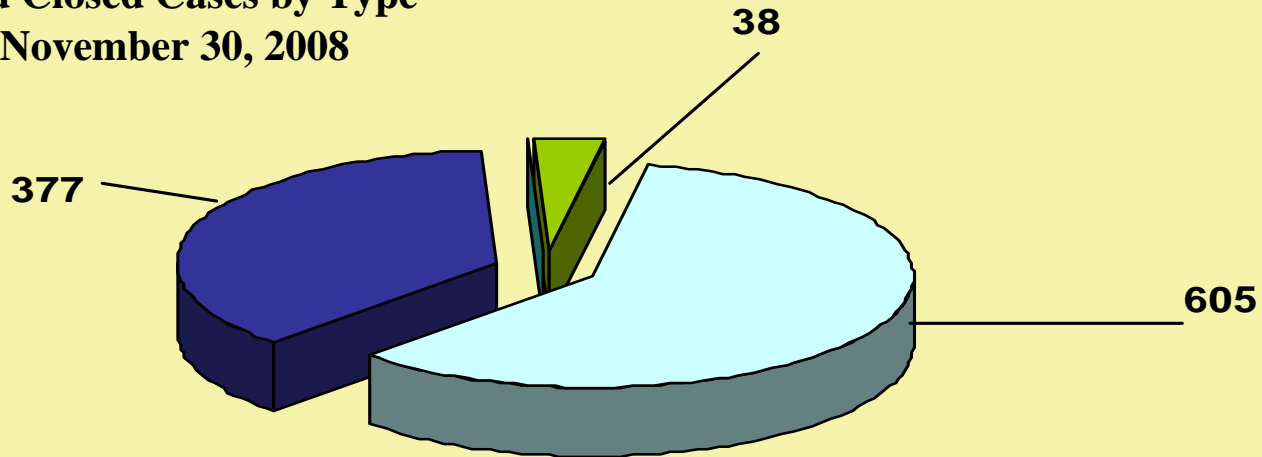


CMS Enforcement Statistics Report
Open and Closed Cases by Type
As of November 30, 2008

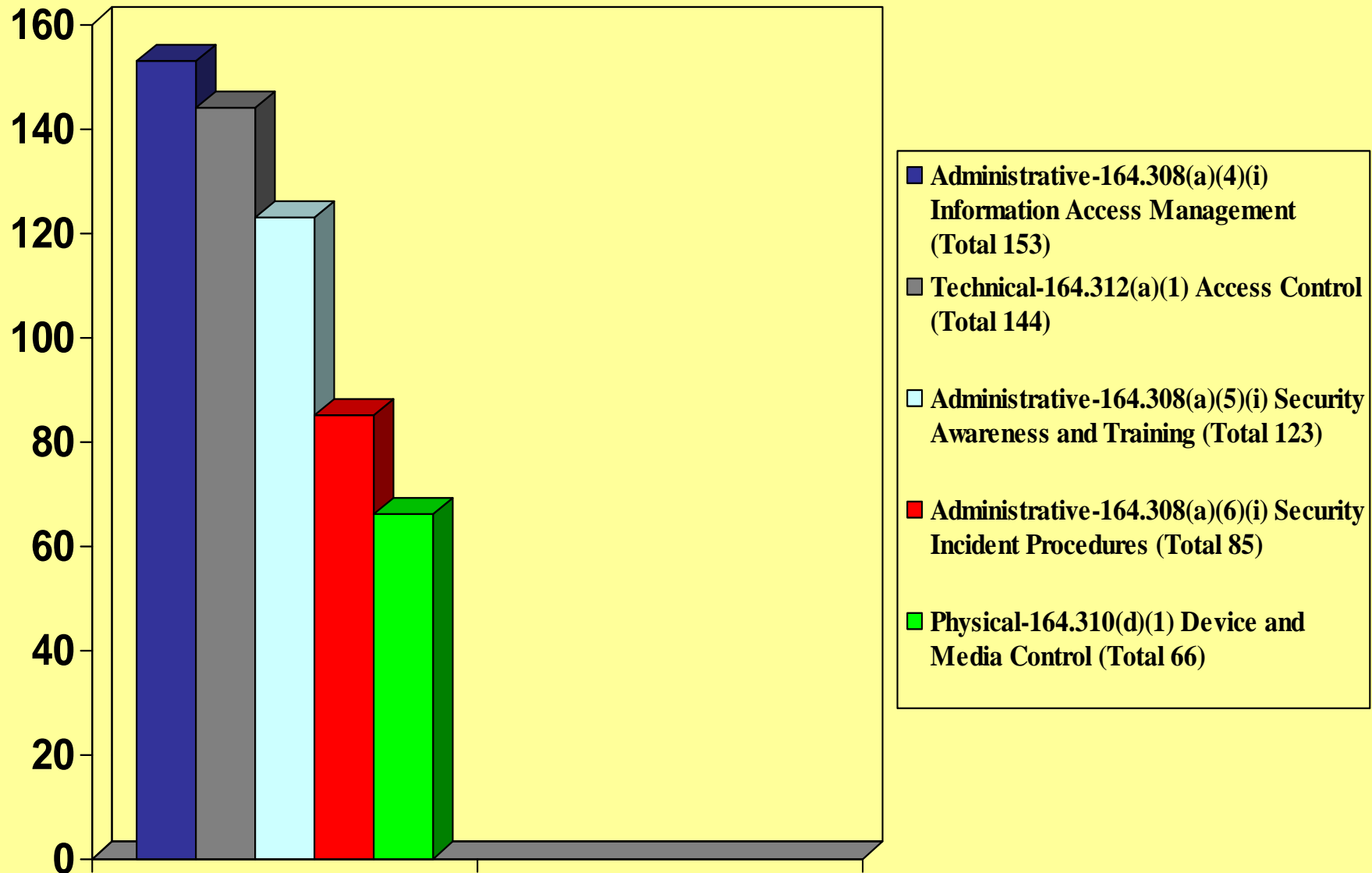


Complaint Type	Total	Open	Closed
Transactions and Code Sets (TCS)	605	49	556
Security	377	86	291
National Provider Identifier (NPI)	38	13	25
Total	1,020	148	872

Open – Outstanding issues remain. Entity may be under a corrective action plan or additional information from either the complainant, the filed against entity, or both is being sought.

Closed – No further action required. All issues have been sufficiently resolved. Please note that 50 of the 377 security cases have been closed via corrective action plans.

Summary of Most Commonly Violated Security Provisions



-A single security complaint can allege violations of all the provisions listed.

-The number of provisions listed do not correlate to the total number of security complaints.

-The total number of Administrative provisions represented on this slide, 361; Technical 144, and Physical 66.