

U.S. DEPARTMENT OF ENERGY
NOTICE OF FINANCIAL ASSISTANCE AWARD
(See Instructions on Reverse)

Under the authority of Public Law 95-91, Department of Energy Organization Act of 1977 and Public Law 97-258, Federal Grants and Cooperative Agreements and subject to legislation, regulations and policies applicable to (cite legislative program title): _____

| | | |
|--|--|---------------------------------------|
| 1. PROJECT TITLE Solid State Energy Conversion Alliance | 2. INSTRUMENT TYPE <input type="checkbox"/> GRANT <input checked="" type="checkbox"/> COOPERATIVE AGREEMENT | |
| 3. RECIPIENT (Name, address, zip code, area code and telephone no.) To All Prospective Offerors | 4. INSTRUMENT NO. DE-PS26-00NT40854 | 5. AMENDMENT NO. 001 |
| | 6. BUDGET PERIOD FROM: THRU: | 7. PROJECT PERIOD FROM: THRU: |
| 8. RECIPIENT PROJECT DIRECTOR (Name and telephone no.) | 10. TYPE OF AWARD <input checked="" type="checkbox"/> NEW <input type="checkbox"/> CONTINUATION <input type="checkbox"/> RENEWAL <input type="checkbox"/> REVISION <input type="checkbox"/> SUPPLEMENT | |
| 9. RECIPIENT BUSINESS OFFICER (Name and telephone no.) | | |
| 11. DOE PROJECT OFFICER (Name, address, zip code, telephone no.) | 12. ADMINISTERED FOR DOE BY (Name, address, zip code, telephone no.) | |

13. RECIPIENT TYPE

STATE GOV'T INDIAN TRIBAL GOV'T HOSPITAL FOR PROFIT ORGANIZATION INDIVIDUAL
 LOCAL GOV'T INSTITUTION OF HIGHER EDUCATION OTHER NONPROFIT ORGANIZATION C P SP OTHER (Specify) _____

| | | | | |
|---|---------------|--------------|---------------|--------------------------|
| 14. ACCOUNTING AND APPROPRIATIONS DATA: | | | | 15. EMPLOYER I.D. NUMBER |
| a. Appropriation Symbol | b. B&R Number | c. FT/AFP/OC | d. CFA Number | |

| | |
|---|--|
| 16. BUDGET AND FUNDING INFORMATION | |
| a. CURRENT BUDGET PERIOD INFORMATION | b. CUMULATIVE DOE OBLIGATIONS |
| (1) DOE Funds Obligated This Action \$ _____ (2) DOE Funds Authorized for Carry Over \$ _____ (3) DOE Funds Previously Obligated in this Budget Period \$ _____ (4) DOE Share of Total Approved Budget \$ _____ (5) Recipient Share of Total Approval Budget \$ _____ (6) Total Approved Budget \$ _____ | (1) This Budget Period \$ _____ [Total of lines a.(1) and a.(3)] (2) Prior Budget Periods \$ _____ (3) Project Period to Date \$ _____ [Total of lines b.(1) and b.(2)] |

17. TOTAL ESTIMATED COST OF PROJECT \$ _____
(This is the current estimated cost of the project. It is not a promise to award nor an authorization to expend funds in this amount.)

18. AWARD AGREEMENT TERMS AND CONDITIONS
 This award/agreement consists of this form plus the following:

a. Special terms and conditions.

b. Applicable program regulations (*specify*) _____ (Date) _____.

c. DOE Assistance Regulations, 10 CFR Part-600, as amended.

d. Application/proposal dated _____, as submitted with changes as negotiated.

19. REMARKS

(SEE PAGE 2 FOR DESCRIPTION)

| | |
|---|---|
| 20. EVIDENCE OF RECIPIENT ACCEPTANCE _____ <i>(Signature of Authorized Recipient Official)</i> <i>(Date)</i> _____ <i>(Name)</i> _____ <i>(Title)</i> | 21. AWARDED BY _____ <i>(Signature)</i> <i>(Date)</i> _____ Raymond R. Jarr <i>(Name)</i> _____ Contracting Officer <i>(Title)</i> |
|---|---|

AMENDMENT 001
UNDER PROGRAM SOLICITATION NO. DE-PS26-00NT40854

The purpose of the subject amendment is to extend the closing date for the first round of applications due under the subject solicitation. Accordingly the following change is hereby made:

1. Article 2.8, TIME, DATE, AND PLACE APPLICATIONS ARE DUE (DEC 1999), is hereby changed as detailed below:

“Article 2.8, TIME, DATE, AND PLACE APPLICATIONS ARE DUE (DEC 1999)

Applications shall be submitted in paper media in sealed envelopes or packages addressed to the office and point of contact specified below:

APPLICATIONS MUST BE RECEIVED BY THE CONTRACT SPECIALIST NO LATER THAN 4:00 P.M. EST FOR EACH OF THE APPLICATION DUE DATES SPECIFIED BELOW:

| <u>EVALUATION PERIOD</u> | <u>APPLICATION DUE DATE</u> |
|--------------------------|-----------------------------|
| 1 | January 24, 2001 |
| 2 | January 4, 2002 |
| 3 | January 3, 2003 |

Applications must be received at the following mailing address:

U. S. Department of Energy
National Energy Technology Laboratory
3610 Collins Ferry Road
P.O. Box 880
Attn: Mary S. Gabriele
Morgantown, WV 26507-0880

Proposal Submission by Other than U.S. Mail

Proposals must be received at the following address:

U.S. Department of Energy
National Energy Technology Laboratory
3610 Collins Ferry Road
Attn: Mary S. Gabriele
Morgantown, WV 26507-0880

External Marking of Applications

Applications shall be marked with the following information:

- (1) Address of Proposer
- (2) Solicitation Number
- (3) Due Time and Date of Applications
- (4) Point of Contact at Issuing Office”

AS DETAILED ABOVE, THE APPLICATION DUE DATE FOR THE FIRST EVALUATION PERIOD IS EXTENDED FROM JANUARY 3, 2000 TO JANUARY 24, 2000.

END OF AMENDMENT 001

Note that a subsequent amendment will be issued to provide responses to questions submitted regarding the subject solicitation.