Staff Requirements Memorandum June 16, 2003

Memorandum to the Commission from Cyr August 12, 2003

Memorandum to the Commission from Travers August 21, 2003

Memorandum to the Commission from Travers October 20, 2003

Attachment 1

IN RESPONSE, PLEASE REFER TO: M030529B

June 16, 2003

MEMORANDUM TO:	William D. Travers Executive Director for Operations	
	Karen D. Cyr General Counsel	
FROM:	Annette L. Vietti-Cook, Secretary	/RA/
SUBJECT:	STAFF REQUIREMENTS - BRIEFING ON EQUAL EMPLOYMENT OPPORTUNITY PROGRAM (SECY-03-0075), 2:00 P.M., THURSDAY, MAY 29, 2003, COMMISSIONERS' CONFERENCE ROOM, ONE WHITE FLINT NORTH, ROCKVILLE, MARYLAND (OPEN TO PUBLIC ATTENDANCE)	

The Commission was briefed by the NRC staff on the Equal Employment Opportunity (EEO) Program. In addition, the Region III Administrator provided the status of EEO efforts in that region and representatives of each EEO advisory committee and the National Treasury Employees Union (NTEU) made presentations to the Commission.

The Commission requested that the staff provide the following additional information:

- a. The programs available or planned for enhancing training opportunities that enable long term employees to enhance their careers and improve their skills.
- b. The exact number of women and minorities in the technical positions and a breakdown of those numbers by offices.
- c. What courses in EEO and managing diversity have been offered by NRC? How many of our current managers and supervisors have attended? What is the plan and the timetable for those managers and supervisors who have not attended courses on EEO and managing diversity, so that they are properly trained to carry out their EEO responsibilities as stipulated in their performance plans?
- d. A review of recent performance appraisal information and opportunities for employees over age fifty.

The Commission encouraged the staff to develop appropriate measures to determine the extent to which the agency's EEO initiatives are achieving their intended objectives.

The Commission also encouraged the staff to consider all the institutions that serve large minority student populations for NRC recruitment and to develop a legislative initiative with regard to connecting the agency better with minority serving institutions in order to build a larger recruiting base.

cc: Chairman Diaz Commissioner Dicus Commissioner McGaffigan Commissioner Merrifield CFO OCA OIG OPA Office Directors, Regions, ACRS, ACNW, ASLBP (via E-Mail) PDR August 12, 2003

MEMORANDUM TO:	Chairman Diaz Commissioner McGaffigan Commissioner Merrifield
FROM:	Karen D. Cyr /RA/ General Counsel
SUBJECT:	DRAFT LEGISLATION RELATED TO MINORITY-SERVING

In Staff Requirements Memorandum M030529B (June 16, 2003) - BRIEFING ON EQUAL EMPLOYMENT OPPORTUNITY PROGRAM (SECY-03-0075), the Commission "encouraged the staff to consider all the institutions that serve large minority student populations for NRC recruitment and to develop a legislative initiative with regard to connecting the agency better with minority serving institutions in order to build a larger recruiting base." In response, we have developed draft legislation that would authorize the NRC to (1) carry out a program to award scholarships and fellowships (based on financial need) to students in science, engineering, law and other critical skills academic areas, and (2) establish an outreach program with Historically Black Colleges and Universities, Hispanic Serving Institutions, and Tribal Colleges. Attached for your consideration is a "legislative package" consisting of a proposed transmittal letter to Congress, the draft bill and a section analysis.

Attachment: as stated

cc: SECY EDO

OCA

The Honorable Richard B. Cheney President of the United States Senate Washington, D.C. 20510

Dear Mr. President:

I am enclosing the Nuclear Regulatory Commission's (NRC) legislative proposal to provide the NRC with authority to (1) carry out a program to award scholarships and fellowships to undergraduate and graduate students, based on financial need, in science, engineering, law, and other critical skills academic areas and (2) establish an outreach program with Historically Black Colleges and Universities, Hispanic Serving Institutions, and Tribal Colleges for the performance of collaborative research and to enhance the capacity of these institutions to train and mentor personnel and students in science, engineering, law, or other critical skills academic areas.

This proposal would assist the NRC in recruiting and retaining employees with critical skills needed to fulfill the agency's mission of protecting the public health and safety and the common defense and security.

Sincerely,

Nils J. Diaz

Enclosures: Draft Bill Section Analysis

Enclosure 1

DRAFT BILL

To authorize the United States Nuclear Regulatory Commission to establish and operate a program to award scholarships and fellowships to undergraduate and graduate students, based on financial need, in science, engineering, law, or other critical skills academic areas and to establish an outreach program with Historically Black Colleges and Universities, Hispanic Serving Institutions, and Tribal Colleges for the performance of collaborative research and to enhance the capacity of these institutions to train and mentor personnel and students in science, engineering, law, or other critical skills academic areas at these institutions.

Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled.

SECTION 1. SHORT TITLE

This Act may be cited as the "Nuclear Regulatory Commission Critical Regulatory Skills Recruitment and Retention Act of 2003."

SECTION 2. NRC SCHOLARSHIP AND FELLOWSHIP PROGRAM.

Chapter 19 of the Atomic Energy Act of 1954 (42 U.S.C. 2015 et seq.) is amended by inserting after section 241 the following:

"Sec. 242. Scholarship and Fellowship Program.

(a) The Commission is authorized to carry out a program for--

(1) awarding scholarships to undergraduate students who--

(A) are United States citizens in order to enable such students to study, for at least one academic semester or equivalent term, science, engineering, law, or another field of study that the Commission determines is in a critical skill area related to its regulatory mission; and

(B) pursuant to subsection (b) of this section, enter into an agreement to be employed by the Nuclear Regulatory Commission in the area of study for which the scholarship was awarded;

(2) awarding fellowships to graduate students who--

(A) are United States citizens to enable such students to pursue education as part of a graduate or professional degree program of a United States institution of higher education in science, engineering, law, or another field of study that the Commission determines is in a critical skill area related to its regulatory mission; and (B) pursuant to subsection (b) of this section, enter into an agreement to be employed by the Nuclear Regulatory Commission in the area of study for which the fellowship was awarded.

(b) In awarding a scholarship or fellowship under this program, the Commission shall require a recipient of any scholarship or fellowship to enter into an agreement that, in return for such assistance, the recipient--

(1) will maintain satisfactory academic progress, as determined by the Commission, and agrees that failure to maintain such progress shall constitute grounds upon which the Commission may terminate such assistance;

(2) will, upon completion of such recipient's education under the program, and in accordance with such determinations by the Commission, be employed by the Nuclear Regulatory Commission for a period specified by the Commission, which period shall be not less than one and not more than three times the period for which the assistance was provided; and

(3) if the recipient fails to meet either of the obligations set forth in paragraph (1) or (2), will reimburse the United States Government for the entire amount of the assistance provided the recipient under the program, together with interest at a rate determined by the Commission.

(c) Recipients of awards of scholarships or fellowships pursuant to this section shall be selected on their financial need."

SECTION 3. PARTNERSHIP PROGRAM WITH HISTORICALLY BLACK COLLEGES AND UNIVERSITIES, HISPANIC SERVING INSTITUTIONS, AND TRIBAL COLLEGES.

Chapter 19 of the Atomic Energy Act of 1954 (42 U.S.C. 2015 et seq.) is amended by inserting after section 242 the following:

"Sec. 243. Partnership Program with Historically Black Colleges and Universities, Hispanic Serving Institutions, and Tribal Colleges.

(a) DEFINITIONS.--- In this section:

(1) HISPANIC SERVING INSTITUTIONS.--- The term "Hispanic Serving Institution" has the same meaning as given the term in section 502(a) of the Higher Education Act of 1965 (20 U.S.C. 1101(a)).

(2) HISTORICALLY BLACK COLLEGES AND UNIVERSITIES.--- The term "Historically Black Colleges and Universities" has the same meaning given the term "part B institution" in section 322 of the Higher Education Act of 1965 (20 U.S.C. 1061).

(3) TRIBAL COLLEGES.--- The term "Tribal Colleges" has the same meaning as given the term "tribally controlled college or university" in section 2(a) of the Tribally Controlled College or University Assistance Act of 1978 (25 U.S.C. 1801(a)).

(4) ACTIVITIES---For purposes of the Partnership Program the term "activities" may include collaborative research, mentoring, and training activities conducted at Historically Black Colleges and Universities, Hispanic Serving Institutions, and Tribal Colleges, or at United States Nuclear Regulatory Commission's facilities.

(b) PARTNERSHIP PROGRAM.--- The Commission is authorized to establish and participate in outreach partnership programs in science, engineering, law, or other critical skill fields to increase the participation of Historically Black Colleges and Universities, Hispanic Serving Institutions, and Tribal Colleges in activities that will enhance their capacity to train personnel and students in science, engineering, law, or other fields of study that the Commission determines are critical to its regulatory mission.

Enclosure 2

SECTION ANALYSIS

Section 1 would entitle this Act the "Nuclear Regulatory Commission Critical Regulatory Skills Recruitment and Retention Act of 2003."

Section 2 would authorize the United States Nuclear Regulatory Commission (NRC) to establish a scholarship and fellowship program. The NRC would be authorized, under this program, to award scholarships to undergraduates in institutions of higher learning who are United States citizens to enable them to study science, engineering, law, or other critical skills needed by the NRC to meet its regulatory mission. This program would also authorize the NRC to award fellowships to United States citizens who are graduate students, or students pursuing professional or post-graduate degrees in science, engineering, law, or other critical skills areas.

Recipients of these scholarships or fellowships would be required to enter into a written agreement with the NRC. In the agreement, the recipient shall agree to maintain satisfactory academic progress and that failure to maintain such progress shall constitute grounds for termination of the assistance. The recipient shall also agree to be employed by the NRC upon completion of the recipient's education under the program in the area of study for which the scholarship or fellowship was granted for a period not less than one, and not more than three times, the period for which the assistance was provided. Failure to meet any of these requirements will require the recipient to reimburse the United States Government for the entire amount of the assistance provided with interest. The award of scholarships or fellowships will be based on the financial need of the potential recipient.

Section 3(a) would define the terms Hispanic Serving Institutions, Historically Black Colleges and Universities as having the same meaning for those terms, respectively, in the Higher Education Act of 1965 and the term Tribal Colleges for the term "tribally controlled college or university" in the Tribally Controlled College or University Assistance Act of 1978.

The term "Activities," for purposes of the Partnership Program in this Act, may include collaborative research, mentoring, and training activities conducted at Historically Black Colleges and Universities, Hispanic Serving Institutions, and Tribal Colleges or conducted at NRC facilities.

Section 3(b) would authorize the NRC to establish and participate in outreach science, engineering, or law partnership programs to increase the participation of Historically Black Colleges and Universities, Hispanic Serving Institutions, and Tribal Colleges in activities that will enhance their capacity to train personnel and students in science, engineering, law, or other critical fields needed by the NRC to meet its regulatory mission.

August 21, 2003

MEMORANDUM TO:	Chairman Diaz Commissioner McGaffigan Commissioner Merrifield
FROM:	William D. Travers /RA/William D. Travers Executive Director for Operations
SUBJECT:	STAFF REQUIREMENTS MEMORANDUM (SRM M030529B) RESPONSE FOLLOWING THE EEO COMMISSION BRIEFING

In response to the subject Staff Requirements Memorandum (SRM) of June 16, 2003, the staff is providing the information below for SRM items #1 and #2. The remaining items will be provided at a later date.

e. The programs available or planned for enhancing training opportunities that enable long term employees to enhance their careers and improve their skills.

Substantial training opportunities are provided to all employees at NRC. While employees entering new positions receive training relevant to their positions, there are also substantial training opportunities available for long-term employees to enhance their skills, keep current in their profession, and assist them in preparing for advancement opportunities.

Competitive merit promotion procedures are followed when selecting employees for training that is primarily to prepare trainees for advancement and that is not directly related to improving performance in their current positions. To help document an employee's career strategy, the NRC Form 321 Individual Development Plan is available for employees and their supervisors to outline an employee's goals and planned developmental activities, when needed. NRC's training programs fully meet the federal laws and regulations regarding government training. Supervisor approval is needed to request training.

Long-term employees may select, with supervisory approval, any job-relevant external training course or educational conference, attend job-relevant training available at NRC's training facilities, compete for selection into various NRC sponsored developmental programs, use the NRC's Individualized Learning Center and OPM's GoLearn self-study courses, obtain one-on-one career counseling and speech enhancement counseling, and mentoring. Each of these training opportunities is summarized below:

1. External Training - All employees have the opportunity to request to attend commercially available courses and educational conferences conducted outside NRC. Long-term employees who have completed relevant in-house courses offered at the Technical Training Center (TTC) and Professional Development Center (PDC), benefit greatly from the use of external training. Requests for external training are approved by management.

2. NRC Training - Classroom and self-study courses are available at the TTC, PDC, Individualized Learning Center (ILC), and Regional offices. These courses target both employees learning new positions at NRC and long-term employees. A catalog and course registration for all the available HR courses are on the NRC internal web page under Employee Training and Development.

3. NRC Developmental Programs - Employees may be considered for special programs, such as the Administrative Skills Enhancement Program, Information Technology/Information Management Program, Leadership Potential Program, SES Candidate Development Program, and Graduate Fellowship Program. Details of these programs are described below:

- The Administrative Skills Enhancement Program helps secretaries, administrative assistants, and other support staff employees obtain training and education in an administrative area (non-competitive).
- The Information Technology/Information Management Program assists secretaries, administrative assistants, and support staff employees in obtaining training and education in an information technology area (non-competitive).
- The Leadership Potential Program prepares high-performing individuals for team leader and supervisory positions (competitive).
- The SES Candidate Development Program prepares high-performing individuals for certification in the SES (competitive).
- The Graduate Fellowship Program supports technical individuals who obtain an advanced degree that is of benefit to the NRC (competitive).

4. Individual Counseling - Provides one-on-one career counseling and speech enhancement counseling by appointment for all staff. Long-term employees can obtain assistance in evaluating their careers, capabilities and interests, developmental goals and planning, and job application techniques. Speech enhancement counseling is also available for those for whom English is a second language (voluntary).

5. Mentoring - Provides employees an opportunity to discuss and evaluate their career goals and aspirations one-on-one with experienced NRC employees. The goal of the program is to enhance productivity and assist the Agency in transferring employee skills and knowledge. All participants complete an orientation that provides information regarding roles and responsibilities, and expectations (voluntary).

2. The exact number of women and minorities in the technical positions and a breakdown of those numbers by offices.

Technical positions are defined as those in engineering and scientific occupational categories (Occupation series 800 - 899 and 1300 - 1399). There are a total of 283

minority men, 97 minority women, and 165 white women in technical occupations. The combined count of 545 for this group represents 33% of all technical positions. See the attached table for details.

Attachment: As stated

CC: SECY CFO OGC OCA OPA

MEMORANDUM TO	Chairman Diaz Commissioner McGaffigan Commissioner Merrifield	
FROM:	William D. Travers Executive Director for Operation	/RA/ ns
SUBJECT:	RESPONSE TO ACTION ITEM MEMORANDUM (SECY-03-00 EMPLOYMENT OPPORTUNIT	75); MAY 29, 2003, EQUAL

In response to the above referenced SRM, the staff is providing the following information for items 3 and 4:

Item 3 a. What courses in Equal Employment Opportunity (EEO) and managing diversity have been offered by the NRC?

The staff reviewed information from 1999 onward, as this best reflects current and relevant training in this area. The courses in EEO and managing diversity offered by the NRC since 1999 are as follows:

- 1. EEO and Diversity for Managers and Supervisors
- 2. EEO for Managers and Supervisors (no longer available)
- 3. Leadership Seminar: Managing Diversity (no longer available)
- 4. *Managing Diversity for Employees* (no longer available)
- 5. Managing Diversity

b. How many of our current managers and supervisors have attended?

A total of 404 of NRC's 509 ¹managers and supervisors have completed at least one of the courses listed above and 105 have not completed any of the courses since FY 1999.

A total of 222 of the 404 managers and supervisors have completed at least one of the courses listed as 1 and 2 above. The *EEO and Diversity for Managers and Supervisors* course is a 2-day mandatory training requirement for new managers and supervisors. This course is designed to provide managers and supervisors a basic understanding of current EEO laws and regulations as well as their responsibility with respect to EEO, affirmative employment and managing diversity.

1

For the purpose of this report, team leaders are included as supervisors because they also assign and direct work.

Additionally, a total of 182 of the 404 managers and supervisors have completed at least one of the courses listed as 3 through 5 above related to managing diversity. These courses do not include vital information on EEO laws and regulations, and management responsibilities with respect to EEO.

A total of 105 have not completed any of the courses listed. Managers and supervisors who have completed courses listed as 3 through 5 and those who have not completed any training listed (a combined total of 287) will be required to complete a new 1-day *EEO* and *Diversity for Managers and Supervisors* course (see c below) that is currently being developed.

c. What is the plan and the timetable for those managers and supervisors who have not attended courses on EEO and managing diversity, so that they are properly trained to carry out their responsibilities as stipulated in their performance plans?

During FY 2004 and FY 2005, up to 14 sessions of the 1-day course will be conducted for managers and supervisors who have not completed the training. Thereafter, the course will be offered up to three times annually, as needed. The long-term plan is to make the 1-day training available on the web.

The 2-day course will remain a mandatory training requirement for new managers and supervisors. Effective in FY 2004, the 2-day course will be offered twice annually. The EDO will issue a memorandum to all Office Directors and Regional Administrators regarding these requirements.

Item 4 A review of recent performance appraisal information and opportunities for employees over age 50.

a. The Office of Small Business and Civil Rights facilitated a review and analysis of performance appraisal data to determine whether there is a statistical correlation between age and performance appraisals. The study was conducted by Dan Lurie, an NRC statistician. The scope of the study covered engineers in the 800 occupational series and scientists in the 1300 occupational series in grades 13, 14, and 15 over a 13 year period from 1988-2000. In order to track and investigate trends, employees with less than 5 years of NRC service and employees who were not in the same occupational series, including SES managers, throughout the period covered by the study were excluded.

A summary of the analysis is described below:

 Employees who received fully successful (FS) or better on their performance appraisals were, on the average, 12 years younger than those who received a rating of minimally successful (MS). The average age of employees receiving MS was 59. In comparison, the average age of employees appraised at FS or better (including outstanding-O, excellent-E, and fully successful - FS) was 47.

- 2. Employees who received O appraisals were, on the average, 3 years younger than all other employees appraised. The average age of employees appraised at O was 45. In comparison, the average age of employees appraised at E, FS, and MS was 48.
- 3. Based on the trend analyzed in this study, as some employees got older, performance appraisal ratings decreased.

Why these trends occurred cannot be determined from this study. However, managers and supervisors will continue to be reminded to evaluate employees fairly and appraise performance based on objective standards. In FY 2004, the EDO will continue to emphasize management's responsibility in this regard and will issue a memorandum to Office Directors and Regional Administrators in support of this objective.

b. Substantial training opportunities are provided to all employees at NRC including employees over age 50. Such opportunities include external training, NRC inhouse training at the Technical Training Center, Professional Development Center, Individualized Learning Center, and Regional offices. Additionally, all employees inclusive of employees over age 50 may be considered for rotational assignment and special developmental programs such as the Information Administrative Skills Enhancement Program, Technology/Information Management Program, Leadership Potential Program. SES Candidate Development Program, and Graduate Fellowship Program. Additionally, individual career counseling is available to all employees to provide guidance on career goals and skill enhancements.

Response to Items 1 and 2 of Staff Requirements Memorandum (SECY-03-0075) were provided to the Commission on August 21, 2003. The Commission also asked the staff to consider all institutions that serve large minority student populations for recruitment efforts and to develop a legislative initiative to better connect the agency with these minority institutions. Response to this issue was provided to the Commission on August 12, 2003.

The Commission also encouraged the staff to develop appropriate measures to determine the extent to which the agency's EEO initiatives are achieving their intended objectives. This item is being addressed by an agency-wide task force. A status of this effort will be discussed at the upcoming EEO briefing in December.

cc: SECY OCA OGC OPA CFO Equal Employment Opportunity Workforce Profile Data

Attachment 2

Joint Statement by The Equal Employment Opportunity Advisory Committees

JLMEEOC EEO Statement December 2003 Commission Briefing

Attachment 3

Joint Equal Employment Opportunity Advisory Committees' Statement December 2003

The Joint Equal Employment Opportunity (EEO) Advisory Committee is composed of the following five authorized committees: Advisory Committee for African Americans (ACAA); Asian/Pacific American Advisory Committee (APAAC); Committee on Age Discrimination (CAD); Federal Women's Program Advisory Committee (FWPAC); and Hispanic Employment Program Advisory Committee (HEPAC). The EEO Advisory Committees have joined efforts to provide advice and recommendations to the Director, Office of Small Business and Civil Rights (SBCR), regarding factors that may impact policies, procedures, and practices related to selection, promotion, placement, training, upgrading, and career development of NRC employees or selection of applicants for employment.

The EEO Advisory Committees continue to enjoy a good working relationship with SBCR as well as with other offices. With primary assistance from SBCR, the EEO Advisory Committees have been instrumental in focusing management efforts towards achieving greater representation of some minority groups, including an increase in the number of Asians in management and supervisory positions, a slight increase in the number of Native Americans and representation of Hispanics more than doubled. We recognize the commitment of NRC management to increase Hispanic representation especially at the entry level.

We also appreciate the Office of Small Business and Civil Rights and the Office of Human Resources for providing essential EEO related data including EEO Workforce Profile Data presented in EEO briefing papers. The Workforce Profile Data demonstrates, in part, many of the concerns expressed by the EEO Advisory Committees going back several years. Agency initiatives, such as, the "Career Planning Sessions" and "Family-Friendly Work Arrangements" including Flexi-place, foster EEO goals by ensuring that career planning and training is embedded with outcomes that ultimately affect EEO demographics in a positive way. Additionally, the celebration of Diversity Day helps to foster good working relationships, is inclusive and benefits all at the Agency.

There remains a persistent challenge with regard to under representation of some minority groups as it relates to hiring, rotational assignments, and appointments to supervisory and management ranks. The EEO Advisory Committees would like to emphasize to the Commission several important EEO issues that warrant greater attention and resolve.

Issue 1: Limited number of women and minorities in SES positions.

<u>Recommendation</u>: Enhance minority representation in programs such as the Leadership Potential Program, and the SES Candidate Development Program. These programs need to be more inclusive of all EEO constituent groups. Develop new initiatives and strategic approaches to achieve greater minority representation at the SES level. As the Agency refines its initiatives to increase diverse representation, we encourage management to consider additional ways to promote the participation of minority employees in career development programs to ensure an adequate pool of diverse candidates.

Overall, Hispanics remain significantly under represented throughout the agency and most notably, there has been no change in the Senior Level ranks in the past fifteen years. <u>Recommendation</u>: Enhance Hispanic representation by encouraging managers to consider Hispanics for selection and appointment at the SES level.

Issue 2: A gap still exists in the developmental opportunities for minorities, such as African Americans, Asian/Pacific Americans, and Hispanic Americans in the NRC's administrative ranks. While much emphasis has been placed on the technical workforce, less attention has been given to the administrative and support staff sector. For FY 2002, the data shows, that over the past three years, approximately 31% of the workforce is made up of administrative, clerical, blue collar, and other, with African American employees making up 20%, Asian/Pacific Americans making up 3%, Hispanic Americans making up 2%, Native Americans making up 1%, and women making up 65% of the group.

<u>Recommendation</u>: Increase the focus on career development, career counseling, planning and advancement of administrative and support staff. The challenge is apparent and, in some instances, understandable in a technical agency. Where appropriate, greater emphasis is needed to realize a positive change in the advancement of minorities within the administrative ranks.

Issue 3: A recent independent analysis of performance appraisal data conducted by an NRC statistician, at the request of SBCR, confirmed a CAD concern. This analysis showed (1) older non-supervisory engineers and scientists, on the average, received lower appraisals than younger employees and (2) as some employees got older their performance rating decreased.

Using data supplied by SBCR on performance appraisal ratings from FY 1988 through FY 2000, a period of 13 years, for all Grade 13, 14, and 15 non-supervisory engineers and scientists, CAD performed a longitudinal study to examine the extent to which changes in performance appraisal ratings of individuals, over time, are correlated with age. Approximately 24 percent of the staff had a downward shift and about 13 percent had an upward shift in their ratings at some point in their careers. While there was no correlation with age for the upward shifts, the odds of a downward shift for staff 50 years old and over, were about twice the odds for staff under 50. The data analyzed by CAD suggests that the performance appraisal ratings of older engineers and scientists were more likely to decline than that of younger scientists and engineers. The agency's older engineers and scientists are very experienced, competent, and have the potential to contribute more to the mission when given the opportunity.

<u>Recommendation</u>: Conduct an independent study to understand the reasons for lower performance appraisal ratings of (non supervisory engineers and scientists) 50 years of age and older.

Issue 4: Management accountability to manage EEO and Diversity. We believe this EEO initiative may prove to be one of the best approaches to strengthen management's commitment to EEO and help make the NRC a role model for other Federal agencies.

<u>Recommendation</u>: Provide information regarding implementation of EEO and Diversity management performance for SES managers, non-SES managers and supervisors and that it be included in the next EEO briefing paper. A status report would provide information regarding the effectiveness of the integrated and uniform approach to implementing the Agency's EEO responsibilities. The EEO Advisory Committees stand ready to assist in implementing any recommendations that may result from such a report.

In conclusion, the EEO Advisory Committees are committed to continue working to assist in the accomplishment of NRC's Equal Employment Opportunity and Diversity objectives. When we have questions or concerns in the EEO arena, we will continue to engage SBCR and others in a constructive manner. Thank you for considering our concerns.

JLMEEOC EEO Statement December 2003 Commission Briefing

The Joint Labor Management Equal Employment Opportunity Committee (JLMEEOC) was established by the Nuclear Regulatory Commission and the National Treasury Employees Union (NRC/NTEU) to advise the NRC on matters about equal employment opportunity (EEO). The Committee is currently chaired by Rateb (Boby) Abu-Eid and co-chaired by King (Newton) Stablein. The current members are: Bruce Boger, Lisa B. Clark, John Minns, Maria E. Schwartz, and Cheryl Trottier. The JLMEEOC appreciates the opportunity to brief the Commission on EEO matters. The JLMEEOC acknowledges the EEO progress made since the last briefing and summarizes some of the top EEO issues that continue to be addressed and enhance NRC efforts to expedite resolutions.

The Office of Small Business and Civil Rights (SBCR) and the Office of Human Resources (HR) continue to address EEO and diversity issues confronting the Agency. The NMSS Office met with the JLMEEOC Chair and Co-Chair to discuss specific Committee issues. NMSS continues to address EEO issues through its diversity panel. The JLMEEOC acknowledges NRC's continuing efforts for recruitment of minorities and improving communications. The "Diversity Day" is a successful event supporting EEO notion and awareness. The agency also made significant progress in recognizing the value of a family friendly workplace.

The JLMEEOC believes that the agency needs to continue to build momentum in addressing EEO and diversity management issues, supporting EEO committees, implementing affirmative actions, and achieving the Agency's main goal of discrimination-free work environment. In this regard, the JLMEEOC recommends that NRC focus on the following top EEO and diversity issues:

- ! NRC should increase opportunities for advancement of women, minorities, and disadvantaged groups in supervisory, management, executive, and senior level positions.
- ! The agency should continue to implement and enhance the current mentoring program.
- ! The agency should continue to improve internal communications and awareness about EEO, diversity management, and affirmative action goals and objectives.
- ! NRC should maintain and enhance corporate knowledge through rewards and incentives to NRC's aging population and more opportunities to the younger generation.

The JLMEEOC is encouraged by certain initiatives and efforts of agency offices to address EEO and diversity management issues and optimistic that further progress will be made. The Committee appreciates the Commission attention and continuing efforts to bring about significant changes to improve EEO and diversity at the NRC.