

# NIH GUIDE

# for GRANTS and CONTRACTS

U.S. DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

No. 13, October 27, 1971

## SOURCES SOUGHT FOR RESEARCH STUDIES RELATED TO PREVENTION OF DENTAL CARIES

## ANNOUNCEMENT

1. The National Institute of Dental Research (NIDR) is seeking contractors with interest and capabilities for research studies related to the prevention of dental caries. The following program areas are anticipated but alternatives may be pursued. Please refer to # DE-1-4-72-03-R.

- a. Diet Modifications
  - (1) Sugar Substitutes
  - (2) Dietary Additives
- b. Combating Cariogenic Bacteria
  - (1) Preventing Adhesion of Bacterial Plaques
  - (2) Inhibiting Growth
- c. Caries Susceptibility Tests
- d. General Caries Prevention Procedures  
Testing combinations of more than one method such as fluoridation, topical fluoride, sealants, restorative dentistry, and instructions.

2. Organizations qualified to perform in the above areas may request an R.F.P. in writing within 15 days subsequent to the date of publication of this notice. Contractors who have received an R.F.P. from the NIDR National Caries Program within the present calendar year need not apply as they will automatically be included on the list of recipients for the new R.F.P.

J. Millard Brown  
Office of Collaborative Research  
NIDR - NIH  
404 Westwood Bldg.  
Bethesda, MD 20014

3. Telephone inquiries will not be honored.

*The GUIDE is published at irregular intervals to provide policy, program, and administrative information to individuals and organizations who need to be kept informed of requirements and changes in grants and contracts programs administered by the National Institutes of Health.*

NOTICE OF DISPOSITION OF GRANT  
UNEXPENDED BALANCE (NIH 5002)

**PROCEDURE NOTICE**

1. The form letter, "Notice of Disposition of Grant Unexpended Balance," used by the Office of Financial Management, NIH, to notify grantee institution business offices of the disposition of unexpended balances at the close of a budget period has been revised to provide for automatic issuance of a revised award notice or a supplemental award if the grant is underfunded by \$250 or more.
2. In the future, the NIH will use the form illustrated on the reverse of this page to notify business offices of the disposition of unexpended balances following receipt of a Report of Expenditures for the grant budget period.
3. This notice supersedes pages 6 and 7, *GUIDE* No. 3, August 14, 1970.

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE  
NATIONAL INSTITUTES OF HEALTH  
OFFICE OF FINANCIAL MANAGEMENT  
BETHESDA, MARYLAND 20014

Date \_\_\_\_\_

NOTICE OF DISPOSITION OF GRANT UNEXPENDED BALANCE

Name of Grantee Institution	Grant No.	Grantee Account No.
	Institutional No.	Transaction No.

The Report of Expenditures for the above grant has been received. The unexpended balance of \$ \_\_\_\_\_ has been processed as indicated below.

- Withdrawn from the reported grant budget period, and not available for expenditure or transfer.
- Remains available for expenditure in accordance with program policy covering this grant.
- Transferred to the continuation grant period (\_\_\_\_ year of support).

Grantees are reminded that expenditures for the continuation grant period are limited to the sum total of:

- (1) the approved budget (direct costs)
- (2) liquidation of reported prior year obligations and
- (3) applicable indirect costs

When the amount transferred, together with the amount awarded for a continuation grant period, results in overfunding, THE EXCESS IS NOT AVAILABLE FOR EXPENDITURE during the current budget period and will either be withdrawn by means of a revised award or used to support a future grant budget.

On the other hand, if the grant is underfunded by \$250 or more, the awarding unit will within 30 days issue a revised award notice or a supplemental award for the balance needed to meet the level of the current approved budget. For any underfunding of less than \$250, when the current budget will be adversely affected, the awarding unit may be requested in writing for adjustment.

The Report of Expenditures is subjected to further audit and this notice is not to be considered as a final settlement of allowable costs.

For: \_\_\_\_\_  
Chief, Grants Section  
Federal Assistance Accounting  
Branch, OFM

SOURCES SOUGHT FOR VIRAL HEPATITIS STUDIES

ANNOUNCEMENT

1. Qualified sources are sought by the National Institute of Allergy and Infectious Diseases (NIAID), National Institutes of Health, for the purpose of establishing one or more centers for the study of viral hepatitis. Respondents must have impeccable credentials in clinical investigations, experience in research on viral hepatitis, in addition to immediate access to populations in which viral hepatitis is highly endemic and in which research involving active or passive immunization can be contemplated. All current DHEW requirements for research projects involving human subjects must be met and the principal investigator must be willing to submit and strictly adhere to detailed clinical protocols approved by the Medical Board of the NIH. Evidence indicating that appropriate officials of responding organizations have given consideration and preliminary approval to conduct research investigations on viral hepatitis, denoting any limitations such officials would impose, should be included as part of the response. Respondents will not be notified of the results of the evaluation by the NIAID of the source data received.

2. This notice is not a Request for Proposals, nor does it commit the Government to award a contract. Those sources deemed to be fully qualified to pursue this project will be invited to submit proposals. Ten (10) copies of the resumes of experience and capabilities along with a curriculum vitae and bibliography of persons to be involved in the project must be submitted to:

Mr. Merle J. Callahan  
Chief, Contract Management Branch  
NIAID - NIH  
Bldg. 31, Room 7A-17  
Bethesda, MD 20014

and received no later than the close of business (5 p.m.), local time of the addressee, within ten (10) days of the date of publication of this notice. Telephone inquiries will not be honored.

MODEL CITIES RESIDENT EMPLOYMENT  
AND TRAINING REQUIREMENTS

ANNOUNCEMENT

1. This announcement is applicable to those construction projects affecting Model Neighborhood residents.
2. The Secretary of Health, Education, and Welfare has stated in a letter to Assistant Secretaries, Agency Heads, and Regional Directors dated September 7, 1971, that he supports the application of the Department of Housing and Urban Development's policy for the employment and training of Model Neighborhood residents in jobs generated by the Model Cities program. A copy of the policy provisions of HUD CDA Letter #11, dated November, 1970, is attached to this announcement.

3. The Secretary, in making available the HUD policy, said, in part:

"It is in keeping with such HEW requirements as Section 402(a)(5)(B) of the Social Security Act which requires the 'full-time or part-time employment of recipients and other persons of low income . . . in the administration of the plan . . . in providing services to applicants and recipients and in assisting in any advisory committee established by the state agency'. We should continue our record of commitment to such concepts by supporting the intent embodied in CDA Letter #11, and assuring its implementation to the fullest extent possible.

"I hereby direct that the provisions of CDA Letter #11 shall apply to:

1. Any HEW-supported project which is also supported by HUD supplemental funds (as required by CDA #11); and
2. HEW-supported projects where over fifty percent of the project impacts on a defined Model Neighborhood."

Extract, HUD CDA Letter #11, November, 1970

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1. General Policy.

Section 103(a) of the Demonstration Cities and Metropolitan Development Act of 1966 requires that a comprehensive city demonstration program provide ". . . maximum opportunities for employing residents of the area in all phases of the program and enlarged opportunities for work and training" and that it ". . . make marked progress in reducing . . . under-employment and enforced idleness . . ."

This CDA Letter sets forth HUD requirements for the implementation of these provisions:

- a. It is a basic policy of the Model Cities program that cities demonstrate compliance with this statutory requirement in the filling of professional and non-professional level employment opportunities, generated by comprehensive city demonstration programs, with Model Neighborhood residents. All cities shall submit for approval an employment plan together with specific employment and training goals, as set forth below, for the implementation of this policy. In the execution of such approved plan, a city must demonstrate marked progress toward specific goals in each succeeding phase of the program.
- b. This policy and the specific requirements set forth below shall apply in all agencies to the employment generated by approved components of the CCDP, supported in whole or in part by Model Cities supplemental or other HUD funds. The specific requirement of this policy shall be incorporated into agreements between the CDA and operating agencies. In the case of non-HUD supported components of the CCDP, the CDA is required to negotiate with the agencies operating such components for conditions of employment and recruitment procedures comparable to the standards of this Letter.
- c. The governing body of each city or country participating in the Model Cities program must adopt a specific resolution to authorize the implementation of this policy in filling all jobs generated by CCDP and related construction.

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