

# WHI

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## Dietary Modification (DM) Trial



Dietary

# WHI – DM Trial

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**Leslie Ford, MD**  
Session Moderator

Associate Director of Clinical Research  
Division of Cancer Prevention,  
National Cancer Institute  
National Institutes of Health  
Rockville, Maryland





Carolyn Clifford 1941-2001

# DM Trial Morning Session I

## The Intervention

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- Background
- Hypothesis and Design
- The Dietary Change Program
- Personal Accounts of Dietary Change Participants
- Audience Questions



# DM Trial

## Background and Hypothesis

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- Background

Maureen Henderson, OBE, MD, DPH

- Hypothesis and Design

Ross Prentice, PhD



# Dietary Modification Trial Background

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**Maureen Henderson, OBE, MD, DPH**

Past Principal Investigator

Seattle Clinical Center

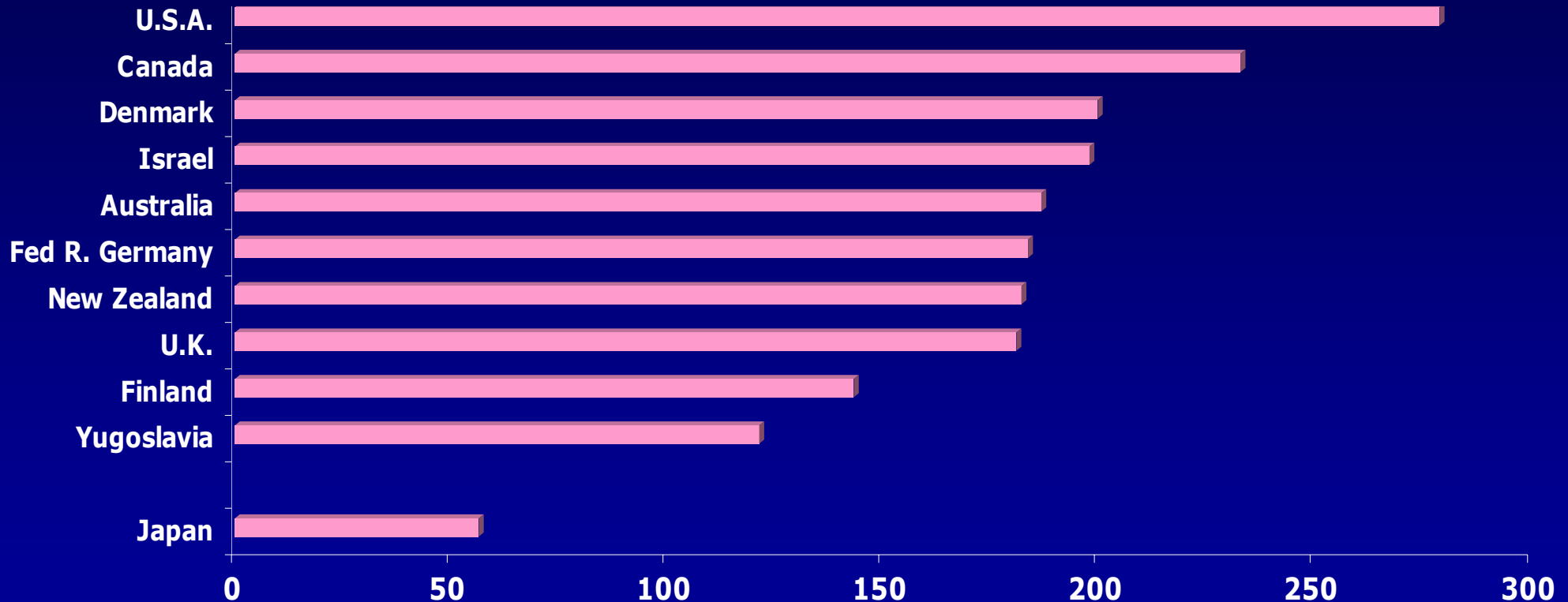
Emeritus Professor of Epidemiology and Medicine

University of Washington

Seattle, Washington



# Breast Cancer Rates by Country



Breast cancer incidence per 100,000 women  
55-69 years of age



# Feasibility & Safety of the Intervention

## □ Feasibility

- Low-fat eating patterns can be achieved and maintained by women of all ages, income, breast cancer risk levels, and racial and ethnic backgrounds

## □ Safety

- No serious side effects during either two year study or among a sample of study women 2 years later
- Eating 5+ servings of fruit and vegetables and 6+ servings of grains were made into specific goals for the WHI instead of recommendations as in the two pilot studies.

**Women's Health Trial Vanguard 1984 and  
Women's Health Trial: Feasibility in Minority Populations 1995**



**Dietary**



# Colorectal Cancer

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**Dietary**

# Coronary Heart Disease

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- WHI designed primarily for breast cancer
- However, WHT pilot studies showed:
  - A persistent reduction in:
    - Total fat intake
    - Saturated fat intake
    - Total blood cholesterol
  - No increase in polyunsaturated fats
- For safety reasons no further changes in P/S ratio were proposed for the WHI



# Women's Health Trial Vanguard Pilot Study: Intervention Group

Measure	Baseline	Year 1	Year 2
Total fat, percent of calories	39.1%	21.6%	22.6%
Saturated fat, percent of calories	13.8%	7.0%	7.2%
Blood cholesterol	221 mg/dL	207 md/dL	210 mg/dL

# Dietary Modification Trial Hypothesis and Design

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**Ross Prentice, PhD**

Principal Investigator

Clinical Coordinating Center

Member, Public Health Sciences Division

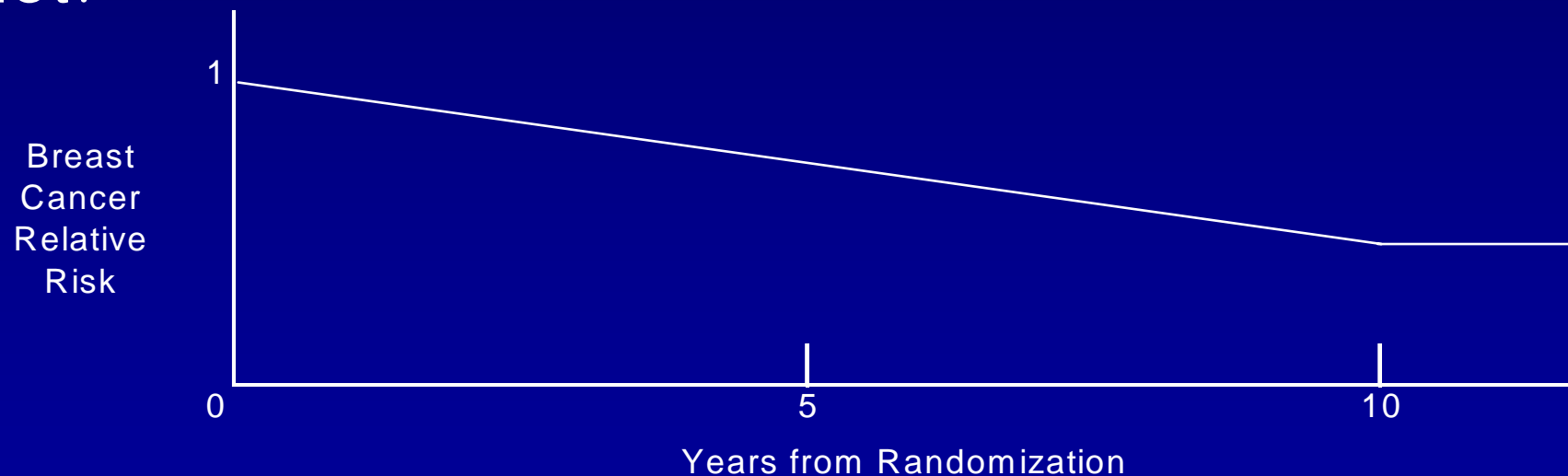
Fred Hutchinson Cancer Research Center

Seattle, Washington



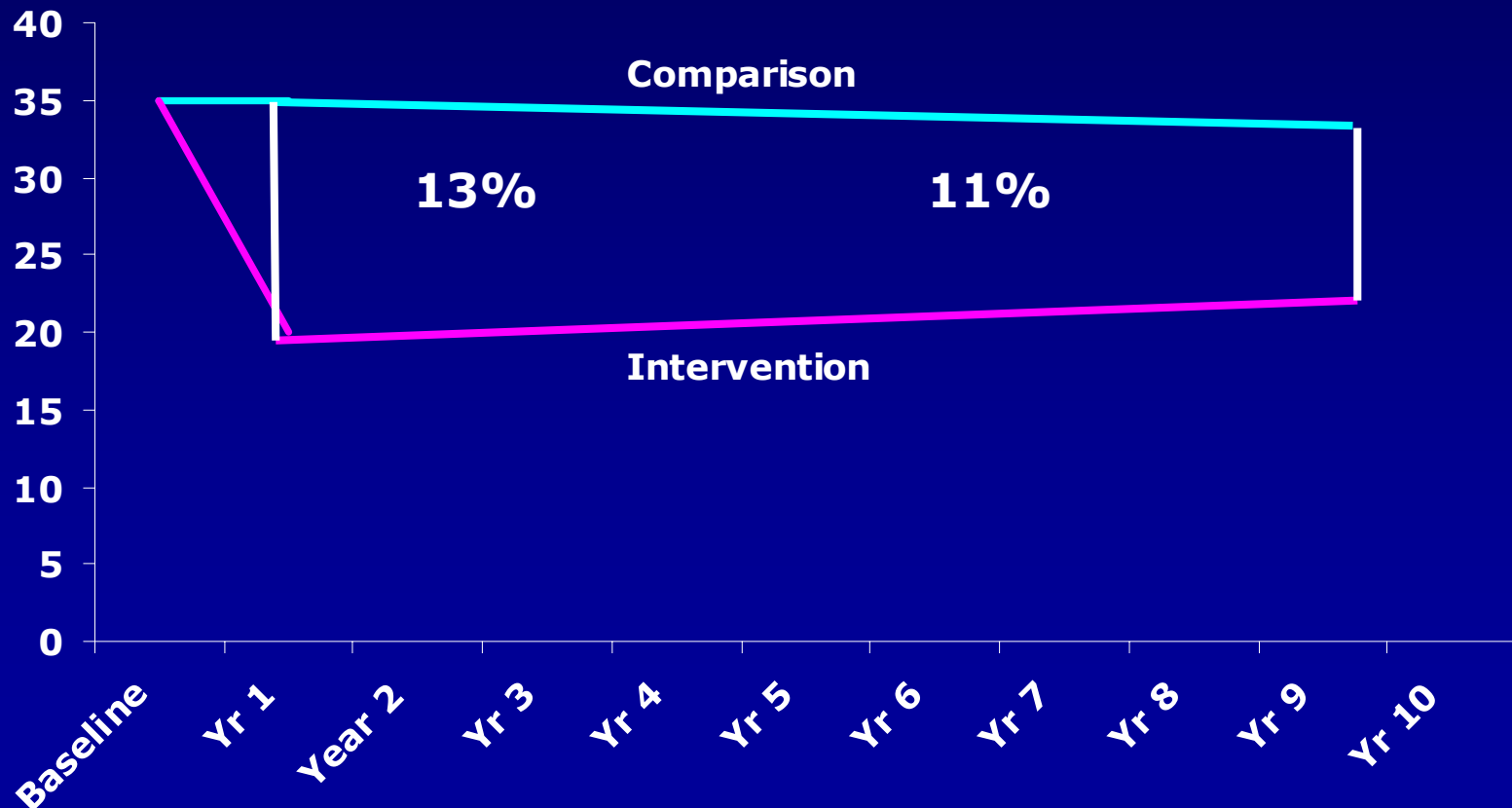
# Premise of the DM Trial

International comparisons, time trend and migrant studies, motivated the trial, and led to the basic trial hypothesis for a 20% versus a 40% energy from fat diet.

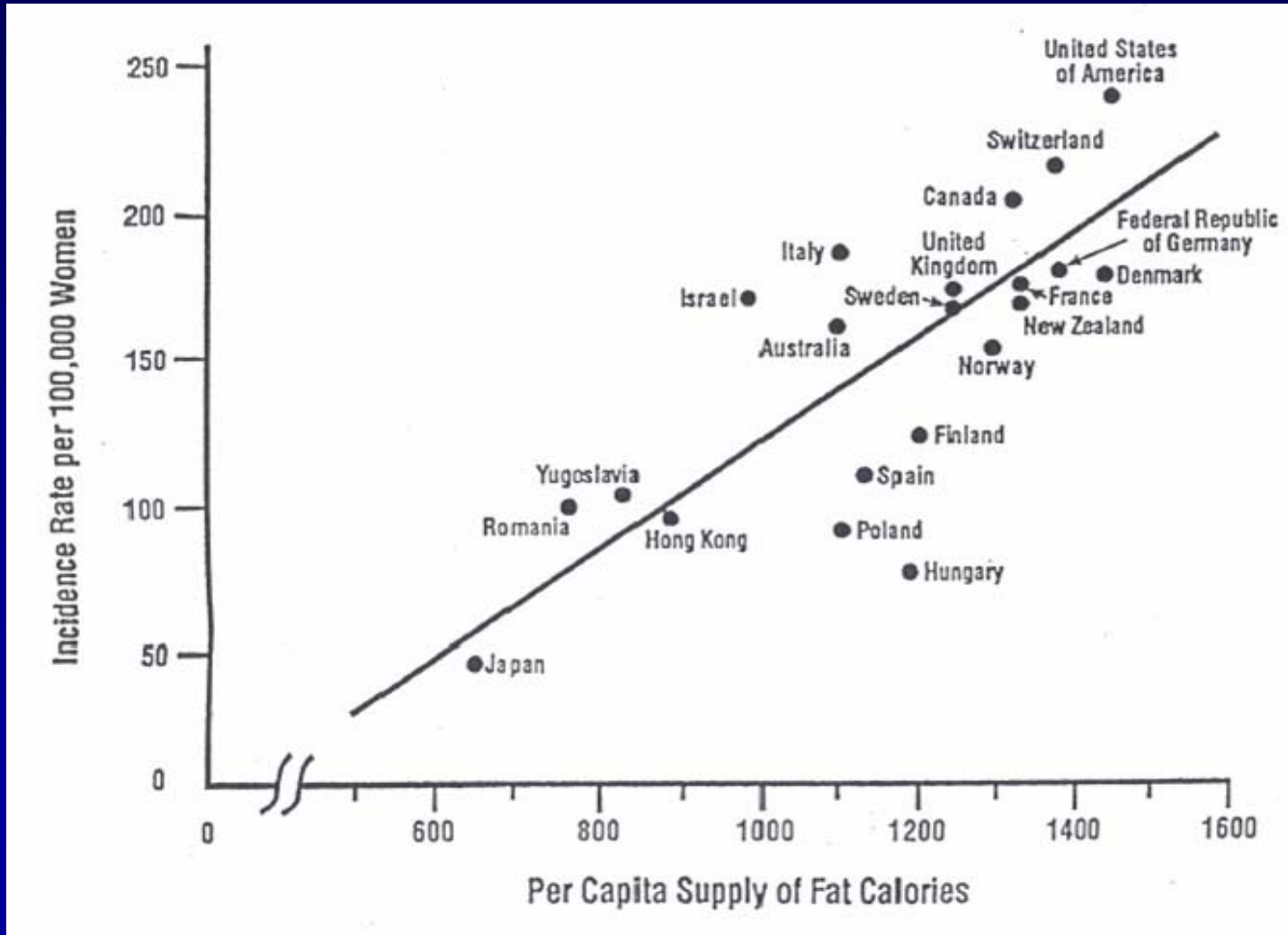


# Adherence Assumptions

Feasibility study data were used to specify an adherence assumption.



# Breast Cancer Incidence Age-Adjusted



**Women of ages  
45-69 1973-1977  
versus per capita  
fat consumption  
1975 -1977**



# Dietary Fat & Postmenopausal Breast Cancer

## Fat Consumption Quintile

### Case-control Studies

Howe et al (1990, JNCI)      1      1.20      1.24      1.24      1.46      (p<.0001)

### Cohort Studies

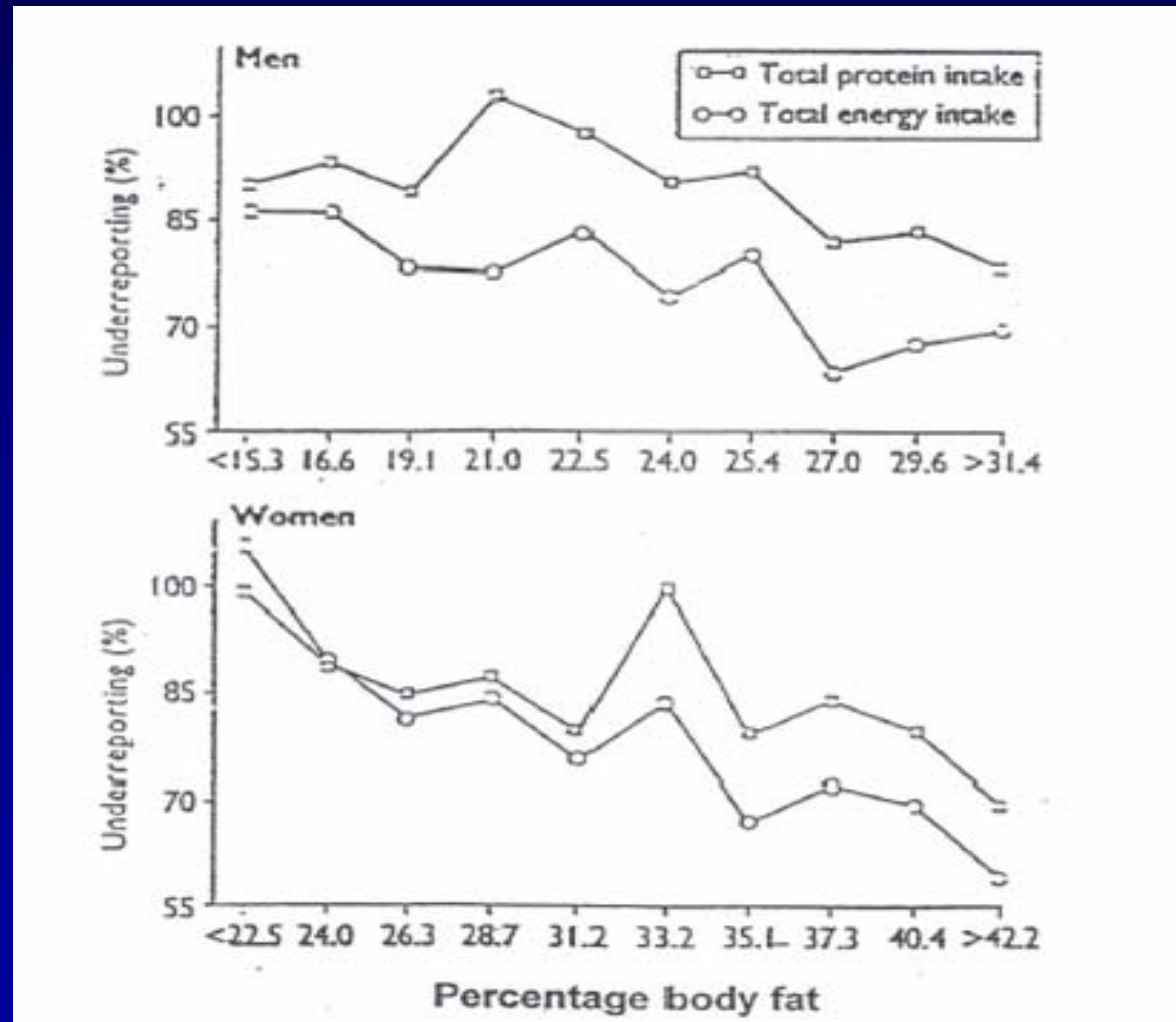
Hunter et al (1996, NEJM)      1      1.01      1.12      1.07      1.05      (p=0.21)

**Ability to adequately characterize and adjust for measurement error?**





# Underreporting of Energy and Protein



# DM Eligibility & Assumptions

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- ❑ Women having FFQ% energy from fat <32% were excluded.
- ❑ National breast cancer incidence rates projected for control group.
- ❑ Loss to follow-up and competing risk assumptions incorporated.
- ❑ Assumptions combine to give a projected **14%** lower breast cancer incidence in the intervention (I) versus the comparison (C) group



# Some Elements of Study Design

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- Target sample size 48,000
- Excludes women with prior breast or colorectal cancer
- Age and ethnicity goals
- 40% Intervention; 60% Comparison
- Projected power
  - Invasive breast cancer 86%
  - Colorectal cancer 90%
  - Coronary heart disease 86%
- Dietary intervention program based on NCI-sponsored feasibility studies



# DM Trial

## Dietary Change Session

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- Dietary Change Program  
Deborah Bowen, PhD
- Predictors of Change  
Lesley Tinker, PhD, RD



# The Dietary Change Program

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**Deborah J. Bowen, PhD**

Co-investigator

Clinical Coordinating Center

Professor – University of Washington

Member - Fred Hutchinson Cancer Research Center

Seattle, Washington



# Dietary Change Goals: Intervention Group

- 20% energy from fat
- 5 or more fruit and vegetable servings daily
- 6 or more grain servings daily



Photos courtesy of USDA Agricultural Research Service



Dietary

# Packaging the Strategies: Structure of the Intervention

## CORE

18 group sessions  
Year 1

Quarterly maintenance sessions  
Year 2 and beyond

BASELINE

END OF DM TRIAL



## AUGMENTATIONS

- Motivational Interviewing – 3 personal contacts

- Targeted Messaging – Mailing

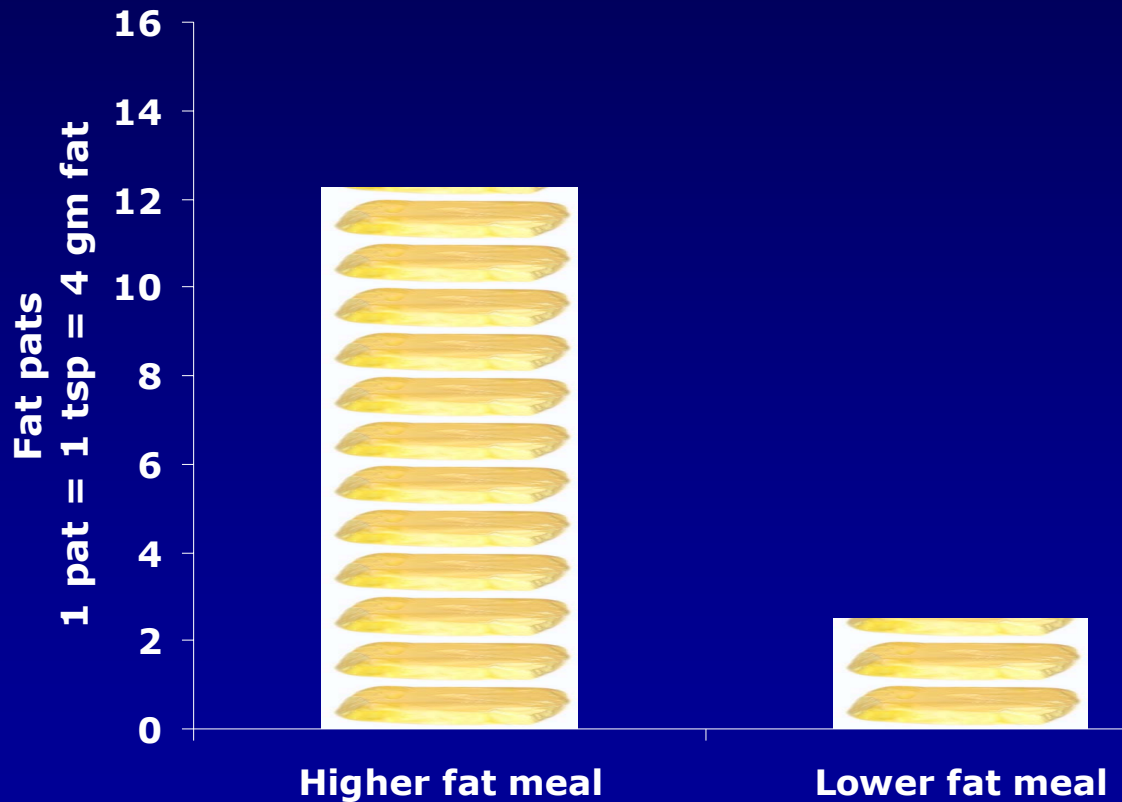
- Tailored Feedback: Personal Evaluation of Fat Intake – Group sessions

- Tailored Feedback:  
Personal Evaluation of Fat Intake – Mailing

- Clinical Center augmentations



# Fats of Life – Fats in Foods



**Higher fat meal =**  
**49 fat grams**

Fried chicken breast (3 oz)  
Potato salad (1/2 cup)  
Green beans and bacon (1/2 cup)  
Cornbread (3 by 3 1 inches)

**Lower fat meal =**  
**10 fat grams**

Roast chicken breast no skin (3 oz)  
Brown rice (1/2 cup)  
Green beans (1/2 cup) with 1 tsp  
seasoned oil  
Whole wheat roll, 1 medium





# Nutritional strategies

- ❑ Fat identification
- ❑ Food purchasing
- ❑ Food preparation
- ❑ Fat budgeting



# Behavioral strategies

- ❑ Self-Management
- ❑ Cognitive behavioral strategies
- ❑ Social support and interaction
- ❑ Relapse prevention
- ❑ Self-determination and self-efficacy



# Adherence strategies


- Regular contact
- Record keeping
- Reinforcement of progress




Women's Health Initiative  
Picture Tracker

Name: \_\_\_\_\_ Date: \_\_\_\_\_ Day: \_\_\_\_\_

5 or more Fruits/Vegetables - Circle



6 or more Grains - Circle



Low fat foods eaten...

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

High fat foods eaten...



# Augmented interventions

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- Motivational interviewing techniques
- Enhanced intervention messages
- Enhanced interventions for special populations



# Predictors of Dietary Change

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**Lesley Tinker, PhD, RD**

Co-investigator

Clinical Coordinating Center

Nutrition Scientist

Fred Hutchinson Cancer Research Center

Seattle, Washington



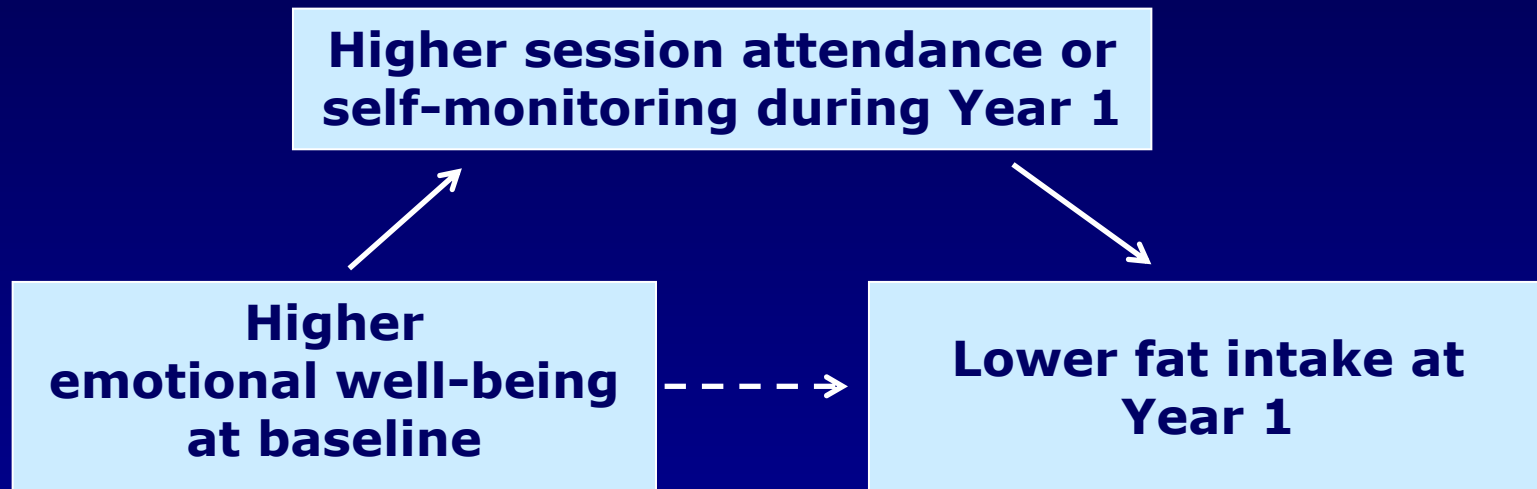
# What Have We Learned?

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- More lowering of % calories from fat among:
  - ■ \*\*\* **Women who attended more dietary sessions**
  - ■ \*\*\* **Women who self-monitored their food intake**
  - ■ **Women less than 65 years of age at baseline**
  - ■ **White women compared to African American women**
  - ■ **Women with BMI <35**
  - **White women compared to Hispanic women**
  - **Women with household incomes above \$20,000 annually**
  - **Women also randomized to the HT Trial**

■ Yr1 ■ Yr5

# Physical & Emotional Predictors of Dietary Change



**Some of the effect of emotional well-being on fat intake is mediated by session attendance and self-monitoring.**

# Changes in Grams of Fat from Foods

<b>Food</b>	<b>Intervention Group</b>		
	<b>Baseline</b>	<b>Yr 1 - Base</b>	<b>Yr 2 - Yr 1</b>
<b>Added fats</b>	<b>16.1</b>	<b>-12.5</b>	<b>0.8</b>
<b>Meats</b>	<b>13.6</b>	<b>-6.7</b>	<b>0.5</b>
<b>Desserts</b>	<b>7.1</b>	<b>-6.1</b>	<b>0.4</b>
<b>Milk, cheese</b>	<b>5.7</b>	<b>-3.5</b>	<b>0.2</b>
<b>Mixed dishes</b>	<b>6.0</b>	<b>-2.3</b>	<b>0.1</b>
<b>High-fat breads, salty snacks</b>	<b>3.7</b>	<b>-2.6</b>	<b>0.2</b>



# DM Trial

## Personal Accounts from Participants

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### Facilitators:

Yasmin Mossavar-Rahmani, PhD (NYC)

Linda Snetselaar, PhD, RD (Iowa)

### Participants:

Dolores Buckley (Worcester)

Betty Cintas (Stanford)

Sylvia Grendisa (Buffalo)

Olga Lamarche (Seattle)

Marcia Mazur (George Washington University)



# Audience Questions

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## Leslie Ford, MD

Associate Director of Clinical Research  
Division of Cancer Prevention,  
National Cancer Institute  
National Institutes of Health  
Rockville, Maryland



# DM Trial – The Results

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- Overview and Baseline Demographics  
Norman Lasser, MD, PhD
- Dietary Assessment  
Cynthia Thomson, PhD, RD
- Dietary Change and Biomarkers  
Bette Caan, DrPH



# DM Trial – The Results

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- Breast Cancer  
Ross Prentice, PhD
- Colorectal Cancer  
Shirley Beresford, PhD
- Heart Disease and Stroke  
Linda Van Horn, PhD, RD
- Summary and Dietary Implications  
Peter Greenwald, MD, DrPH
- Audience Questions  
Leslie Ford, MD (Moderator)



# Recruitment, Retention, and Baseline Demographics

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**Norman Lasser, MD, PhD**

Principal Investigator

Newark Clinical Center

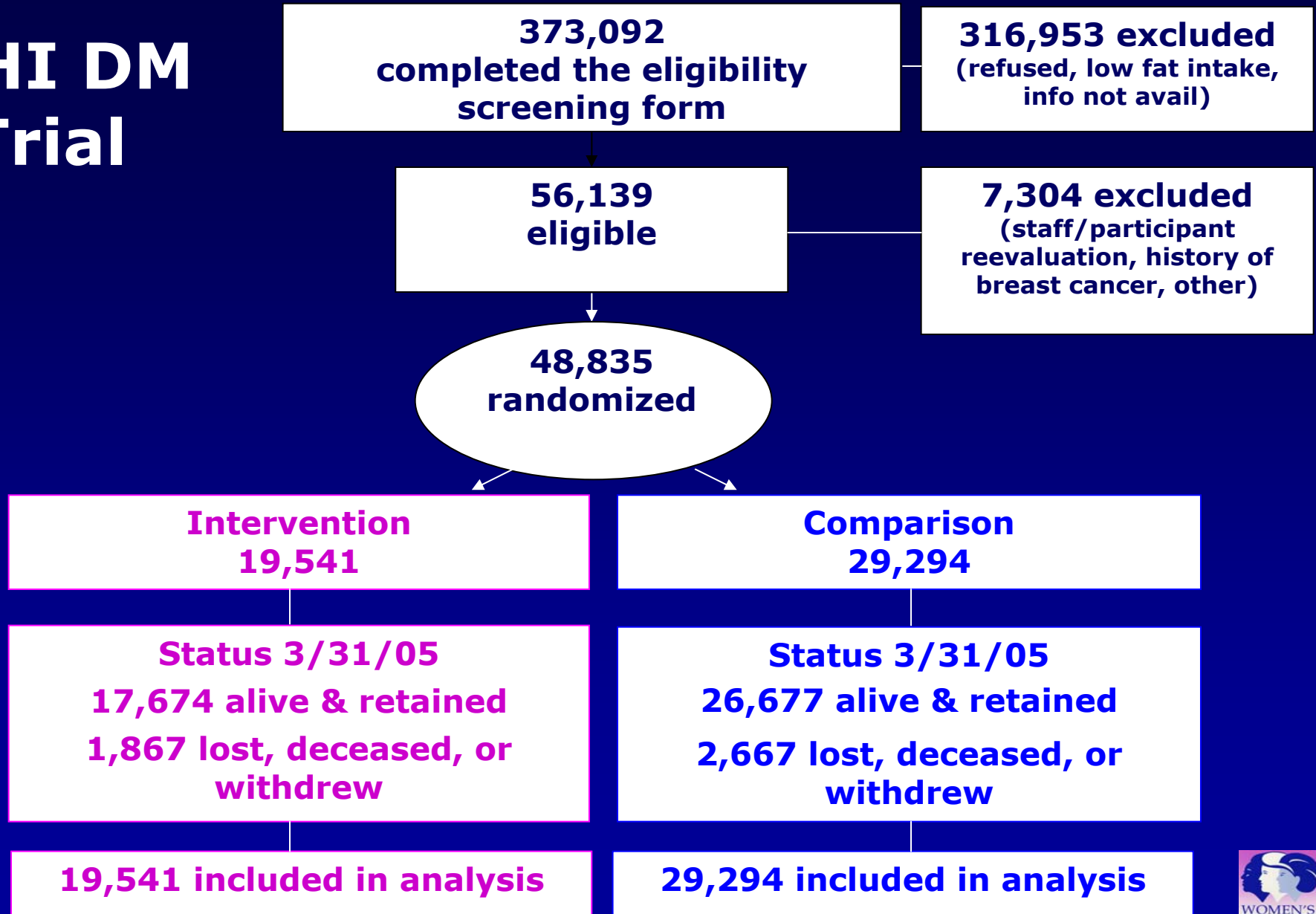
Professor of Medicine

University of Medicine and Dentistry of  
New Jersey, New Jersey Medical School

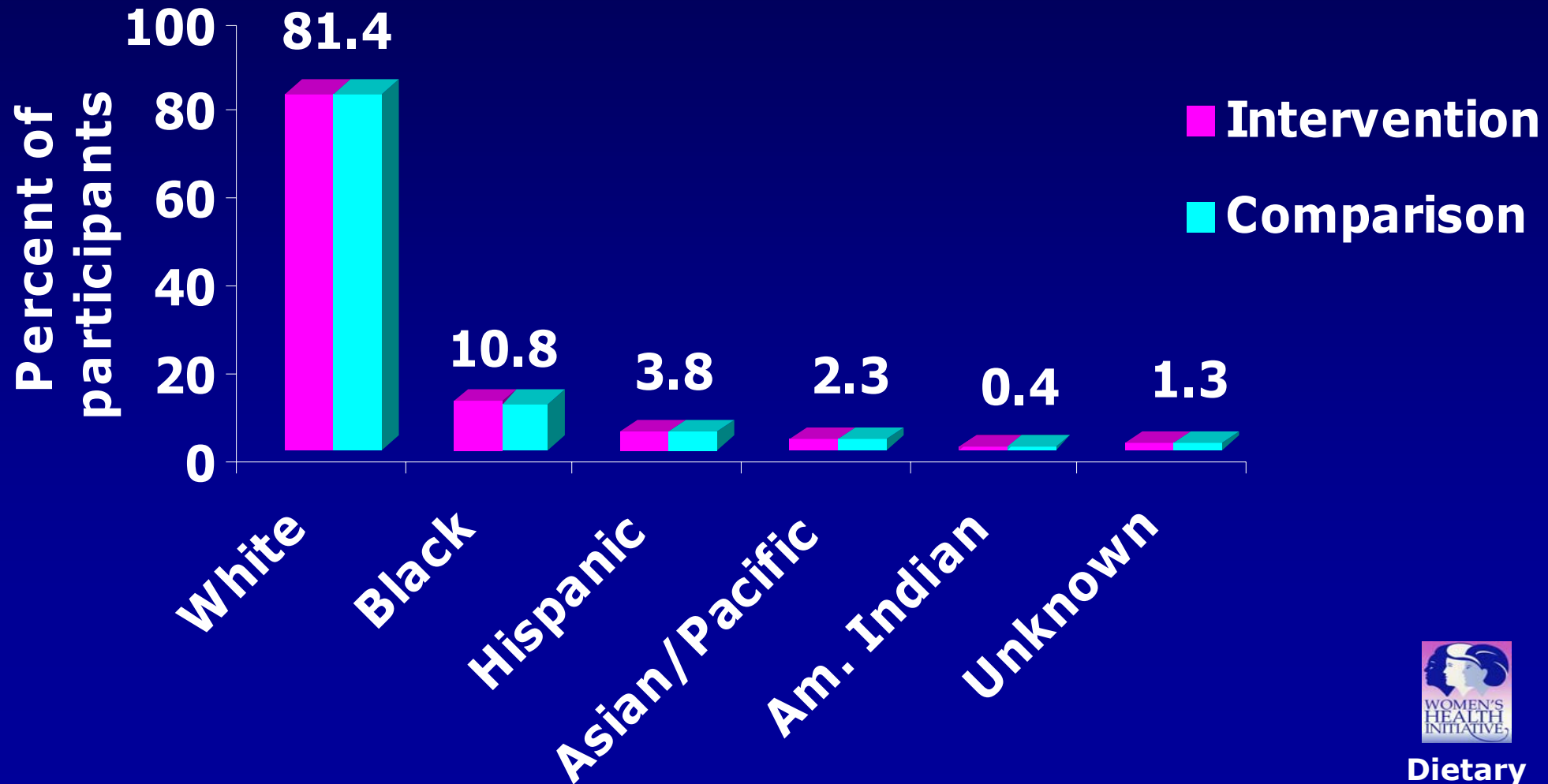
Newark, New Jersey



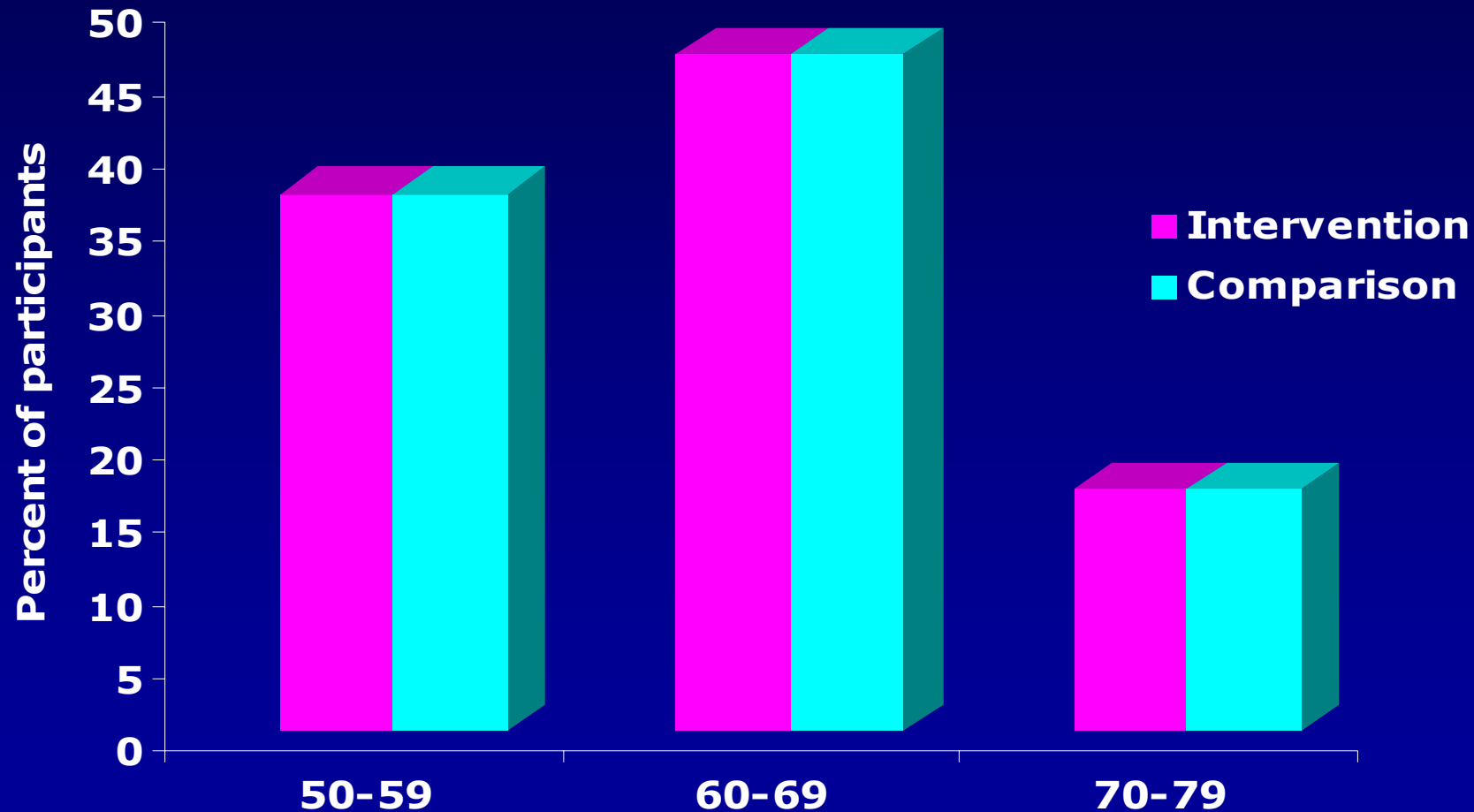
# WHI DM Trial



# WHI DM Trial: Race and Ethnicity



# WHI DM Trial: Age at Baseline



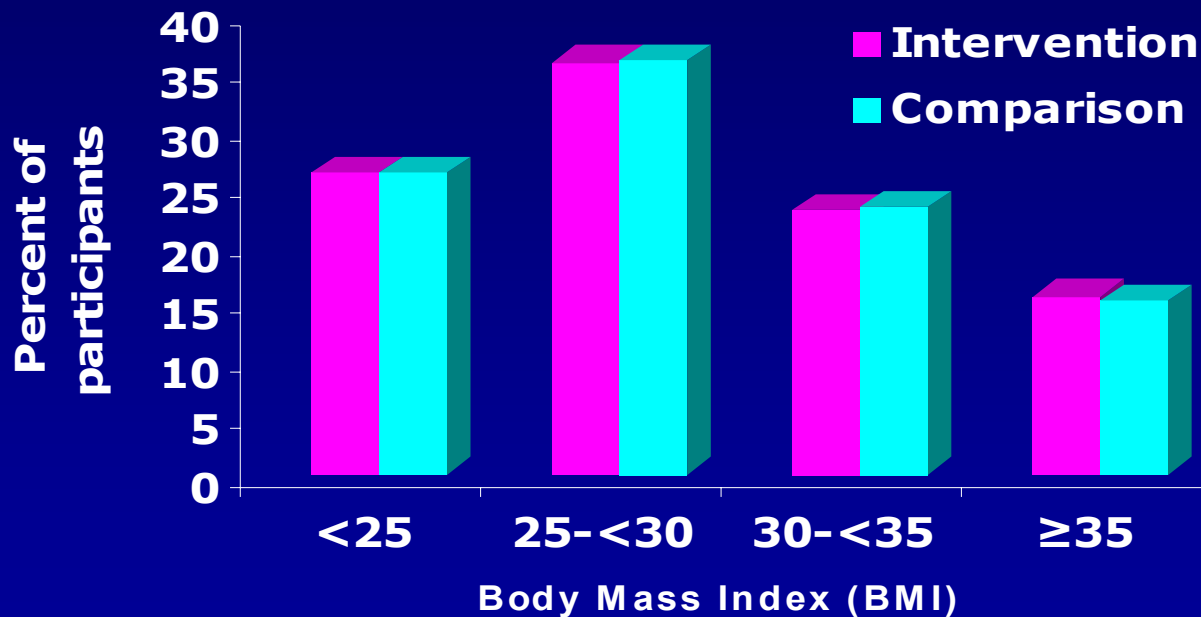
Average age 62.3 years



Dietary



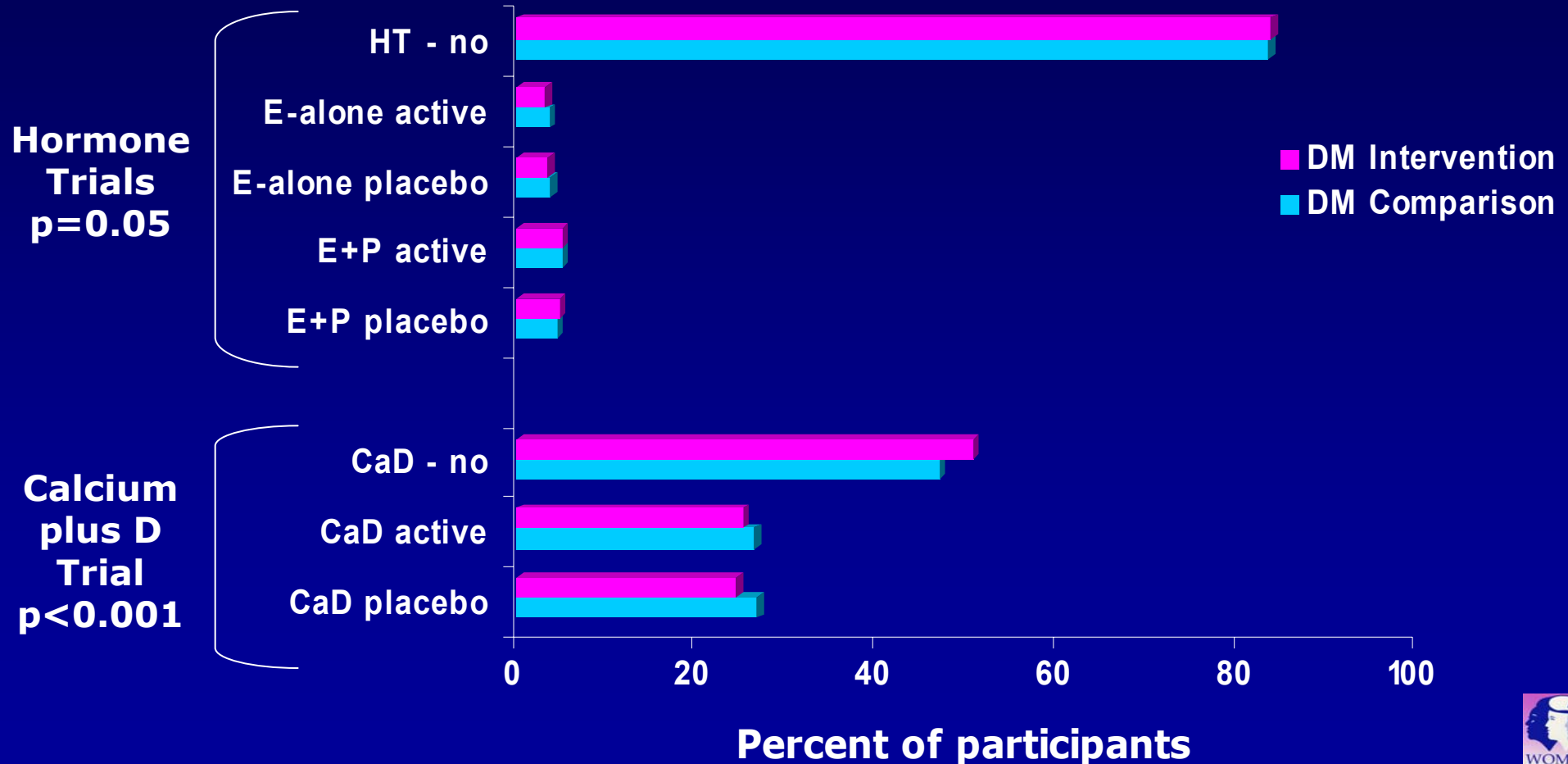
# Baseline Physical Characteristics



- Waist circumference 89 cm
- Physical activity 10 METS
- Smoking 93% never or past 7% current
- Alcohol 28% never or past 72% current
- Calories 1790

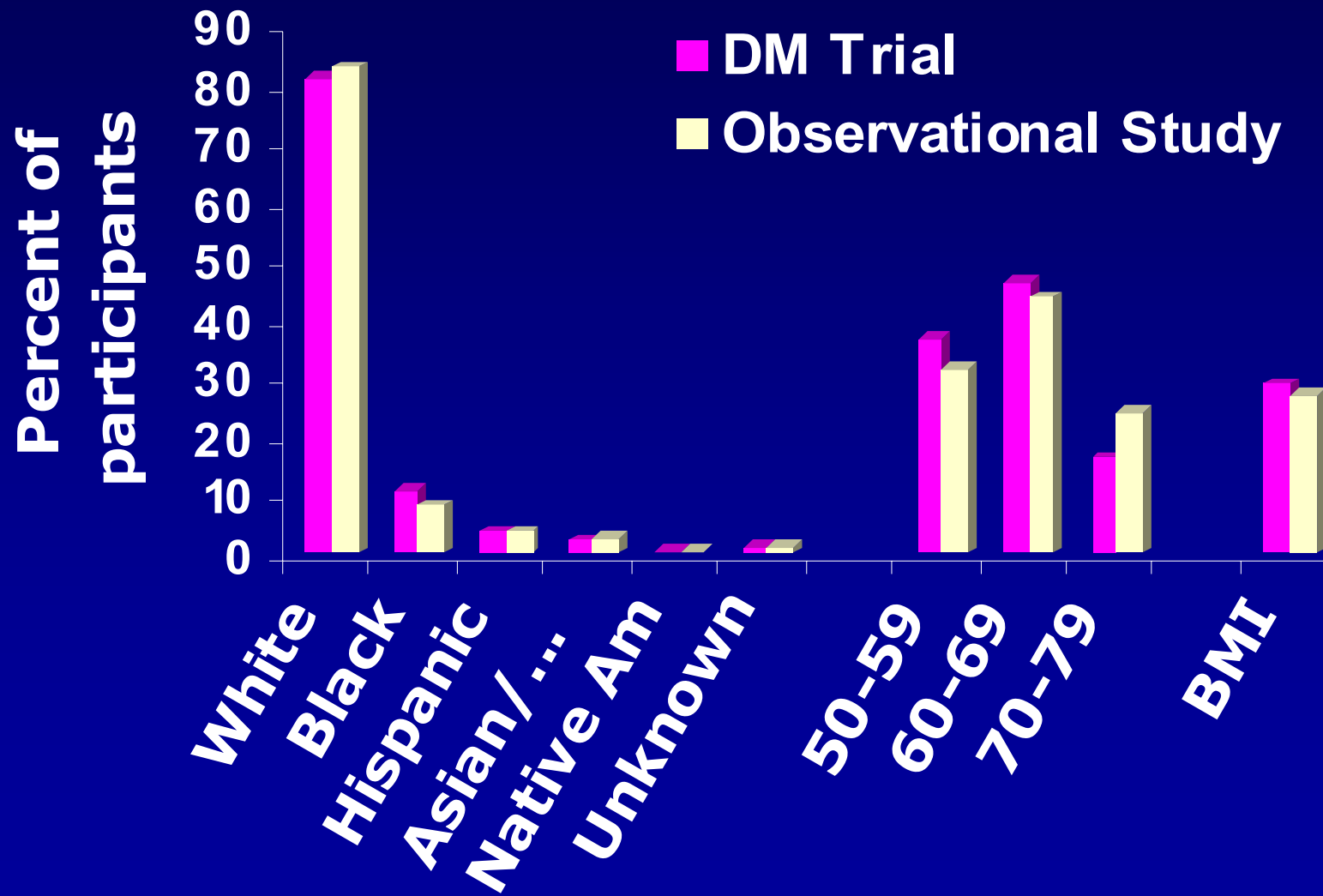


# Overlap with WHI Hormone or Calcium Vitamin D Trials



# Generalizability

## Baseline characteristics DM and OS



# Dietary Assessment

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**Cynthia Thomson, PhD, RD**

Co-Principal Investigator

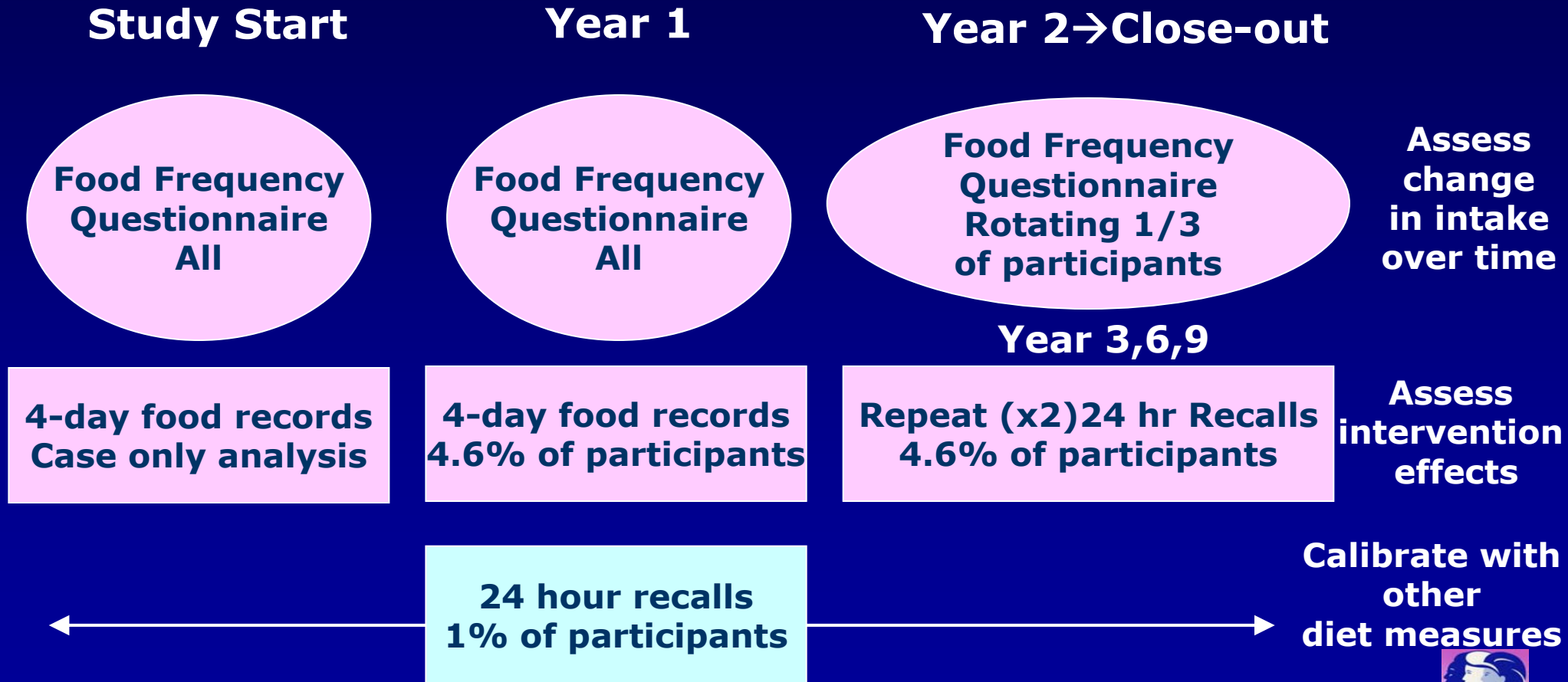
Tucson/Phoenix Clinical Center

University of Arizona

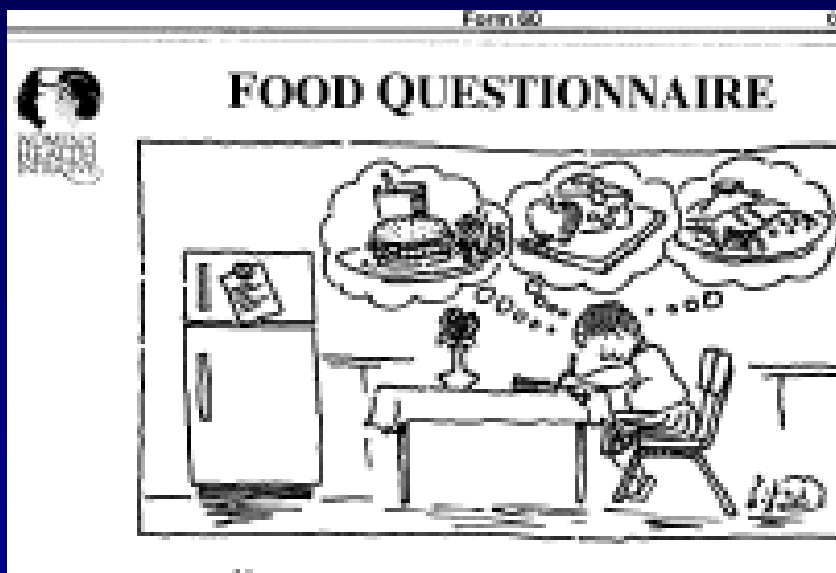
Tucson, Arizona



# How Was Diet Measured?



# WHI Food Frequency Questionnaire



TYPE OF FOOD	HOW OFTEN DID YOU EAT THE FOOD (Mark one)									AMOUNT			
	Never or less than once per month	1 per month	2-3 per month	1 per week	2 per week	3-4 per week	5-6 per week	1 per day	2+ per day	Medium Serving Size	Your Serving Size		
											S	M	L
Rice			<input checked="" type="checkbox"/>							3/4 cup		<input checked="" type="checkbox"/>	
Sausage	<input checked="" type="checkbox"/>									2 slices or 3 ounces			

During the last three (3) months . . .

TYPE OF FOOD	HOW OFTEN DID YOU EAT THE FOOD (Mark one)									AMOUNT			
	Never or less than once per month	1 per month	2-3 per month	1 per week	2 per week	3-4 per week	5-6 per week	1 per day	2+ per day	Medium Serving Size	Your Serving Size		
											S	M	L
<b>FRUITS AND JUICES</b>													
Apples and pears										1 medium or 1/2 cup			
Bananas										1 medium			
Peaches, nectarines and plums (fresh or canned)										1 medium or 1/2 cup			
Cantaloupe, orange melon, muskmelon, mango and papaya										1/4 melon or 1 cup			
Watermelon and red melon										1 medium slice or 1 cup			
All other melon, such as honeydew										1 medium slice or 1 cup			



# Advantages and Disadvantages

## Food Frequency

- Long term eating
- Low participant burden
- Administer by mail
- Cost-effective
  
- Limited foods
- Less detail
- Ability to recall
- Report bias

## Records

- Short term eating
- More detail
  
- Participant burden
- Completeness
- Behavior change
- More costly

## Recalls

- Short-term eating
- More detail
- Less report bias
  
- Participant burden
- Ability to make contact
- Ability to recall
- More costly



# Dealing with Uncertainty

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- Multiple measures of self-report
- Biomarkers
  - Double-labeled water – caloric intake
  - Urinary nitrogen – protein
  - Plasma carotenoids – fruit and vegetable intake
- Composite of self-report and biomarkers
- WHI DM Nutritional Biomarkers Study
  - Assess factors that influence the accuracy of self-report
  - Develop mathematical formulae for improving self-report of caloric intake





# **Dietary Changes & Biomarkers**

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**Bette Caan, DrPH**

Principal Investigator

Oakland Clinical Center

Senior Research Scientist

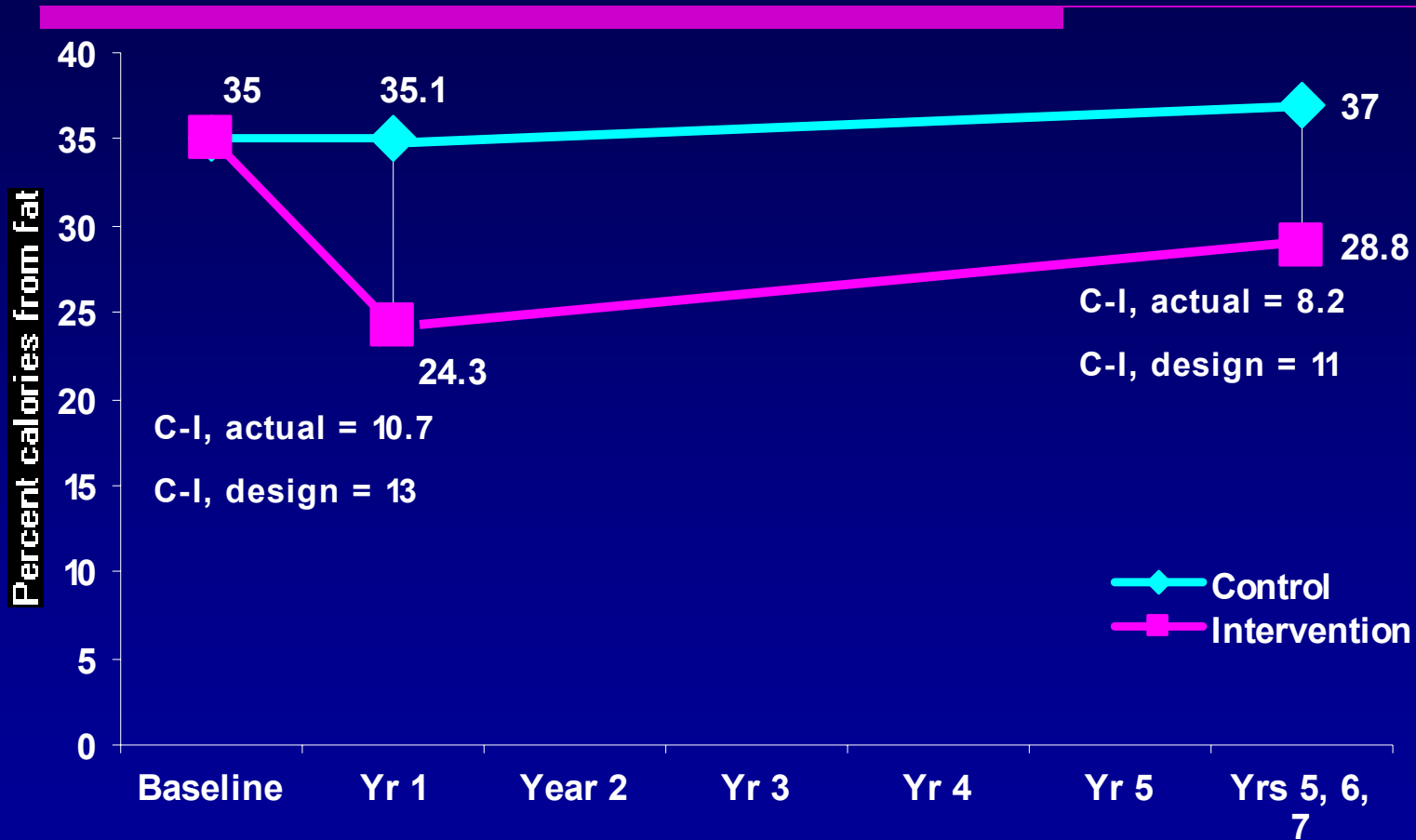
Division of Research,

Kaiser Permanente Medical Program

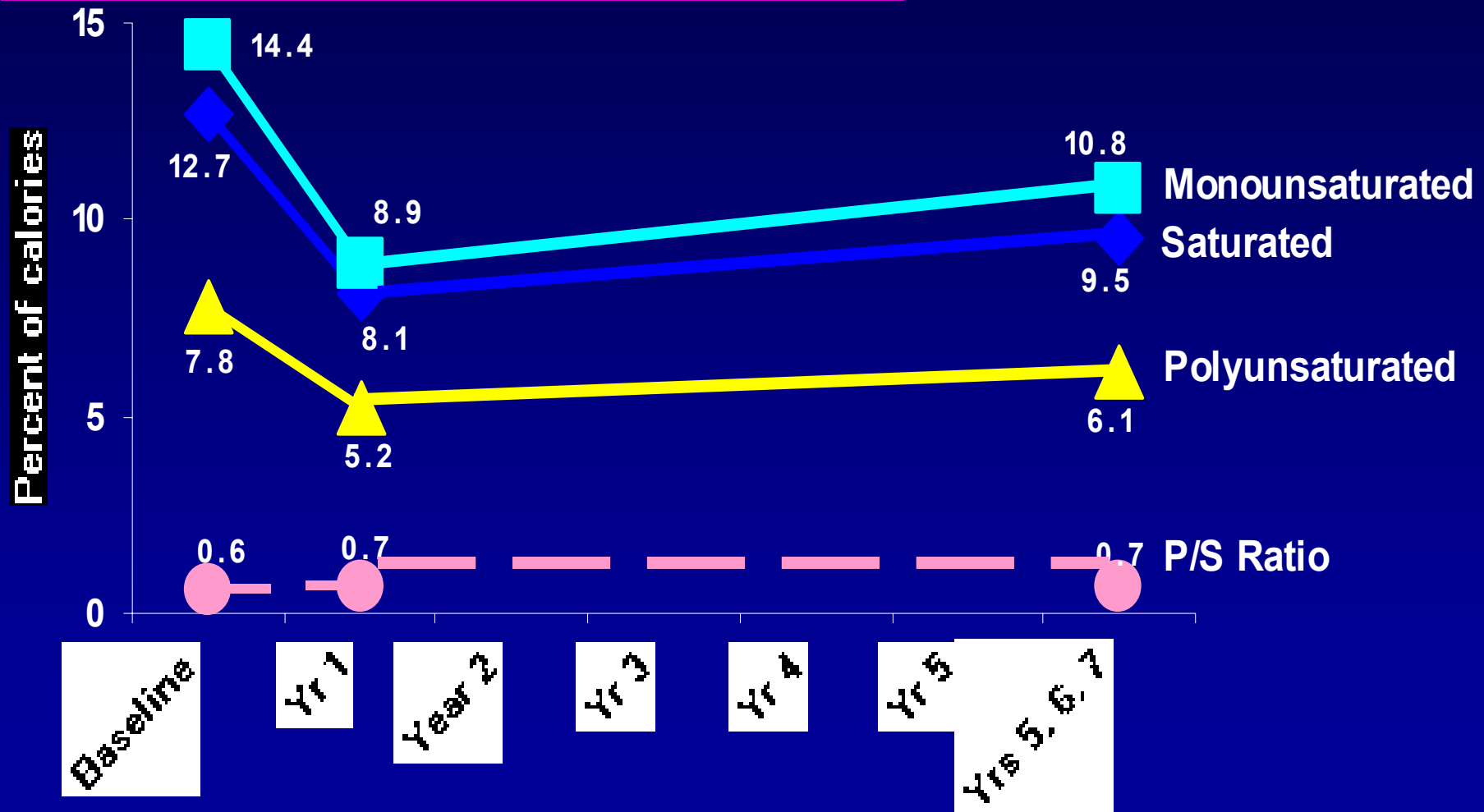
Oakland, California



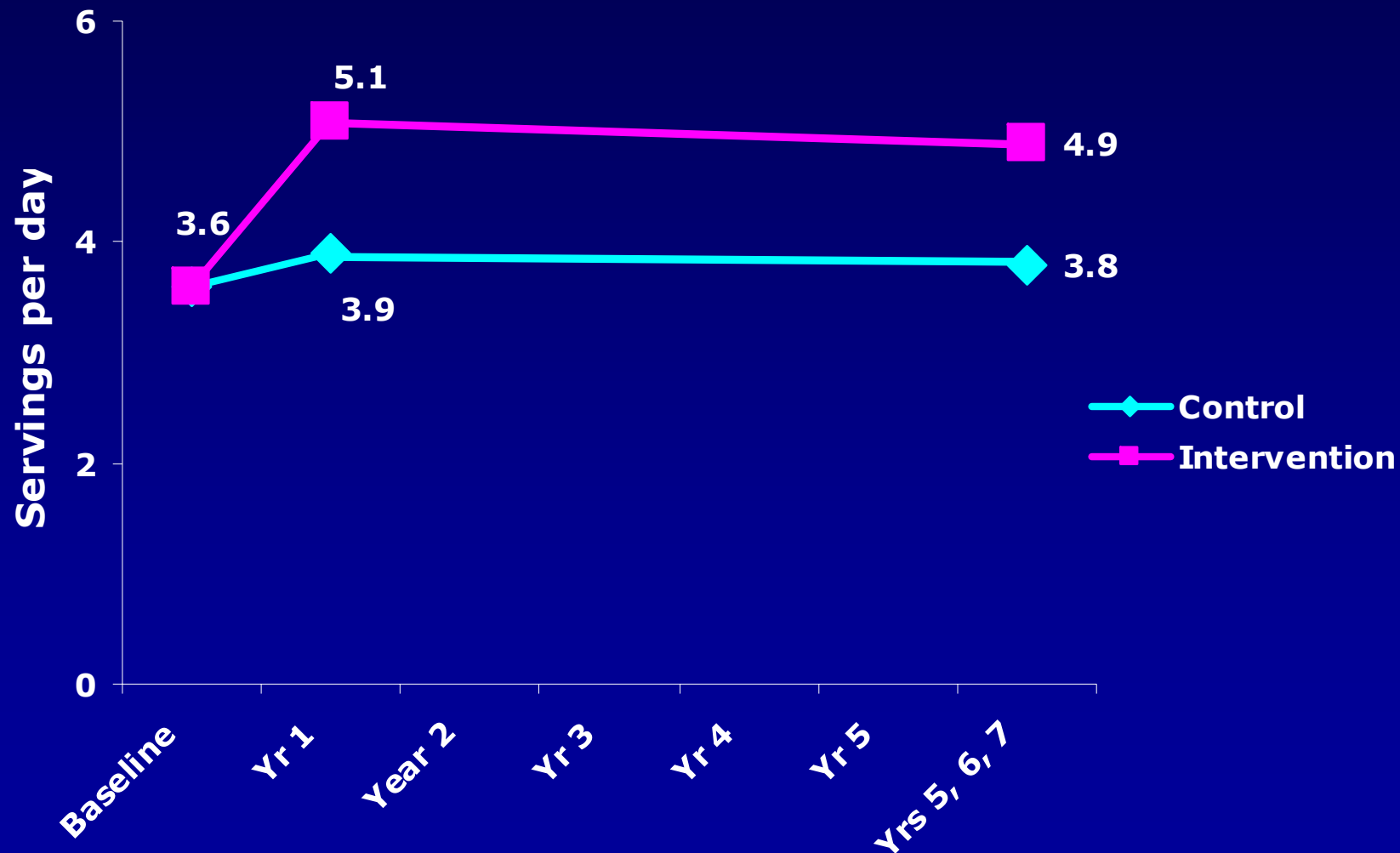
# Dietary Intake: Percent Calories from Fat



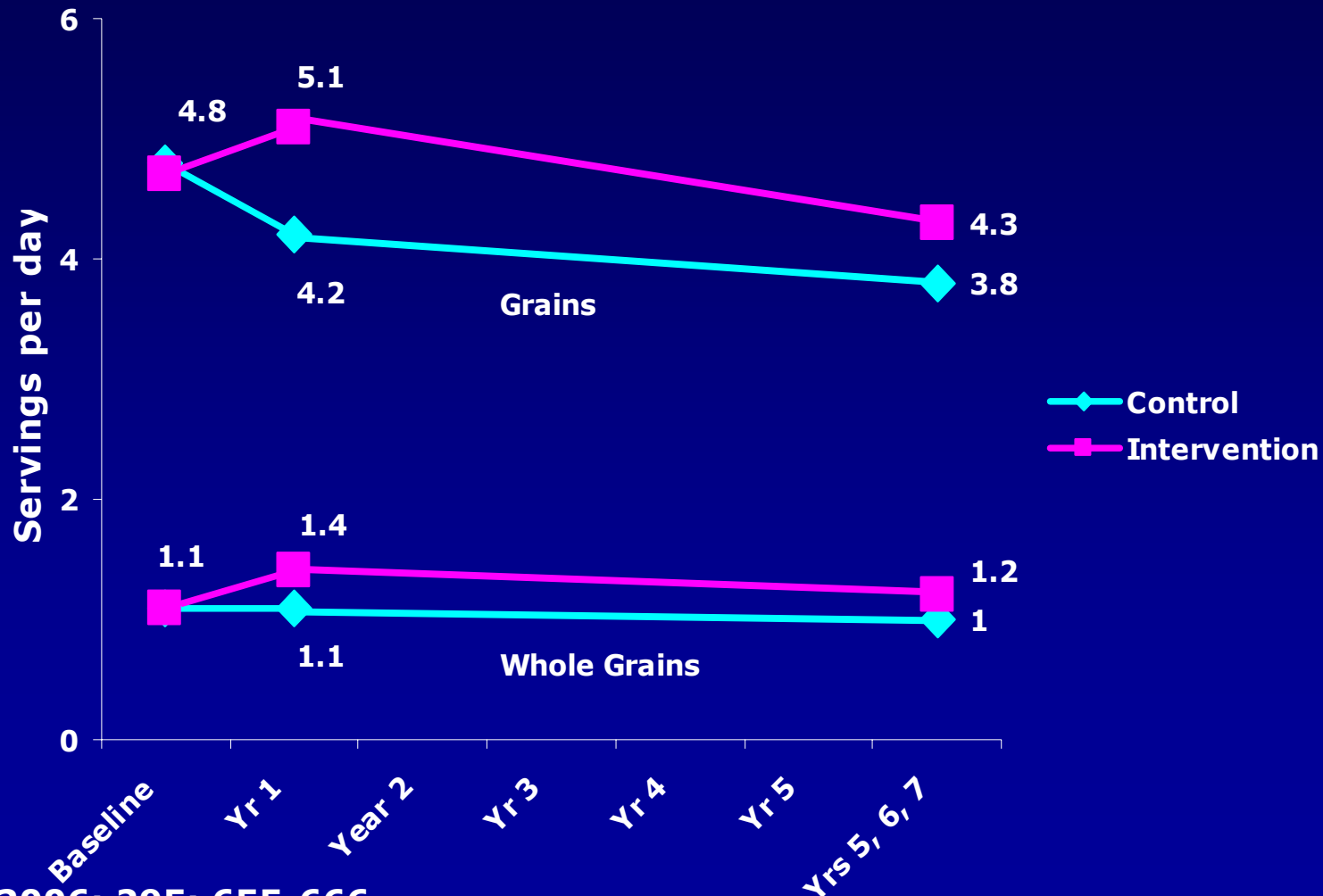
# Dietary Changes in the Intervention Group: Types of Fat



# Dietary Intake: Fruits and Vegetables



# Dietary Intake: Grains & Whole Grains



JAMA 2006; 295; 655-666



Dietary

# Nutrient Intakes & Blood Biomarkers

	Baseline	Baseline-Year 3, Inter-Cont
<b>Daily Nutrient Intakes</b>		
Folate (dietary), $\mu\text{g}$	259	+ 62 (+24.5%**)
Fiber, g	15.4	+ 3.1* (+20.0%)
Red meats, servings	0.9	- 0.2 (-22.0% **)
<b>Biomarkers in Blood</b>		
Total cholesterol, mg/dL	224	- 3.3 *
LDL-cholesterol, mg/dL	133	- 3.6 *
HDL-cholesterol, mg/dL	60.1	- 0.4
Total carotenoids, $\mu\text{g}/\text{dL}$	0.88	+ 0.04 (+ 5% )
Gamma tocopherol, $\mu\text{g}/\text{dL}$	2.3	- 0.21 *

JAMA 2006; 295; 629-642; JAMA 2006; 295; 642-654;  
JAMA 2006; 295; 655-666

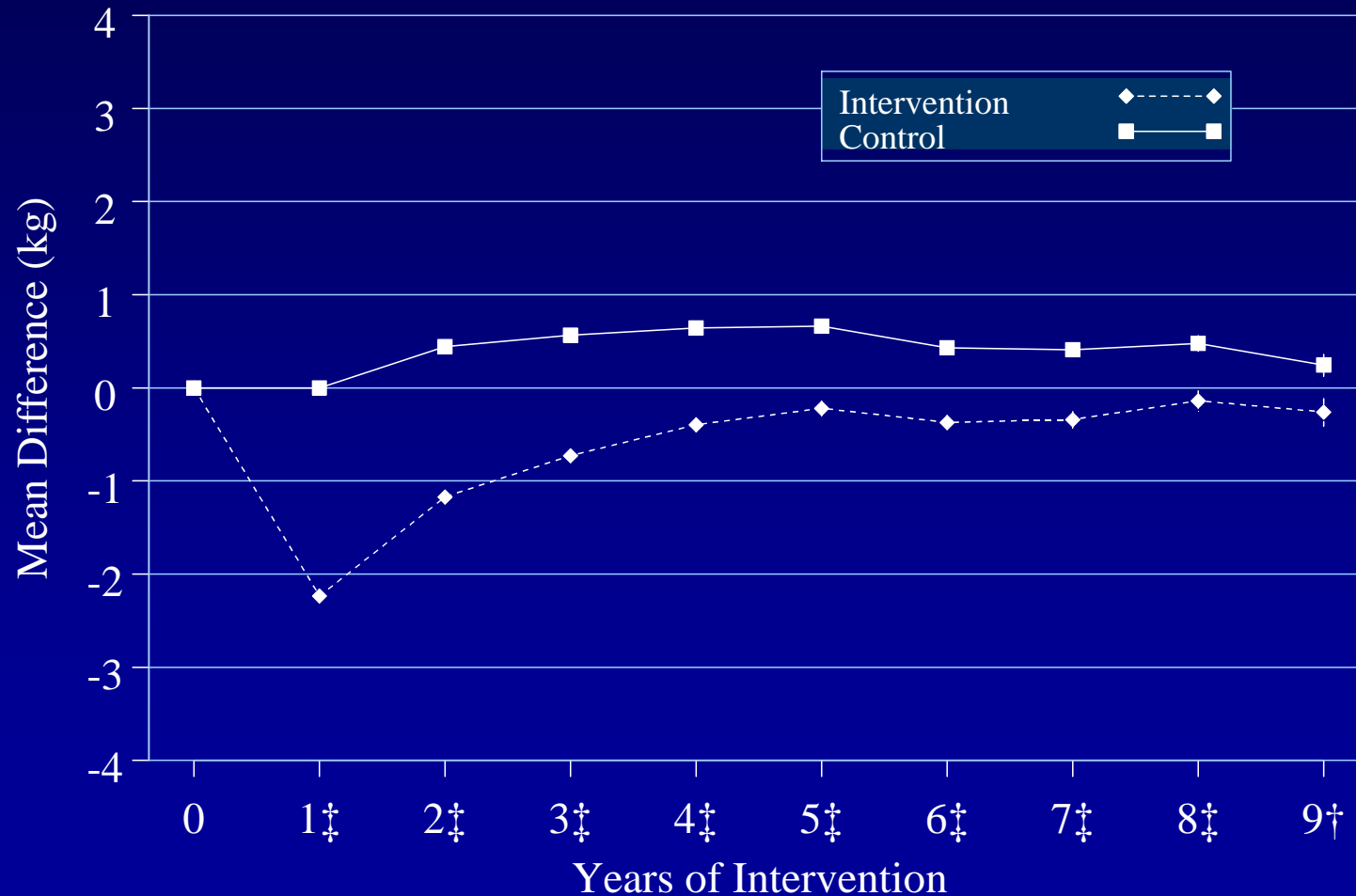
\* p<.05

\*\* p<.001



**Dietary**

# Changes in Body Weight



JAMA 2006; 295; 39-49



Dietary

# Breast Cancer

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**Ross Prentice, PhD**

Principal Investigator

Clinical Coordinating Center

Member, Public Health Sciences Division

Fred Hutchinson Cancer Research Center

Seattle, Washington

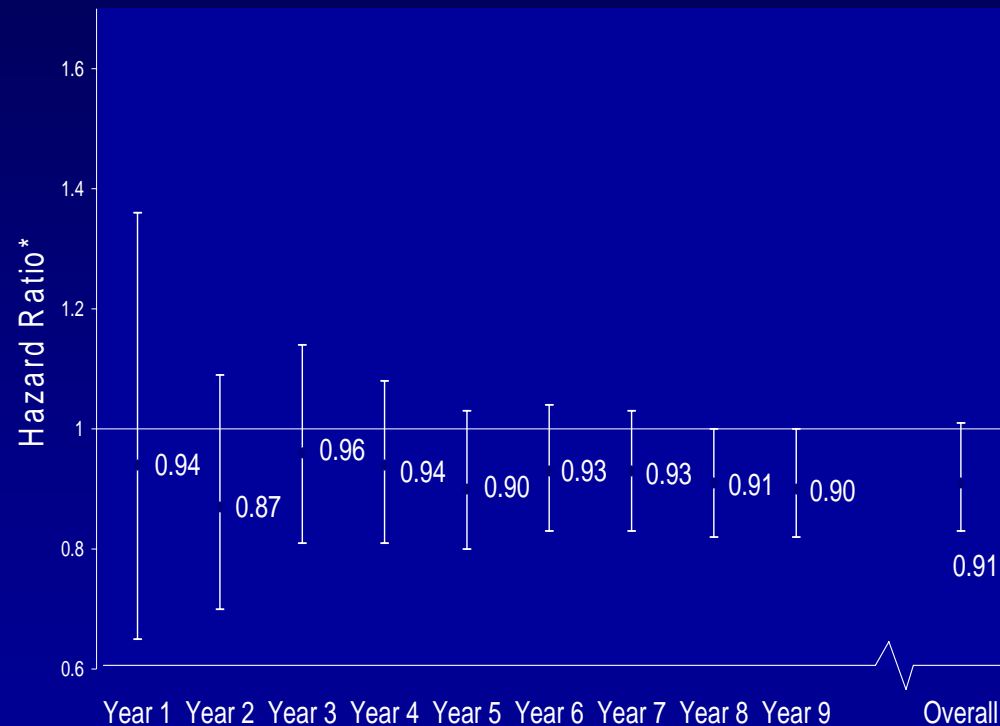
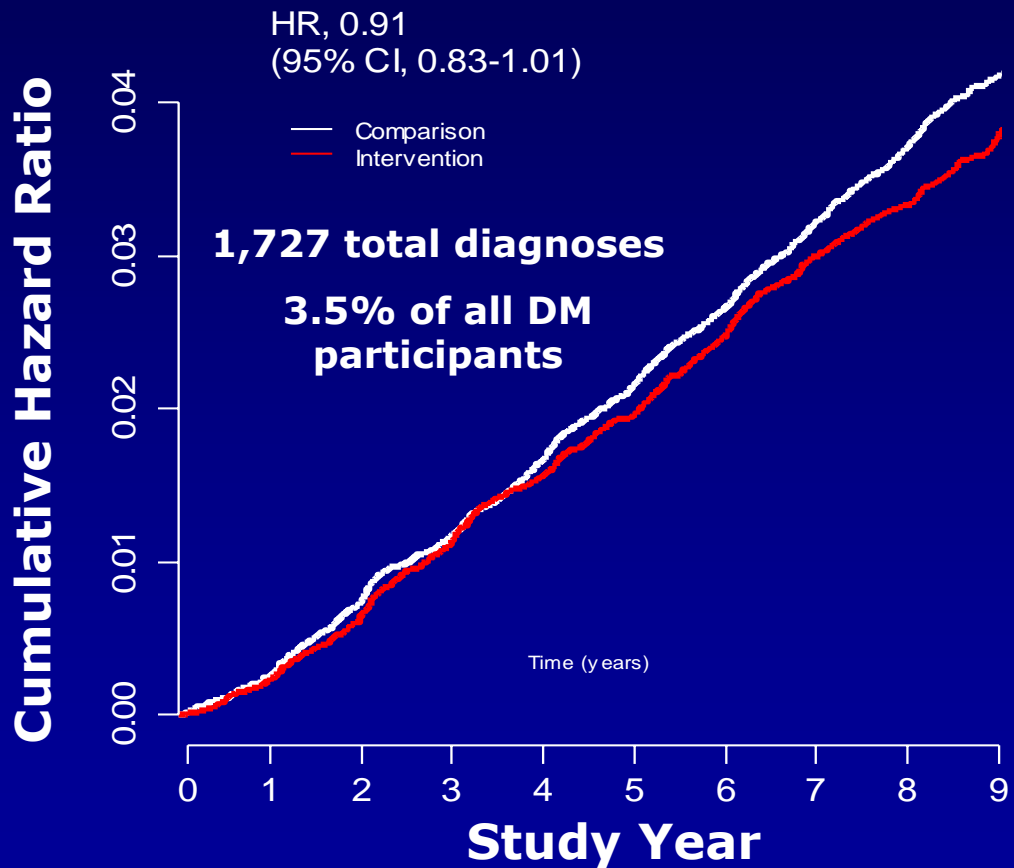




# Risk of Breast Cancer and Other Major Clinical Outcomes

	Intervention Cases = 655 Annualized %	Comparison Cases = 1072 Annualized %	Hazard Ratio (95% CI)	Unweighted <i>p</i> value
<b>Breast cancer</b>				
Incidence	0.42%	0.45%	0.91 (0.83-1.01)	.07
Mortality	0.02%	0.02%	0.77 (0.48-1.22)	.26
<b>Total cancer</b>				
Incidence	1.23%	1.28%	0.96 (0.91-1.02)	.15
Mortality	0.28%	0.29%	0.95 (0.84-1.07)	.41
<b>Total mortality</b>	0.60%	0.61%	0.98 (0.91-1.02)	.70
<b>Global index</b>	1.30%	1.35%	0.96 (0.91-1.02)	.16

# Breast Cancer: Cumulative Hazard Ratios

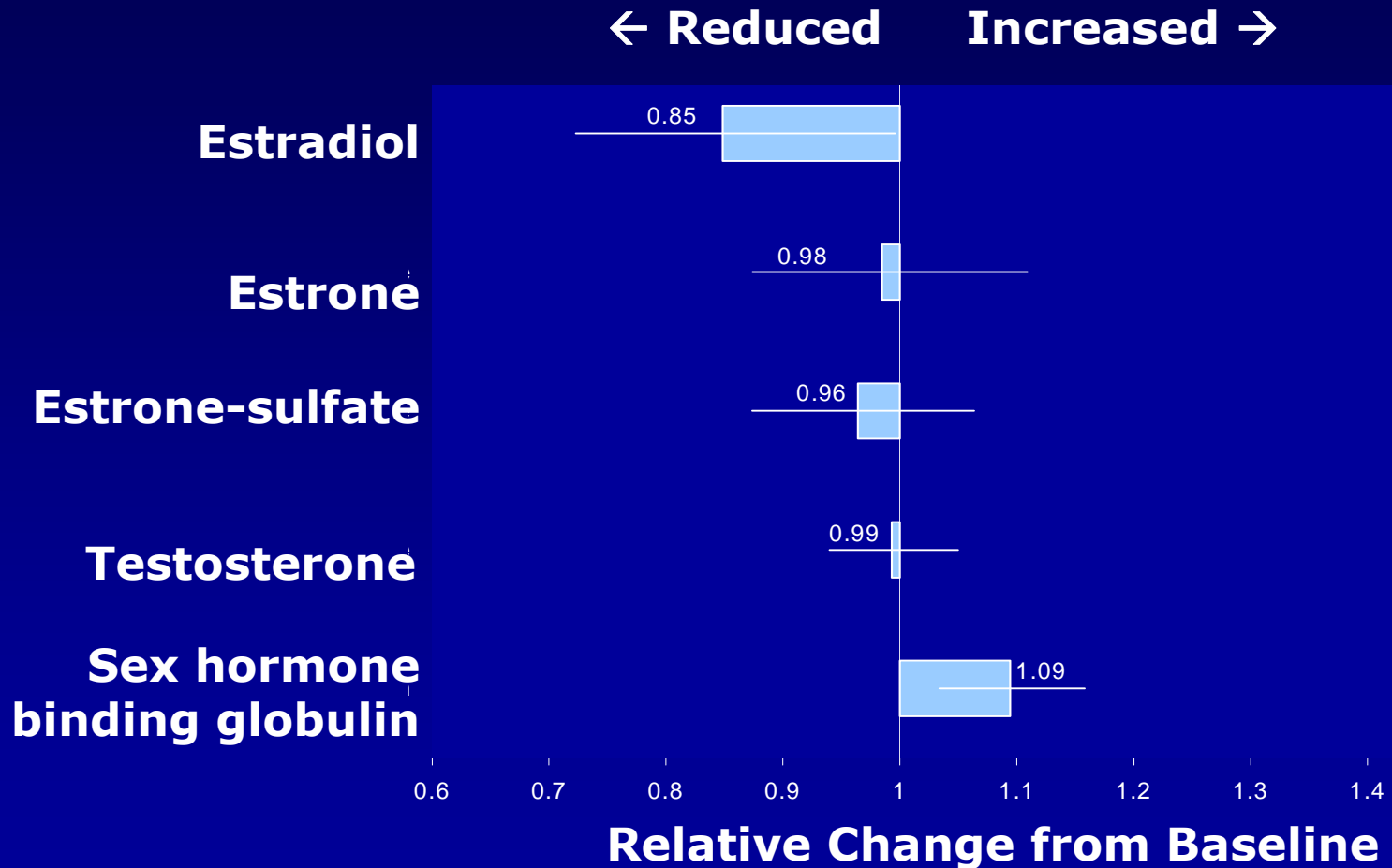


JAMA 2006; 295; 629-642



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# Blood Hormone Concentrations



JAMA 2006; 295; 629-642



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# Hazard Ratios by Dietary Factors

	Inter	Comp	Mean I-C			Interaction
Baseline Quartiles (4DFR)	Cases = 655	Cases = 1072	% cal fat	Hazard Ratio (95% CI)		p-value
<b>% energy from fat (kcal)</b>						<b>0.04</b>
< 27.9	144	222	- 9.7	0.97	(0.79, 1.20)	
27.9 - < 32.3	186	259	- 10.4	1.08	(0.89, 1.30)	
32.3-< 36.8	160	283	- 11.7	0.85	(0.70, 1.03)	
≥ 36.8	151	291	- 12.2	0.78	(0.64, 0.95)	
<b>Vegetables and fruits (sv/day)</b>						<b>0.07</b>
< 2.3	155	259	1.3	0.90	(0.73, 1.09)	
2.3-<3.3	158	268	1.3	0.88	(0.72, 1.07)	
3.3-<4.6	144	264	1.2	0.82	(0.67, 1.00)	
≥ 4.6	197	276	1.0	1.08	(0.90, 1.29)	

# Interpretation

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- Intensive intervention resulted in significant and sustained dietary fat reduction and vegetable and fruit increase.
- Observed 9% lower breast cancer rate (15% among “adherent women”) may be attributable to chance.

# Interpretation (continued)

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- Longer follow-up needed for a more definitive evaluation of low-fat eating pattern and breast cancer hypothesis:
  - Power reduced by 8.1 rather than 9 years of average follow-up and by less than targeted adherence.
  - Greater evidence of breast cancer reduction among women having higher baseline % energy from fat.

# Colorectal Cancer

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**Shirley A.A. Beresford, PhD**

Principal Investigator

Seattle Clinical Center

Professor, Epidemiology – University of Washington  
Member - Fred Hutchinson Cancer Research Center  
Seattle, Washington



# Colorectal Cancer

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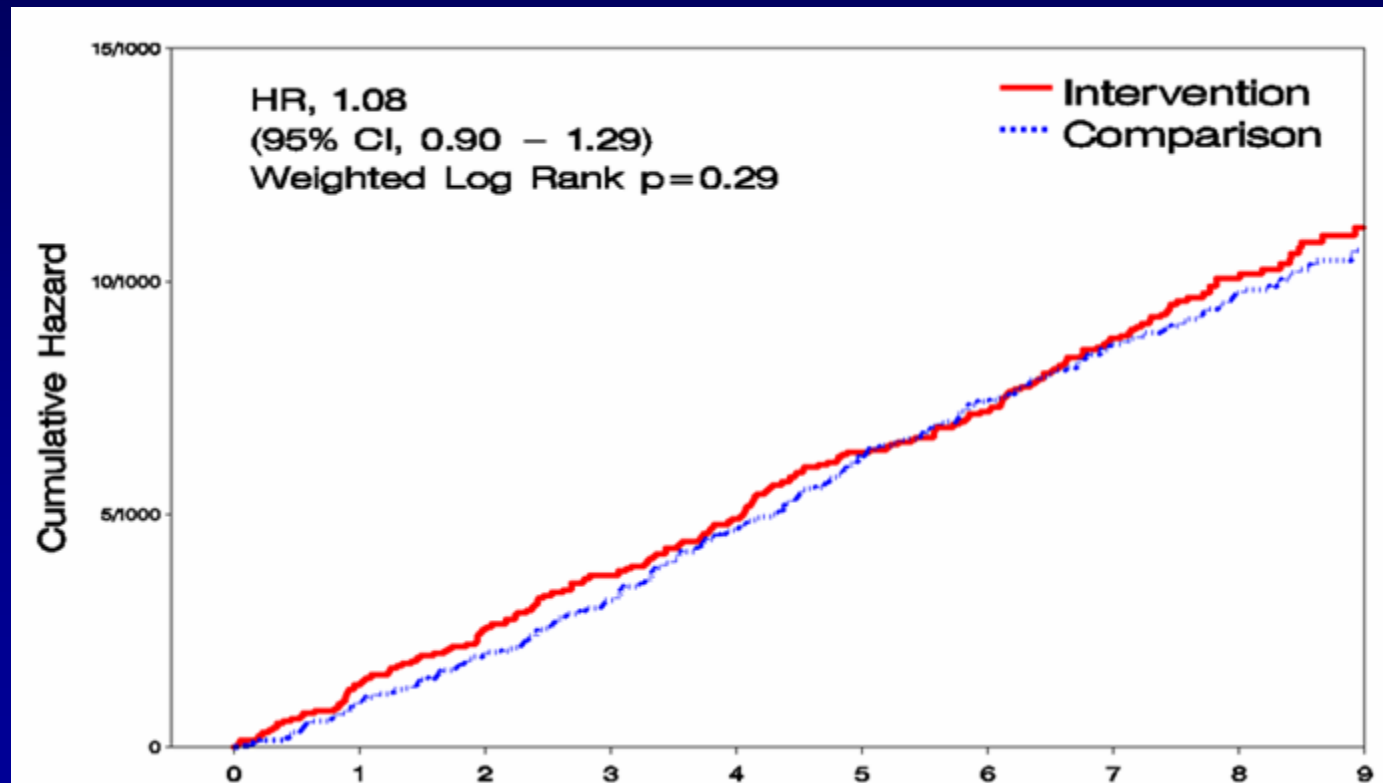
## Objective:

To evaluate the effects

- of a low-fat dietary pattern
- on risk of colorectal cancer
- in postmenopausal women

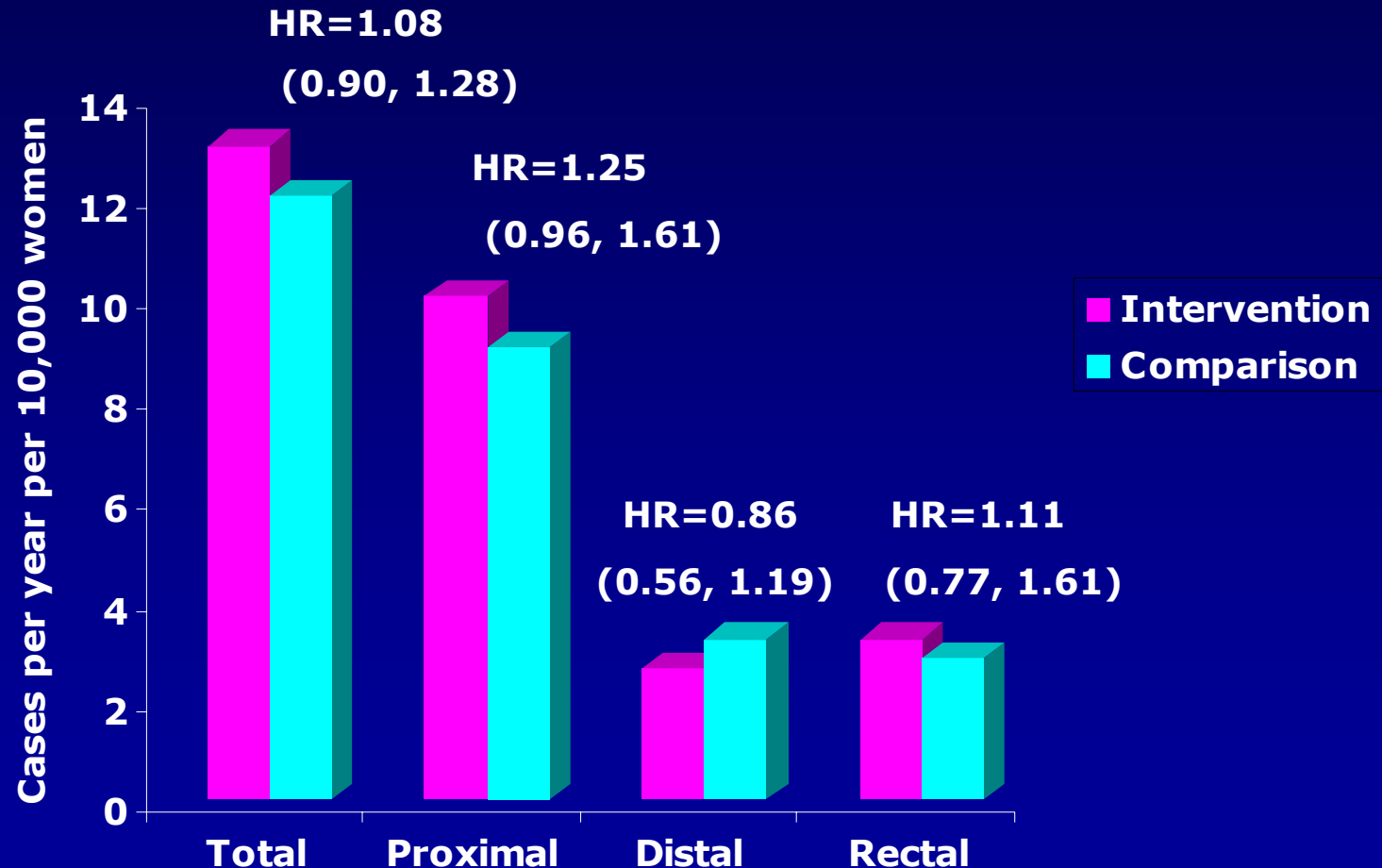


# Colorectal Cancer: Cumulative Hazard Ratios

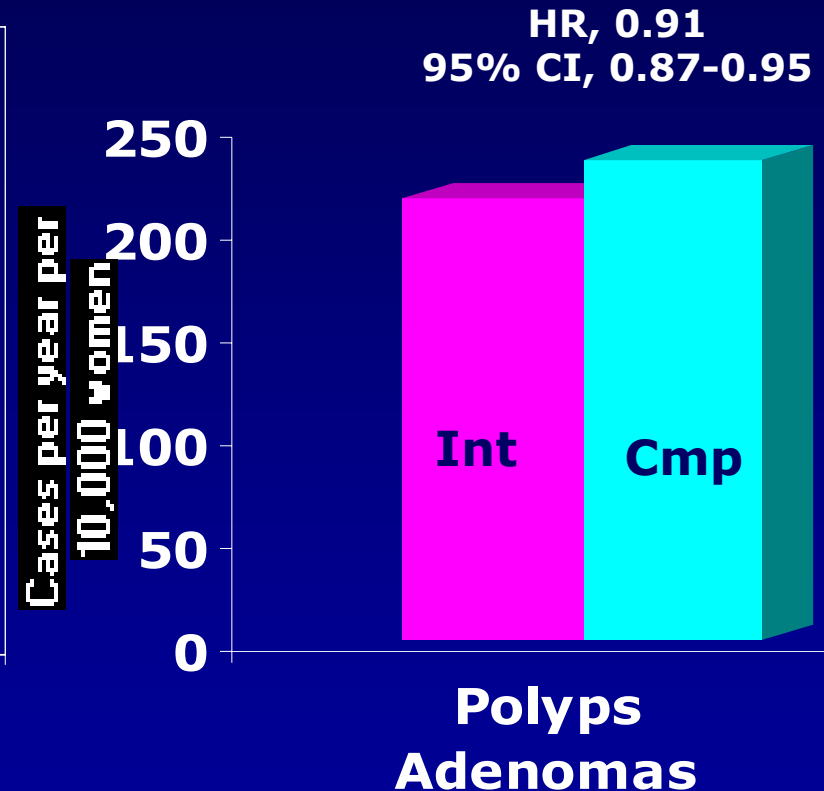
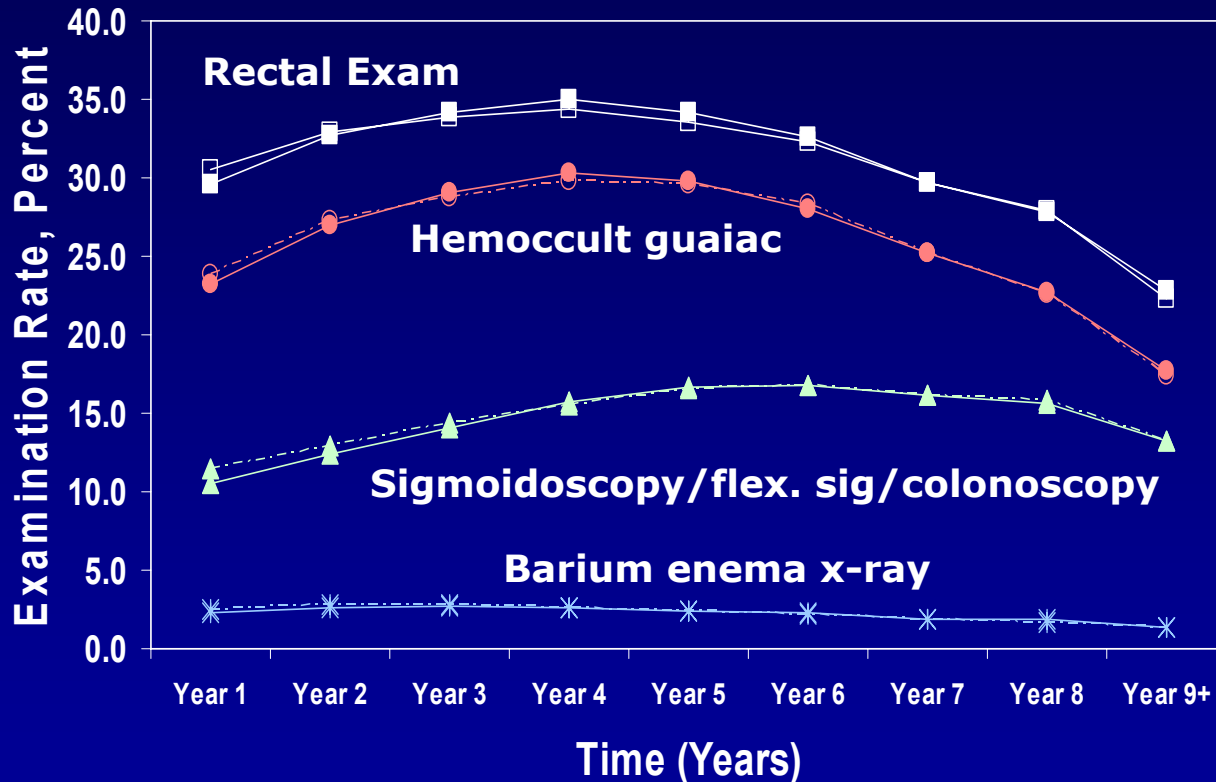


**480 total diagnoses  
(1% of all DM  
participants)**

# Colorectal Cancer: Annualized Rates and Hazard Ratios



# Bowel Examinations: Polyps/Adenomas

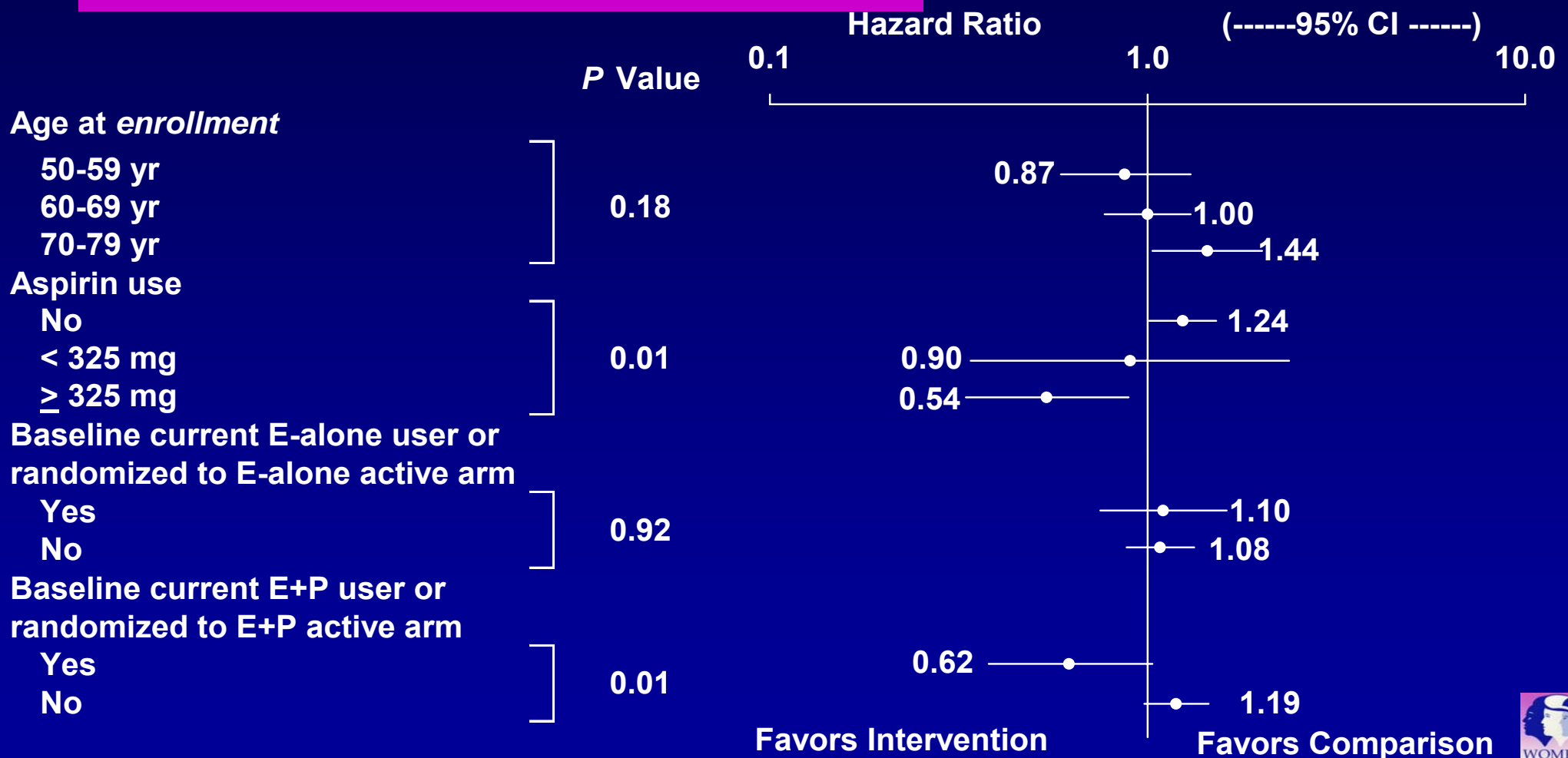


JAMA 2006; 295; 642-654



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# Colorectal Cancer Hazard Ratios by Baseline Participant Characteristics

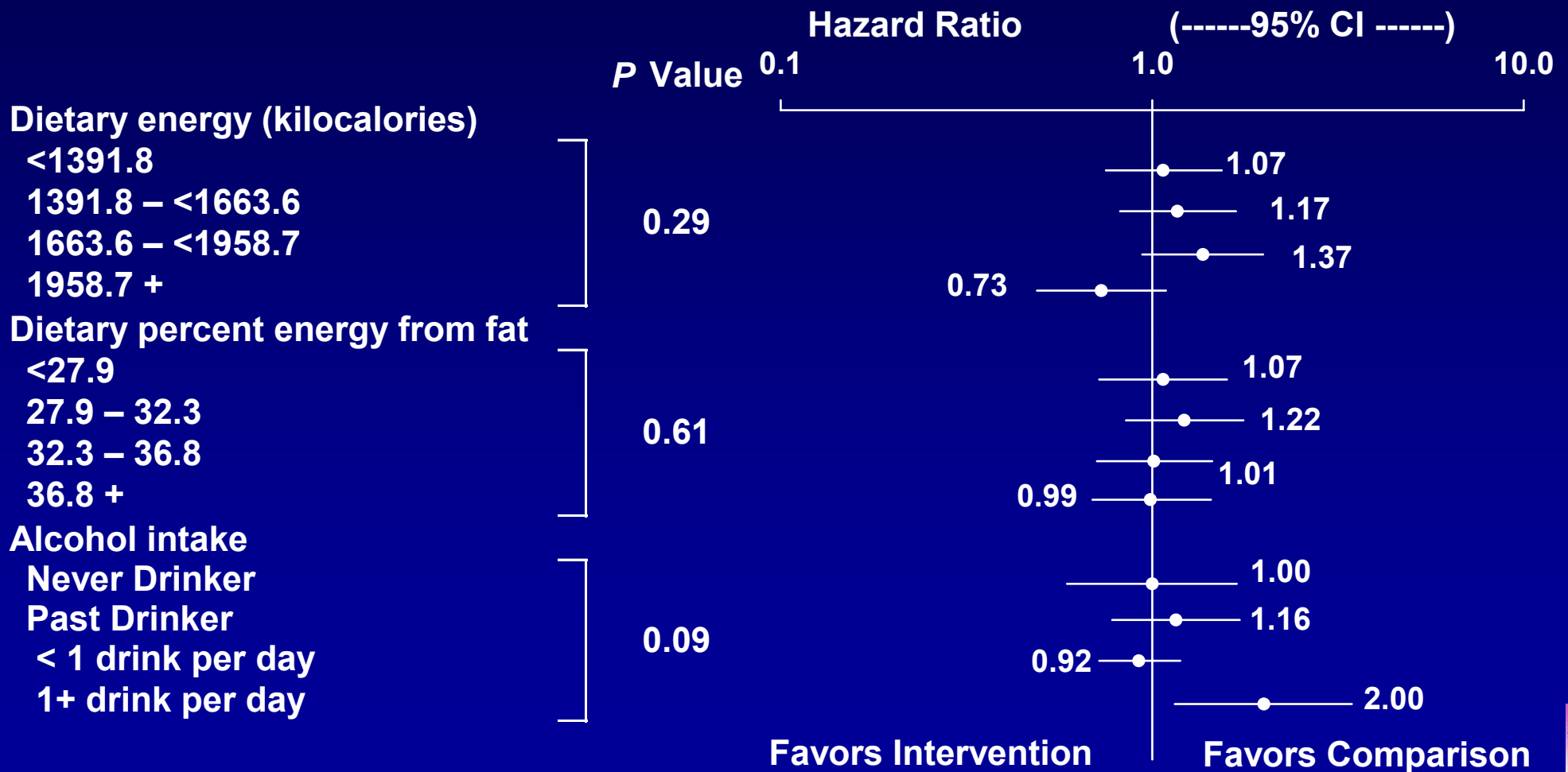


JAMA 2006; 295; 642-654



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# Colorectal Hazard Ratios by Baseline Dietary Factors



JAMA 2006; 295; 642-654



Dietary

# Colorectal Cancer - Conclusions

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- ❑ The low fat dietary pattern intervention did not reduce the risk of colorectal cancer over 8.1 years
- ❑ Reduction in polyps and adenomas associated with the intervention suggest longer follow-up is needed before long term effects can be ruled out.

# Cardiovascular Disease (Heart Disease and Stroke)

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**Linda Van Horn, PhD, RD**

Principal Investigator

Chicago Northwestern Clinical Center

Professor,

Northwestern University Feinberg School of Medicine

Chicago, Illinois



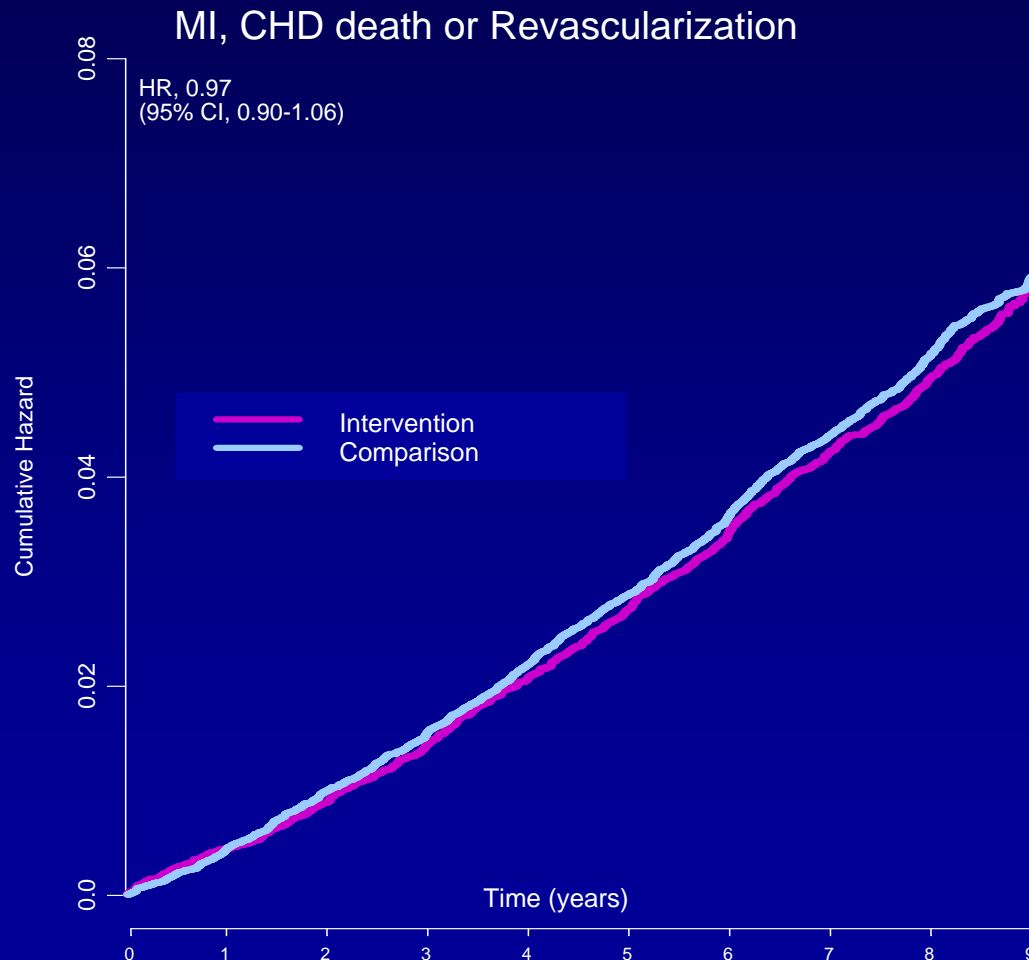
# Definitions

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- ❑ **Coronary heart disease (CHD):**  
Heart attack, heart bypass surgery, stent, or angioplasty
- ❑ **Stroke:**  
Lack of blood flow to the brain or bleeding in the brain leading to weakness or paralysis, and impairment of speech or other functions



# Coronary Heart Disease: Cumulative Hazard Ratios



**2,549 total diagnoses  
(5% of all DM participants)**

**Hazard ratio = 0.97  
(95% CI = 0.90-1.06)**

**JAMA 2006; 295; 655-666**



**Dietary**

# Stroke: Cumulative Hazard Ratios



**1,076 total diagnoses  
(2% of all DM participants)**

**Hazard Ratio = 1.02  
(95% CI = 0.90-1.15)**

**JAMA 2006; 295; 655-666**



**Dietary**

# Changes in Risk Factors

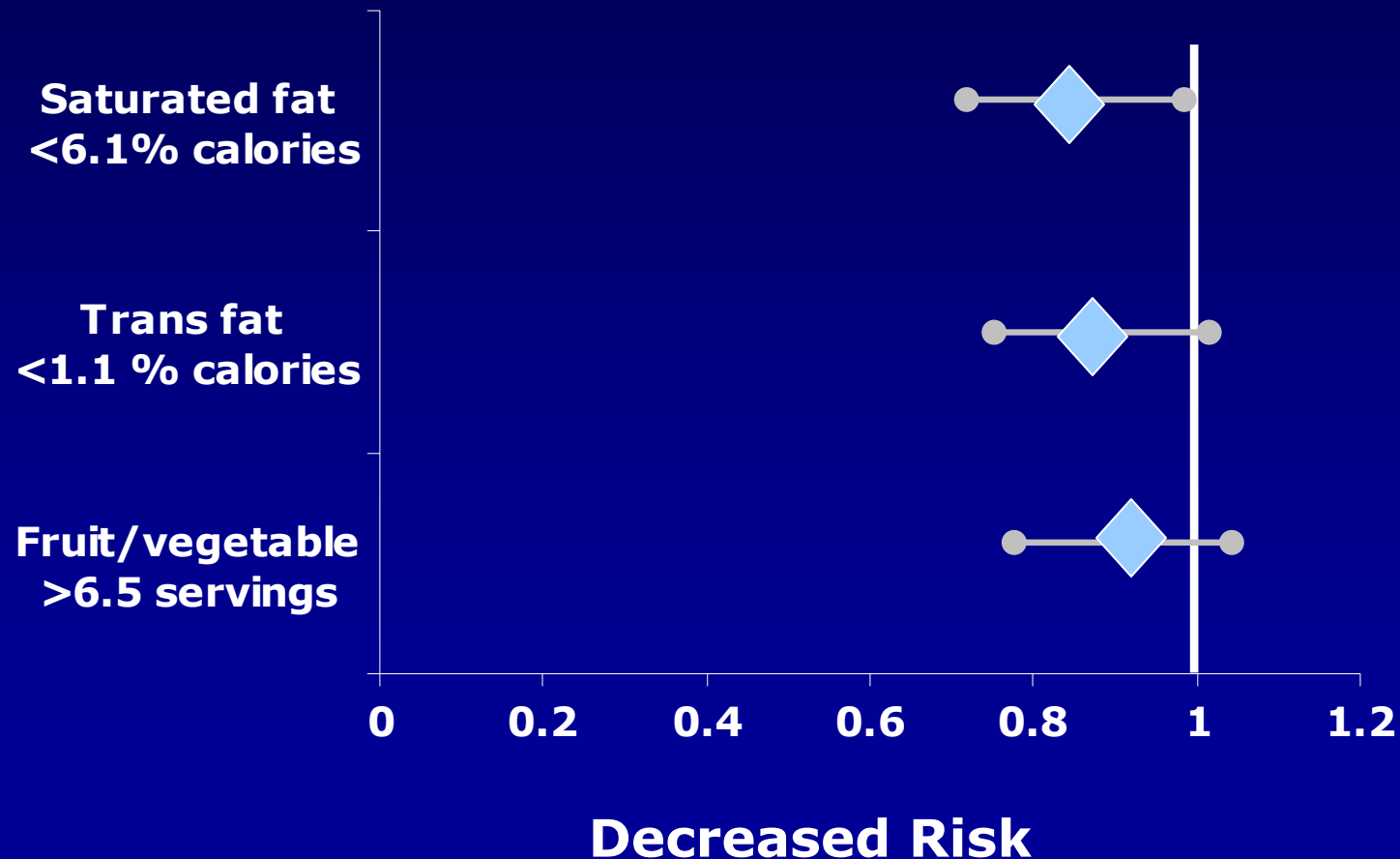
	Risk factor	Baseline	Difference at year 3 (I-C)
<b>IMPROVED</b>	LDL-cholesterol, mg/dL	133	- 3.6 *
	Diastolic blood pressure, mm Hg	76	- 0.3 **
	Factor VIIC, %	131	- 4.9 **
<b>NO CHANGE</b>	Triglycerides, mg/dL`	139	0
	HDL-cholesterol, mg/dL	60	- 0.4
	Glucose, mg/dL	100	- 1.1
	Insulin, $\mu$ IU/mL	10	0

# Changes in Types of Fat

Type of fat	Baseline	Difference: Yr 1 (I-C)	Difference: Yr 6 (I-C)
Saturated fat, % calories	12.7	- 3.7 *	- 2.9 *
Trans fat, % calories	2.7	- 0.8 *	- 0.6 *
Polyunsaturated fat, % calories	7.8	- 2.0 *	- 1.5 *
P/S ratio	0.6	0	0

\* p<.001

# Additional Analyses Heart Disease Risk



JAMA 2006; 295; 655-666



Dietary

# Cardiovascular Disease: Conclusions

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- ❑ Postmenopausal women who initiated a low fat dietary pattern had no significant reductions in coronary heart disease, stroke, or cardiovascular disease (CVD) after 8.1 years.
- ❑ Some CVD risk factors were modestly improved, especially among women with greater adherence to dietary recommendations.
- ❑ Interventions on specific dietary factors known to influence risk of CVD and potentially initiated younger in life may be needed to improve risk factors and CVD risk.

# **Women's Health Initiative**

## **The Dietary Modification Results Summary & Dietary Implications**

**Peter Greenwald MD, DrPH**

**Division of Cancer Prevention**

**National Cancer Institute, NIH**

**February 28, 2006**

## Women's Health Initiative

# Dietary Fat & Breast Cancer

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### Studied

Eating Fat

% Calories from Fat

Total Fat

Post-menopausal

Breast Cancer Risk

### Did Not Study

Being Fat

Grams of Fat

Type of Fat

Pre-menopausal

Exercise

Breast Cancer Prognosis



Women's Health Initiative

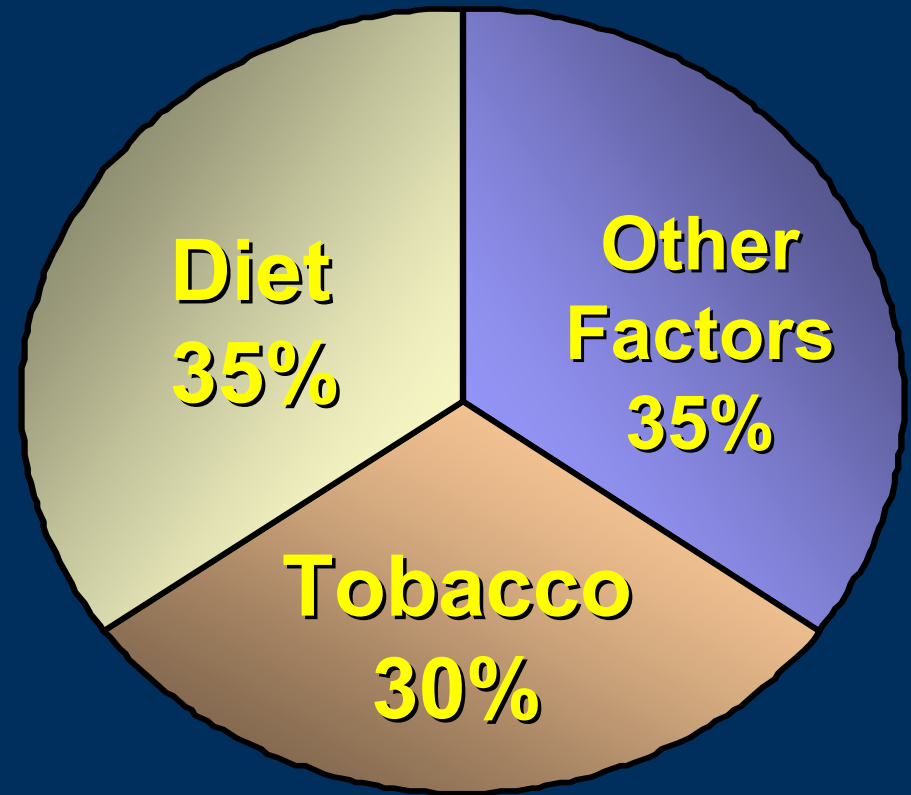
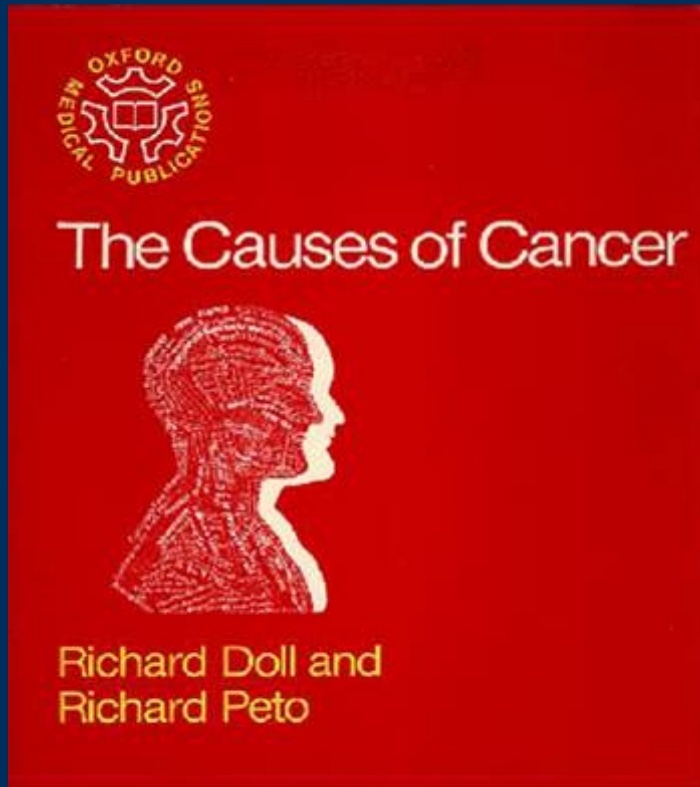
## **Fabulous study, BUT some limitations**

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- 1. Reductionist thinking hinders dietary research**
- 2. Public message may ↓ reporting of fat intake**
- 3. Lack of lab measures to validate intake**
- 4. Carcinogenesis takes many years**

# The Causes of Cancer

– Richard Doll & Richard Peto, 1981



# What is the Right Message?

The Surgeon General's  
Report on  
NUTRITION  
AND HEALTH

**Reduce consumption of fat  
(especially saturated fat) and  
cholesterol**

**Choose a diet  
low in fat,  
saturated fat,  
and cholesterol**

Food, Nutrition  
and the Prevention  
of Cancer: a global perspective

**Consume nutritionally adequate and  
varied diets, based primarily on foods of  
plant origin**

Nutrition and Your Health:  
Dietary Guidelines  
for Americans

Balance the food you eat with physical activity—maintain or improve your weight

Choose a diet with plenty of grain products, vegetables, and fruits

Choose a diet low in fat, saturated fat, and cholesterol

Eat a variety of foods

Choose a diet moderate in salt and sodium

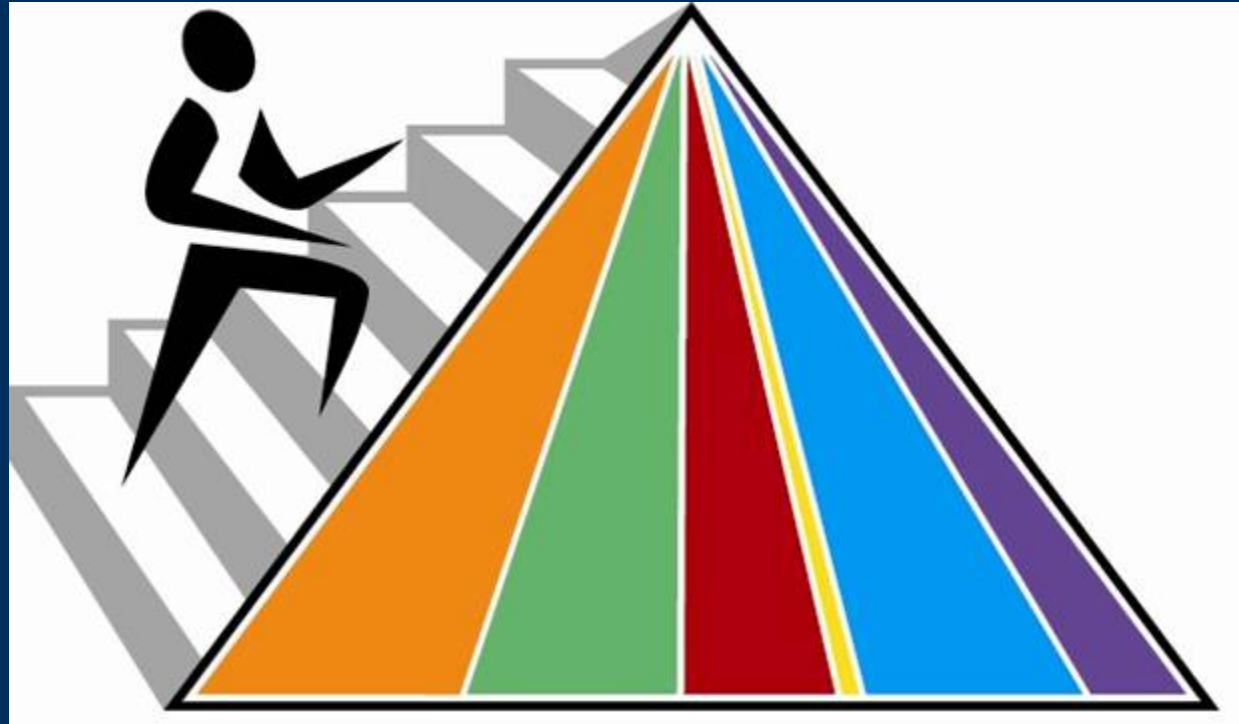
Choose a diet moderate in sugars

If you drink alcoholic beverages, do so in moderation

U.S. Department of Agriculture  
U.S. Department of Health and Human Services

# One Size Doesn't Fit All

## Steps to Healthier You



- Make smart choices from every food group
- Find your balance between food and physical activity
- Get the most nutrition out of your calories

# Nutrigenomics & Beyond

## Mapping Individual Health

### DIET &

- Gene polymorphisms
- Nutritional Epigenomics
- Transcriptomics
- Proteomics
- Metabolomics

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**NUTRITION  
AND  
GENETICS**  
Mapping  
individual health

## Women's Health Initiative

# Future Needs

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1. ↑ **Basic Nutritional Science**
  - ...omics ↔ ...ologies
2. **Truly Validated Markers of Dietary Intake, Effect (Molecular Targets) & Susceptibility**
  - At various times of life
3. ↑ **Research on Bioactive Food Compounds**
4. ↑ **Research & Development of Engineered Foods**
5. ↑ **Dietary Intervention Trials**
6. ↑ **Robust Nutritional Science at NIH**
  - Intramural & Extramural
7. **Long-term Follow-up of WHI Participants**

**Nutrition “is the ultimate biochemical interaction – the human organism reacting with the environment, daily, in a very intimate sense. And it’s been starved for research funding.”**

**Bernadine Healy, 2004**

# Audience Questions

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## Leslie Ford, MD

Associate Director of Clinical Research  
Division of Cancer Prevention,  
National Cancer Institute  
National Institutes of Health  
Rockville, Maryland

