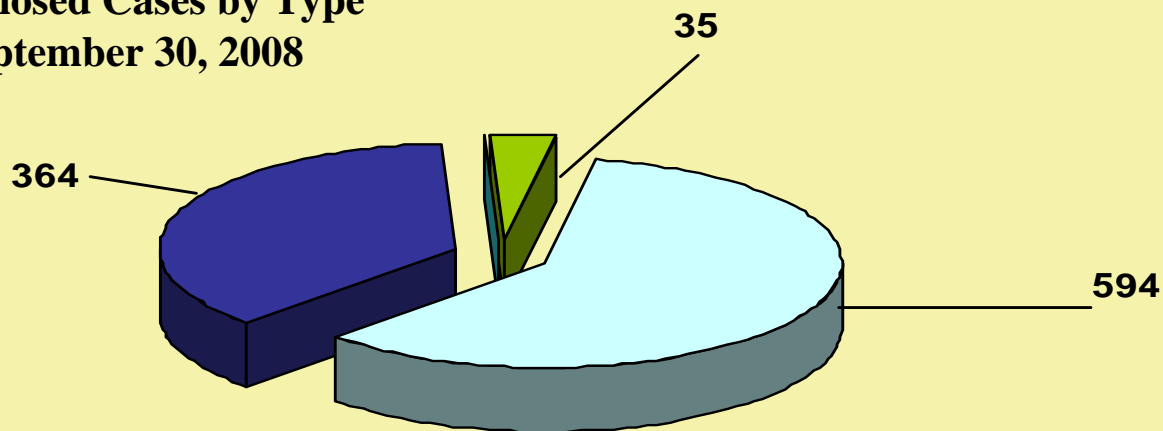


CMS Enforcement Statistics Report
Open and Closed Cases by Type
As of September 30, 2008

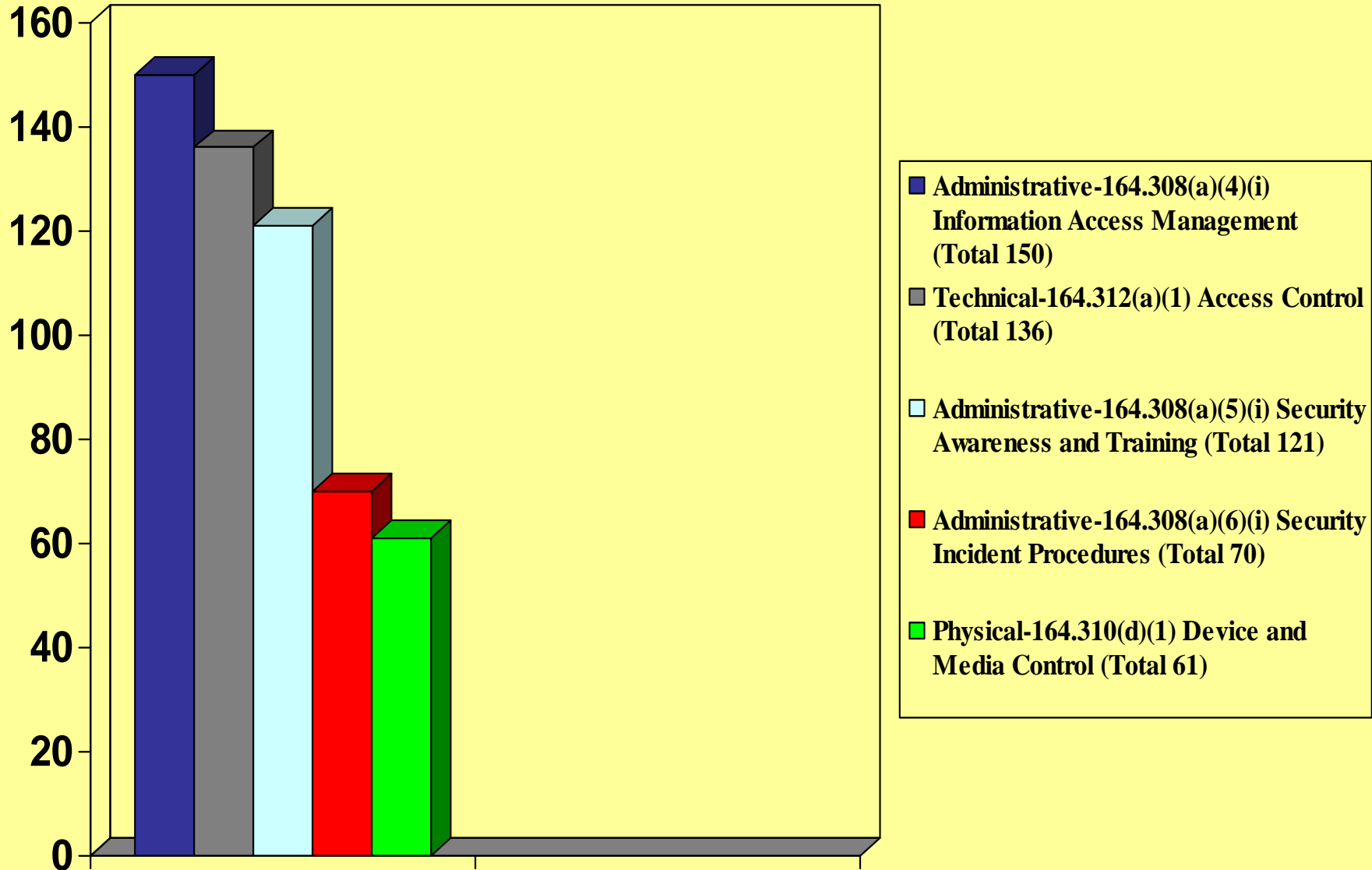


Complaint Type	Total	Open	Closed
Transactions and Code Sets (TCS)	594	36	558
Security	364	84	280
National Provider Identifier (NPI)	35	9	26
Total	993	129	864

Open – Outstanding issues remain. Entity may be under a corrective action plan or additional information from either the complainant, the filed against entity, or both is being sought.

Closed – No further action required. All issues have been sufficiently resolved. Please note that 50 of the 364 security cases have been closed via corrective action plans.

Summary of Most Commonly Violated Security Provisions



-A single security complaint can allege violations of all the provisions listed.

-The number of provisions listed do not correlate to the total number of security complaints.

-The total number of Administrative provisions represented on this slide, 341; Technical 136, and Physical 61.