2DS2* in the absence of *HLA-Cw* group ligand for corresponding inhibitory *KIR* showed no association ($p = 0.80$) in the multiple regression analysis. We also considered the possibility that, like heterozygosity for *HLA-Cw* group 1 and 2, *HLA-B Bw4*, the ligand for *KIR3DL1*, may provide additional protection among individuals with *KIR3DL1*. However, multiple logistic regression analysis indicated no protective effect of *HLA-B Bw4* among homozygotes for *Cw* group, heterozygotes for *Cw* group, or all subjects combined. Thus, protective inhibitory effects against PsA appear to be restricted to *KIR2DL*-mediated inhibition. In light of our current understanding of *KIR* expression and function, a model proposing that a combination of *KIR2DS1* and/or *KIR2DS2* plus *HLA-Cw* ligand group homozygosity (a situation where the in-

hibitory signal is diminished) confers susceptibility to PsA is clearly favored.

It is essential to continually pursue plausible models for data pertaining to complex genetic loci (such as *HLA* and *KIR*) in human diseases. If logical intelligent models are used in data analysis, the genetic studies will be an invaluable tool for directing future biological studies. One general model is unlikely to fit data derived from different types of diseases, and as our understanding of *KIR* biology advances, we must reconsider and possibly modify our genetic models. This approach will serve to strengthen and clarify the genetic effects of these polymorphic loci on human disease.

References

1. Martin, M. P., X. Gao, J. H. Lee, G. W. Nelson, R. Detels, J. J. Goedert, S. Buchbinder, K. Hoots, D. Vlahov, J. Trowsdale, et al. 2002. Epistatic interaction between *KIR3DS1* and *HLA-B* delays the progression to AIDS. *Nat. Genet.* 31:429.
2. Martin, M. P., G. Nelson, J. H. Lee, F. Pellett, X. Gao, J. Wade, M. J. Wilson, J. Trowsdale, D. Gladman, and M. Carrington. 2002. Cutting edge: susceptibility to psoriatic arthritis: influence of activating killer Ig-like receptor genes in the absence of specific *HLA-C* alleles. *J. Immunol.* 169:2818.
3. Yen, J. H., B. E. Moore, T. Nakajima, D. Scholl, D. J. Schaid, C. M. Weyand, and J. J. Goronzy. 2001. Major histocompatibility complex class I-recognizing receptors are disease risk genes in rheumatoid arthritis. *J. Exp. Med.* 193:1159.
4. Vales-Gomez, M., H. T. Reyburn, R. A. Erskine, and J. Strominger. 1998. Differential binding to *HLA-C* of p50-activating and p58-inhibitory natural killer cell receptors. *Proc. Natl. Acad. Sci. USA* 95:14326.
5. Tomasello, E., M. Blery, F. Vely, and E. Vivier. 2000. Signaling pathways engaged by NK cell receptors: double concerto for activating receptors, inhibitory receptors and NK cells. *Semin. Immunol.* 12:139.
6. Moretta, A., R. Biassoni, C. Bottino, and L. Moretta. 2000. Surface receptors delivering opposite signals regulate the function of human NK cells. *Semin. Immunol.* 12:129.
7. Ljunggren, H. G., and K. Karre. 1990. In search of the "missing self": MHC molecules and NK cell recognition. *Immunol. Today* 11:237.
8. Valiante, N. M., M. Uhrberg, H. G. Shilling, K. Lienert-Weidenbach, K. L. Arnett, A. D'Andrea, J. H. Phillips, L. L. Lanier, and P. Parham. 1997. Functionally and structurally distinct NK cell receptor repertoires in the peripheral blood of two human donors. *Immunity* 7:739.
9. Lanier, L. L. 2001. Face off—the interplay between activating and inhibitory immune receptors. *Curr. Opin. Immunol.* 13:326.
10. Shilling, H. G., N. Young, L. A. Guethlein, N. W. Cheng, C. M. Gardiner, D. Tyan, and P. Parham. 2002. Genetic control of human NK cell repertoire. *J. Immunol.* 169:239.