| | ion of Federal Rules ongressional Review Act Speaker of the House of Representatives GAO |
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| Please fill the circles electronically or with black | pen or #2 pencil. |
| 1. Name of Department or Agency | 2. Subdivision or Office |
| U.S. Nuclear Regulatory Commission | Office of Enforcement |
| 3. Rule Title Clarification of NRC Civil Penalty Author Employees for Engaging in Protected Activ | ity over Contractors and Subcontractors Who Discriminate Against vities |
| 4. Regulation Identifier Number (RIN) or Other Uniq RIN 3150-AH59 | ue Identifier (if applicable) |
| 5. Major Rule 🔿 Non-major Rule 🕥 | |
| 6. Final Rule 🖲 Other 🔿 | |
| 7. With respect to this rule, did your agency solicit p | ublic comments? Yes No NA |
| 8. Priority of Regulation (fill in one) Economically Significant; or Significant; or Substantive, Non Significant | Informational/Administrative/Other |
| 9. Effective Date (if applicable) 30 days after | publication in Federal Register |
| 10. Concise Summary of Rule (fill in one or both) | attached 🔘 stated in rule 🖲 |
| Submitted by: | (signature) |
| Title: Director, Office of Congressiona | al Affairs |
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| | |
| For Congressional Use Only: | |
| | |
| Date Received: | |



| | | Yes | No | <u>N/A</u> |
|----|--|------------|----------------|------------|
| A. | With respect to this rule, did your agency prepare an analysis of costs and benefits? | | 0 | \bigcirc |
| В. | With respect to this rule, by the final rulemaking stage, did your agency | | | |
| | certify that the rule would not have a significant economic impact on a substantial number of small entities under 5 U.S.C.§ 605(b)? | ۲ | 0 | 0 |
| | 2. prepare a final Regulatory Flexibility Analysis under 5 U.S.C. § 604(a)? | ١ | \bigcirc | \bigcirc |
| C. | With respect to this rule, did your agency prepare a written statement under § 202 of the Unfunded Mandates Reform Act of 1995? | 0 | 0 | • |
| D. | With respect to this rule, did your agency prepare an Environmental Assessment or an Environmental Impact Statement under the National Environmental Policy Actg (NEPA)? | ۲ | 0 | 0 |
| Е. | Does this rule contain a collection of information requiring OMB approval under the Paperwork Reduction Act of 1995? | \bigcirc | ۲ | 0 |
| F. | Did you discuss any of the following in the preamble to the rule? | ۲ | \bigcirc | \bigcirc |
| | | | | |
| | • E.O. 12612, Federalism | \bigcirc | ۲ | \bigcirc |
| | E.O. 126630, Government Actions and Interference with Constitutionally Protected Property Rights | 0 | ۲ | 0 |
| | E.O. 12866, Regulatory Planning and Review | \sim | $oldsymbol{O}$ | 0 |
| | E.O. 12875, Enhancing the Intergovernmental Partnership | \bigcirc | | 0 |
| | E.O. 12988, Civil Justice Reform | \bigcirc | ۲ | 0 |
| | E.O. 13045, Protection of Children from Environmental Health Risks and Safety Risks | 0 | | \bigcirc |
| | Other statutes or executive orders discussed in the preamble concerning the rulemaking process (please specify) <u>The National Technology Transfer and Advancement Act of 1995</u> | | | |

Small Business Regulatory Enforcement Fairness Act of 1996

| | ission of Federal Rules Congressional Review Act |
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| President of the Senate | Speaker of the House of Representatives GAO |
| Please fill the circles electronically or with b | black pen or #2 pencil. |
| 1. Name of Department or Agency | 2. Subdivision or Office |
| U.S. Nuclear Regulatory Commission | Office of Enforcement |
| 3. Rule Title Clarification of NRC Civil Penalty Au Employees for Engaging in Protected | uthority over Contractors and Subcontractors Who Discriminate Against I Activities |
| 4. Regulation Identifier Number (RIN) or Other RIN 3150-AH59 | r Unique Identifier (if applicable) |
| 5. Major Rule O Non-major Rule 🥥 | |
| 6. Final Rule Other | |
| 7. With respect to this rule, did your agency so | olicit public comments? Yes No N/A |
| 8. Priority of Regulation (fill in one) Economically Significa Significant; or Substantive, Non Significant | Informational/Administrative/Other |
| 9. Effective Date (if applicable) 30 days a | after publication in Federal Register |
| 10. Concise Summary of Rule (fill in one or bo | oth) attached () stated in rule () |
| Submitted by: Name: Rebecca L. Schmidt | (signature) |
| Title: Director, Office of Congres | ssional Affairs |
| | |
| | ······································ |
| For Congressional Use Only: | · · |
| For Congressional Use Only: Date Received: | |



| | · | Ye | S | No | N/A |
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| Α. | With respect to this rule, did your agency prepare an analysis of costs and benefits? | | | 0 | \bigcirc |
| В. | With respect to this rule, by the final rulemaking stage, did your agency | · | | | |
| | certify that the rule would not have a significant economic impact on a substantial number of small entities under 5 U.S.C.§ 605(b)? | | | 0 | \bigcirc |
| | 2. prepare a final Regulatory Flexibility Analysis under 5 U.S.C. § 604(a)? | | | \bigcirc | \bigcirc |
| C. | With respect to this rule, did your agency prepare a written statement under § 202 of the Unfunded Mandates Reform Act of 1995? | |) | 0 | $oldsymbol{igodol}$ |
| D. | With respect to this rule, did your agency prepare an Environmental Assessment or an Environmental Impact Statement under the National Environmental Policy Actg (NEPA)? | | | 0 | 0 |
| E. | Does this rule contain a collection of information requiring OMB approval under the Paperwork Reduction Act of 1995? | . (|) | ۲ | |
| F. | Did you discuss any of the following in the preamble to the rule? | | | \bigcirc | \bigcirc |
| | | · · · | | | |
| 1. 1.8° a 14 | • E.O. 12612, Federalism | C |) | $oldsymbol{igodol}$ | \bigcirc |
| | E.O. 126630, Government Actions and Interference with Constitutionally Protected Property Rights | (|) | ۲ | \bigcirc |
| | E.O. 12866, Regulatory Planning and Review | |). | $oldsymbol{O}$ | \bigcirc |
| | E.O. 12875, Enhancing the Intergovernmental Partnership | (|) | \bigcirc | \bigcirc |
| | • E.O. 12988, Civil Justice Reform | C |) | $oldsymbol{igodol}$ | \bigcirc |
| | E.O. 13045, Protection of Children from Environmental Health Risks and Safety Risks | C |) | ٢ | \bigcirc |
| | Other statutes or executive orders discussed in the preamble concerning the rulemaking process (please specify) The National Technology Transfer and Advancement Act of 1995 | | | | |

Small Business Regulatory Enforcement Fairness Act of 1996

| 24722 Submission | of Federal Rules | |
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| | gressional Review Ac aker of the House of Representatives | t J GAO |
| Please fill the circles electronically or with black pen or | #2 pencil. | · . |
| 1. Name of Department or Agency U.S. Nuclear Regulatory Commission | 2. Subdivision or Office Office of Enforcement | |
| 3. Rule Title Clarification of NRC Civil Penalty Authority over Employees for Engaging in Protected Activities | r Contractors and Subcontractors Who Disc | riminate Against |
| 4. Regulation Identifier Number (RIN) or Other Unique Identi RIN 3150-AH59 | ifier (if applicable) | |
| 5. Major Rule 🔿 Non-major Rule 💿 | · · · · · · · · · · · · · · · · · · · | |
| 6. Final Rule Other | | |
| 7. With respect to this rule, did your agency solicit public cor | mments? Yes No N/A | 0 |
| 8. Priority of Regulation (fill in one) Economically Significant; or Significant; or Substantive, Non Significant | Routine and Frequent or Informational/Administrative/Other (Do not complete the other side of this fo if filled in above.) | rm |
| 9. Effective Date (if applicable) 30 days after public | ation in Federal Register | |
| 10. Concise Summary of Rule (fill in one or both) atta | ached 🔘 stated in rule 🖲 | · • • • • • • • • • • • • • • • • • • • |
| Submitted by: (s | signature) | |
| Title: Director, Office of Congressional Affai | rs | • |
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| For Congressional Use Only: | | · · · · · · · · · · · · · · · · · · · |
| Date Received: | | |
| Committee of Jurisdiction: | · · · | · · |
| ÷ | 3 | /23/99 |

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| | | Yes | No | N/A |
|----|--|------------|---------------------|------------|
| A. | With respect to this rule, did your agency prepare an analysis of costs and benefits? | ۲ | 0 | 0 |
| В. | With respect to this rule, by the final rulemaking stage, did your agency | • | | |
| | certify that the rule would not have a significant economic impact on a substantial number of small entities under 5 U.S.C.§ 605(b)? | ۲ | \bigcirc | \bigcirc |
| | 2. prepare a final Regulatory Flexibility Analysis under 5 U.S.C. § 604(a)? | . • | \bigcirc | \bigcirc |
| C. | With respect to this rule, did your agency prepare a written statement under § 202 of the Unfunded Mandates Reform Act of 1995? | \bigcirc | \bigcirc | ۲ |
| D. | With respect to this rule, did your agency prepare an Environmental Assessment or an Environmental Impact Statement under the National Environmental Policy Actg (NEPA)? | ۲ | 0 | 0 |
| E. | Does this rule contain a collection of information requiring OMB approval under the Paperwork Reduction Act of 1995? | 0 | ۲ | 0 |
| F. | Did you discuss any of the following in the preamble to the rule? | ۲ | 0 | |
| | • E.O. 12612, Federalism | \bigcirc | $oldsymbol{O}$ | \bigcirc |
| | E.O. 126630, Government Actions and Interference with Constitutionally Protected Property Rights | 0 | ۲ | \bigcirc |
| | E.O. 12866, Regulatory Planning and Review | 0 | $oldsymbol{O}$ | \bigcirc |
| | E.O. 12875, Enhancing the Intergovernmental Partnership | . 0 | $oldsymbol{igodol}$ | \bigcirc |
| · | • E.O. 12988, Civil Justice Reform | \bigcirc | ۲ | \bigcirc |
| | E.O. 13045, Protection of Children from Environmental Health Risks and Safety Risks | | ۲ | \bigcirc |
| | Other statutes or executive orders discussed in the preamble concerning the rulemaking process (please specify) | | | |

The National Technology Transfer and Advancement Act of 1995

Small Business Regulatory Enforcement Fairness Act of 1996



Submission of Federal Rules Under the Congressional Review Act

V President of the Senate

Speaker of the House of Representatives

GAO

3/23/99

Please fill the circles electronically or with black pen or #2 pencil.

| 1. Name of Department or Agency | 2. Subdivision or Office |
|--|--|
| U.S. Nuclear Regulatory Commission | Office of Enforcement |
| 3. Rule Title Imposition of Civil Penalty on Contractors and Sub Engaging in Protected Activities | contractors Who Discriminate Against Employees for |
| 4. Regulation Identifier Number (RIN) or Other Unique Identifie | r (if applicable) |
| 5. Major Rule O Non-major Rule O | |
| 6. Final Rule Other Enforce | ment Policy |
| 7. With respect to this rule, did your agency solicit public comm | nents? Yes No 💿 N/A 🔿 |
| 8. Priority of Regulation (fill in one) Economically Significant; or Significant; or Substantive, Non Significant | Routine and Frequent or Informational/Administrative/Other (Do not complete the other side of this form if filled in above.) |
| 9. Effective Date (if applicable) 30 days after publication | ion in Federal Register |
| 10. Concise Summary of Rule (fill in one or both) attach | ned 🔿 stated in rule 🔘 |
| Submitted by: (sig Name:Rebecca L. Schmidt | nature) |
| Title: Director, Office of Congressional Affairs | |
| | |
| For Congressional Use Only: | |
| Date Received: | · · · · |
| Committee of Jurisdiction: | |

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| | Yes | No | N/A |
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| With respect to this rule, did your agency prepare an analysis of costs and benefits? | 0 | \bigcirc | |
| With respect to this rule, by the final rulemaking stage, did your agency | | | |
| certify that the rule would not have a significant economic impact on a substantial number of small entities under 5 U.S.C.§ 605(b)? | | \bigcirc | ۲ |
| 2. prepare a final Regulatory Flexibility Analysis under 5 U.S.C. § 604(a)? | \bigcirc | \bigcirc | ۲ |
| With respect to this rule, did your agency prepare a written statement under § 202 of the Unfunded Mandates Reform Act of 1995? | | 0 | ۲ |
| With respect to this rule, did your agency prepare an Environmental Assessment or an Environmental Impact Statement under the National Environmental Policy Actg (NEPA)? | | 0 | ۲ |
| Does this rule contain a collection of information requiring OMB approval under the Paperwork Reduction Act of 1995? | \bigcirc | 0 | ۲ |
| Did you discuss any of the following in the preamble to the rule? | \bigcirc | $oldsymbol{igodol}$ | \bigcirc |
| | | | |
| • E.O. 12612, Federalism | \bigcirc | $oldsymbol{igodol}$ | \bigcirc |
| E.O. 126630, Government Actions and Interference with Constitutionally Protected Property Rights | 0 | ۲ | \bigcirc |
| E.O. 12866, Regulatory Planning and Review | \circ | $oldsymbol{igodol}$ | |
| E.O. 12875, Enhancing the Intergovernmental Partnership | | $oldsymbol{igodol}$ | \bigcirc |
| E.O. 12988, Civil Justice Reform | \bigcirc | $oldsymbol{igodol}$ | \bigcirc |
| E.O. 13045, Protection of Children from Environmental Health Risks and Safety Risks | 0 | ۲ | $\overline{\mathbf{O}}$ |
| Other statutes or executive orders discussed in the preamble concerning the rulemaking process (please specify) | , | | |
| | and benefits? With respect to this rule, by the final rulemaking stage, did your agency certify that the rule would not have a significant economic impact on a substantial number of small entities under 5 U.S.C. § 605(b)? prepare a final Regulatory Flexibility Analysis under 5 U.S.C. § 604(a)? With respect to this rule, did your agency prepare a written statement under § 202 of the Unfunded Mandates Reform Act of 1995? With respect to this rule, did your agency prepare an Environmental Assessment or an Environmental Impact Statement under the National Environmental Policy Actg (NEPA)? Does this rule contain a collection of information requiring OMB approval under the Paperwork Reduction Act of 1995? Did you discuss any of the following in the preamble to the rule? E.O. 12612, Federalism E.O. 126630, Government Actions and Interference with Constitutionally Protected Property Rights E.O. 12866, Regulatory Planning and Review E.O. 12875, Enhancing the Intergovernmental Partnership E.O. 12988, Civil Justice Reform E.O. 13045, Protection of Children from Environmental Health Risks and Safety Risks Other statutes or executive orders discussed in the preamble | With respect to this rule, did your agency prepare an analysis of costs and benefits? With respect to this rule, by the final rulemaking stage, did your agency 1. certify that the rule would not have a significant economic impact on a substantial number of small entities under 5 U.S.C. § 605(b)? 2. prepare a final Regulatory Flexibility Analysis under 5 U.S.C. § 604(a)? With respect to this rule, did your agency prepare a written statement under § 202 of the Unfunded Mandates Reform Act of 1995? With respect to this rule, did your agency prepare an Environmental Assessment or an Environmental Impact Statement under the National Environmental Policy Actg (NEPA)? Does this rule contain a collection of information requiring OMB approval under the Paperwork Reduction Act of 1995? Did you discuss any of the following in the preamble to the rule? E.O. 12812, Federalism E.O. 12866, Regulatory Planning and Review E.O. 12866, Regulatory Planning and Review E.O. 12875, Enhancing the Intergovernmental Partnership E.O. 12845, Protection of Children from Environmental Health Risks and Safety Pisks Other statutes or executive orders discussed in the preamble | and benefits? With respect to this rule, by the final rulemaking stage, did your agency 1. certify that the rule would not have a significant economic impact on a substantial number of small entities under 5 U.S.C. § 605(b)? 0 2. prepare a final Regulatory Flexibility Analysis under 5 U.S.C. § 604(a)? 0 With respect to this rule, did your agency prepare a written statement under § 202 of the Unfunded Mandates Reform Act of 1995? 0 With respect to this rule, did your agency prepare an Environmental Assessment or an Environmental Impact Statement under the National Environmental Policy Actg (NEPA)? 0 Does this rule contain a collection of information requiring OMB approval under the Paperwork Reduction Act of 1995? 0 Did you discuss any of the following in the preamble to the rule? • • • • • E.O. 12612, Federalism • • E.O. 12612, Federalism • • E.O. 12866, Regulatory Planning and Review • • E.O. 12875, Enhancing the Intergovernmental Partnership • • E.O. 12988, Civil Justice Reform • • • E.O. 12984, Protection of Children from Environmental Health Risks and Salety Risks • • |

| President of the Senate | Speaker of the House of Representatives GAO |
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| ease fill the circles electronically or with b | lack pen or #2 pencil. |
| Name of Department or Agency | 2. Subdivision or Office |
| U.S. Nuclear Regulatory Commission | Office of Enforcement |
| Rule Title Imposition of Civil Penalty on Contra Engaging in Protected Activities | ctors and Subcontractors Who Discriminate Against Employees for |
| Regulation Identifier Number (RIN) or Other N/A | Unique Identifier (if applicable) |
| Major Rule 🔵 Non-major Rule 🥥 | |
| Final Rule Other Revision | on to Enforcement Policy |
| With respect to this rule, did your agency so | licit public comments? Yes 🔿 No 🖲 N/A 🔿 |
| Priority of Regulation (fill in one) | Informational/Administrative/Other |
| Effective Date (if applicable) 30 days a | after publication in Federal Register |
| Concise Summary of Rule (fill in one or bot | h) attached () stated in rule () |
| Submitted by: | (signature) |
| Name: Rebecca L. Schmidt | |
| Title: Director, Office of Congress | sional Affairs |
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| | |
| For Congressional Use Only: | |

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| | | Yes | No | N/A |
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| A. | With respect to this rule, did your agency prepare an analysis of costs and benefits? | \bigcirc | 0 | |
| З. | With respect to this rule, by the final rulemaking stage, did your agency | | | . 81 w . 5 |
| | certify that the rule would not have a significant economic impact on a substantial number of small entities under 5 U.S.C.§ 605(b)? | 0. | O_{1} | ۲ |
| | 2. prepare a final Regulatory Flexibility Analysis under 5 U.S.C. § 604(a)? | \odot | \mathbf{O} | $oldsymbol{O}$ |
| 2. | With respect to this rule, did your agency prepare a written statement under § 202 of the Unfunded Mandates Reform Act of 1995? | \sim | \bigcirc | |
| Э. | With respect to this rule, did your agency prepare an Environmental Assessment or an Environmental Impact Statement under the National Environmental Policy Actg (NEPA)? | 0 | 0 | • |
| | Does this rule contain a collection of information requiring OMB approval under the Paperwork Reduction Act of 1995? | \bigcirc | \overline{O} | ٢ |
| - | Did you discuss any of the following in the preamble to the rule? | \bigcirc | ۲ | \bigcirc |
| | e a general de la constance de | | | |
| | • E.O. 12612, Federalism | 0 | $oldsymbol{O}$ | \bigcirc |
| | E.O. 126630, Government Actions and Interference with Constitutionally Protected Property Rights | . 0 | ۲ | \bigcirc |
| | E.O. 12866, Regulatory Planning and Review | \bigcirc | $oldsymbol{igodol}$ | \bigcirc |
| | • E.O. 12875, Enhancing the Intergovernmental Partnership | \bigcirc | $oldsymbol{igodol}$ | 0 |
| | • E.O. 12988, Civil Justice Reform | \bigcirc | $oldsymbol{igodol}$ | \bigcirc |
| | E.O. 13045, Protection of Children from Environmental Health Risks and Safety Risks | \bigcirc | ۲ | \bigcirc |
| | Other statutes or executive orders discussed in the preamble concerning the rulemaking process (please specify) | | | |

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Submission of Federal Rules Under the Congressional Review Act

President of the Senate

Speaker of the House of Representatives

GAO

Please fill the circles electronically or with black pen or #2 pencil. 1. Name of Department or Agency 2. Subdivision or Office **U.S. Nuclear Regulatory Commission Office of Enforcement** 3. Rule Title Imposition of Civil Penalty on Contractors and Subcontractors Who Discriminate Against Employees for **Engaging in Protected Activities** 4. Regulation Identifier Number (RIN) or Other Unique Identifier (if applicable) N/A 5. Major Rule () Non-major Rule () **Revision to Enforcement Policy** 6. Final Rule Other () ()7. With respect to this rule, did your agency solicit public comments? Yes () No N/A 8. Priority of Regulation (fill in one) Economically Significant; or **Routine and Frequent or** Significant: or Informational/Administrative/Other Substantive, Non Significant (Do not complete the other side of this form if filled in above.) 9. Effective Date (if applicable) 30 days after publication in Federal Register 10. Concise Summary of Rule (fill in one or both) attached () stated in rule () Submitted by: (signature) Rebecca L. Schmidt Name: Title: Director, Office of Congressional Affairs For Congressional Use Only: Date Received: Committee of Jurisdiction: 3/23/99



| | | Yes | No | N/A |
|----|--|------------|---------------------|----------------|
| A. | With respect to this rule, did your agency prepare an analysis of costs and benefits? | 0 | \mathbf{O} | Ó |
| В. | With respect to this rule, by the final rulemaking stage, did your agency | | | |
| | certify that the rule would not have a significant economic impact on a substantial number of small entities under 5 U.S.C.§ 605(b)? | \bigcirc | \bigcirc | ۲ |
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| C. | With respect to this rule, did your agency prepare a written statement under § 202 of the Unfunded Mandates Reform Act of 1995? | | 0 | ۲ |
| D. | With respect to this rule, did your agency prepare an Environmental Assessment or an Environmental Impact Statement under the National Environmental Policy Actg (NEPA)? | 0 | 0 | ۲ |
| E. | Does this rule contain a collection of information requiring OMB approval under the Paperwork Reduction Act of 1995? | 0 | \circ | ۲ |
| F. | Did you discuss any of the following in the preamble to the rule? | \bigcirc | $oldsymbol{igstar}$ | \bigcirc |
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| | • E.O. 12612, Federalism | \bigcirc | $oldsymbol{igodol}$ | \bigcirc |
| | • E.O. 126630, Government Actions and Interference with Constitutionally Protected Property Rights | 0 | ۲ | 0 |
| | E.O. 12866, Regulatory Planning and Review | \bigcirc | $oldsymbol{O}$ | \bigcirc |
| | E.O. 12875, Enhancing the Intergovernmental Partnership | \bigcirc | ۲ | \bigcirc |
| | E.O. 12988, Civil Justice Reform | \circ | ۲ | \bigcirc |
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| | Other statutes or executive orders discussed in the preamble concerning the rulemaking process (please specify) | | | |
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