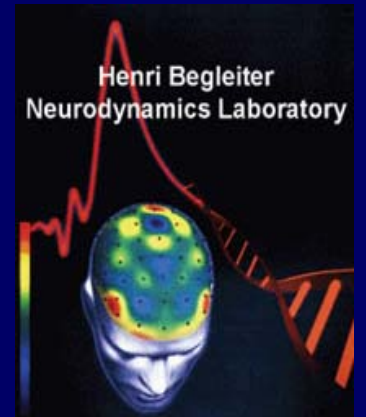


# Functional alleles & intermediate phenotypes in alcoholism and dyscontrol disorders

David Goldman

[davidgoldman@mail.nih.gov](mailto:davidgoldman@mail.nih.gov)



1935-2006



Begleiter, H., and Platz, A. (1969).  
Evoked potentials: Modifications by conditioning.  
*Science* 166:769-771.

Begleiter, H., Porjesz, B., Yerre, C., and Kissin, B. (1973).  
Evoked potential correlates of expected stimulus intensity.  
*Science* 179(4075):814-816.

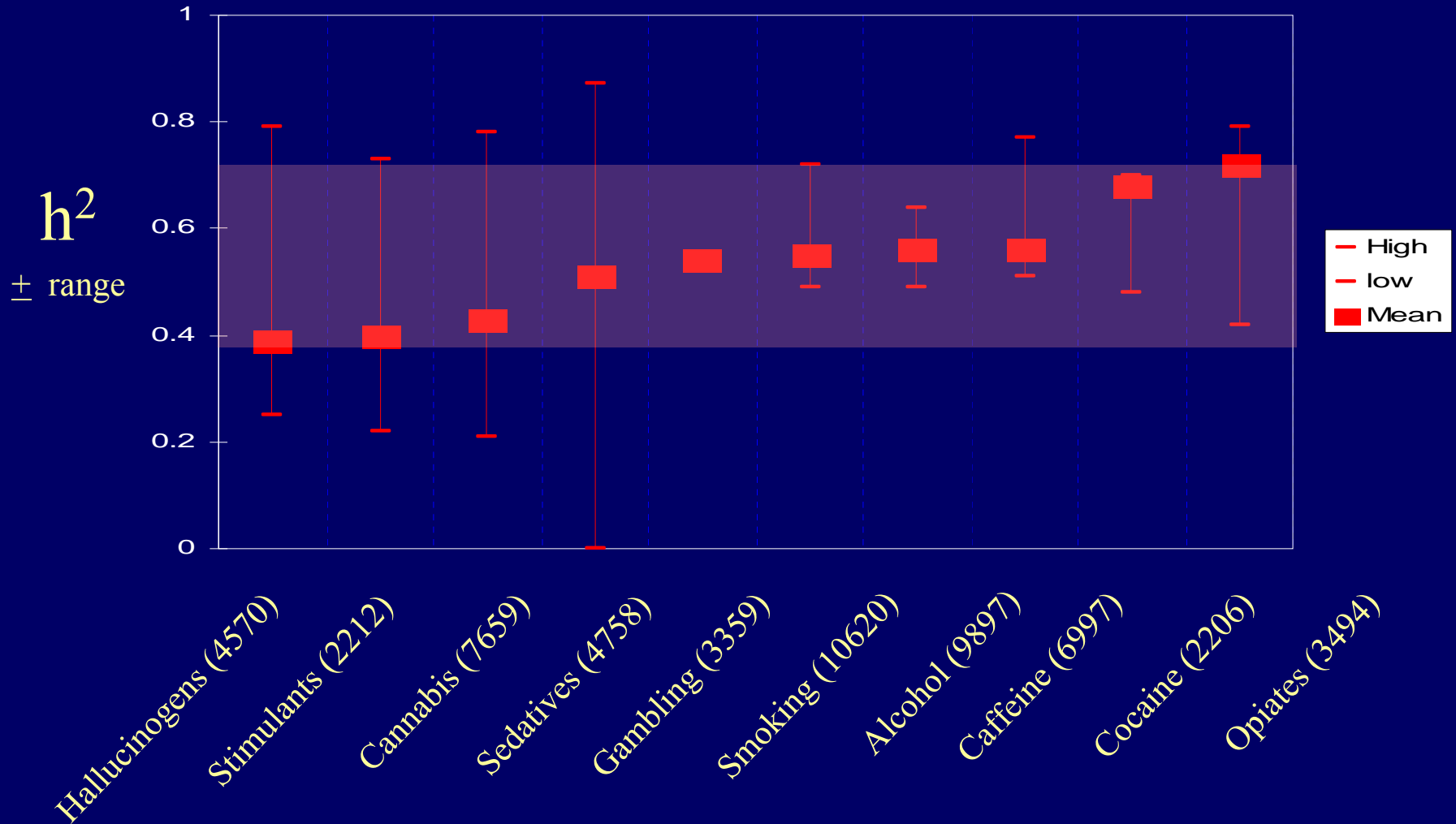
Begleiter, H., and Porjesz, B. (1975).  
Evoked brain potentials as indicators of decision-making.  
*Science* 187:754-755.

Begleiter, H., and Porjesz, B. (1975).  
On evoked potentials, cognition, and memory.  
*Science* 190:1004-1006.

Begleiter, H., Porjesz, B., and Chou, C.L. (1981).  
Auditory brainstem potentials in chronic alcoholics.  
*Science* 211:1064-1066.

Begleiter, H., Porjesz, B., Bihari, B., and Kissin, B. (1984).  
Event-related potentials in boys at risk for alcoholism.  
*Science* 225:1493-1496.

# The heritability of addictive disorders



# Alcoholism and other addictions: The intermediate phenotypes

Frontal cortical function/behavioral inhibition

Drug metabolism and response/tolerance

Reward

Anxiety-dysphoria/stress response

Obsession/Craving

---

*Electrophysiology*

*Imaging: brain structure and function*

*Neuropsychology*

*Metabolomics*

*Gene expression*

## Gene, stress, & substances in dyscontrol

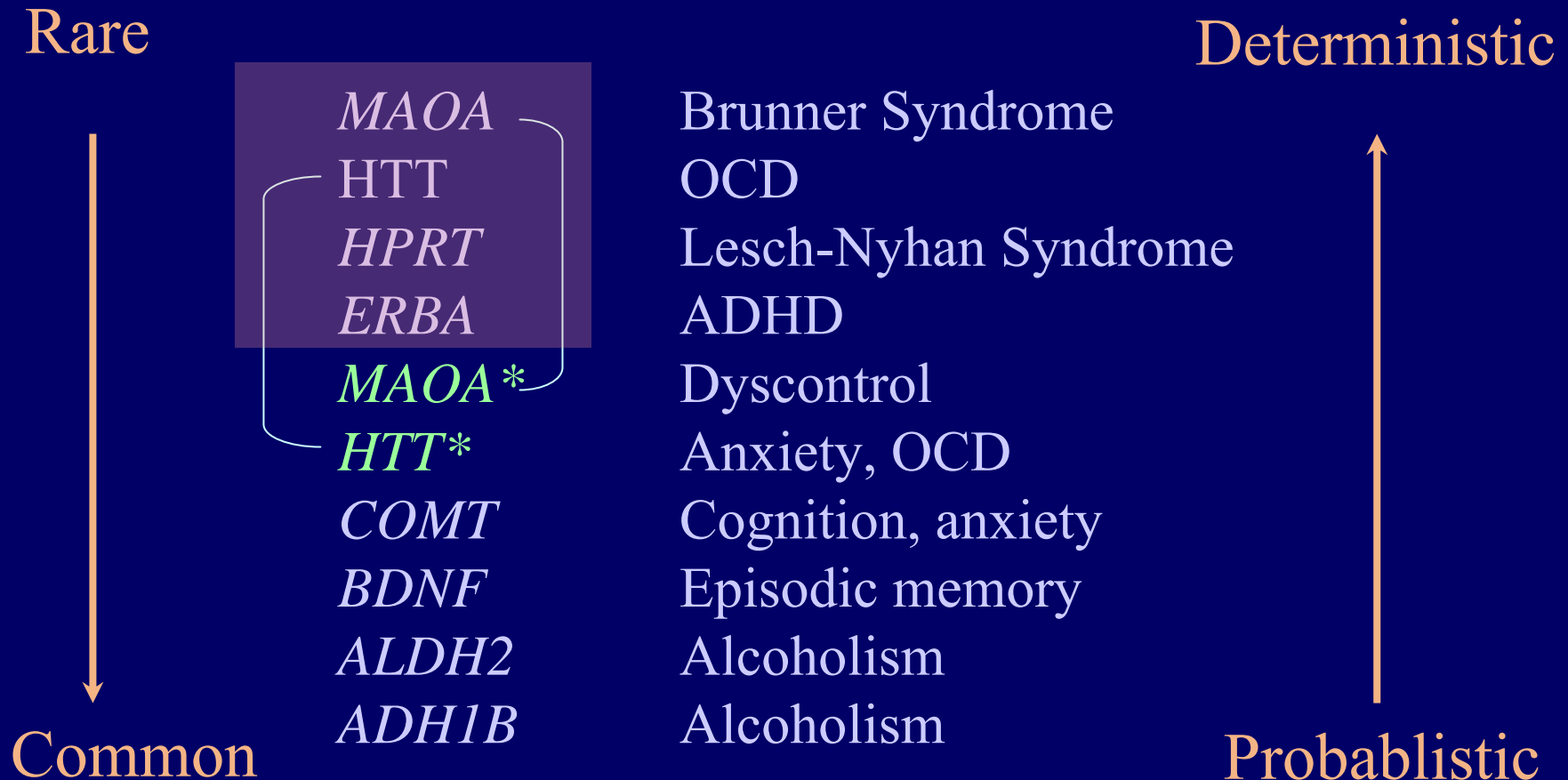
---

*MAOA* rare & common alleles: GxE, fMRI

*COMT Val158Met*: Roles in cognition & resiliency

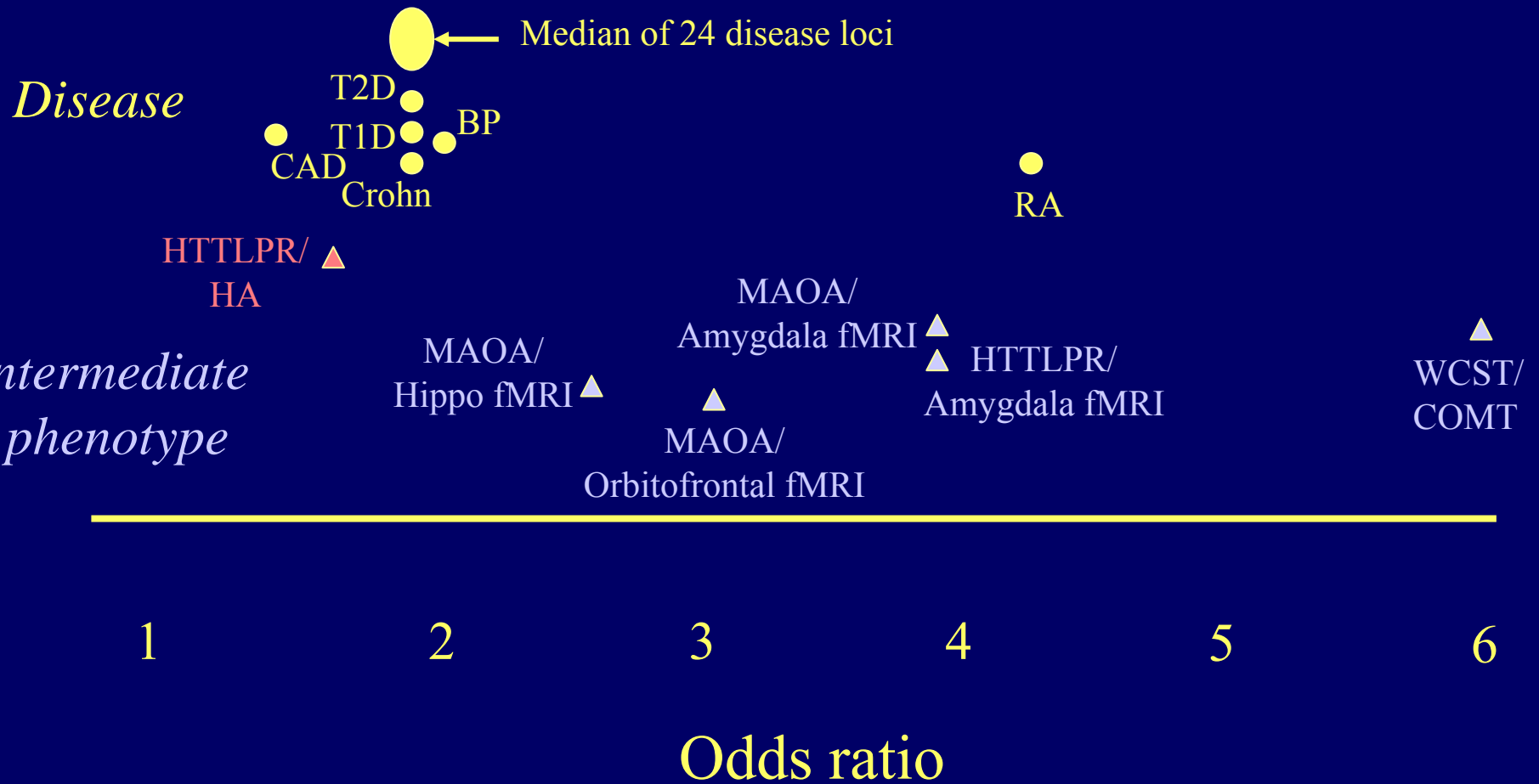
*HTTLPR*: GxE for depression and suicidality

# Genes with alleles proven to modulate human behavior



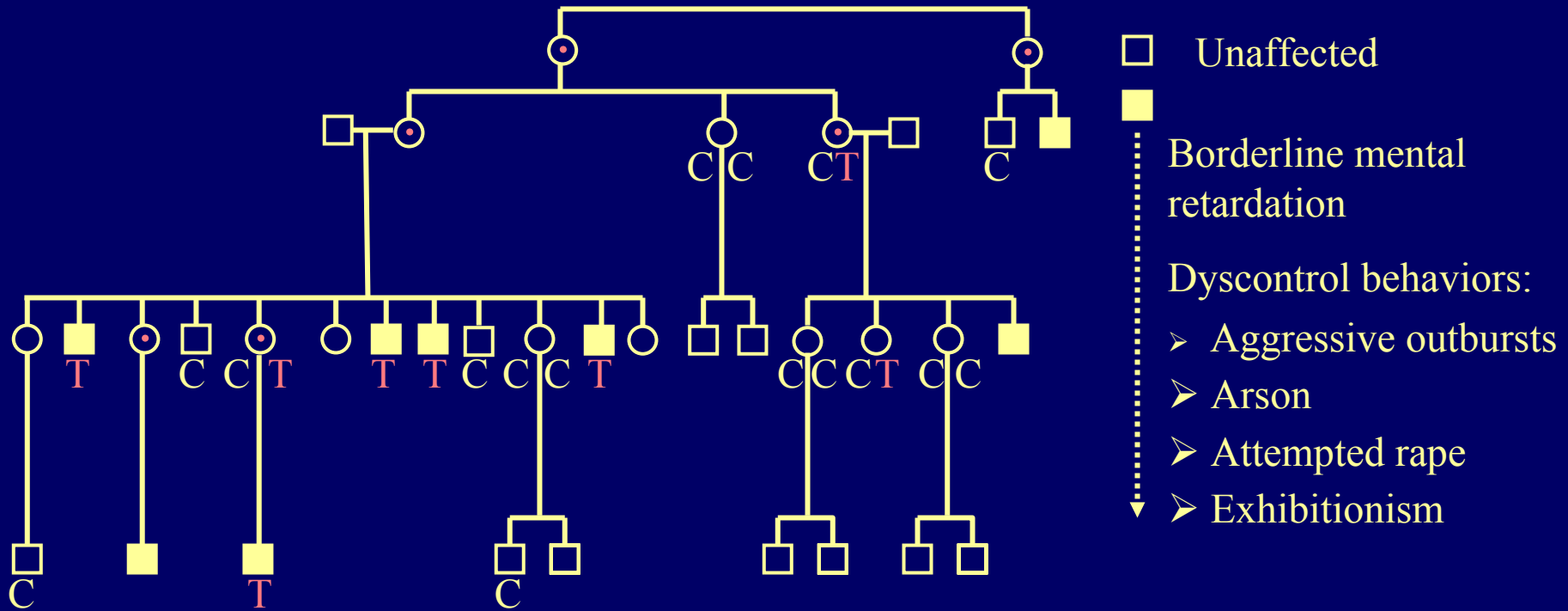
*\*Regulatory*

# For risk genes, odds ratios are larger for Intermediate Phenotypes than for Diseases (Wellcome Trust medians)





# Brunner syndrome: X-linked dyscontrol due to the MAOA C936T stop-codon



No fibroblast MAOA activity

Abnormal monoamine metabolism:

↓ urinary HIAA, HVA, VMA

↑ urinary normetanephrine & tyramine

Brunner et al.,  
*Science*, 1993

Expanding the stress connection to  
behavioral dyscontrol:  
Predisposition, early exposure, and  
substance abuse

# Child sexual abuse and psychiatric disorders in females

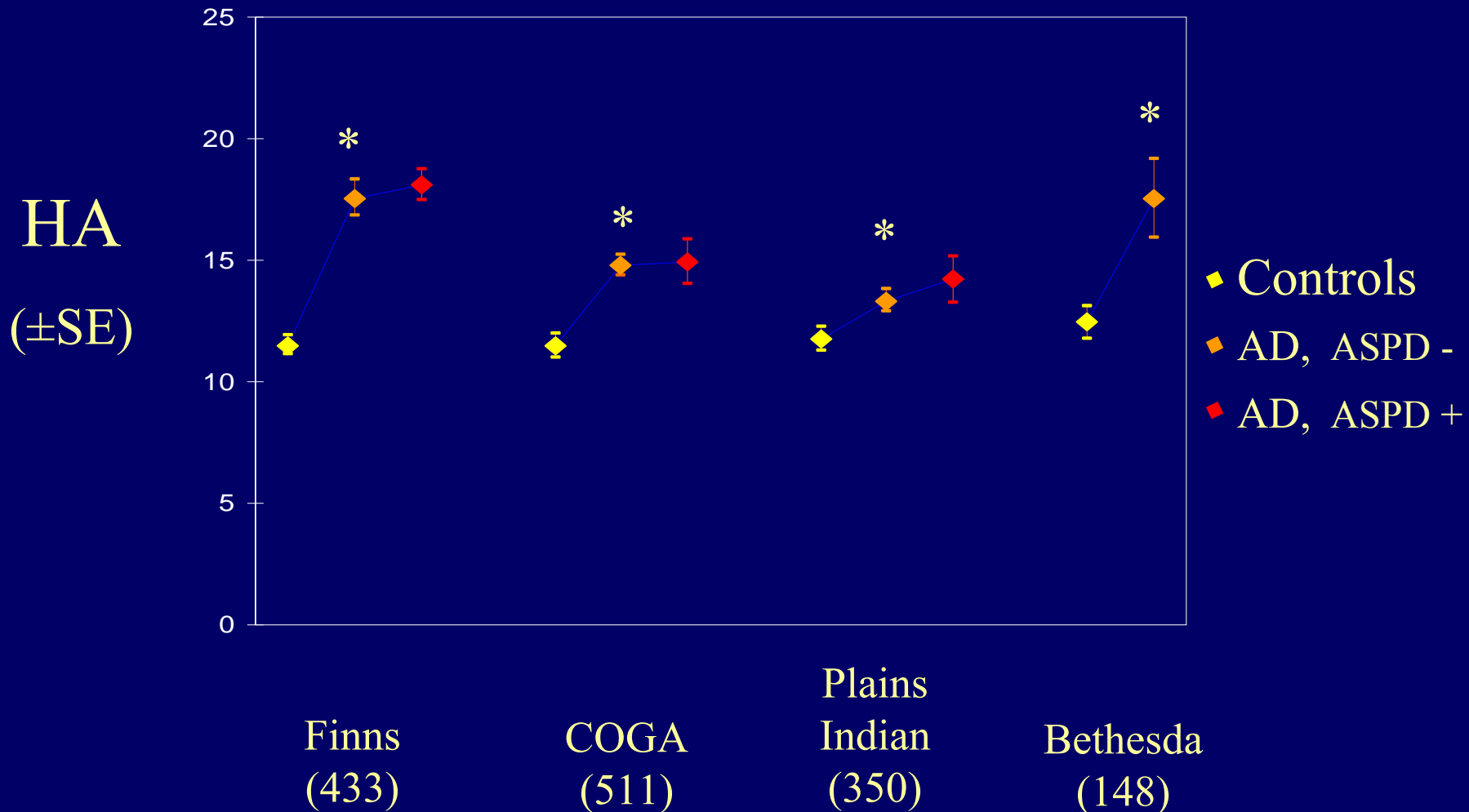
- ASPD 2.9 [1.4-6.0]
- Alcoholism [Abuse +Dep] 2.1 [1.2-3.6]
- Substance abuse 4.2 [2.2-7.8]
- Affective disorder 2.3 [1.3-4.0]
- Anxiety disorder 1.8 [1.0-3.1]
- PTSD 5.3 [2.2-12.7]

# Addictions: A cause and effect of stress/trauma and dyscontrol

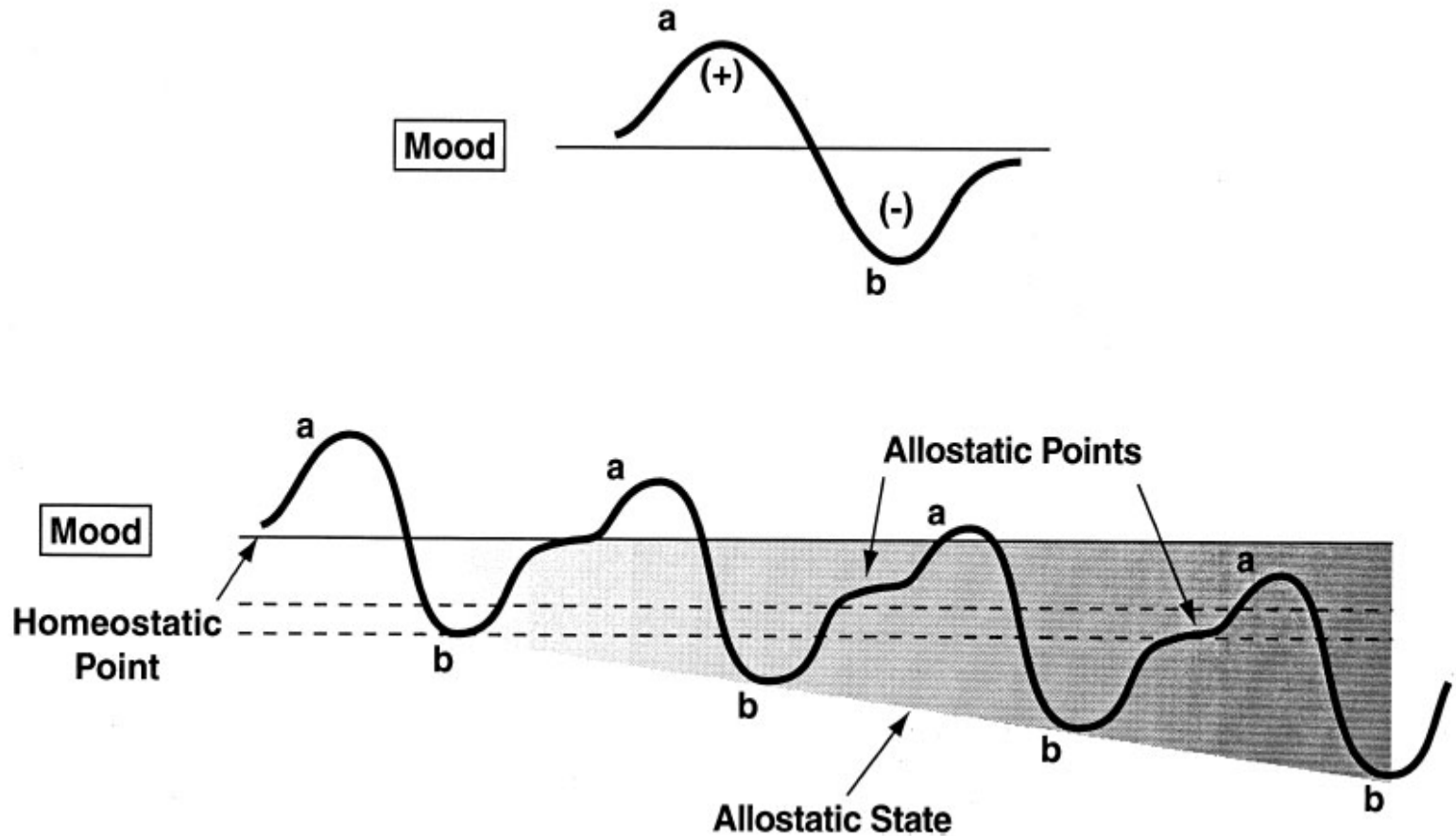
- Key factor in accidents, violence and sexual trauma
- A consequence of trauma
- Consequences of underage drinking
- A cause of allostatic changes
- Genes mediate liability



# Alcoholics Tend to Be Anxious

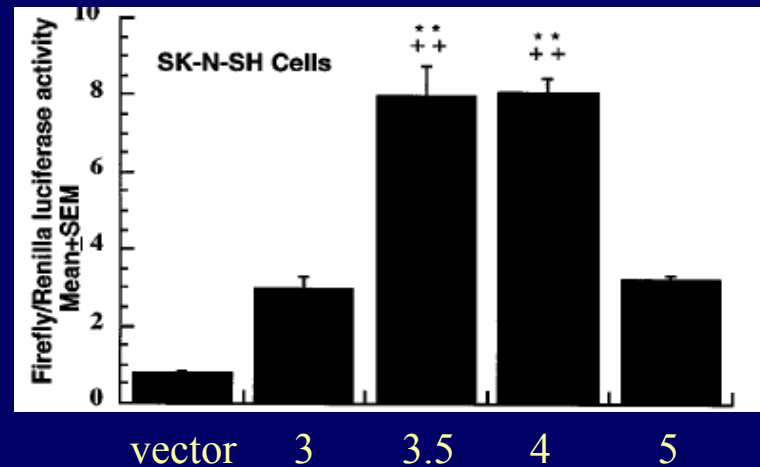
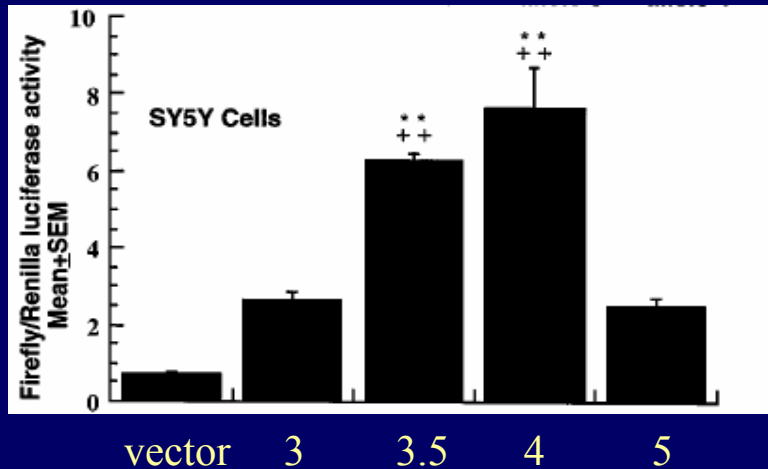
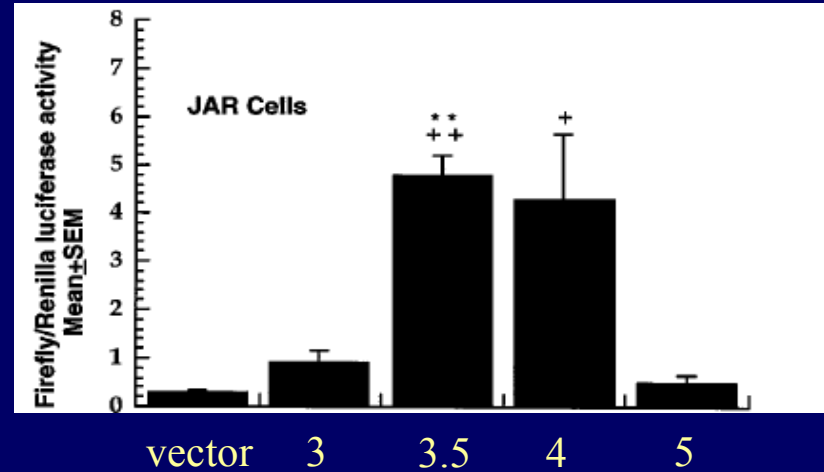
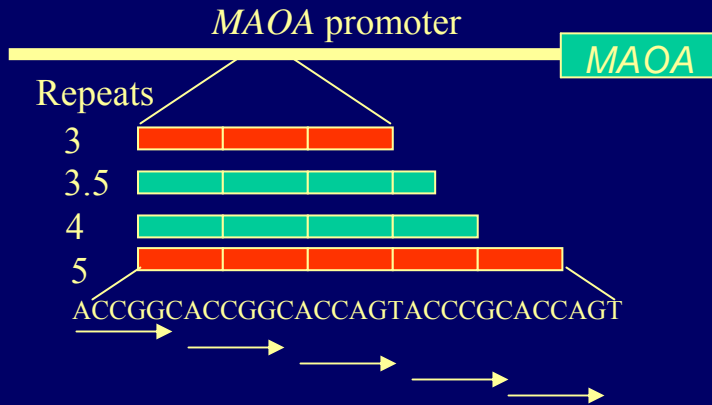


# Allostasis and Addiction

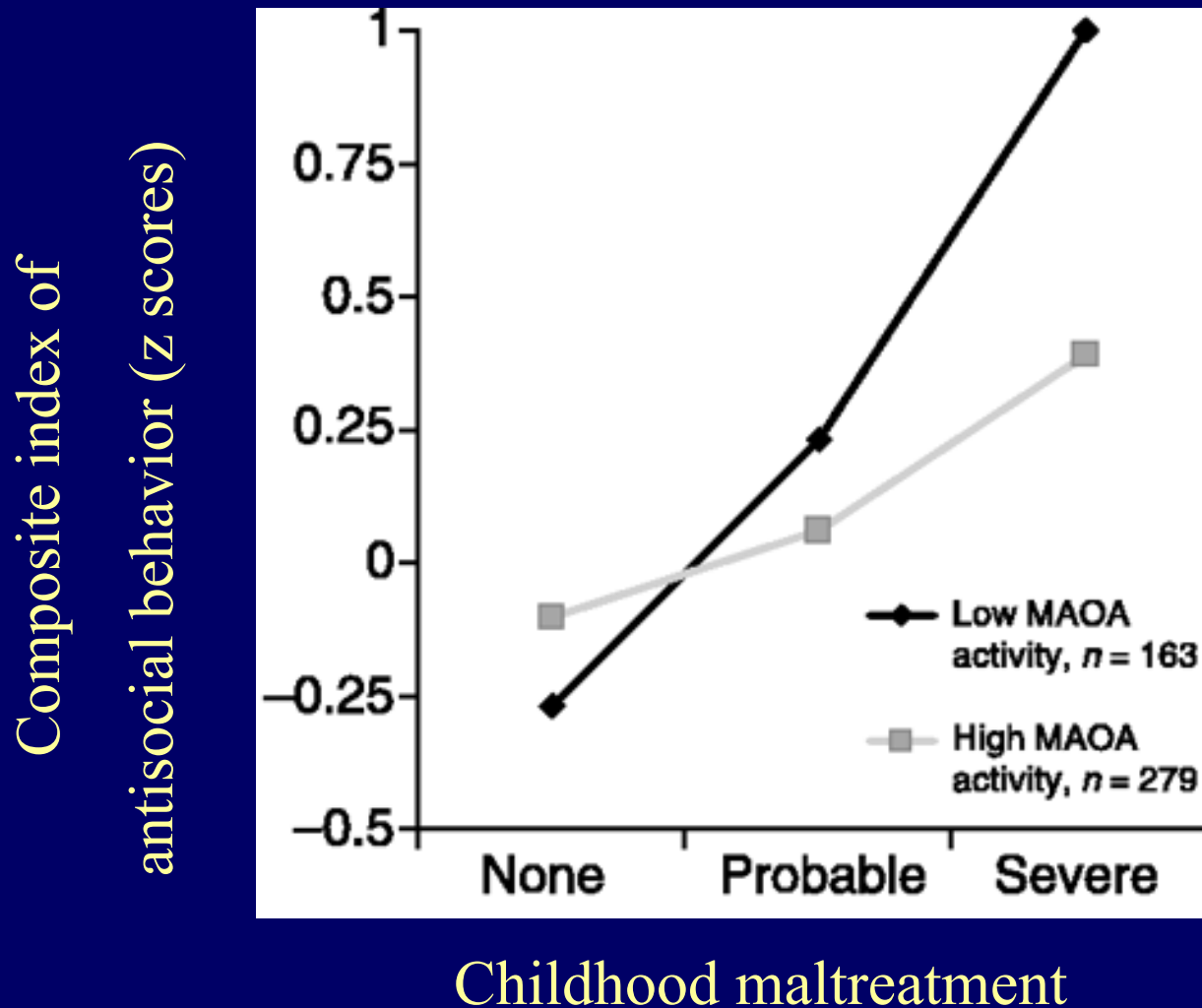


G. Koob, B. McEwen

# A functional promoter polymorphism (*MAOA-LPR*) predicts *MAOA* expression



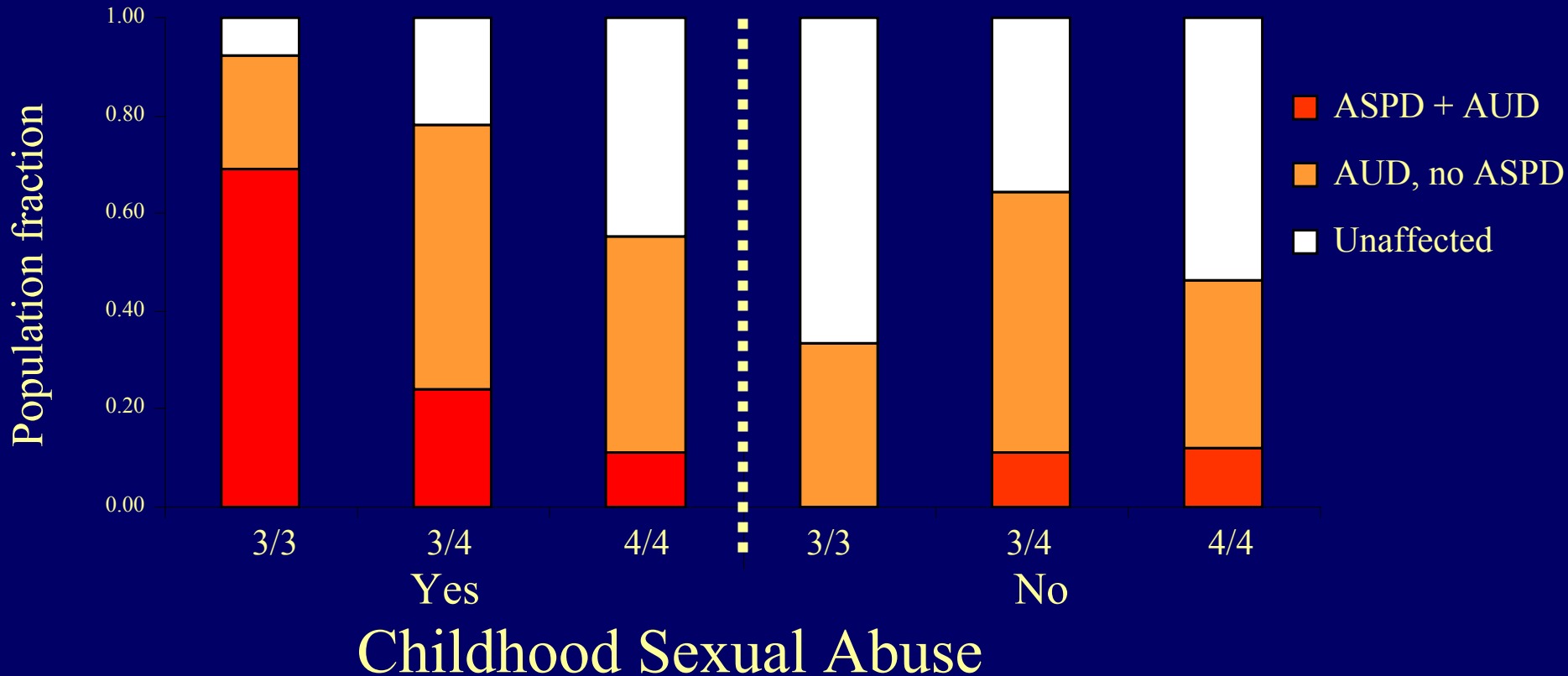
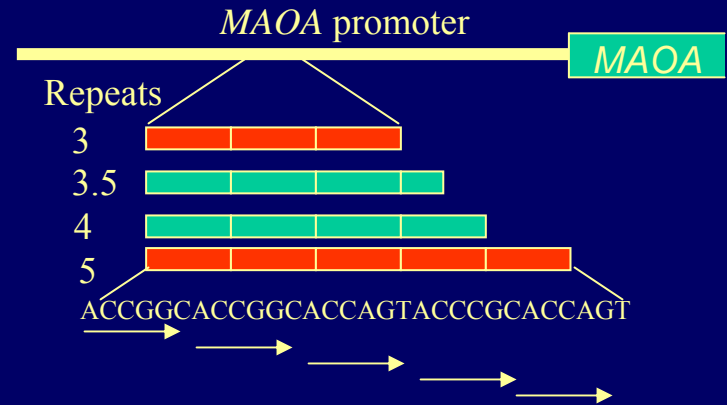
# GxE interaction of *MAOA-LPR* and childhood maltreatment on antisocial behavior, in males



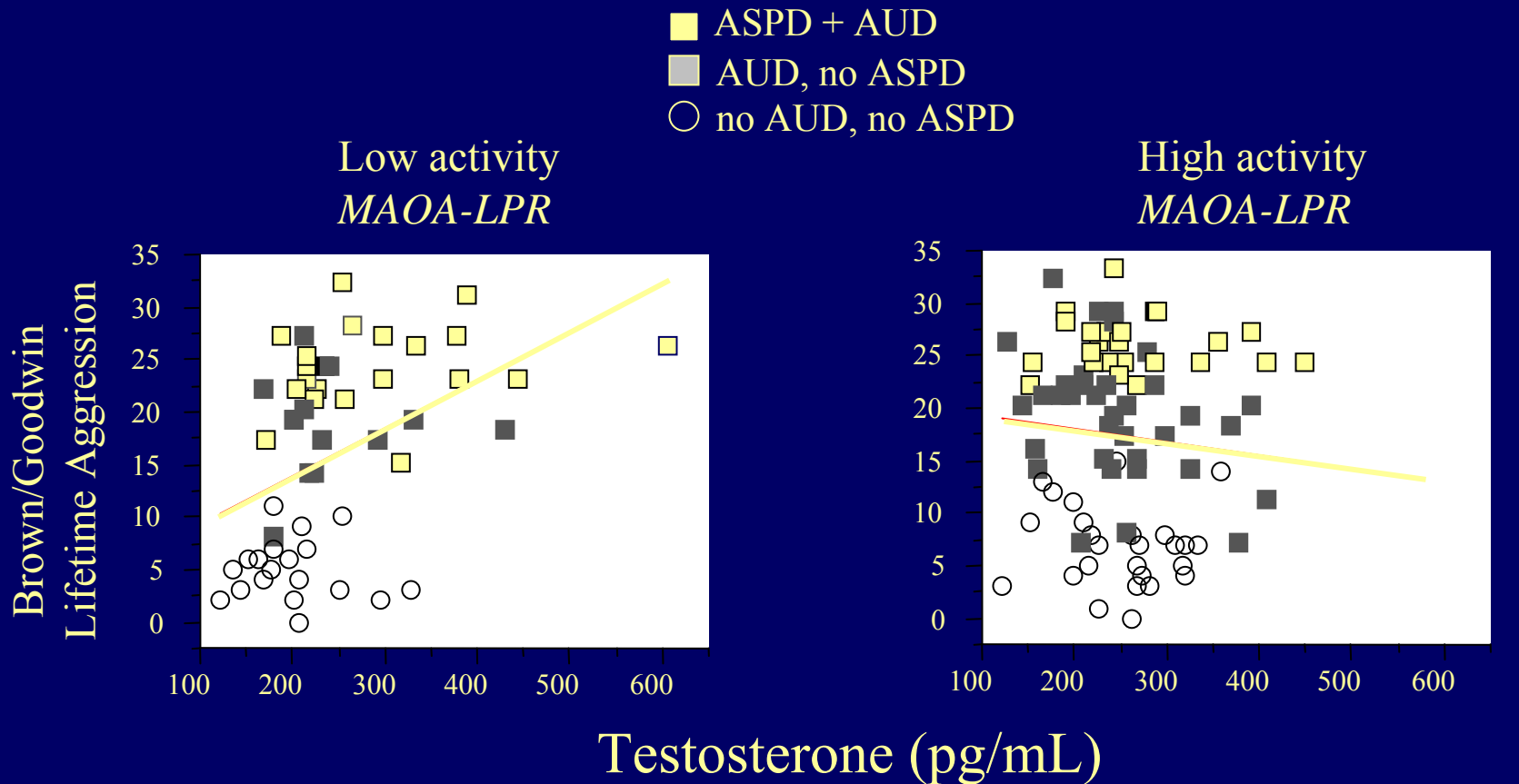


# GxE interaction of *MAOA-LPR* & childhood sexual abuse for ASPD & alcoholism

Ducci et al, Molecular Psychiatry, 2007



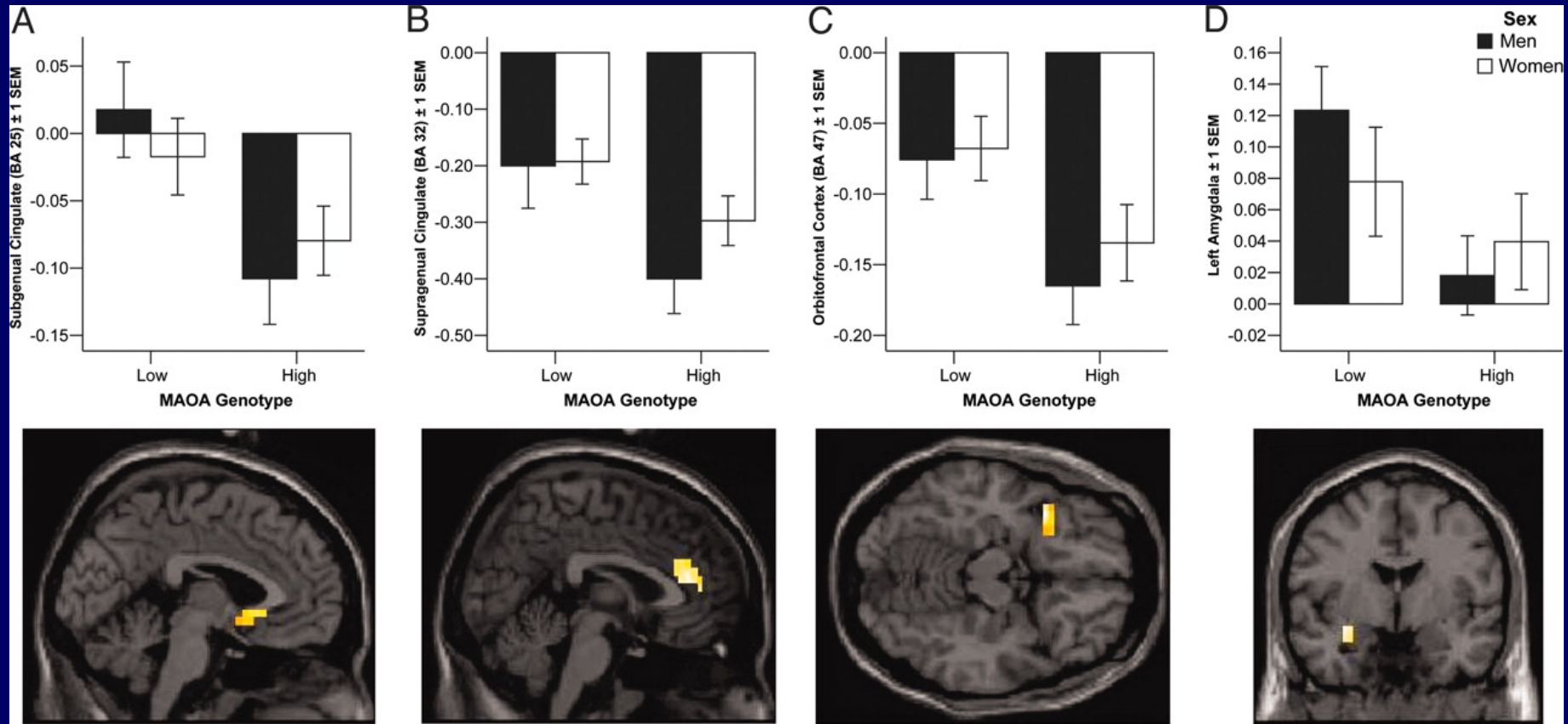
# Non-additive interaction of *MAOA-LPR* and testosterone predicts antisocial behavior



$\beta_a$  (SE) = 3.49 (1.01); p=0.001

$\beta_a$  (SE) = -0.94 (1.04); p=0.37

# MAOA-LPR predicts differential fMRI activations to angry and fearful faces in limbic and paralimbic regions ( $n = 142$ )



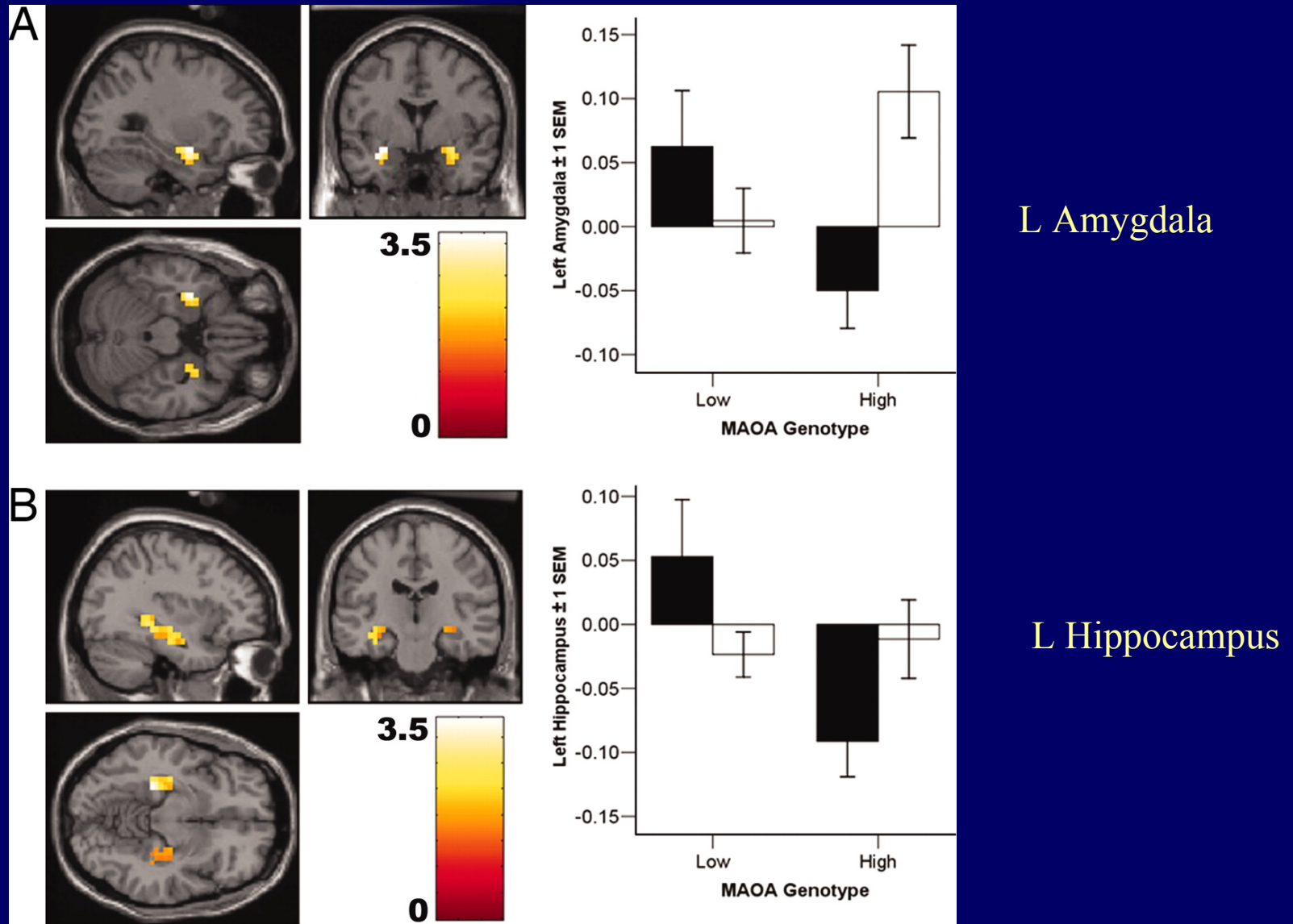
Subgenual Cingulate

Supragenual Cingulate

Orbitofrontal Cortex

L Amygdala

# MAOA-LPR predicts fMRI limbic activations during retrieval of aversive memories (n = 90)

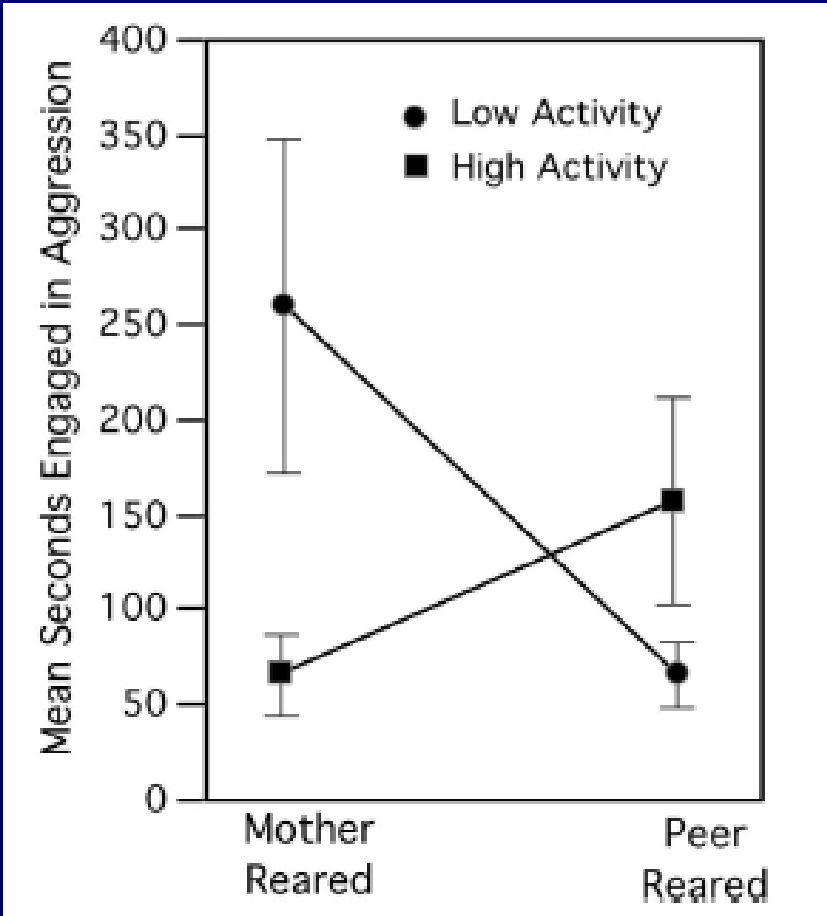
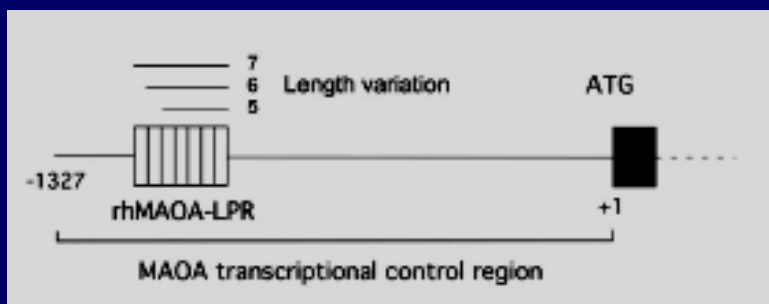


# Monoamine Oxidase A Gene Promoter Variation and Rearing Experience Influences Aggressive Behavior in Rhesus Monkeys

Timothy K. Newman, Yana V. Syagailo, Christina S. Barr, Jens R. Wendland, Maribeth Champoux, Markus Graessle, Stephen J. Suomi, J. Dee Higley, and Klaus-Peter Lesch

BIOL PSYCHIATRY 2005;57:167-172  
© 2005 Society of Biological Psychiatry

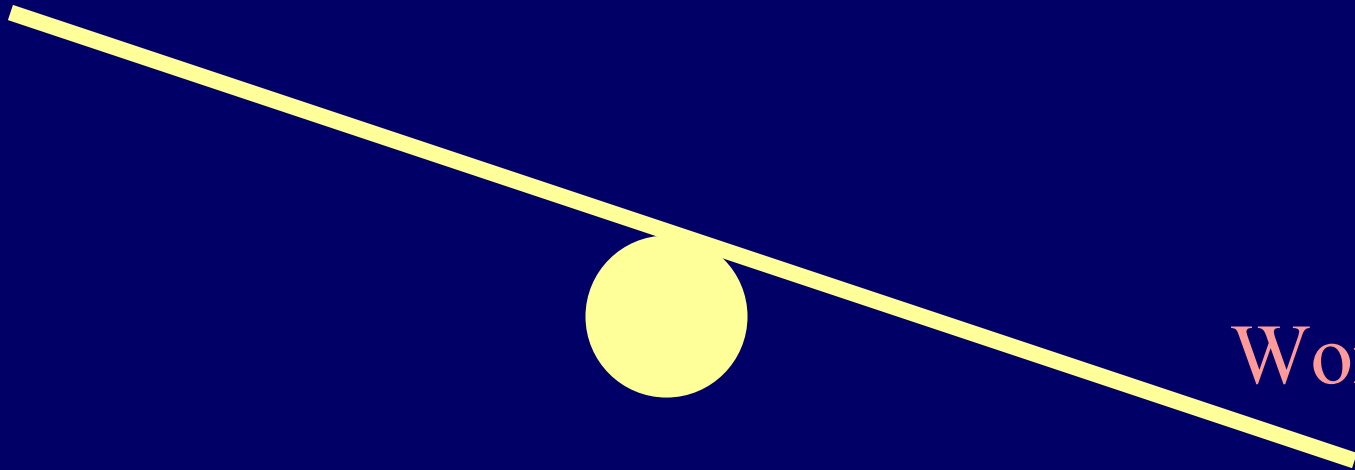
*rhMAOA-LPR* →



COMT Val158Met:

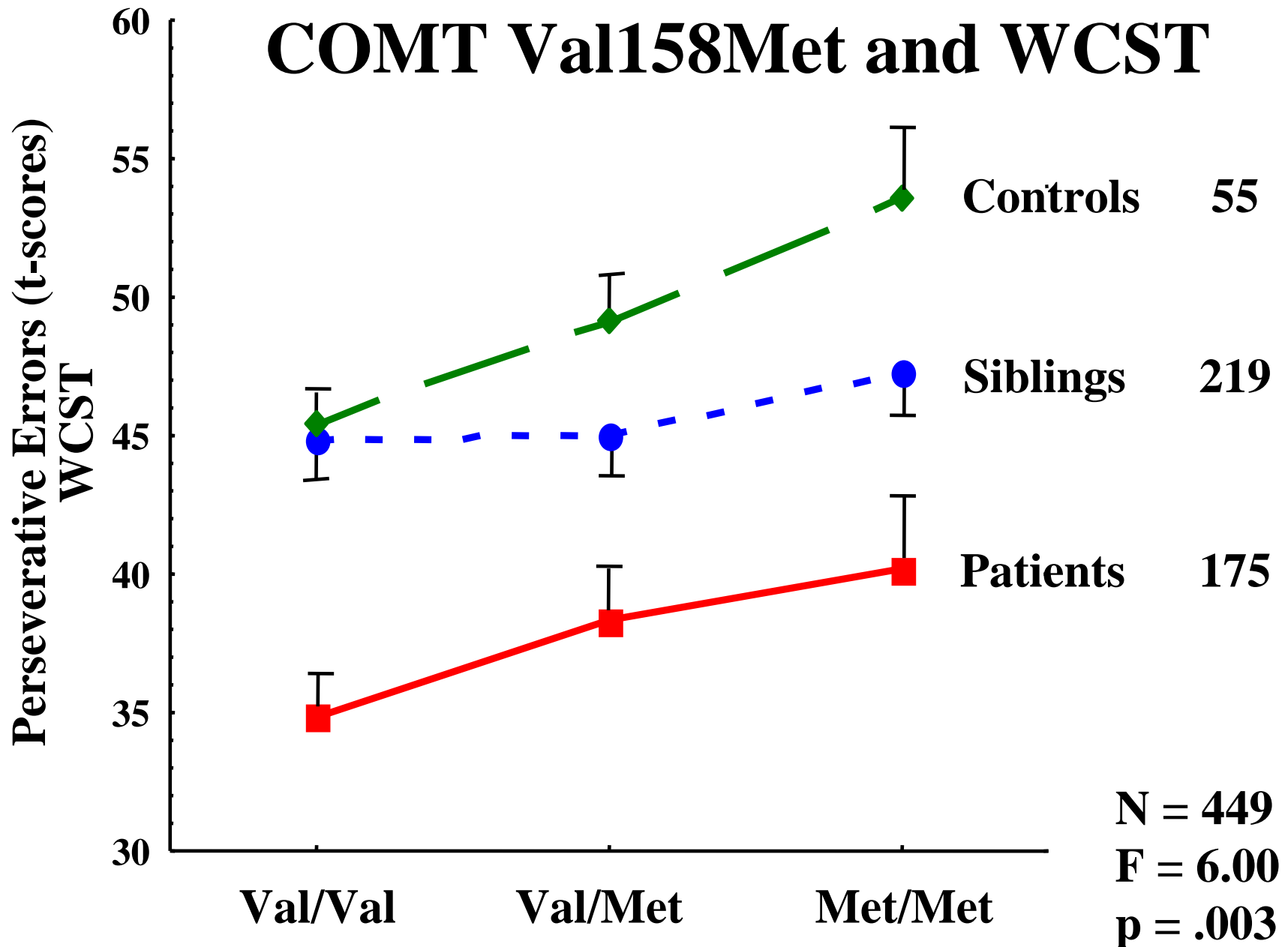
Apparent counterbalancing effects in  
cognition and stress/anxiety

Warrior

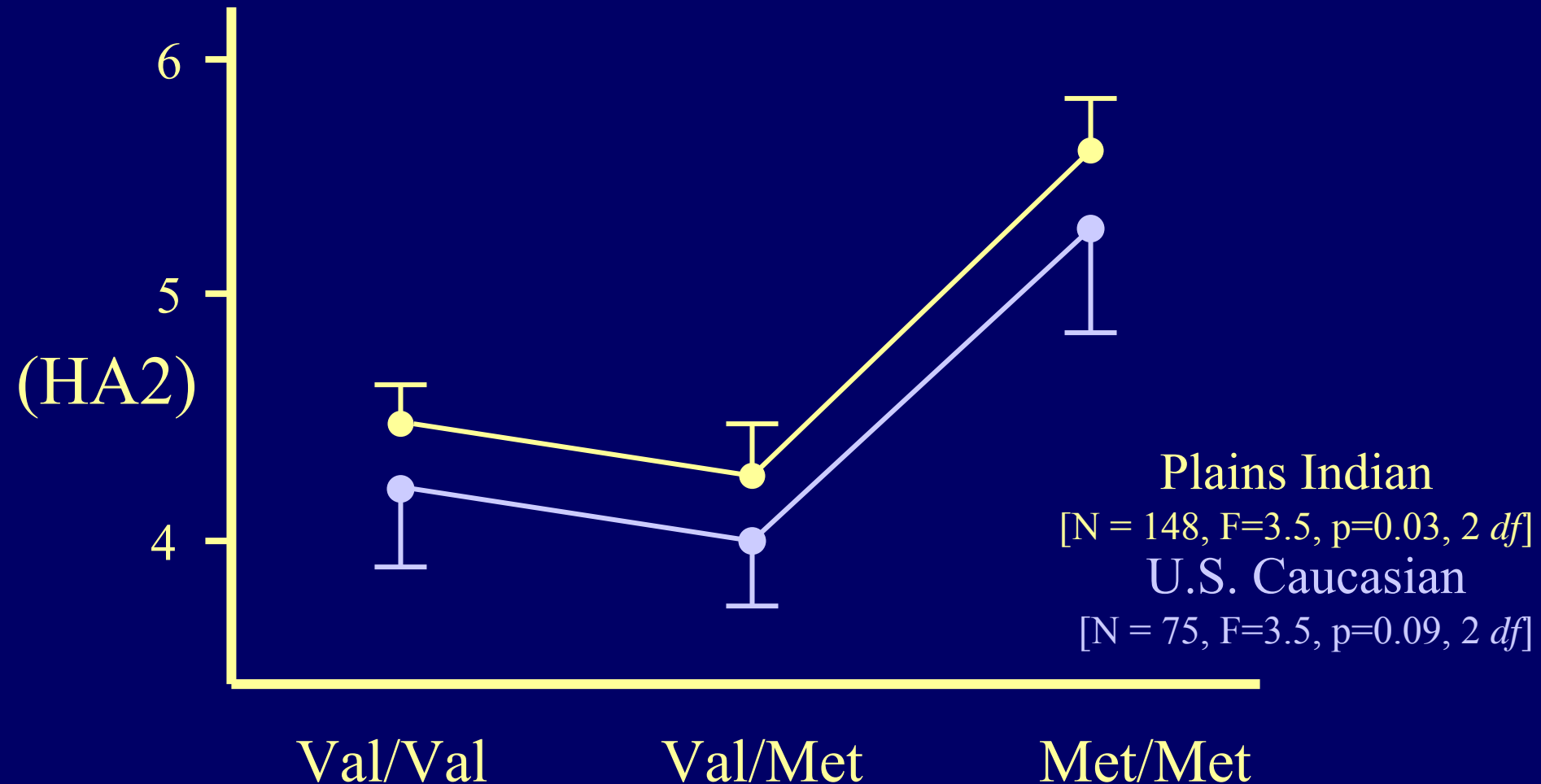


Worrior

# COMT Val158Met and WCST

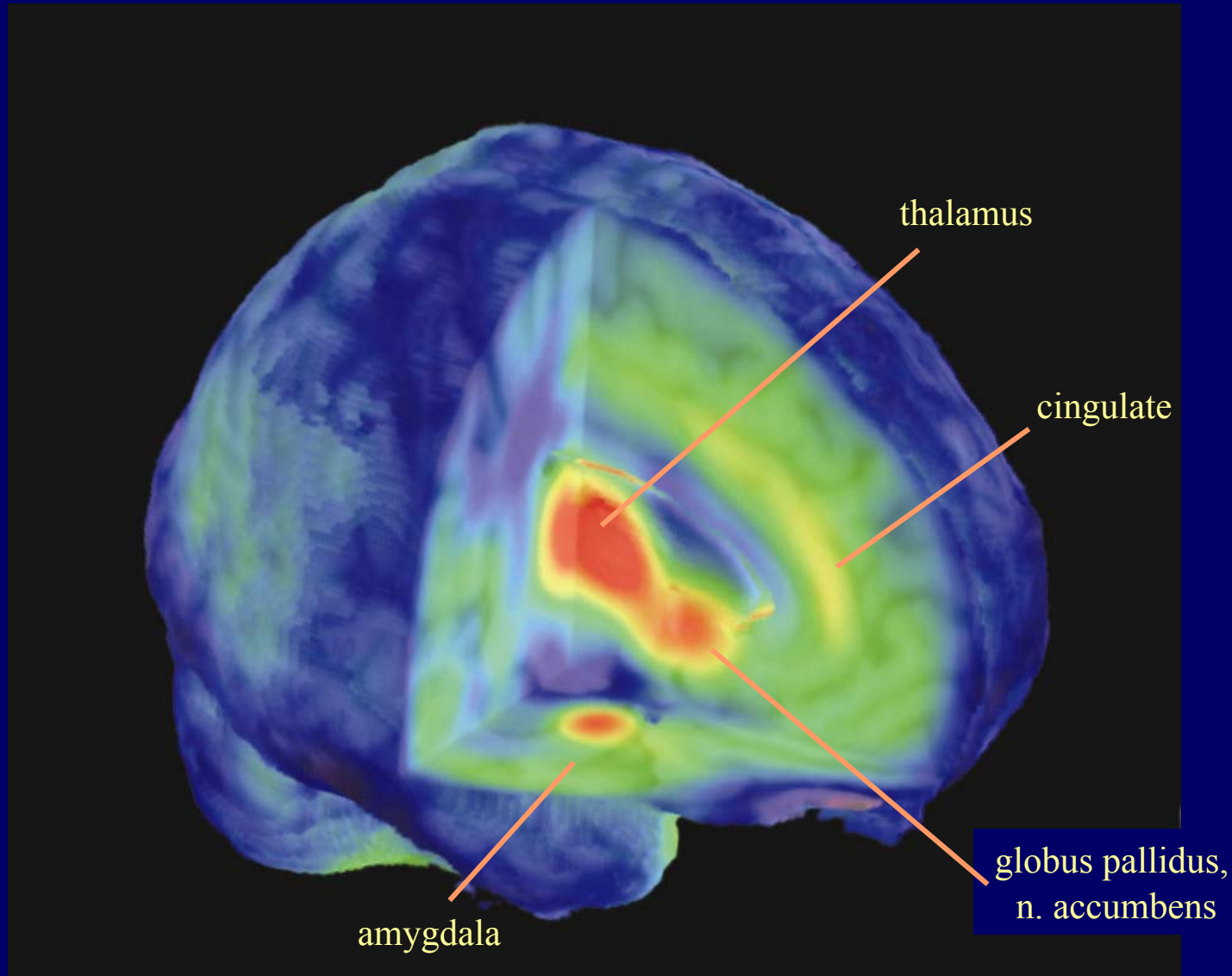


# Fear of Uncertainty (HA2) and *COMT* Val158Met in females from two populations



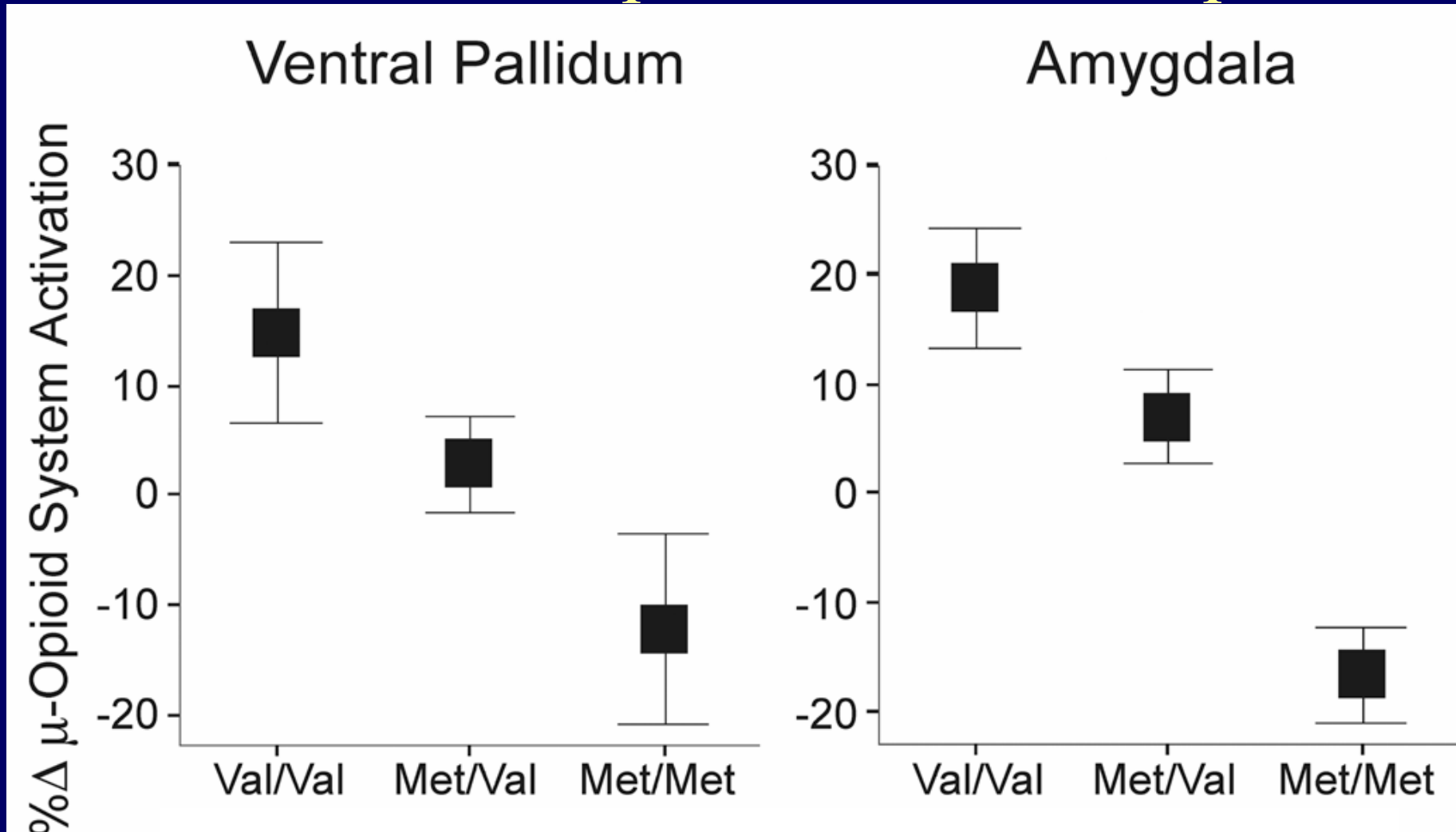


# [<sup>11</sup>C]-Carfentanil binding in brain

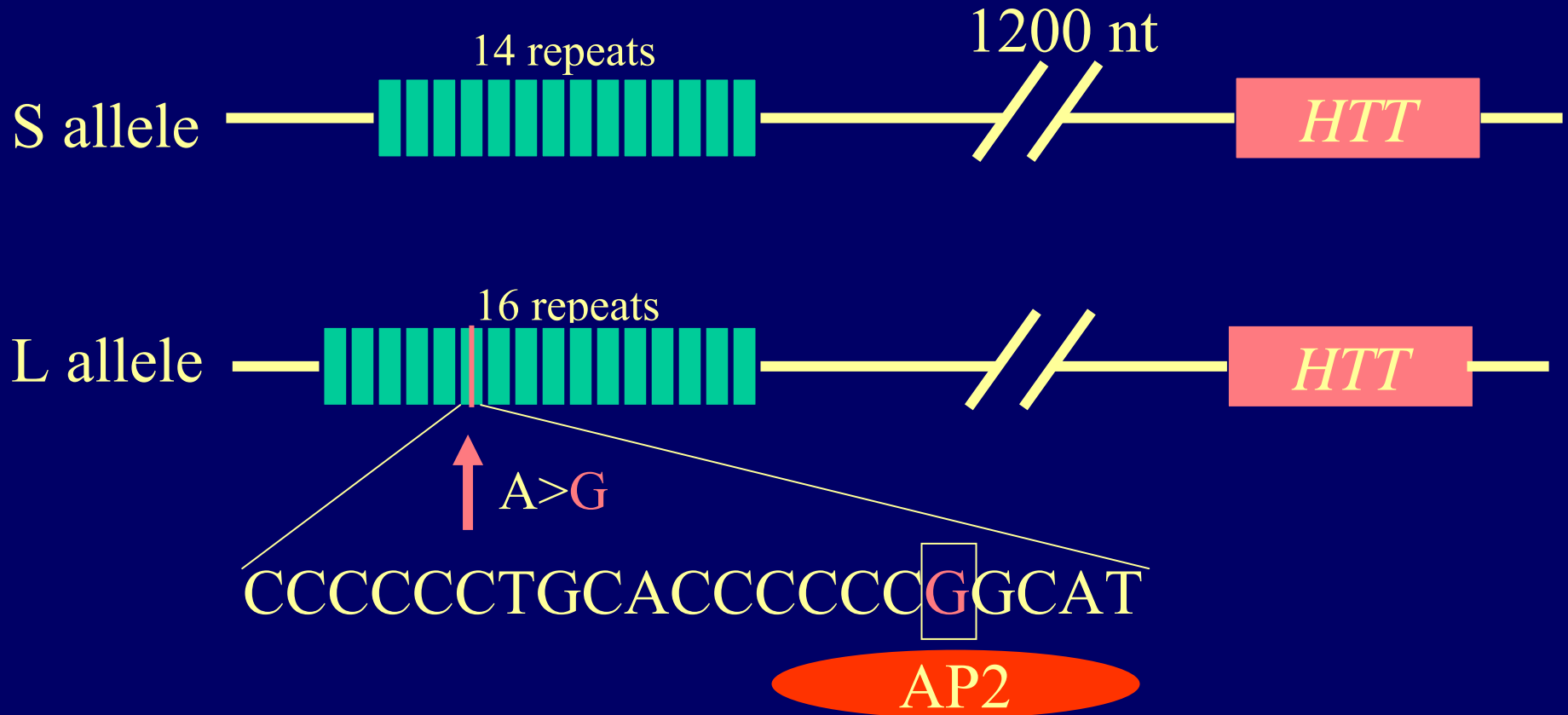


Source:Jon-Kar Zubieta

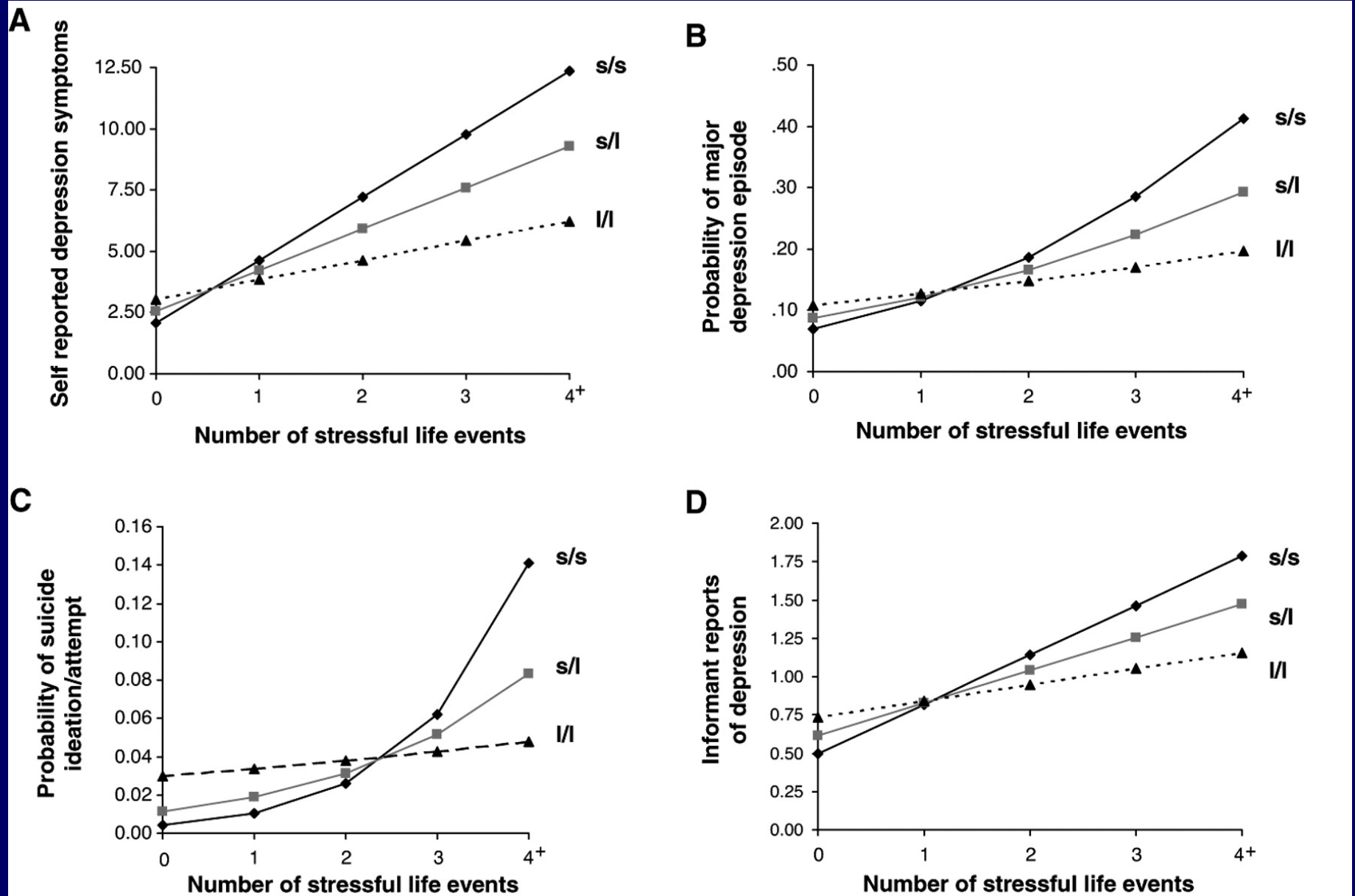
# *COMT Met158Val* and $\mu$ -opioid system activation in response to sustained pain



# HTTLPR: Still psychiatric genetics' most popular locus

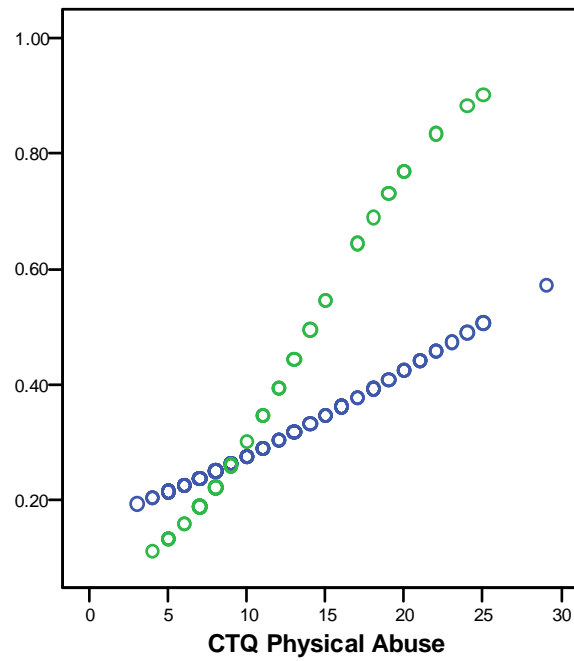
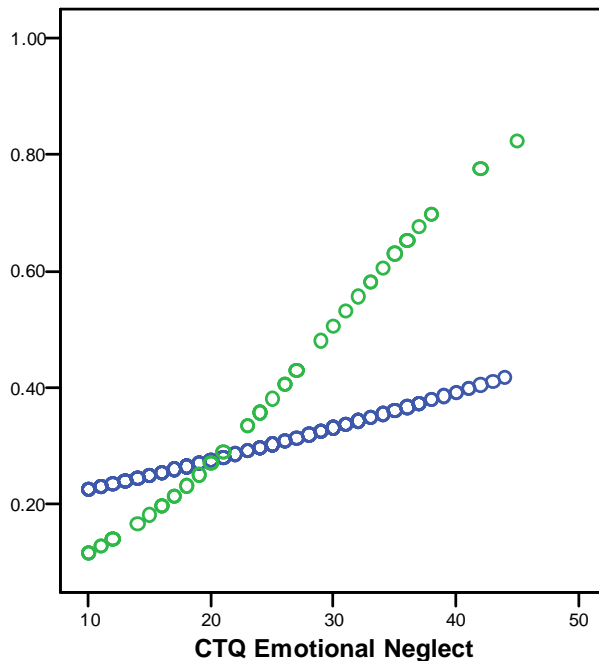


# GxE: Interaction of HTTLPR and stress in depression



# Gene x Environment (HTT x Childhood stress) predicts suicide attempts in abstinent, African American, Substance Dependent patients (N=306)

Probability of Suicide  
Attempt

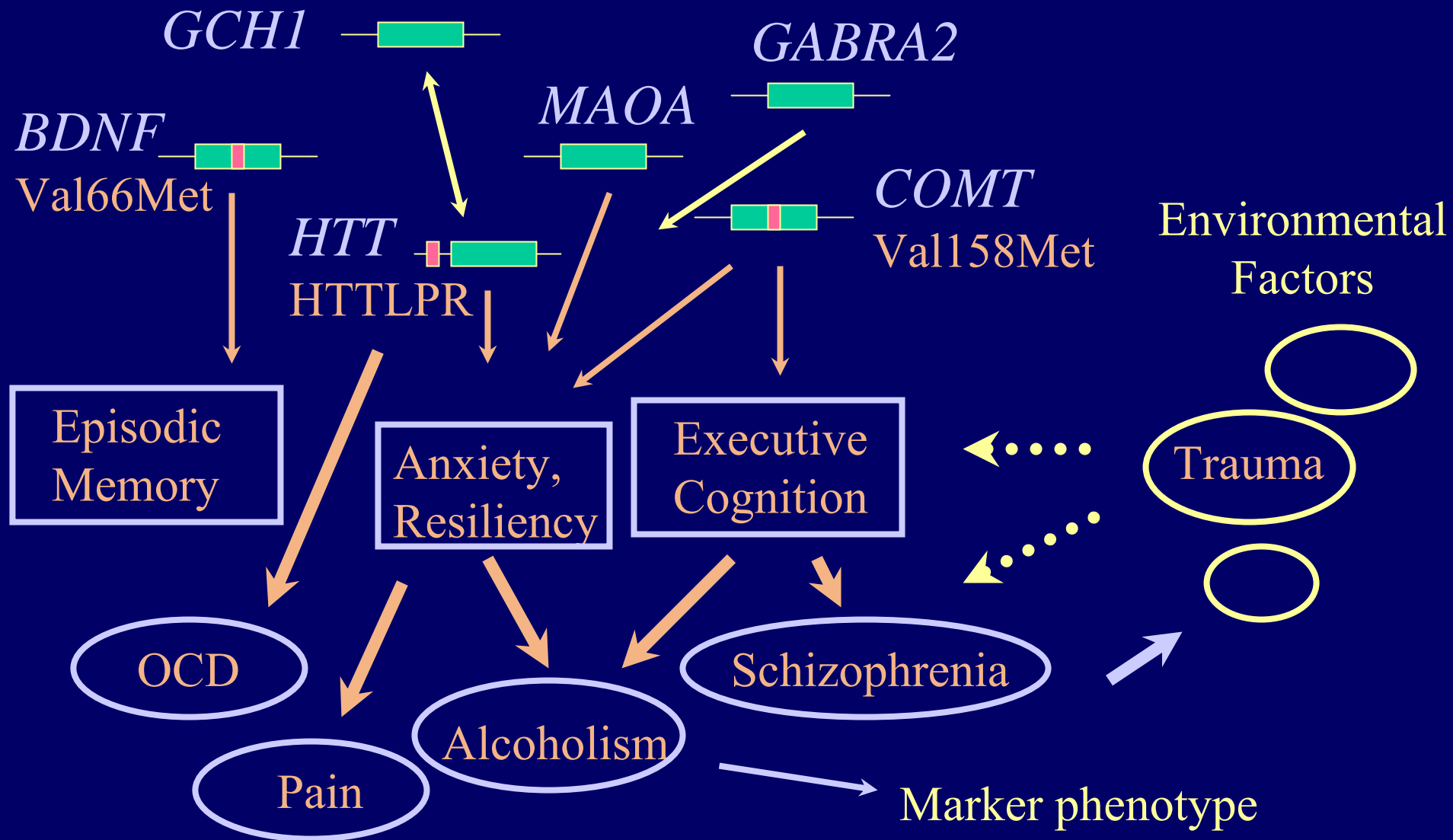


*HTT genotypes*  
*Low expressing*  
*High expressing*

CTQ Emotional Neglect

CTQ Physical Abuse

# Functional Allele to Complex Behavior



# Thanks!

Mary-Anne Enoch

Zhifeng Zhou

Ke Xu

Xianzhang Hu

Francesca Ducci

Robert Lipsky

Peihong Shen

Qiaoping Yuan

Colin Hodgkinson

Ahmad Hariri

Deborah Mash

Rajita Sinha

Jon-Kar Zubieta

Mary Heitzig

David Scott

Rob Robin

Bernard Albaugh

Alec Roy



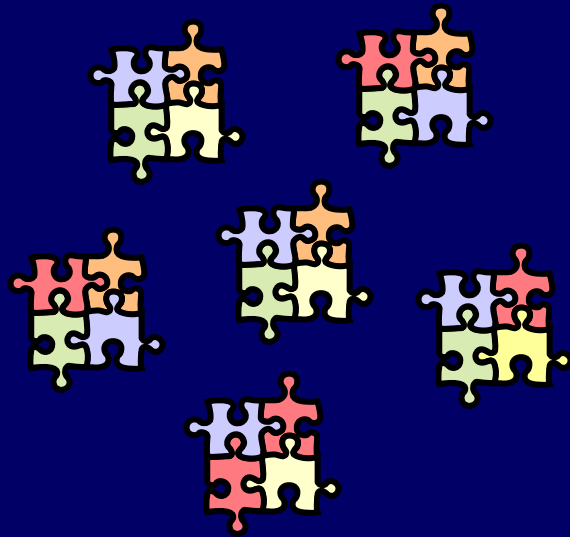


# Genetic Complexity

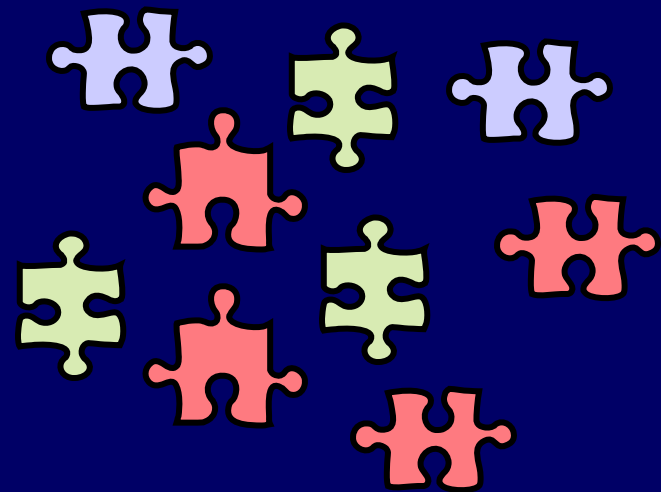
**Polygenicity:** Multiple genetic variants confer risk in combination.

**Heterogeneity:** Multiple genetic variants confer risk in different individuals.

# Genetic complexity in affected populations



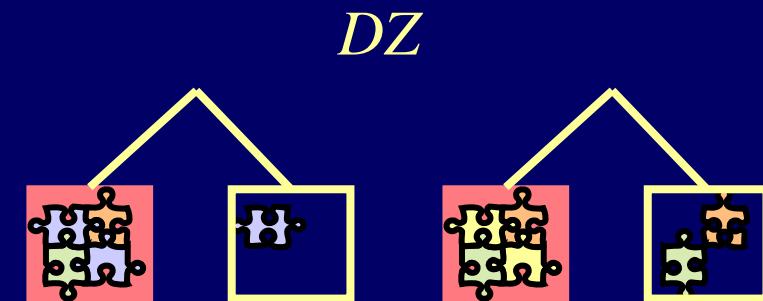
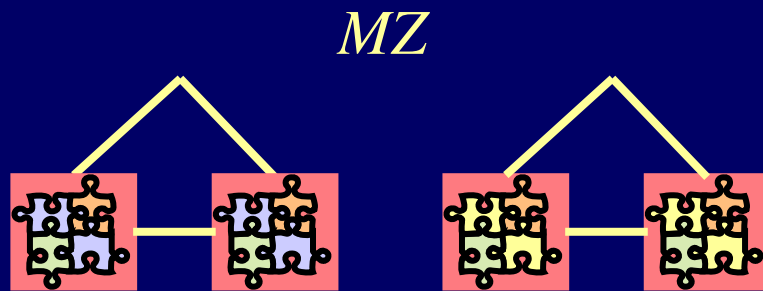
Polygenicity



Heterogeneity

# Genetic complexity and twin concordance

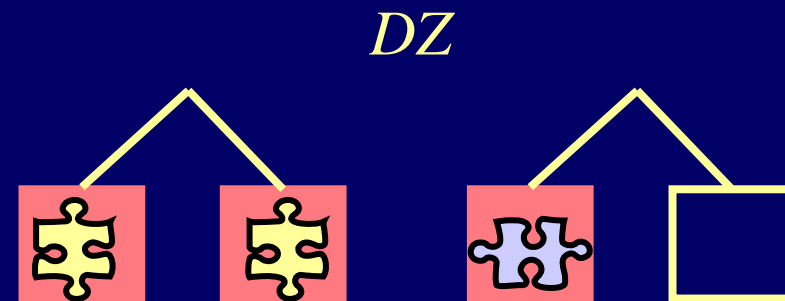
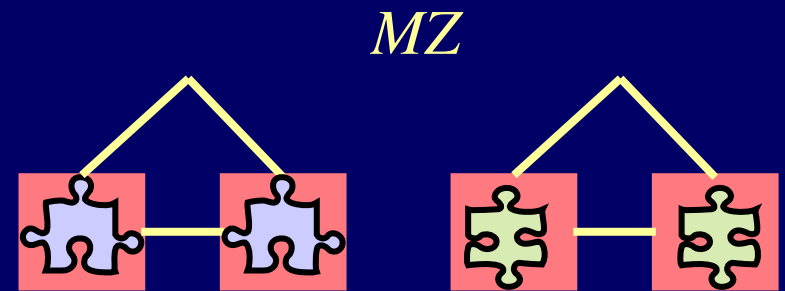
## Polygenicity



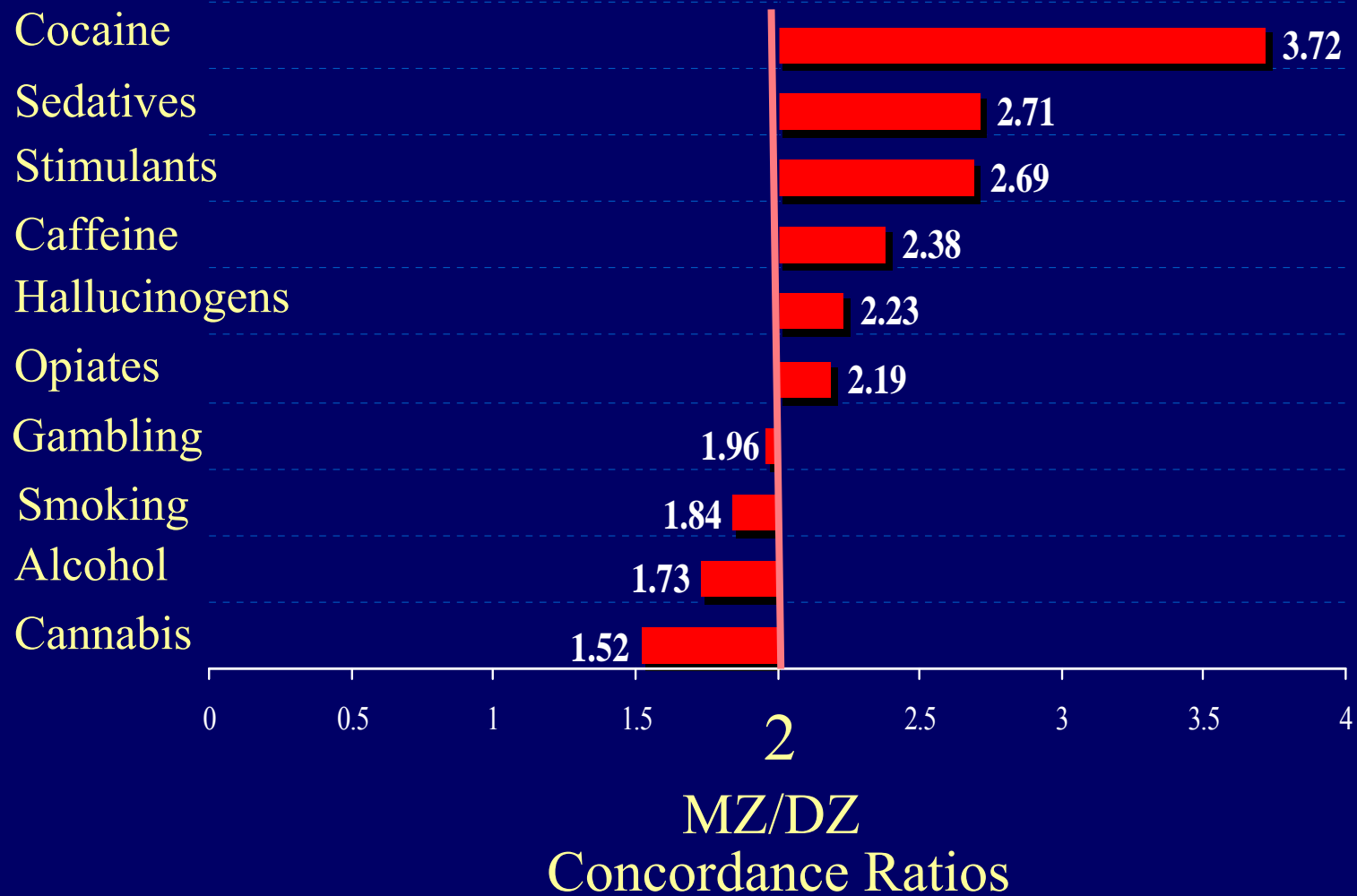
■ Affected

□ Unaffected

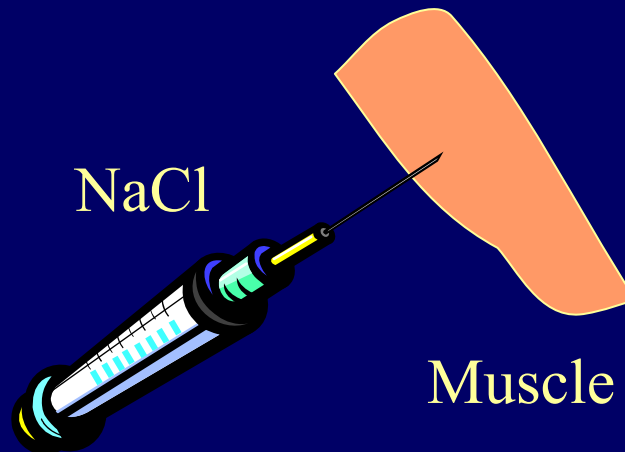
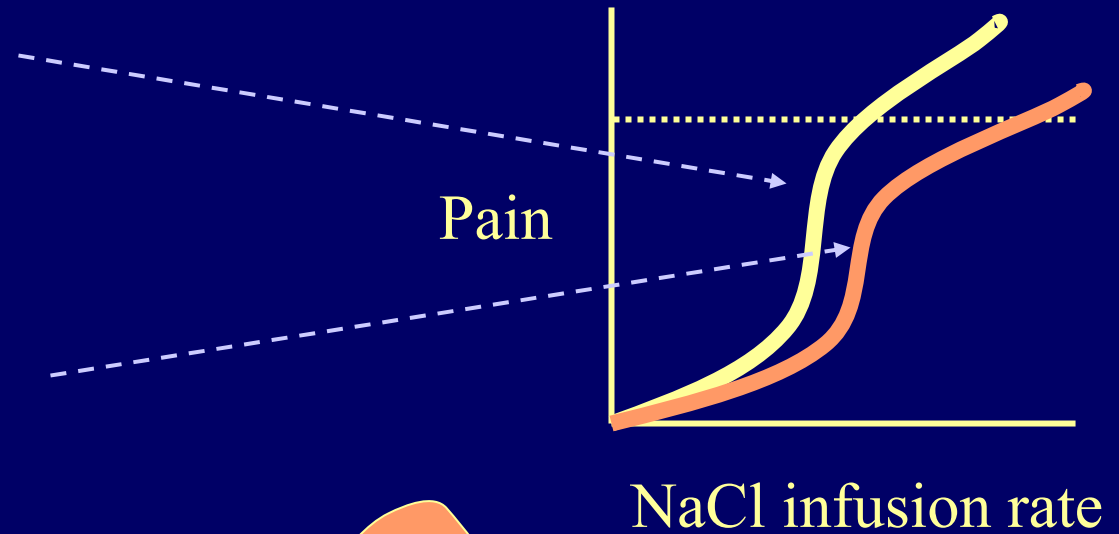
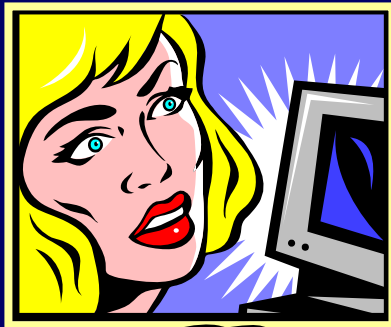
## Heterogeneity



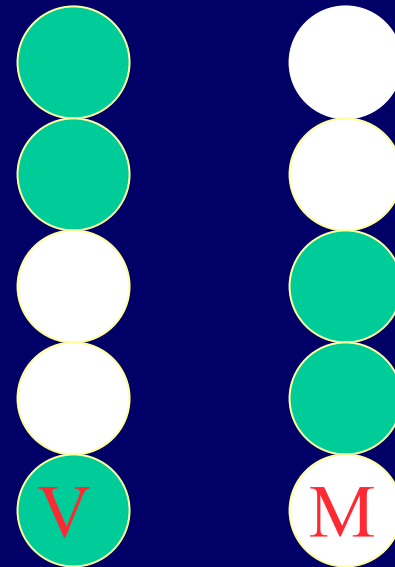
# Lack of evidence for polygenic inheritance of addictions



# Pain/Stress Challenge: Hypertonic saline infusion to masseter muscle



COMT yin/yang haplotypes  
 in five populations &  
*Linkage to Opioid addiction*  
 & *Alcoholism*



*Case/Control*  
 477/361

Chinese

0.25 0.24 *p value*  
 .003

167/294

African American

0.09 0.08 .03

490/192

German Caucasian

0.24 0.28 .02

178/283

Finnish Caucasian

0.15 0.27 <.001

175/175

Plains Indian

0.09 0.22

# COMT Val158Met and Addiction

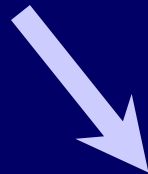
- Polysubstance abuse: Val158
  - Vandenberg, Uhl and colleagues
- Late onset alcoholism: Met158
  - [Hallikainen et al, 2000] 62 early onset, 132 late onset, 267 controls. Odds ratio of 3 for late onset,  $p=0.017$
  - [Tiihonen et al, 1999] 67 & 56 late onset, 3140 blood donors, 267 matched controls. Met/Met vs Val/Val Odds ratio 2.5,  $p=0.006$ , Attributable risk for Met/Met vs Val/Val 13.3%

# *COMT Val158Met*

**Val158**



*Behavioral  
Dyscontrol*



**Met158**



*High anxiety,  
Stress reactive*

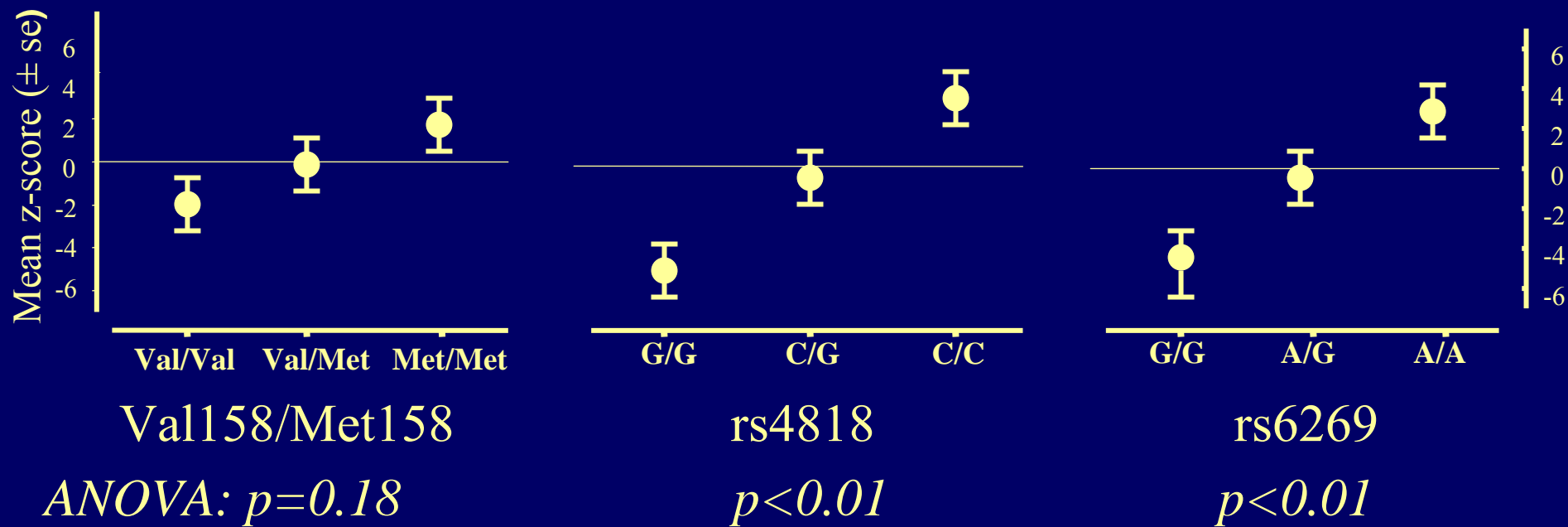


**Alcoholism and  
other substance abuses**

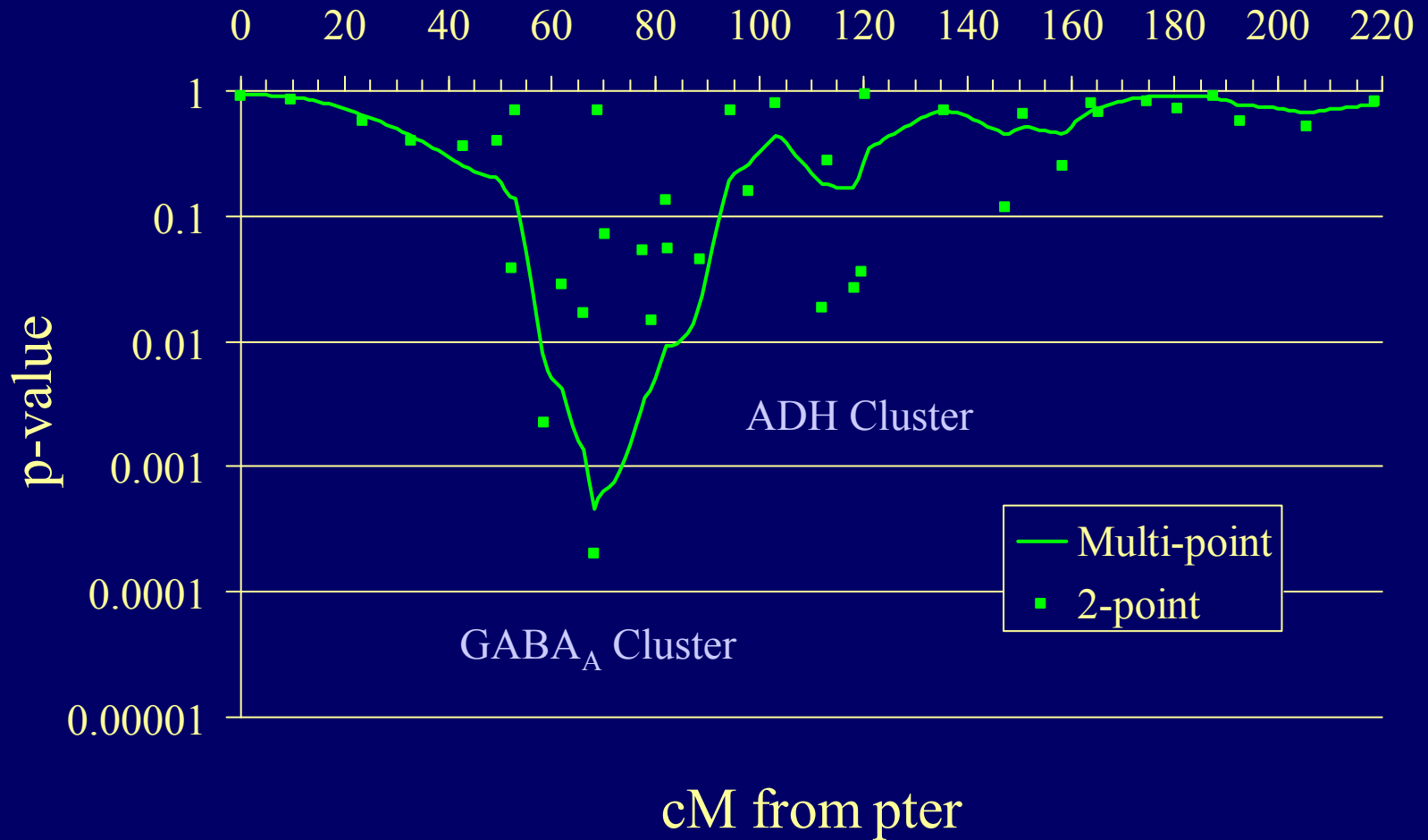


# Replication of COMT in experimental pain in 202 females prospectively followed for TMJ

(Diatchenko et al, Hum Mol Genetics, 2005)



# Chromosome 4





# Addictions Array for 130 Candidate Genes

- 1536 SNPs
- Tagging of haplotypes  $> 0.6\%$  in frequency
- Avg of  $>11$  SNPs/gene, Range 4 - 35
- 186 “perfect” genomic control SNPs (AIMs)
  - Balanced set with cross-population  $\Delta > 0.7$ , and  $> 10x$
- \$  $< 0.05$ /genotype
- 25,000 individuals genotyped (Yale, Rockefeller, Wash U, Columbia [2], Univ. Colorado, Emory, VCU, NICHD)

# Addictions Array

130 Genes  
Tagged with  
1350 SNPs

HPA & Stress

- CRH
- CRHBP
- CRHR1
- CRHR2
- GAL
- NPY
- NPY1R
- NPY2R
- NPY5R

Adrenergic

- ADRA1A
- ADRA2A
- ADRA2B
- ADRA2C
- ADRB2
- ARRB2
- SLC6A2
- DBH

Other

- BDNF
- CCK
- CCKAR
- CCKBR
- CLOCK
- HCRT
- OXT
- NR3C1
- SLC29A1
- TAC1
- CART

Metabolic

- ALDH1A
- ALDH2
- CAT
- CYP2E1
- ADH1A
- ADH1B
- ADH1C
- ADH4
- ADH5
- ADH6
- ADH7

Serotonin

- HTR1A
- HTR1B
- HTR2A
- HTR2C
- HTR3A
- HTR3B
- MAOA
- MAOB
- SLC6A3
- SLC6A4
- TPH2

Dopamine

- DDC
- DRD1
- DRD2
- DRD3
- DRD4
- DRD5
- SLC18A2
- TH
- COMT

Signal Transduction

- ADCY7
- AVPR1A
- AVPR1B
- CDK5R1
- CREB1
- CSNK1E
- FEV
- FOS
- FOSL1
- FOSL2
- GSK3B
- JUN
- MAPK1
- MAPK3
- MPDZ
- NGFB
- NTRK2
- NTSR1
- NTSR2
- PPP1R1
- BPRKCE

Cholinergic

- CHRM1
- CHRM2
- CHRM3
- CHRM4
- CHRM5
- CHRNA4
- CHRNA2

Cannabinoid

- CNR1
- FAAH

NMDA

- GRIK1
- GRIN1
- GRIN2A
- GRIN2B
- GRM1

Glycine

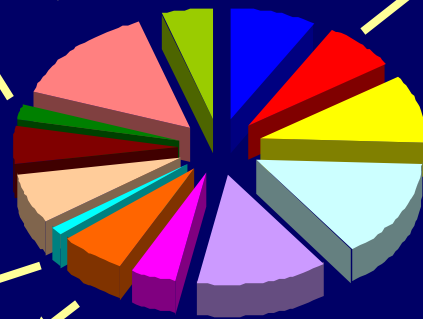
- GLRA1
- GLRA2
- GLRB
- GPHN

Opioid

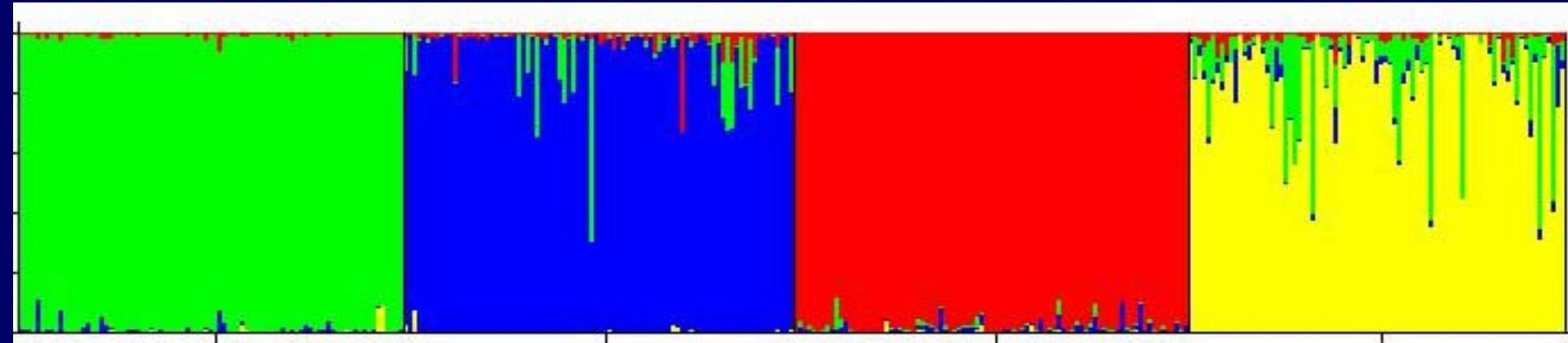
- OPRM1
- OPRD1
- OPRK1
- OPRL1
- PDYN
- PENK
- PNOC
- POMC

GABA

- GABRA2
- GABRA4
- GABRA6
- GABRB1
- GABRB2
- GABRB3
- GABRD
- GABRG2
- GABRG3
- SLC6A11
- SLCSA13
- GAD1
- GAD2
- VIAAT
- DBI



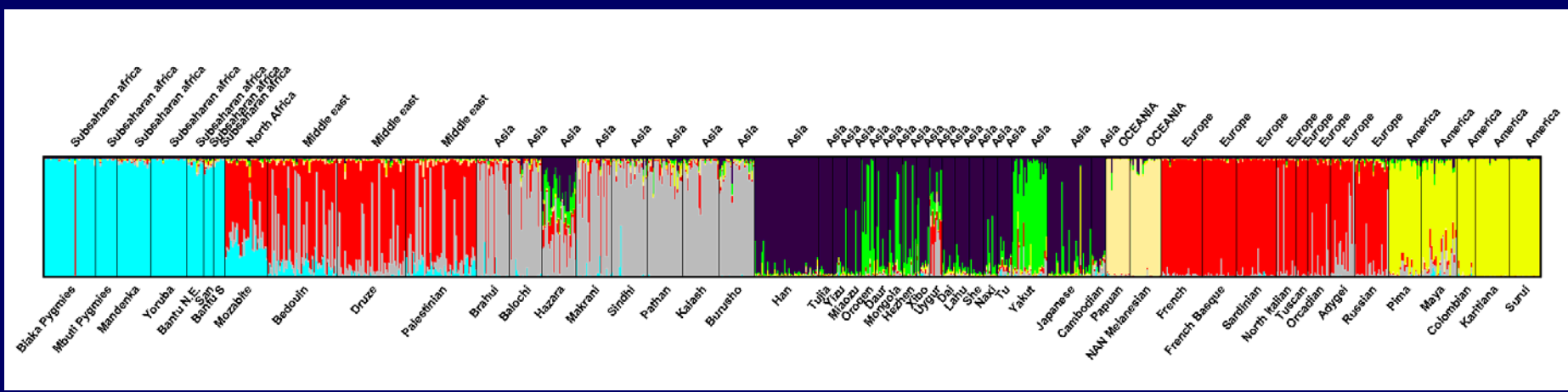
*Assignment of ancestry with 186 Ancestry-informative SNPs  
(Structure2, Four-factor solution)*



Finns	Plains Indians	Han Chinese	African American
0.98	0.05	0.01	0.11
0.01	0.92	0.01	0.02
0.01	0.02	0.98	0.02
0.00	0.00	0.00	0.85

# Ethnic factor scores of 1051 individuals in 52 CEPH population with 186 AIMs

## 7-factor solution, Structure 2



Africa

Middle East

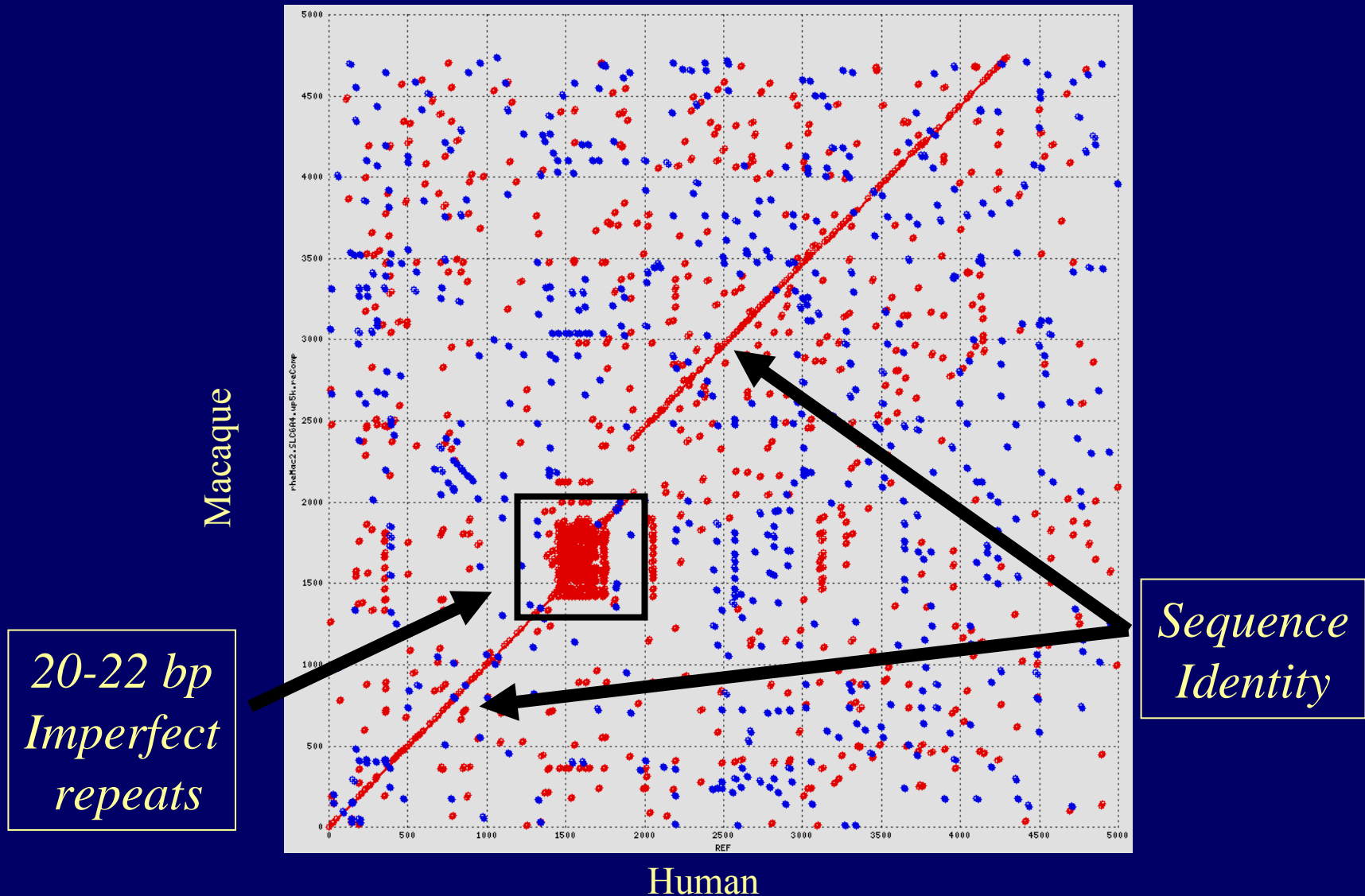
Asia

Oceania

Europe

America

*Repeats in the 5 Kb region upstream of 5-HTT in  
Macaca mulatta and Homo sapiens*





rh-HTTLPR has GxE effects on alcohol preference & stress response

Interaction Between Serotonin Transporter Gene Variation and Rearing Condition in Alcohol Preference and Consumption in Female Primates

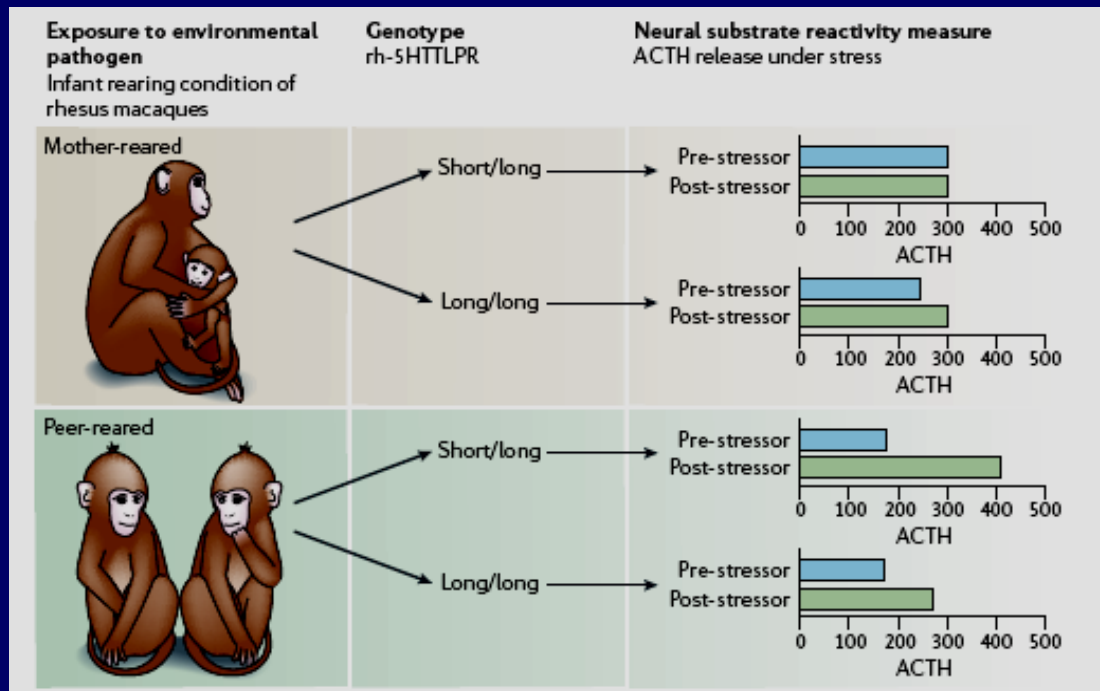
Christina S. Barr, VMD, PhD; Timothy K. Newman, PhD; Stephen Lindell, BA; Courtney Shannon, BA; Maribeth Champoux, PhD; Klaus Peter Lesch, MD; Stephen J. Suomi, PhD; David Goldman, MD; J. Dee Higley, PhD

Arch Gen Psych 61: 1146, 2004

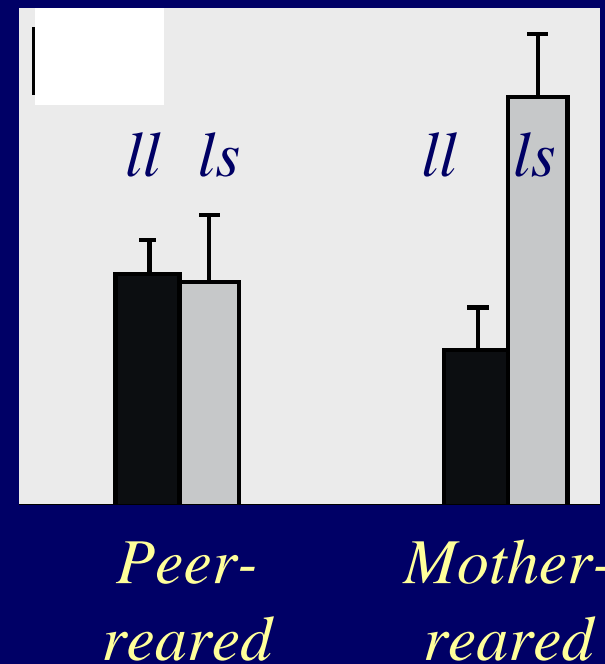
Rearing Condition and rh5-HTTLPR Interact to Influence Limbic-Hypothalamic-Pituitary-Adrenal Axis Response to Stress in Infant Macaques

Christina S. Barr, Timothy K. Newman, Courtney Shannon, Clarissa Parker, Rachel L. Dvoskin, Michelle L. Becker, Melanie Schwandt, Maribeth Champoux, Klaus Peter Lesch, David Goldman, Stephen J. Suomi, and J. Dee Higley

Biol Psych 55: 733, 2004



Alcohol preference



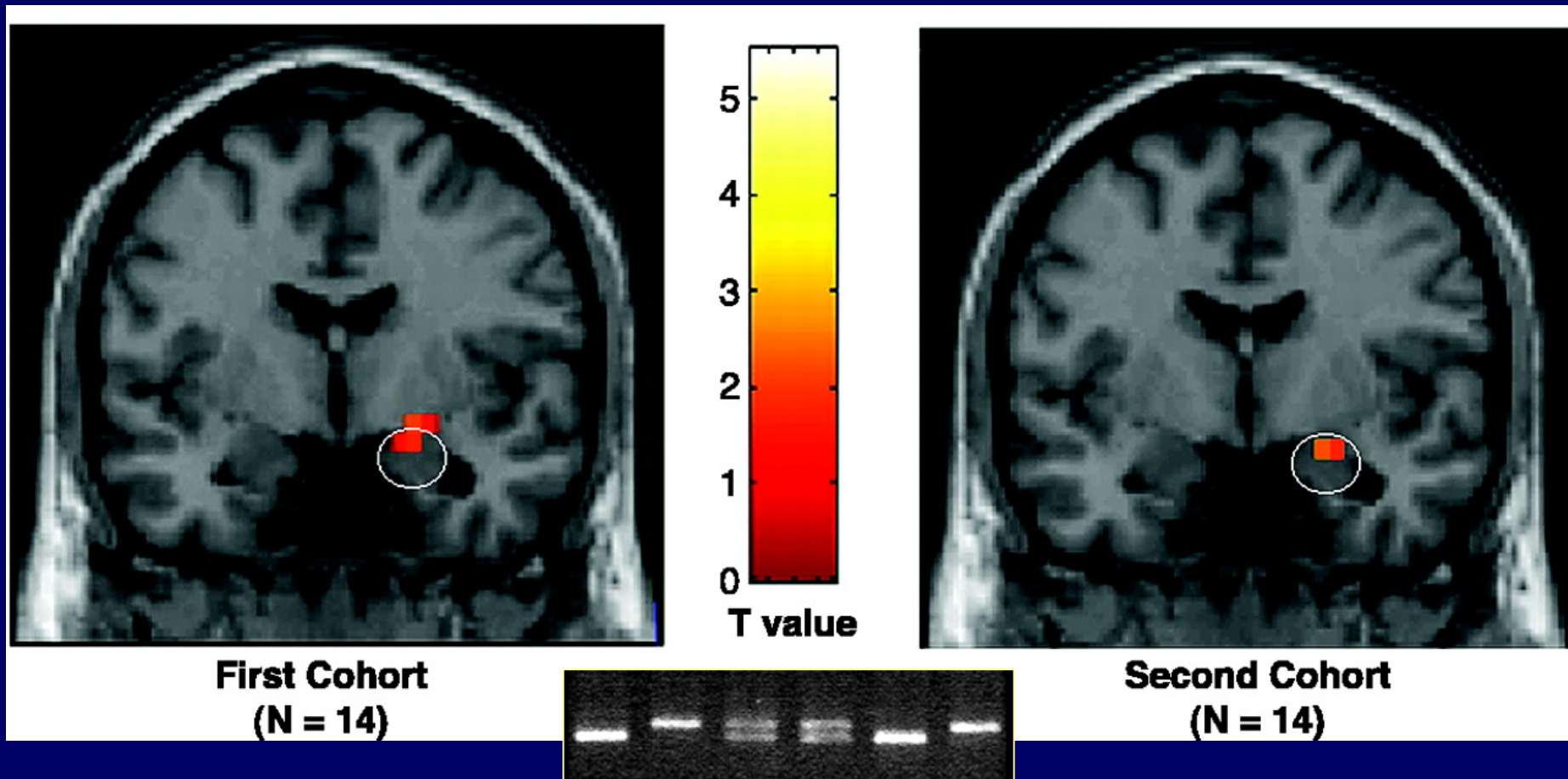
# Serotonin Transporter Genetic Variation and the Response of the Human Amygdala

Science 2002 July 19; 297(5580):400-3

Ahmad R. Hariri,<sup>1</sup> Venkata S. Mattay,<sup>1</sup> Alessandro Tessitore,<sup>1</sup> Bhaskar Kolachana,<sup>1</sup> Francesco Fera,<sup>1</sup> David Goldman,<sup>2</sup> Michael F. Egan,<sup>1</sup> Daniel R. Weinberger<sup>1\*</sup>

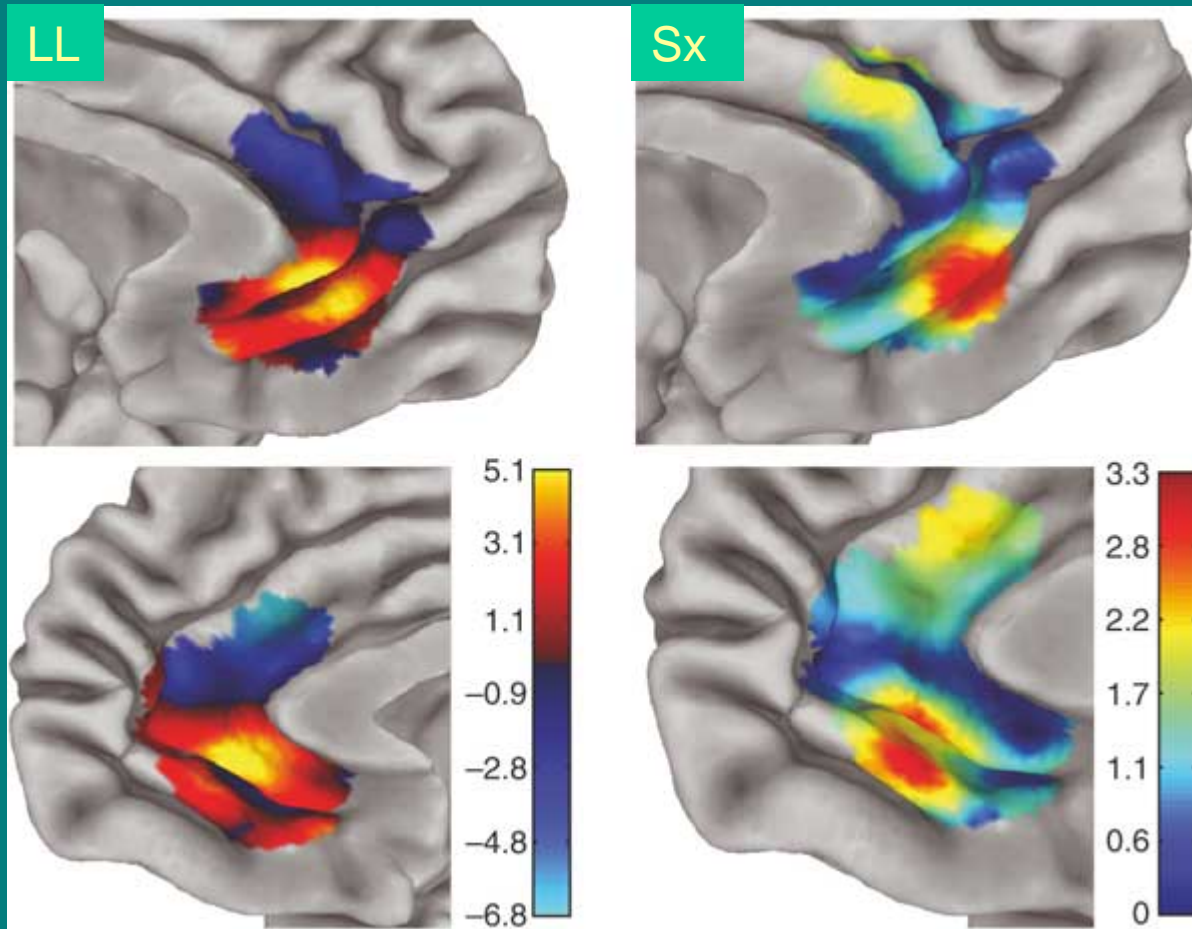
<sup>1</sup> Clinical Brain Disorders Branch, NIMH, NIH.

<sup>2</sup> Laboratory of Neurogenetics, NIAAA, NIH.



## **5-HTTLPR polymorphism impacts human cingulate-amygdala interactions: a genetic susceptibility mechanism for depression**

Lukas Pezawas, Andreas Meyer-Lindenberg, Emily M Drabant, Beth A Verchinski, Karen E Munoz, Bhaskar S Kolachana, Michael F Egan, Venkata S Mattay, Ahmad R Hariri & Daniel R Weinberger



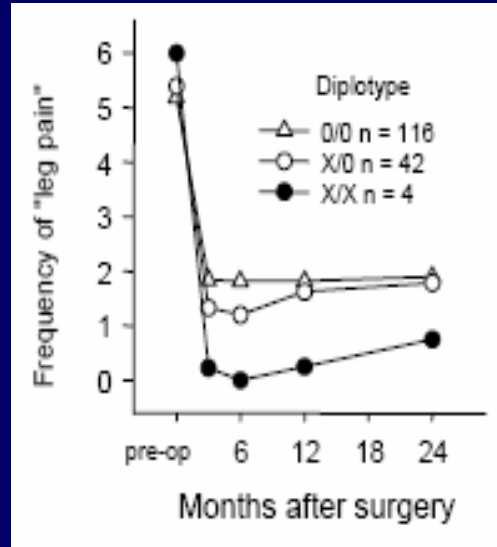
**Statistical functional connectivity maps between bilateral amygdala and perigenual anterior cingulate cortex**

# A common, functional NPY haplotype influencing anxiety and stress response

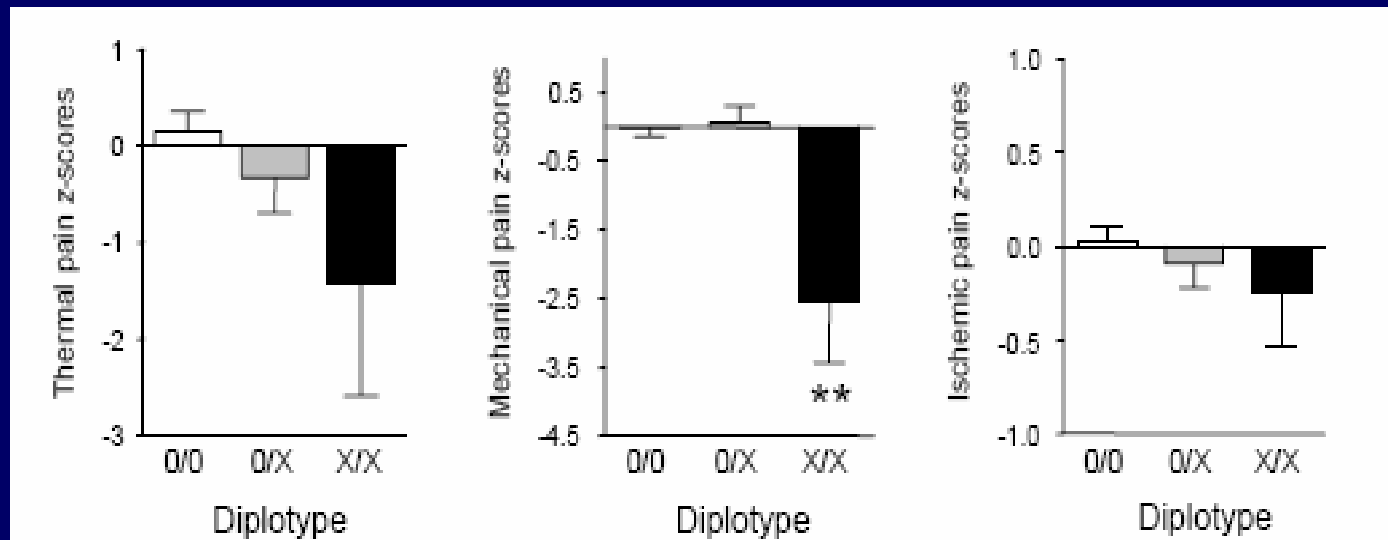
(Zhifeng Zhou et al, submitted)

- The common haplotype predicts reduced brain and lymphoblast mRNA levels and plasma NPY
- The reduction of function haplotype predicts:
  - Trait anxiety
  - Reduced amygdala emotional fMRI activation
  - Reduced amygdala pain/stress induced opioid release
- A functional promoter locus was identified via *in vitro* reporter constructs

# A functional human GCH1 haplotype predicts post-diskectomy clinical pain and experimental pain

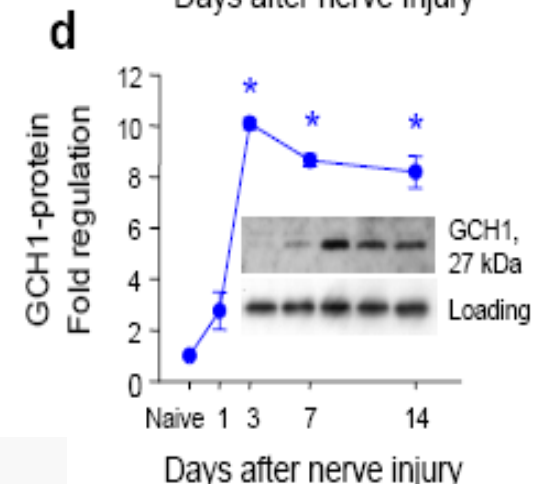
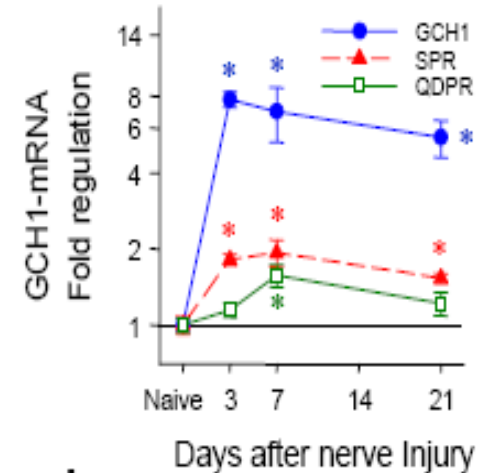
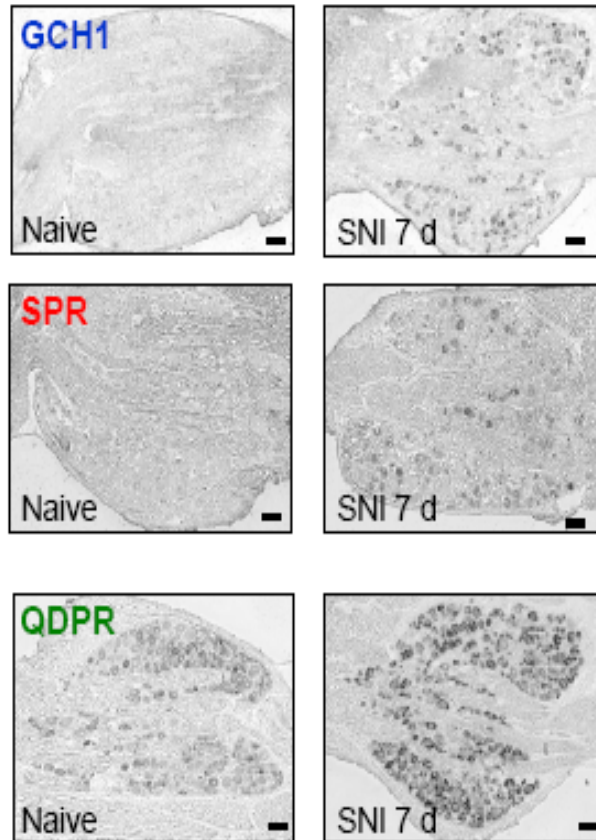
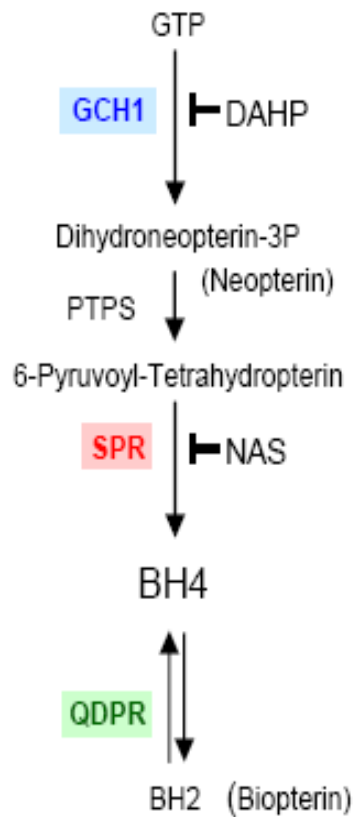


*162*  
*post-diskectomy*  
*patients*

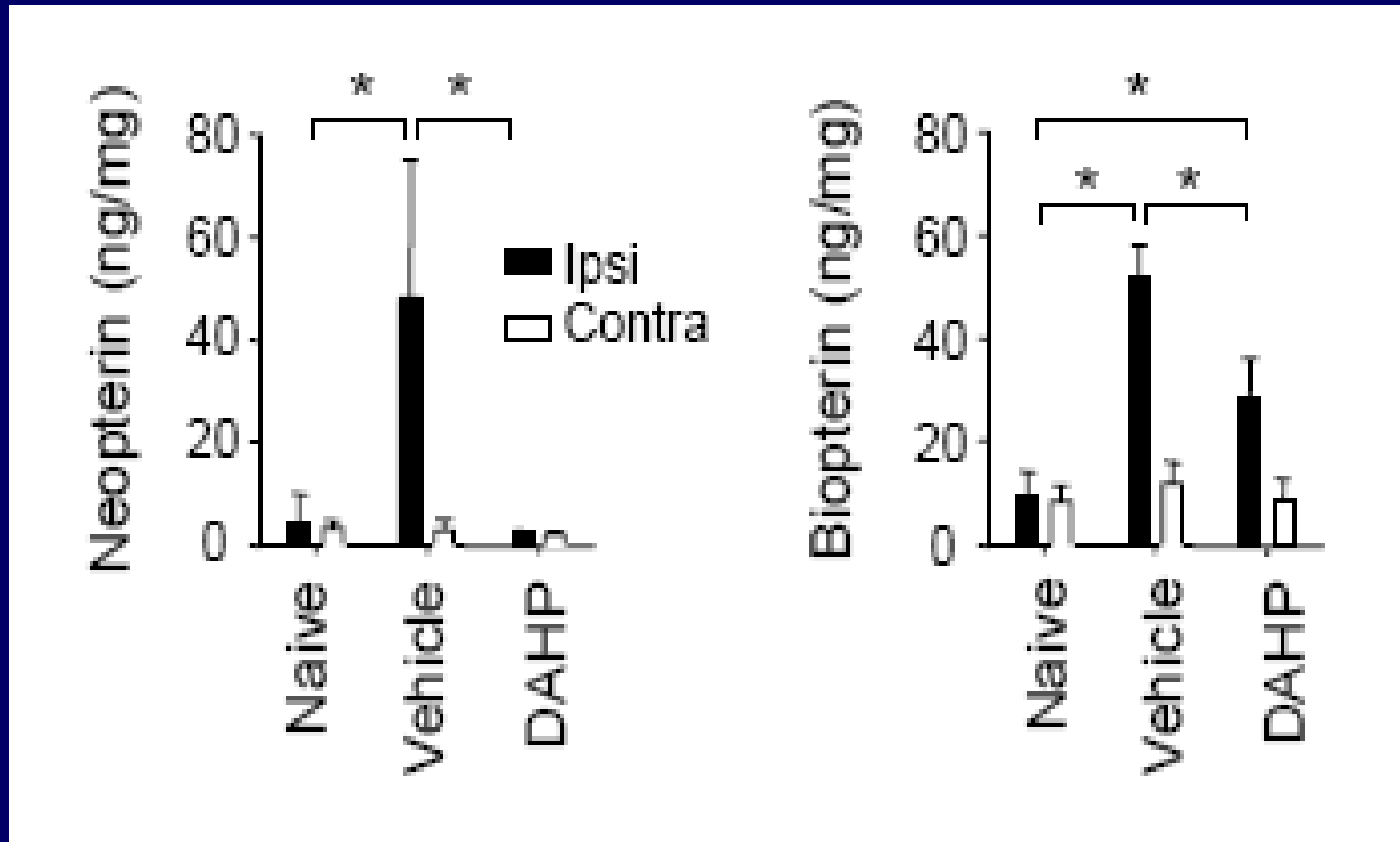


*547*  
*normal*  
*controls*

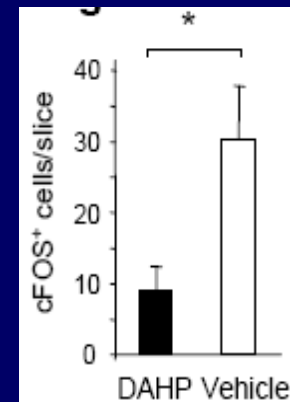
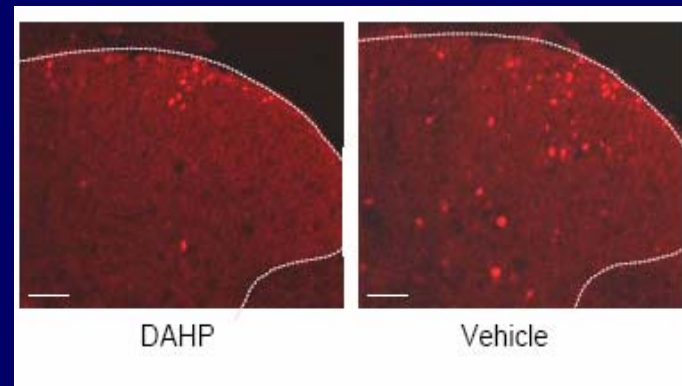
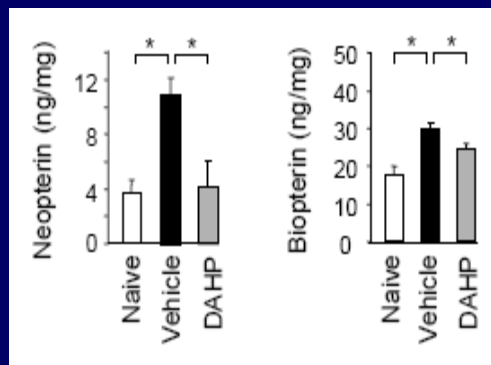
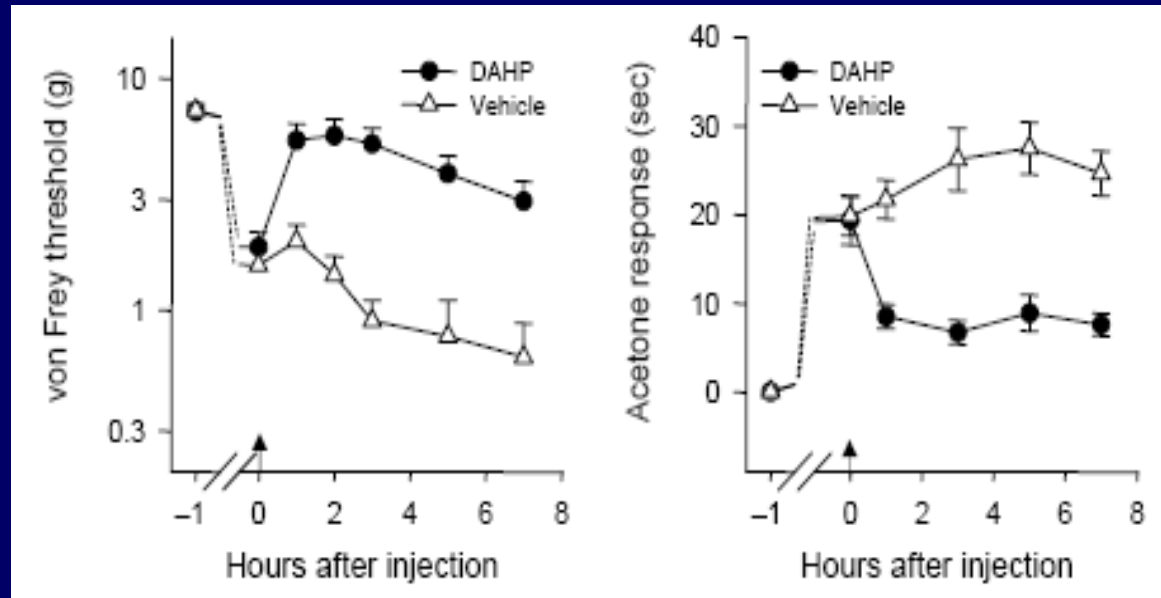
# *GCH1 mRNA and protein in rat DRG are upregulated by nerve injury*



*Bioppterin synthesis in rat DRG  
is upregulated by nerve injury and blocked  
by a GCH1 inhibitor*

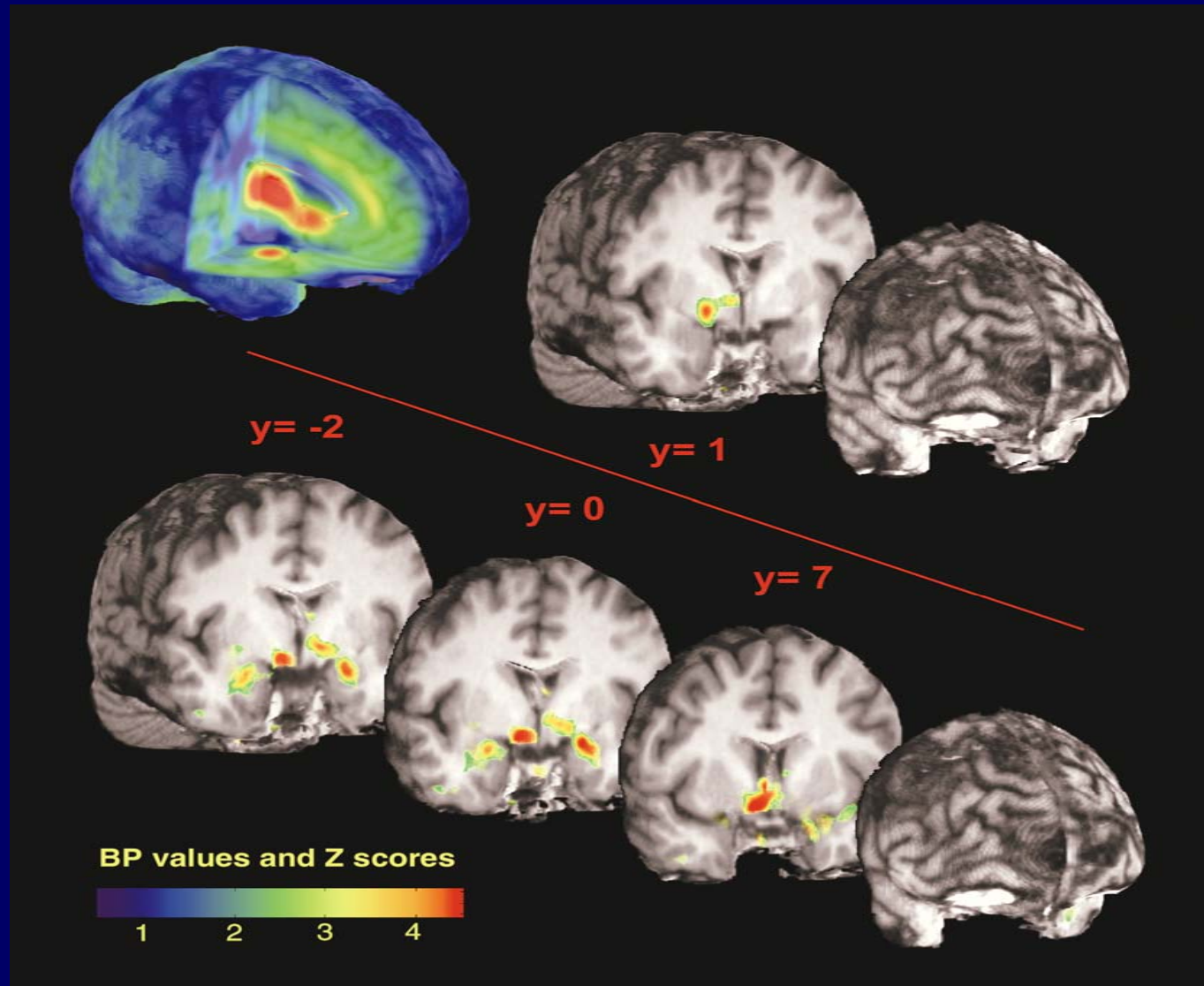


# Rapid inhibition of pain and DRG neuronal activation by the GTP cyclohydrolase inhibitor, 2,4-diamino-6-hydroxypyrimidine (DAHP)





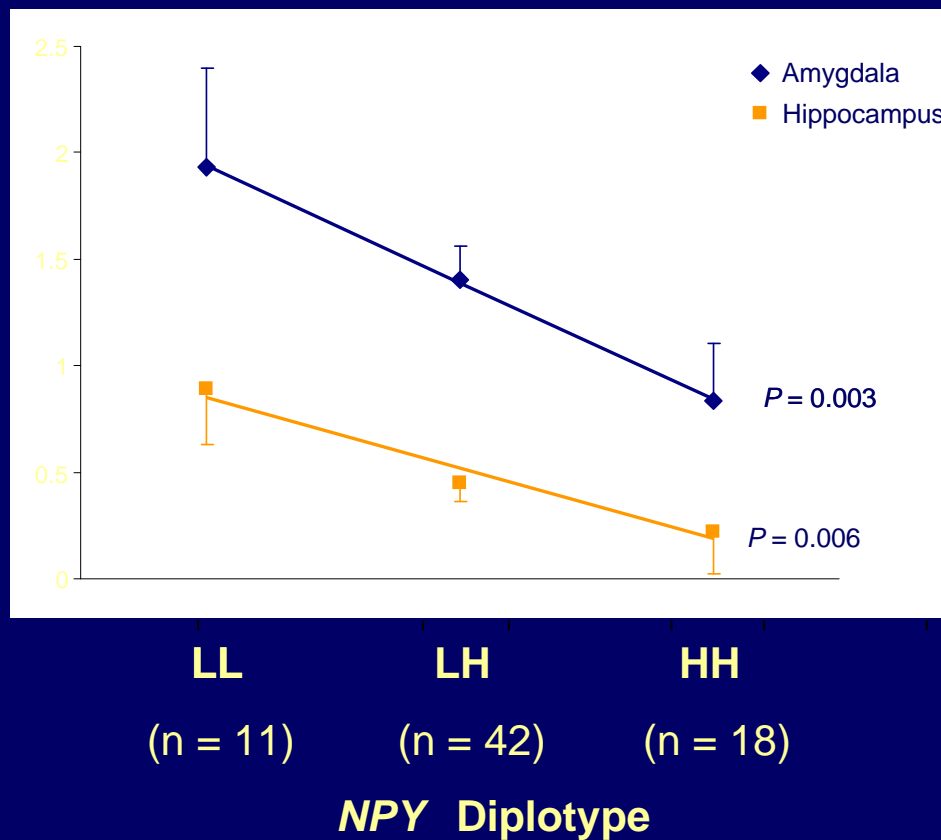
*Genotype-predicted NPY expression predicts pain/stress  
induced opioid activation  
Zhou et al, submitted*



*Genotype-predicted NPY expression predicts  
emotion-induced fMRI activation*

*Zhou et al, submitted*

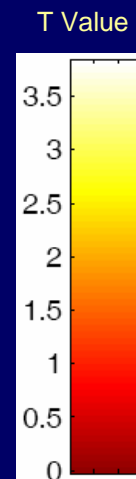
fMRI Activation (Arbitrary Units)



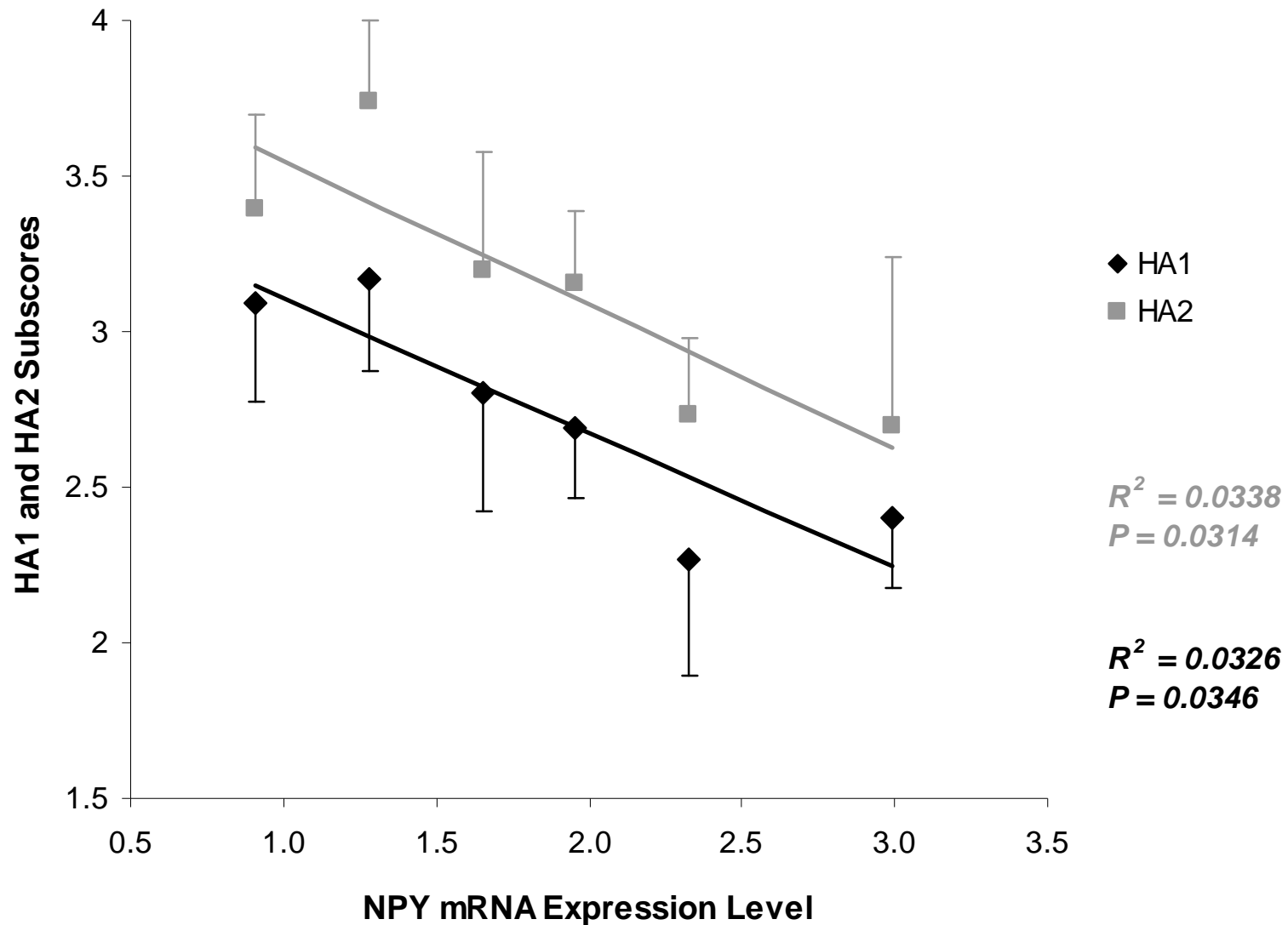
Amygdala



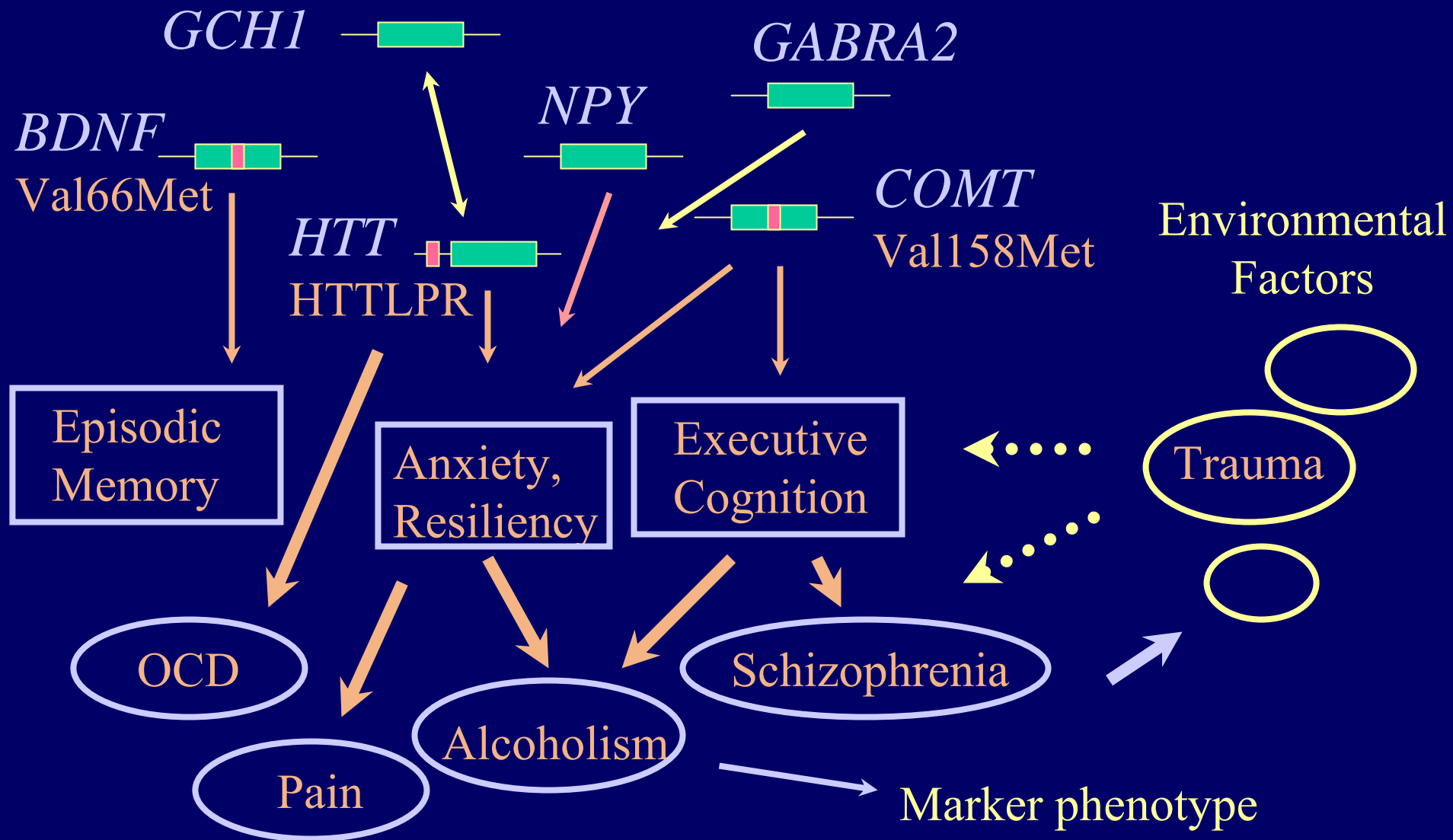
Hippocampus



*Genotype-predicted NPY expression predicts anxiety*  
*Zhou et al, submitted*



# Functional Allele to Complex Behavior



# HTTLPR and anxiety

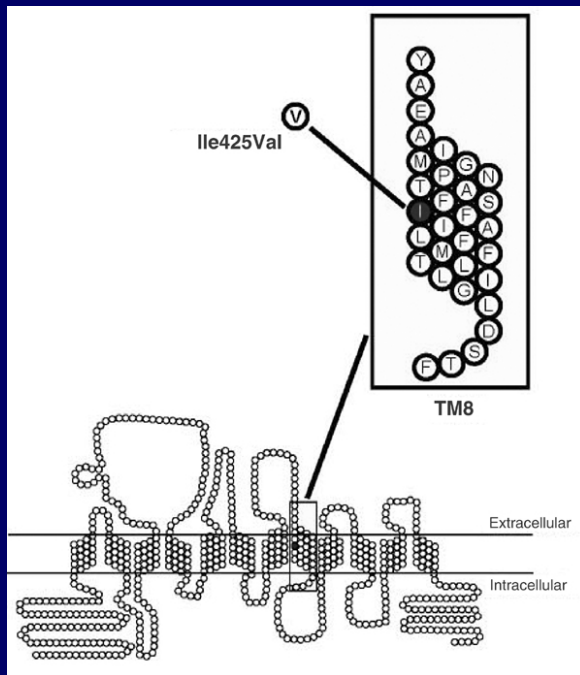
[Sen, Burmeister and Ghosh, 2004]

- 26 Studies, 5,629 subjects
- $p = 0.087$
- Substantial effect of inventory and inter-study heterogeneity
- $p < 0.000016$ , NEO, corrected for heterogeneity
- 0.1 SD increment in TPQ Harm avoidance or NEO Neuroticism per "s" allele

# Triallelic Functionality at HTTLPR

- S and  $L_G$  are equivalent in expression in lymphoblasts and raphe-derived neurons
- AP2 transcription factor binds to  $L_G$  and acts as a repressor of transcription
  - Gel-shift and supershift assays
  - Allele-specific, AP2-specific decoy DNA eliminates the  $L_A:L_G$  difference

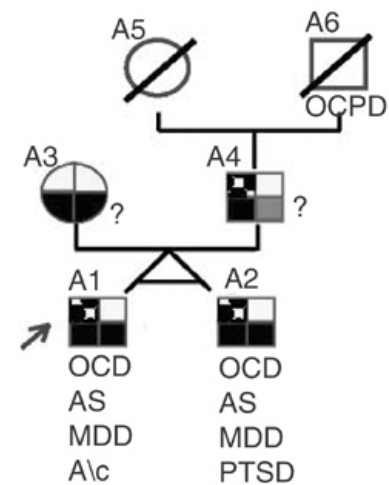
# HTT



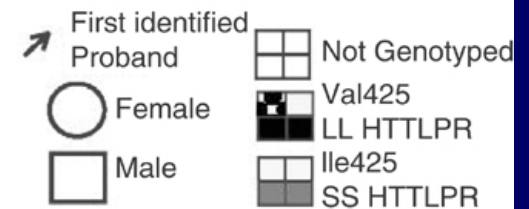
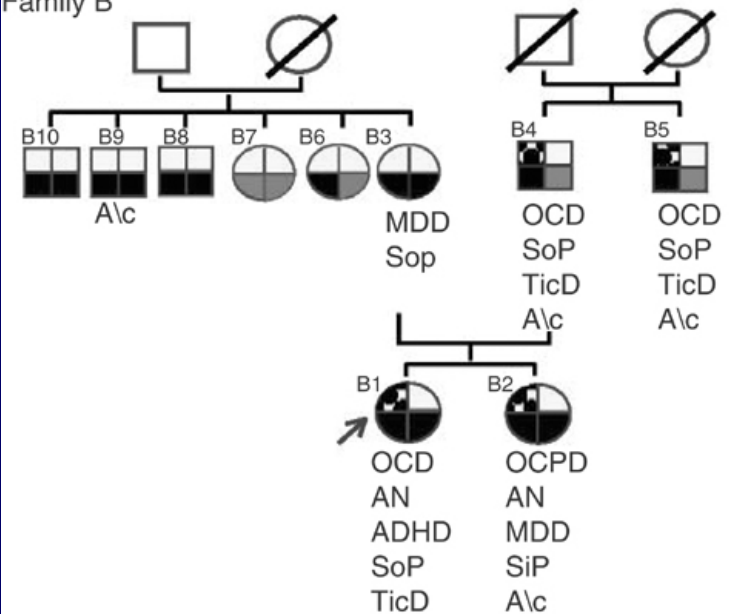
## Ile425Val

Ozaki et al, Mol Psych, 2003

### Family A



### Family B



# Replication of HTTLPR-OCD linkage in Parent/child trios

Collaboration with James Kennedy, Clarke Centre, Toronto

	S	L <sub>G</sub>	L <sub>A</sub>	S, L <sub>G</sub>	L <sub>A</sub>
Transmitted	27	11	48	20	41
Untransmitted	44	16	26	41	20

**Triallelic**  
p = 0.023

**Low/High**  
p = 0.010



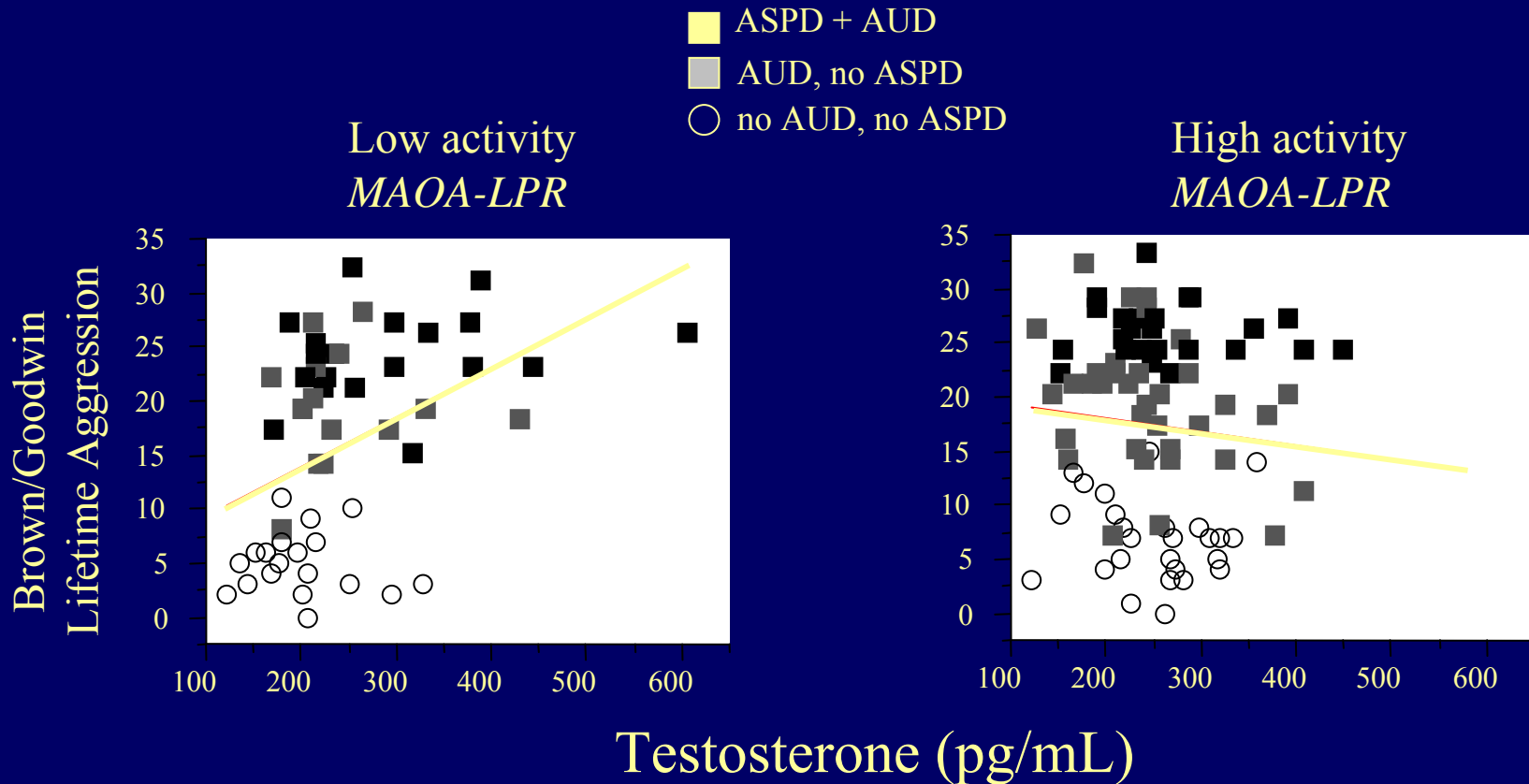
# HTTLPR genotype and allele frequencies in 169 OCD patients and 253 controls

	Genotypes						Alleles		
	SS	SL <sub>A</sub>	SL <sub>G</sub>	L <sub>A</sub> L <sub>A</sub>	L <sub>A</sub> L <sub>G</sub>	L <sub>G</sub> L <sub>G</sub>	S	L <sub>A</sub>	L <sub>G</sub>
OCD	0.21	0.34	0.03	0.34	0.07	0.01	0.38	0.56	0.06
Control	0.16	0.47	0.08	0.19	0.08	0.02	0.44	0.47	0.10
	$\chi^2 = 19.4$ p = 0.001						$\chi^2 = 6.6$ p = 0.036		

---

	SS	SL	LL	S	L
OCD	0.21	0.37	0.42	0.39	0.61
Control	0.16	0.55	0.29	0.44	0.56
	$\chi^2 = 15.0$ p = 0.001			$\chi^2 = 1.5$ p = 0.216	

# Non-additive interaction of *MAOA-LPR* and testosterone predicts antisocial behavior



$$\beta_a \text{ (SE)} = 3.49 \text{ (1.01)}; p=0.001$$

$$\beta_a \text{ (SE)} = -0.94 \text{ (1.04)}; p=0.37$$