Public Policy Issues Surrounding Personal Genome Services

SACGHS Meeting July 8, 2008

Kathy Hudson
Genetics and Public Policy Center
Johns Hopkins University



Evolution of DTC Genetic Testing Focus on Health-Related DTC Genetic Tests Fetal Gender **Paternity**





Pharma



Athletic perf.

Complex



Complex Conditions



Skin care



Recreational



Infertility





Knome*

Newsweek Technology & Science

Reading the Book of Jim

The co-discoverer of the double helix is making his DNA public, pioneering the 'personal genome.'



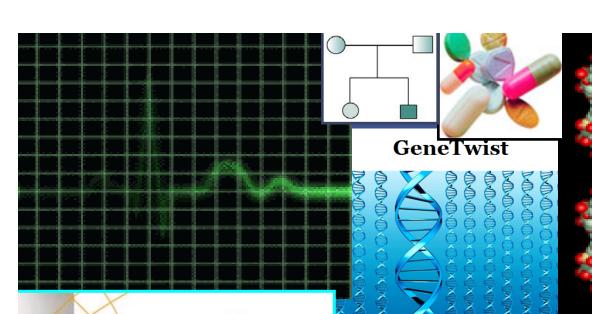
Know thyself.





Companies offering health-related tests DTC

2/27/2008																																					
					5																														\Box	\Box	>
	<u>e</u>				Ashkenazi Jewish Screening												۵				Diseases											Recurrent Pregnancy Loss		_			×Type 1/2 Diabetes, Obesity
	Service				e c					Cardiovascular Disease							Gastrointestinal Disease				Se											딘		Spinal Muscular Atrophy			ŏ
	Ñ				S		Athletic Performance	Bipolar / Depression		šeš						l e	įsį(ا _س ا								Periodontal Disease	5		¥,	Tay-Sachs Disease		es.
	Personal Genome				ķ.		Щ	888		ē	_ m	 	a	_ლ_		Fragile X Syndrome	삘	Gaucher Disease	6	Glaucoma / AMD	Glycogen Storage		Hemochromatosis	G		Ŧ	Multipe Sclerosis				Sea	gla		ar /	ea Sea		bet
	ĕ				é		횬	g		E B	Celiac Disease	Cystic Fibrosis	Drug Response	Fanconi Anemia	ia	ĬŽ	ij	ŝ	General Nutrition	₹	[횼]		nat	HIV Progression		Metabolic Health	e		.02	١	ä	ě		밍	įăΊ		ä
	9	_	e e		įΖ		Ъ	ă		380	ise	擅	g	₹	Fetal Gender	S	tes	Ö	∄	<u>@</u>	0	S	0	gre		ic F	S	Narcolepsy	Osteoporosis	Parkinson's	탈	=	Skin Profile	Įns	န	Thrombosis	2
	l g	Addiction	Alzheimer	Arthritis	ě	Asthma	Ę.	ar/	Cancer	š	0	5	8	<u> </u>	ဖြံ	<u></u>	<u>-</u>	işe.	폡	5	8	Hair Loss	힏	200	Infertility	bol	e	흥	ఠ)Š	흉	Ē	ď	2	l & l	훁	-
	<u>Σ</u>	흊	Z.	<u></u>	쑱	慧	He	pol	anc	ğ	<u>=</u>	/sti	Bn.	e	ta l	agi	asti	anc	eu e	aŭ	γc	i i	ŭ	>	fert	eta	闦	ĕ	ste	똝	[윤	no.	Æ	ië	اچُ <u>ا</u>	ğ	/pe
Genetic Testing Company		₹		₹	ď	٩̈́	₹	ä	ű		ŭ	<u>Ó</u>	ă	மீ	щ	LE_		Ö	Ŏ		ত	Ϊ	Ĭ	로	Ξ	Σ		ž	ŏ		مّ	ď	ζý	ű	Ľ,	蒀	£
23andMe	Χ		Χ						Χ	Χ						╙	Χ			Х							Х			Χ					Ш	Χ	Χ
Acu-gen Biolabs															Χ	_															<u> </u>				\sqcup		\vdash
Consumer Genetics	_												Χ	<u> </u>	Х	_					ш										<u> </u>				\longrightarrow		
CyGene Direct		<u> </u>			_		Х						_			_			_	Х	Щ					Х		_	Х	_	<u> </u>		_		\sqcup	Χ	
DeCODE	Χ			Х		Χ			Χ	Х	Х			<u> </u>						Χ	Ш						Χ				<u> </u>				\longrightarrow		Χ
Dermagenetics				_												_											_			_	<u> </u>		Х	_			
DNA Direct	Χ			_	Х				Χ			Х	Х	L.				Х	_				Χ		Χ					_	<u> </u>	Χ			Х	Х	Χ
DNA Traits	_				Χ							Х	_	Χ	_	Χ	_	Χ	_		Χ									_	<u> </u>			Χ	Х	Χ	
G-nostics		Х		_												┞			_								_			_	<u> </u>				\vdash		
GATC Biotech	Х	_		_										<u> </u>		_											_				L.				\vdash		
Genelex				_						Х	Х		Х	<u> </u>		_			Х				Х				_	Х	Х	_	Х				\vdash		
Genetwist	Х	_	.,	_										<u> </u>		₩			_								_				<u> </u>				\longrightarrow	\dashv	
Graceful Earth	├		Х	_										<u> </u>	_	₩		_	_								_				<u> </u>				\longrightarrow	\dashv	
Hair DX	├			_								_		<u> </u>		₩		_	_			Χ					_			_	<u> </u>				\longrightarrow		
Health Check USA	<u> </u>										Х			<u> </u>		₩		_					Χ				_	_		_	<u> </u>				\vdash	Х	
Health Tests Direct	_										Χ	Х		<u> </u>		_			.,		\vdash										<u> </u>				\vdash	Χ	
Holistic Heal				_										<u> </u>	_	₩			Χ	_	\vdash						_				<u> </u>				\longrightarrow		
Knome	Х	_	.,	_					.,					<u> </u>	_	₩			_		\vdash						_				<u> </u>				\longrightarrow		
MediChecks	├		Х	<u> </u>					Χ			_		<u> </u>	_	├			_		\vdash						_	_			 		_		\vdash	Х	-
Medigenomix	_												37	-		_							Х				_		X	<u> </u>	<u> </u>	37			\vdash	Х	
My Genome	37		Х	_						Х			Х	₩		-											_		Х	-	 	Х			\vdash	Χ	
Navigenics	Х			_					37					₩		₩		-	_		\vdash						_	_			 				\vdash	\dashv	
Proactive Genomics	-							W	Χ					₩		-					\vdash						_				 				\vdash	\dashv	
Psynomics	-			_				Χ		W				₩		-			W		\vdash						_				 				\vdash	\dashv	$\overline{}$
Quixtar - Interleukin Genetics	├	W		_	_					Х	_	_	_	₩	_	\vdash			Х	-	\vdash						_	_	_	_	├─		_		\vdash	\dashv	$\overline{}$
Salugen - DNA Services of America Scientific Match		Χ		\vdash	\vdash								\vdash	\vdash		\vdash			\vdash		\vdash						\vdash	\vdash			\vdash	Х	\vdash		$\vdash\vdash$	\dashv	-
Scientific Match Sciona		\vdash		\vdash	\vdash		\vdash			V	\vdash		\vdash	┤		\vdash	_		\vdash		\vdash					V	\vdash	\vdash	V	\vdash	\vdash	X	\vdash	\vdash	$\vdash\vdash$	\dashv	-
	V	\vdash		_	_					Х			_	\vdash		\vdash			_		\vdash					Χ	\vdash	_	Χ	\vdash	\vdash				$\vdash\vdash$	\dashv	-
SeqVVright	Х			\vdash	_					V			_	\vdash		\vdash			\vdash		\vdash						\vdash	_			\vdash				$\vdash\vdash$	\dashv	V
Signpost Smart Genetics		\vdash	V	\vdash	\vdash					Х			\vdash	-		\vdash			\vdash		\vdash			V			\vdash	\vdash	\vdash	\vdash	\vdash		\vdash		$\vdash\vdash$	\dashv	Χ
		\vdash	Χ	\vdash	\vdash					Х			\vdash	 		\vdash					\vdash			Χ			\vdash	\vdash	\vdash		\vdash				$\vdash\vdash$	\dashv	-
Suracell		<u> </u>								X				\perp																					igspace	\Box	1



CLICK HERE

Purchase Online

Learn about genetic testing for hereditary breast and ovarian cancer.

can hold the Secret GEN

Breakthrough Genetic Profiling

Discover

How Your Genes

to your Well-Being



Gene - Testing

Navigenics

GENETICS IS ABOUT TO GET PERSONAL

don't panic, we're here to help

An Amazing Opportunity

Your skin is as unique as your DNA.



New Paradigm in Genetics?

Frank and Ernest

PARADIGM
SHIFT!

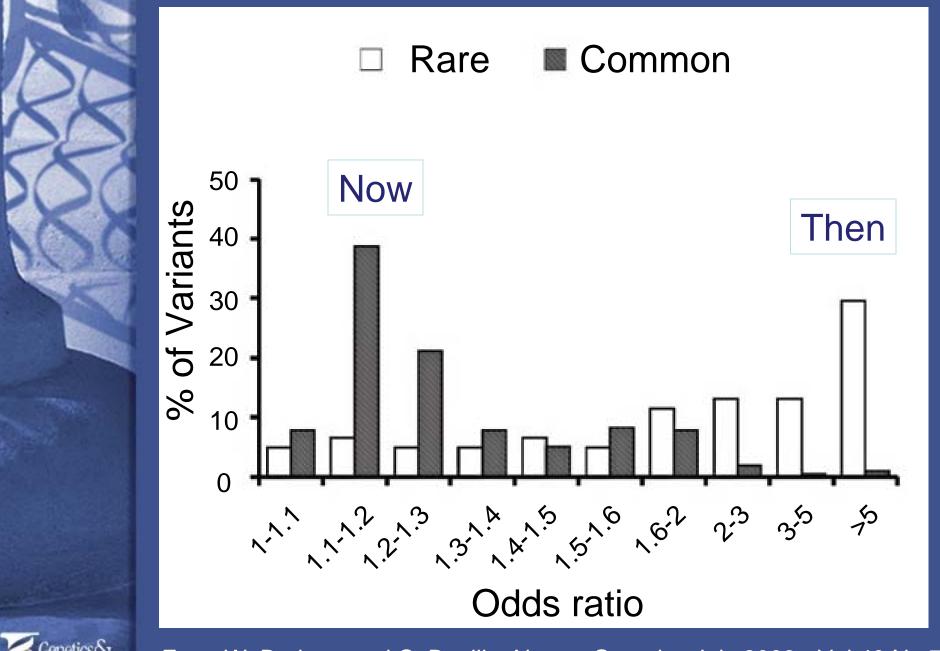
SHIFT!



- 1. Requirement for pre and post-test genetic counseling
- 2. No genetic test can be offered or interpreted without a trained health care professional
- 3. Genetic tests for "non actionable" conditions are the highest risk information as toxic
- 4. Genetic information is special



- 1. Requirement for pre and post-test genetic counseling
 - Too many genes, not enough genetic counselors
 - We can't afford it
 - Model based on reproductive genetic testing and Huntington may no longer work (e.g. PGx for OTC drugs or disease associations with tiny odds ratios)







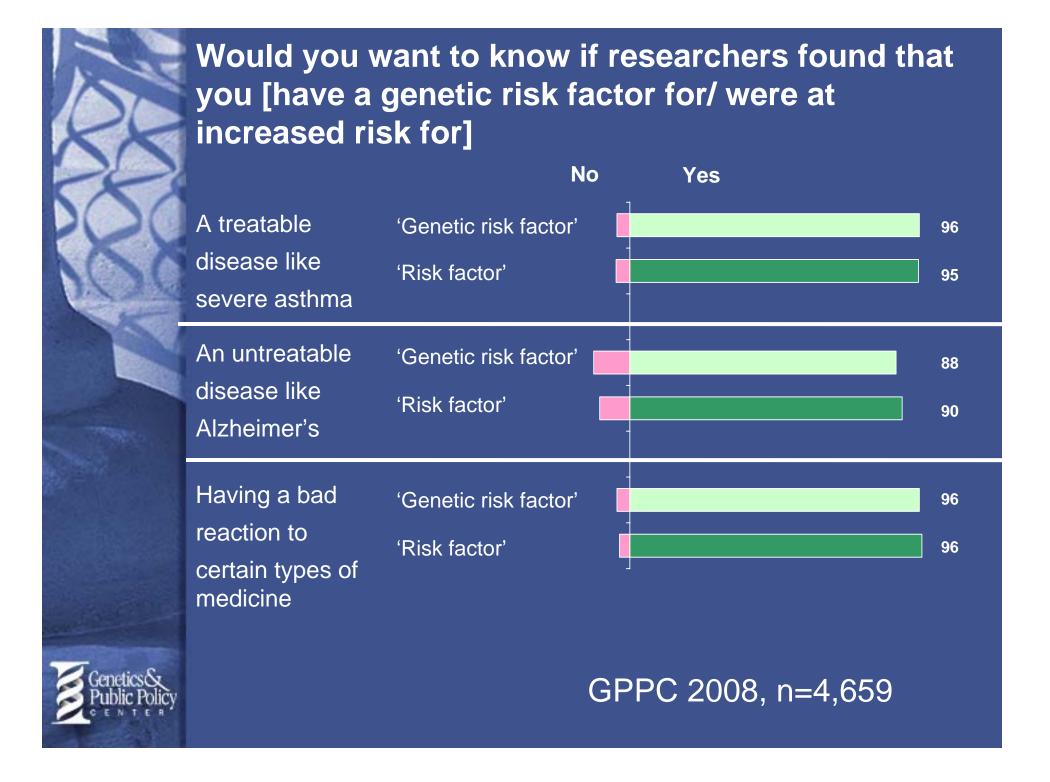
- 2. No genetic test can be offered or interpreted without a trained health care professional
 - Not all genetic tests are created equal.
 - Not viable with high consumer interest?
 - Not consistent with some State laws

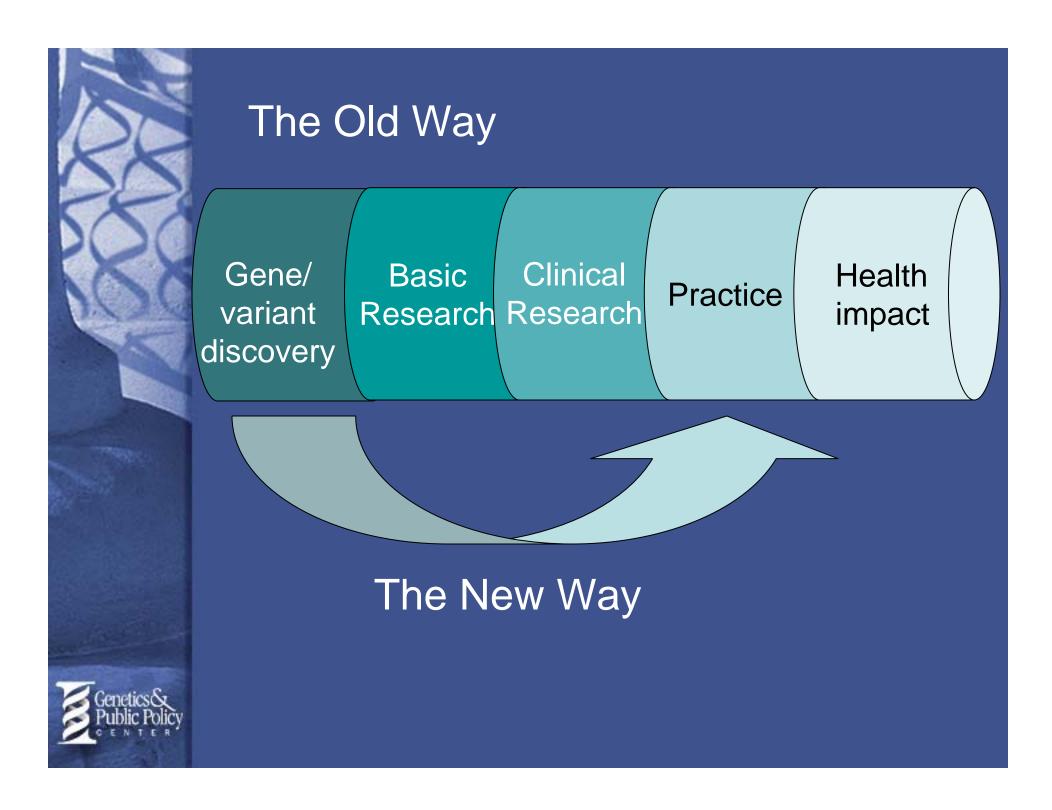


- 3. Genetic tests for "non actionable" conditions are the highest risk information as 'toxic'
 - Alzheimer risk does not result in suicide, heightened anxiety, etc.
 - Validity of tests used in making significant medical management decisions of utmost importance



4. Genetic information is special







Creative Destruction???

Joseph Schumpeter (1883-1950)

Transformation through radical innovation and entrepreneurship.

"process of industrial mutation that incessantly revolutionizes the economic structure from within, incessantly destroying the old one, incessantly creating a new one."



What should our response be?

- 1. Get your genome done.
- 2. Start your own company.
- 3. Ignore them. "They are just a nuisance."
- 4. Insult them.
- 5. Support needed policies changes.



Promise of Personalized Medicine and Direct-to-Consumer Genetic Testing

Source of information for consumers

Direct access

Personal control



Opportunity for entrepreneurs



Concerns About DTC Marketing

- Consumers can't understand genetic information; it is complicated.
- Consumers vulnerable to exaggerated claims.
- Consumers may get tested without adequately considering consequences to themselves and family
- Consumers may forego standard treatments or make dietary or lifestyle changes without proven benefit
- Consumers may seek and receive unneeded and costly care

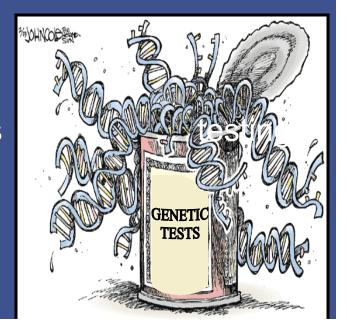
Need empirical data





Concerns About DTC Marketing

- Companies may not adequately protect privacy of genetic information
- The tests that are offered may not be valid
- The laboratories that perform the tests may not be competent
- Test claims unsupported by evidence
- Inadequate protections for research participants
- •No legal barrier to surreptitious of another





Current Oversight of Genetic Testing



A fractured oversight system, with many cracks, that endangers the public's health



Problems with Federal Oversight of Genetic Testing (LDTs)

- No PT requirement
- No review of clinical validity (except IVDMIAs, maybe....)
- No HHS authority over false claims
- No FTC enforcement action
- "Two path" system to market disincentive to seek FDA review; lack of regulatory "level playing field"



Problems with Federal Oversight of Genetic Testing (LDTs)

- Lack of clarity on who is authorized or should be authorized to order and interpret tests
- Limited applicability of HIPAA
- Not subject to common rule for protection of research participants



The Role of Professional and Industry Guidelines

More rapid and flexible than government

Have appropriate scientific expertise

May have conflict of interest

No enforcement capability



ASHG Statement on DTC

- 1. Not all genetic tests are created equal
- Appropriate that some tests be offered DTC (diagnostic equivalent of "over the counter" medicines)
- 3. Safety first!



ACMG Statement on DTC

April 7. 2008

- A knowledgeable professional should be involved in the process of ordering and interpreting a genetic test.
- The consumer should be fully informed regarding what the test can and cannot say about his or her health.
- The scientific evidence on which a test is based should be clearly stated.
- The clinical laboratory must be accredited by CLIA, the state and/or other applicable accrediting agencies.
- Privacy concerns must be addressed.



AMA Resolution on DTC

D-480.987 Direct-to-Consumer Genetic Testing

Our AMA: (1) recommends that states restrict the performance of clinical and laboratory **genetic** testing to individuals under the <u>personal</u> <u>supervision</u> of a qualified health care professional; and

(2) will work with all appropriate other organizations to discourage direct-to-consumer **genetic** testing. (Res. 502, A-04)



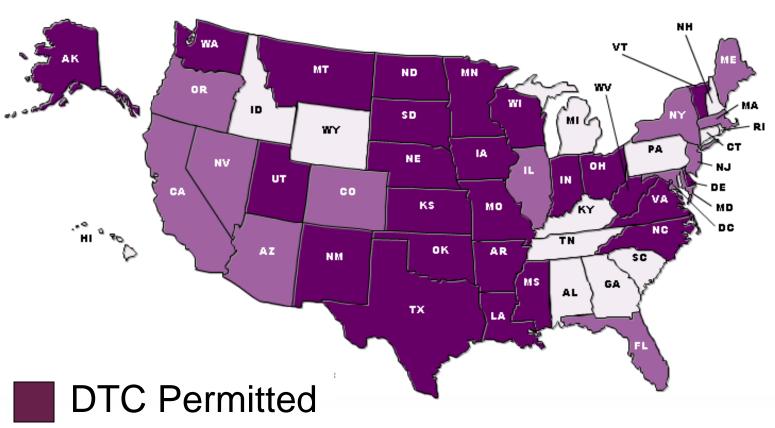
The Role of States

States administer CLIA

 States can impose higher standards (e.g., NY) and can require statespecific certification (e.g. CA)

 States determine who is "authorized person" to order and receive results of laboratory tests

State DTC Testing Statutes and Regulations



Limited

DTC Not Permitted

 $Source: \ \ Genetics \ and \ Public \ Policy \ Center, \ http://www.dnapolicy.org/resources/DTCS tateLawChart.pdf$



DTC Permitted

Many state laws do not address the issue of who may order/receive results of laboratory tests.

DTC Not Permitted

Georgia: Tests must be ordered and reported as directed by a "licensed physician, dentist, or other person authorized by law to use the findings of laboratory examinations."



DTC Not Permitted

California: "Any person conducting or operating a clinical laboratory may accept assignments for tests only from and make reports only to persons licensed under the provisions of law relating to the healing arts or their representatives." Exceptions provided for limited tests (e.g., glucose, pregnancy).



In the absence of federal leadership, the states have stepped in.

San Jose Mercury News

\$1.00 | VALLEY FINAL | VF

SERVING NORTHERN CALIFORNIA SINCE 1851

- MER

The Aullua Morning Nome

Genetic-t

By Steve Johnson

Until California's recent

The New Hork Times

Q

Gene Testing Questioned by Regula

June 26, 2008

Califor

By Todd Wallack

California regulators warned including Knome Inc. in Caml products in California unless and testing laws.

The California Department of Public Health warned the companies that they must obtain a clinical laboratory licens before conducting medical tests for Californians. In additio agency said the companies cannot offer laboratory tests d to California consumers without a doctor's order.

"Knome is in violation of California law," the agency warner "Genetic tests are not exempt" from rules requiring a physician's approval, it said.

Knome is one of more than a dozen companies that have offered to analyze an individual's DNA for markers related

Regulators are cracking down on companies that sell gene directly to consumers, threatening to crimp the growth of or hottest sectors of the biotechnology industry.

but be of little help in preventing illness

By Barb Berggoetz

Saliva swabbed from your cheek or spat into a vial can reveal your risk of Alzheimer's disease, diabetes and myriad other conditions, or pinpoint your body's nutritional weak spots.

Would you want to know?

she'll find out if she's at risk for 12 cor weaknesses, such as joint inflammatiantioxidant levels or heart problems.

"It's not saying this is going to happen saying this is one of your body's area: want to pay attention to," McClure-Sm



Policy Options

- Buyer beware
- Demand transparency: information as the antidote
- Require third party review of accuracy and safety
- Take action against false claims
- Create a category of OTC LDTs
- Expand HIPAA
- Expand common rule



The Pew Charitable Trusts

