

The <u>U.S. Department of Justice's Office of Justice Programs'</u> <u>Bureau of Justice Assistance</u> is pleased to announce that it is seeking applications for funding under the Residential Substance Abuse Treatment for State Prisoners Program. This program furthers the Department's mission by assisting state and local tribal efforts to break the cycle of drugs and violence by reducing the demand for, use, and trafficking of illegal drugs.

# Residential Substance Abuse Treatment (RSAT) for State Prisoners Program FY 2007 Formula Grant Announcement

# **Eligibility**

Applicants are limited to states, the District of Columbia, Puerto Rico, U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands.

(See "Eligibility," page 1)

#### Deadline

All applications are due by 8:00 p.m. e.t. on December 13, 2006. (See "Deadline: Applications," page 1)

#### **Contact Information**

For assistance with the requirements of this solicitation, contact: Jill Young, BJA Programs Division Chief, at 202–353–7302 or <a href="mailto:iill.young@usdoj.gov">iill.young@usdoj.gov</a>.

This application must be submitted through Grants.gov. For technical assistance with submitting the application, call the Grants.gov Customer Support Hotline at 1–800–518–4726.

Grants.Gov number assigned to announcement: BJA-2007-1454

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# Residential Substance Abuse Treatment for State Prisoners Program CDFA #16.593

# **Overview of the Residential Substance Abuse Treatment for State Prisoners Program**

The Residential Substance Abuse Treatment (RSAT) for State Prisoners Program (42 U.S.C. § 3796ff) assists states and local governments to develop and implement substance abuse treatment programs in state and local correctional and detention facilities and to create and maintain community-based aftercare services for offenders.

#### **Deadline: Registration**

Registering with Grants.gov is a one-time process; however, if you are a first-time registrant, it could take up to several weeks to have your registration validated and confirmed and to receive your user password. It is highly recommended you start the registration process as early as possible to prevent delays in submitting your application package to our agency by the deadline specified. There are three steps that you must complete before you are able to register: 1) register with Central Contractor Registry (CCR), 2) register yourself as an Authorized Organization Representative (AOR), and 3) be authorized as an AOR in your organization. For more information, go to <a href="https://www.grants.gov">www.grants.gov</a>. Note: Your CCR registration must be renewed once a year. Failure to renew your CCR registration will prohibit submission of a grant application through Grants.gov.

# **Deadline: Applications**

The due date for applying for funding under this announcement is 8:00 p.m. e.t. on December 13, 2006.

# **Eligibility**

States may apply for formula grant awards under this program. For purposes of this solicitation, "states" are all U.S. states, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. By statute (42 U.S.C. § 3796ff-1(e)), the Bureau of Justice Assistance (BJA) must award RSAT grants to the state office (<a href="www.oip.usdoj.gov/state.htm">www.oip.usdoj.gov/state.htm</a>) designated to administer the Byrne Formula Program (now the Byrne Justice Assistance Grant Program). The state office may award subgrants to state agencies, units of local government, and Native American tribes.

# **RSAT Program-Specific Information**

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law.

RSAT assists states and local governments to develop and implement substance abuse treatment programs in state and local correctional and detention facilities and to create and

maintain community-based aftercare services for offenders. The goal of the RSAT Program is to break the cycle of drugs and violence by reducing the demand for, use, and trafficking of illegal drugs. RSAT enhances the capability of states and units of local government to provide residential substance abuse treatment for incarcerated inmates; prepares offenders for their reintegration into the communities from which they came by incorporating reentry planning activities into treatment programs; and assists offenders and their communities through the reentry process through the delivery of community-based treatment and other broad-based aftercare services.

#### **Program Requirements**

RSAT funds may be used to implement three types of programs: residential, jail-based, and aftercare. At least 10 percent of the total state allocation for FY 2007 shall be made available to local correctional and detention facilities—provided such facilities exist—for either residential substance abuse treatment programs or jail-based substance abuse treatment programs.

#### Residential

- Last at least 6 and no more than 12 months.
- Provide residential treatment facilities set apart—in a completely separate facility or dedicated housing unit in a facility exclusively for use by RSAT participants—from the general correctional population.
- Focus on the substance abuse problems of the inmate.
- Develop the inmate's cognitive, behavioral, social, vocational, and other skills to solve the substance abuse and related problems.
- Begin or continue requiring urinalysis and/or other proven reliable forms of drug and alcohol
  testing for program participants and former participants while they remain in the custody of
  the state or local government.
- ★ If possible, RSAT participation should be limited to inmates with 6 to 12 months remaining in their confinement so they can be released from prison instead of returning to the general prison population after completing the program.

#### Jail-Based

- Last at least 3 months.
- Strive to separate the treatment population from the general correctional population.
- Focus on the substance abuse problems of the inmate.
- Develop the inmate's cognitive, behavioral, social, vocational, and other skills to solve the substance abuse and related problems.
- Design based on effective, scientific practices.

#### **Aftercare**

Per 42 U.S.C. 3796ff(c), states that demonstrate that they have existing in-prison drug treatment programs that are in compliance with federal requirements may use funds for treatment both during incarceration and after release. Aftercare services must involve coordination between the correctional treatment program and other social service and rehabilitation programs, such as education and job training, parole supervision, halfway houses, self-help, and peer group programs. A state may use amounts received for aftercare if the chief executive officer of the state certifies that the state is providing, and will continue to provide, an adequate level of residential treatment services. To qualify as an aftercare program, the head of the substance abuse treatment program must work in conjunction with state and local authorities and organizations involved in substance abuse treatment to assist in the placement of program participants into community substance abuse treatment facilities on release. In addition, states

are strongly encouraged to coordinate these activities with any Substance Abuse and Mental Health Services Administration- (SAMSHA) funded state and/or local programs that address the needs of this target population.

#### **Post-Release Treatment**

A state may use RSAT funds to provide treatment to offenders for a period not to exceed 1 year after release. No more than 10 percent of the total award may be used for treatment of those released from a state facility.

#### **Amount and Length of Awards**

Each participating state is allocated a base award of 0.4 percent of the total funds available for RSAT. BJA will allocate a portion of the total remaining funds to each participating state in the same percentage that the state's prison population represents relative to the total prison population of all states. Awards are made in the fiscal year of the appropriation and may be expended during the following 3 years, for a total of 4 years.

#### Supplanting

Federal funds must be used to supplement existing funds for program activities and cannot replace, or supplant, nonfederal funds that have been appropriated for the same purpose.

#### **Prohibited Uses**

RSAT funds shall not be used for land acquisition or construction projects.

#### **Match Requirement**

A grant made under this program may not cover more than 75 percent of the total costs of the project being funded. The applicant must identify the source of the 25 percent non-federal portion of the budget and how match funds will be used. Applicants may satisfy this match requirement with either cash or in-kind services. The formula for calculating match is:

<u>Award amount</u> = Adjusted Project Costs X Recipient's share = required match Federal Share

**Example:** For a federal award amount of \$350,000, match would be calculated as follows:

\$350,000 = \$466,667 X 25% = \$116,667 match 75%

#### **Performance Measures**

To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work. Performance measures for this solicitation are as follows:

Program Goal	Performance Measures	Data Grantee Provides
Prepare offenders for reintegration into the communities from which they came by incorporating reentry planning activities into treatment programs.	Reduction in recidivism rate.	<ol> <li>Recidivism and drug use:         <ul> <li>Percent of participants completing the program who remain drug free during the residential program.</li> <li>Percent of participants completing the program who remain drug free during the aftercare program.</li> <li>Percent of participants completing the program who remain arrest free during supervised aftercare program.</li> </ul> </li> <li>Of the offenders who complete the program, the number who have remained arrest-free for 1 year following release from aftercare. (For this indicator, use data from the most recent available year.)</li> <li>Percent of participants that pass drug testing (annual long-term) while in an RSAT-funded program.</li> </ol>
Enhance the capability of states and units of local government to provide residential substance abuse treatment for incarcerated inmates.	2. Number of participants in RSAT.	Offenders entering residential treatment:     Number of participants in RSAT.
	3. Average cost per day for residential program.	Program costs (BJA will provide guidance to grantees about which costs to include in the calculation.):     Average cost per day for residential program.
	Number of days of residential treatment provided.	Number of days of residential treatment provided.
	Number of days of aftercare provided.	Number of days of aftercare provided.
	Previously funded RSAT beds continued during grant cycle.	Previously funded RSAT beds continued during grant cycle.
	New treatment beds added with RSAT grant funds during this grant cycle.	New treatment beds added with RSAT grant funds during this grant cycle.
	Treatment beds funded through other sources, but enhanced with RSAT-funded services.	Treatment beds funded through other sources, but enhanced with RSAT-funded services.
	Average length of stay in the residential program in days, for those completing the program.	Average length of stay in the residential program in days, for those completing the program.

Droporo offendoro for	Total number of offenders	Total number of offenders augespatish.
Prepare offenders for reintegration into the communities from which they came by incorporating reentry planning activities into treatment programs.	Total number of offenders successfully completing the residential program.	Total number of offenders successfully completing the residential program.
	Total number of offenders who dropped out of the residential program.	Total number of offenders who dropped out of the residential program.
	Total number of offenders who were terminated from the residential program.	Total number of offenders who were terminated from the residential program.
Assist both the offenders and their communities through the reentry process through the delivery of both community-based treatment and other broad-based aftercare services.	Total number of offenders entering an RSAT-funded aftercare program.	Total number of offenders entering an RSAT-funded aftercare program.
	Average length of stay in the aftercare program in days, for those completing the program.	Average length of stay in the aftercare program in days, for those completing the program.
	Total number of offenders successfully completing the aftercare program.	Total number of offenders successfully completing the aftercare program.
	Total number of offenders who dropped out of the aftercare.	Total number of offenders who dropped out of the aftercare.
	Total number of offenders who were terminated from the aftercare program.	Total number of offenders who were terminated from the aftercare program.
	Average cost per day for the aftercare program (BJA will provide guidance to grantees about which costs to include in the calculation.).	Average cost per day for the aftercare program (BJA will provide guidance to grantees about which costs to include in the calculation.).

### **How To Apply**

DOJ is participating in the e-Government initiative, one of 25 initiatives included in the President's Management Agenda. Part of this initiative—Grants.gov—is a "one-stop storefront" that provides a unified process for all customers of federal grants to find funding opportunities and apply for funding.

**Grants.gov Instructions:** Complete instructions can be found at <a href="www.grants.gov">www.grants.gov</a>. If you experience difficulties at any point during this process, please call the Grants.gov Customer Support Hotline at **1–800–518–4726**.

**CFDA Number:** The Catalog of Federal Domestic Assistance (CFDA) number for this solicitation is 16.593, titled "Residential Substance Abuse Treatment for State Prisoners," and the funding opportunity number is BJA-2007-1454.

A DUNS number is required: The Office of Management and Budget requires that all businesses and nonprofit applicants for federal funds include a DUNS (Data Universal Numeric

System) number in their application for a new award or renewal of an award. Applications without a DUNS number are incomplete. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and keeping track of entities receiving federal funds. The identifier is used for tracking purposes and to validate address and point of contact information. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, simple, one-time activity. Obtain one by calling 1–866–705–5711 or by applying online at <a href="http://www.dunandbradstreet.com">http://www.dunandbradstreet.com</a>. Individuals are exempt from this requirement.

#### What an Application Must Include

#### Standard Form 424

#### **Program Narrative (Attachment 1)**

The program narrative must be double-spaced, using a standard 12-point font (Times New Roman preferred) with 1-inch margins. Applicants must submit a narrative that describes the proposed program activities for FY 2007 and changes, if any, since the previous application. Narratives should include:

- Program goals.
- Implementation process.
- Timetable for implementation.
- Information about priorities or projects, including how aftercare services will be given preference.
- Description of any changes in state law or policy requiring substance abuse testing of individuals in correctional/residential substance abuse treatment programs, including individuals released but remaining in state custody.
- Number, or estimated number, of offenders tested for the use of illegal substances during the last calendar year.
- Explanation of how the state will coordinate RSAT's design and implementation at the state and local levels and how funds will be coordinated with federal assistance for substance abuse treatment and aftercare services provided by the Substance Abuse and Mental Health Services Administration.
- Explanation of how the state might coordinate RSAT-funded programs with the federal Serious and Violent Offender Reentry Initiative (SVORI) or Prisoner Reentry Initiative (PRI) (If applicable) (<a href="https://www.reentry.gov/">www.reentry.gov/</a>). If no coordination with SVORI or PRI is planned, briefly describe why.
- Description of any federal awards, including other U.S. Department of Justice awards, which also will support RSAT efforts.

#### **Budget and Budget Narrative (Attachment 2)**

Applicants must submit a narrative that outlines any proposed uses of 10 percent of the formula grant funds for aftercare and administration, as well as the mandatory 10 percent pass through to local facilities. A fillable budget detail worksheet form is available on OJP's web site at <a href="https://www.ojp.usdoj.gov/Forms/budget\_fillable.pdf">www.ojp.usdoj.gov/Forms/budget\_fillable.pdf</a>.

#### **Annual Report (Attachment 3)**

While RSAT annual reports are not due to BJA until March 1, 2007, applicants may submit their 2006 RSAT annual reports (<a href="www.ojp.usdoj.gov/BJA/grant/RSATforms.pdf">www.ojp.usdoj.gov/BJA/grant/RSATforms.pdf</a>) with their application.

# **Additional Requirements**

- · Civil Rights compliance.
- Confidentiality and Human Subjects Protections regulations.
- Anti-Lobbying Act.
- Financial and Government Audit requirements.
- National Environmental Policy Act (NEPA) compliance.
- DOJ Information Technology Standards.
- Single Point of Contact Review.
- Non-Supplanting of State or Local Funds.
- · Criminal Penalty for False Statements.
- Compliance with Office of the Comptroller Financial Guide.
- Suspension or Termination of Funding.

We strongly encourage you to review the information pertaining to these additional requirements prior to submitting your application. Additional information for each can be found at <a href="https://www.oip.usdoj.gov/funding/otherrequirements.htm">www.oip.usdoj.gov/funding/otherrequirements.htm</a>.