

HOUSEHOLD ENUMERATION FOLDER (HEF) 1998 Survey of Consumer Finances LS

INTRODUCTION

Hello, I'm (YOUR NAME) from the National Opinion Research Center at the University of Chicago (SHOW ID). (R'S NAME/S) should have received a mailing from NORC which included a letter from Alan Greenspan, Chairman of the Federal Reserve Board, and a letter from NORC. These letters mention that someone would be calling in regard to a very important study we are conducting for the Federal Reserve Board. Did you receive this mailing? (IF MAILING NOT RECEIVED, OFFER ONE.)

1. First, I'd like to make sure I have your street address and name described correctly. Is this the residence of (READ FROM ASSIGNMENT LABEL: RESPONDENT NAME, STREET NUMBER AND NAME, APT NUMBER) IF EXACTLY THE SAME, GO TO QUESTION 2 PAGE 2.

IF ADDRESS IS CORRECT BUT ONLY ONE OF TWO RESPONDENTS LIVES IN THE HOUSEHOLD, INTERVIEW THE RESPONDENT WHO IS CURRENTLY LIVING IN THE HH. GO TO QUESTION 2 PAGE 2.

IF ADDRESS IS CORRECT BUT THE RESPONDENT/S DO NOT LIVE THERE, INQUIRE WHETHER THE INFORMANT HAS ANY INFORMATION AS TO THE WHEREABOUTS OF THE RESPONDENT/S, THANK INFORMANT FOR THEIR TIME, AND THEN BEGIN LOCATING.

Address: _____

City, State, Zip: _____

Telephone: |_|_|_|_| - |_|_|_|_| - |_|_|_|_|

Stick assignment label in box below.

ID: |_|_|_|_|_|_|_|_|_|_|

Name: _____

Address: _____

City: _____ State: |_|_|

HEF Checklist

1. Screener Completed? yes
2. Household Contact Obs completed? yes
3. HEF data entered into CAPI? yes

FIELD INTERVIEWER: _____

FI ID: |_|_|_|_|_|_|_|_|

2. What is the total number of people residing in this household? |_|_|_|

3. How many people under the age of 18 live in this household? |_|_|_|

4. HOW MANY NAMES ARE ON THE LABEL?

ONE 1

TWO 2 SKIP TO Q6

5. We would like to interview (NAME ON LABEL) or (his/her) spouse or partner if they are more knowledgeable about the household finances. Who would this be?

NAME (SPECIFY) _____ SKIP TO Q8

6. For this study we would like to interview either (READ FIRST NAME FROM LABEL or READ SECOND NAME FROM LABEL). Which one would be the more knowledgeable about the household finances?

NAME (SPECIFY) _____

7. IF TWO NAMES ARE LISTED ON THE LABEL AND ONLY ONE RESPONDENT LIVES IN THE HU, READ: It is important that we interview all selected respondents. Please tell me how I could reach (NAME OF RESPONDENT FROM LABEL NOT LIVING AT THIS ADDRESS.)

Name: _____

Address: _____

City, State, Zip: _____

Telephone: |_|_|_|_| - |_|_|_|_| - |_|_|_|_|_|

OTHER LOCATING COMMENTS FROM INFORMANT

8. Before we go on, I just need to ask you a couple more preliminary questions. IS (NAME IN QUESTION 5 OR 6) ALSO THE HEF INFORMANT? (PROBE, IF NECESSARY)

YES 1

NO 2

SKIP TO Q11

9. What is your name? _____

10. How are you related to RESPONDENT? _____

11. How old were you on your last birthday? |__|__|

12. CODE SEX; IF NOT OBVIOUS SAY: and for research purposes I have to ask -- what is your sex?

MALE 1

FEMALE 2

13. (NAME PROVIDED IN QUESTION 5 OR 6) has been selected to participate in this interview.

13A. **IF RESPONDENT AVAILABLE**, CONDUCT THE INTERVIEW. IF RESPONDENT NOT AVAILABLE, GO TO QUESTION 13B.

13B. **IF RESPONDENT NOT AVAILABLE** ASK: What would be the best time to reach (RESPONDENT)?

|__|__| - |__|__| - |9_|8_| Time: |__|__| : |__|__| A / P

Phone: (|__|__|__|) |__|__|__| - |__|__|__|__|

GO TO QUESTION 14. IF RESPONDENT NOT WILLING TO DO THE INTERVIEW, GO TO QUESTION 13C.

13C. **IF SELECTED RESPONDENT NOT WILLING TO DO INTERVIEW**, REQUEST AN INTERVIEW WITH R'S SPOUSE/PARTNER OR WITH A PROXY.

IF PROXY,

1. HAVE RESPONDENT SIGN A PROXY PERMISSION FORM.
2. DETERMINE A GOOD TIME TO CALL THE PROXY TO SCHEDULE AN APPOINTMENT AND GO TO QUESTION 14.

|__|__| - |__|__| - |9_|8_| Time: |__|__| : |__|__| A / P

Phone: (|__|__|__|) |__|__|__| - |__|__|__|__|

IF RESPONDENT REFUSES INTERVIEW, GO TO QUESTION 13D.

13D. **IF RESPONDENT REFUSES** FOR SELF AND FOR SPOUSE/PARTNER OR PROXY, CIRCLE TYPE OF REFUSAL BELOW.

SOFT REFUSAL 1

HARD REFUSAL 2

HOSTILE REFUSAL 3

14. Thank you very much for your time.

INTERVIEWER: GO TO PAGE 4 OF THE HEF -- HOUSEHOLD CONTACT OBSERVATIONS.

HOUSEHOLD CONTACT OBSERVATIONS
COMPLETE QUESTIONS 1-10 AT TIME OF FIRST CALL

1. TYPE OF STRUCTURE IN WHICH R LIVES: (CODE THE FIRST THAT APPLIES)

- DID NOT SEE R'S HOME 1 SKIP TO Q11
- TRAILER, MOBILE HOME 2
- DETACHED SINGLE-FAMILY HOME 3
- BUILDING WITH 2-9 UNITS 4
- BUILDING WITH 10-49 UNITS 5
- BUILDING WITH 50 OR MORE UNITS 6
- APARTMENT IN MOSTLY COMMERCIAL STRUCTURE 7
- OTHER 8

(SPECIFY) _____

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2. DOES THE BUILDING/PROPERTY CONTAINING THE HU HAVE ANY OF THE FOLLOWING? (CODE ALL THAT APPLY AND FOLLOW SKIP FOR FIRST APPLICABLE RESPONSE).

- DOORMAN 1
 - GUARDHOUSE 2
 - OTHER GATEKEEPER 3
 - LOCKED LOBBY 4
 - LOCKED GATE 5
 - NO TRESPASSING SIGN 6
 - NONE OF THE ABOVE 7
- } SKIP TO Q4

3. DOES THE (DOORMAN/GUARD/GATEKEEPER) REQUIRE PERMISSION FROM SOMEONE IN R'S HU TO ALLOW YOU TO MAKE CONTACT WITH THE HOUSEHOLD?

- YES 1
- NO 2

4. CONDITION OF STRUCTURE IN WHICH R LIVES:

- GENERALLY CLEAN AND SOUND 1
- SOME PEELING PAINT OR CRACKS IN WALLS 2
- NEEDS SUBSTANTIAL PAINTING, REFILLING, OR REPAIR .. 3
- DILAPIDATED 4

5. HOUSING STRUCTURES ON (BLOCK/AREA) ARE:

- 0-20 FEET APART 1
- 21-100 FEET APART 2
- OVER 100 FEET APART 3

6. THE AREA AROUND R'S HU IS: (CODE ALL THAT APPLY)
- OPEN LAND/FARMS/RANCHES 1
 - TRAILER PARK 2
 - DETACHED SINGLE-FAMILY HOMES 3
 - ATTACHED SINGLE-FAMILY HOMES 4
 - BUILDINGS WITH 2-9 UNITS 5
 - BUILDINGS WITH 10-49 UNITS 6
 - BUILDINGS WITH 50 OR MORE UNITS 7
 - GOLF COURSE 8
 - PARK OR CEMETERY 9
 - COMMERCIAL STRUCTURES 10
 - VACANT BUILDINGS 11
 - SCHOOL OR OTHER GOVERNMENT BUILDING 12
 - OTHER 13
- (SPECIFY) _____
7. BUILDINGS IN THE IMMEDIATE NEIGHBORHOOD ARE:
- ALL RESIDENTIAL 1
 - MOSTLY RESIDENTIAL 2
 - ABOUT EQUALLY RESIDENTIAL AND NONRESIDENTIAL .. 3
 - MOSTLY NONRESIDENTIAL 4
 - NONE IN VIEW 5
8. GENERAL CONDITION OF THE EXTERIOR OF BUILDINGS AROUND R'S DWELLING LOOKS:
- BETTER THAN R'S 1
 - AS GOOD AS R'S 2
 - WORSE THAN R'S 3
9. NEIGHBORHOOD RESIDENTS SEEM TO BE:
- ALL OR ALMOST ALL AFRICAN-AMERICAN 1
 - ALL OR ALMOST ALL HISPANIC 2
 - ALL OR ALMOST ALL NON-HISPANIC WHITE 3
 - MIXED AFRICAN-AMERICAN AND HISPANIC 4
 - MIXED AFRICAN-AMERICAN AND NON-HISPANIC WHITE .. 5
 - MIXED HISPANIC AND NON-HISPANIC WHITE 6
 - MIXED AFRICAN-AMERICAN, HISPANIC AND NON-HISPANIC WHITE 7
 - OTHER MIXED NEIGHBORHOOD 8
 - OTHER 9
- (SPECIFY) _____
- NONE OBSERVED 10
10. GENERALLY, THE AREA AROUND THE R'S HU APPEARS TO BE:
- UNUSUALLY WEALTHY 1
 - NOT UNUSUALLY WEALTHY OR UNUSUALLY POOR 2
 - UNUSUALLY POOR 3

**COMPLETE QUESTIONS 11-14 AFTER FIRST CONTACT
WITH HEF INFORMANT**

11. THE FIRST PERSONAL CONTACT WITH THE HEF INFORMANT WAS:

FACE-TO-FACE 1

BY INTERCOM OR TELEPHONE AT HU 2

OTHER TELEPHONE 3

OTHER 4

(SPECIFY): _____

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} SKIP TO Q13

12. RACE/ETHNICITY OF HEF INFORMANT WAS:

NON-HISPANIC WHITE 1

BLACK; AFRICAN-AMERICAN 2

HISPANIC; LATINO 3

ASIAN 4

AMERICAN INDIAN; ALASKA NATIVE 5

PACIFIC ISLANDER; HAWAIIAN NATIVE 6

OTHER 7

(SPECIFY): _____

13. DID THE HEF INFORMANT ASK ANY OF THE FOLLOWING?
(CODE ALL THAT APPLY)

WHAT IS THE PURPOSE OF THE SURVEY 1

WHO IS THE SPONSOR OF THE SURVEY 2

WHY/HOW WAS I CHOSEN 3

HOW LONG WILL THE INTERVIEW TAKE 4

WHO WILL SEE THE ANSWERS; PRIVACY QUESTIONS 5

CAN I GET A COPY OF THE RESULTS 6

IS THERE AN INCENTIVE FOR PARTICIPATING 7

OTHER INFORMATIONAL QUESTION OR EXPRESSION OF

INTEREST 8

(SPECIFY): _____

NONE OF THE ABOVE 9

14. DID THE HEF INFORMANT MAKE ANY OF THE FOLLOWING COMMENTS?
(CODE ALL THAT APPLY)

- I'M TOO BUSY/DON'T HAVE TIME/SURVEY TOO LONG 1
 - I DON'T LIKE/DO SURVEYS 2
 - I DON'T KNOW ANYTHING ABOUT THIS 3
 - I'M NOT THE SORT OF PERSON YOU WANT 4
 - I'M NOT INTERESTED 5
 - THIS IS TOO PERSONAL 6
 - SURVEY A WASTE OF MONEY 7
 - GOVERNMENT HAS NO BUSINESS DOING THIS 8
 - GOVERNMENT ALREADY KNOWS ALL THIS 9
 - LET ME THINK ABOUT IT 10
 - OTHER NEGATIVE COMMENT OR DELAY 11
- (SPECIFY): _____
- NONE OF THE ABOVE 12

