

# ACF

Administration  
For Children  
And Families

**U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES  
Administration on Children, Youth and Families**

**1. Log No:** ACYF-PI-CC-05-04

**2. Issuance Date:** May 5, 2005

**3. Originating Office:** Child Care Bureau

**4. Key Words:** Child Care and Development Fund (CCDF) Fiscal Year 2006 Funding; Application and Plan Requirements to Consolidate CCDF Under a 102-477 Indian Employment, Training and Related Services Plan

**CHILD CARE AND DEVELOPMENT FUND  
PROGRAM INSTRUCTION**

**To:** Tribal Lead Agencies consolidating child care programs under P.L. 102-477. This Program Instruction only applies to Tribes currently operating or planning to operate an employment, training and related services program under P.L. 102-477.

**Purpose:** To provide information on the procedures to consolidate the Child Care and Development Fund (CCDF) program with other employment and training funding sources under a P.L. 102-477 plan.

**References:** Section 418 of the Social Security Act; the Child Care and Development Block Grant Act of 1990 (CCDBG) as amended. 45 CFR Parts 98 and 99, and the Indian Employment, Training and Related Services Demonstration Act of 1992, as amended (P.L. 102-477).

**Background:** The Indian Employment, Training and Related Services Demonstration Act of 1992, as amended (P.L. 102-477) was enacted to:

- Demonstrate how Indian tribal governments can integrate the employment, training and related services they provide in order to improve the effectiveness of those services;
- Reduce joblessness in Indian communities;
- Foster economic development on Indian lands; and
- Serve tribally determined goals consistent with the policies of self-determination and self-governance.

In accordance with P.L. 102-477, eligible tribal applicants may choose to streamline tribal services by submitting a consolidated plan for employment, training and related services to the Office of Self Governance and Self Determination, Department of the Interior. Eligible applicants may include the Child Care and Development Fund (CCDF) in their 102-477 plan. The CCDF is comprised of two funding sources:

- Discretionary Funds – funding that is provided under the Child Care and Development Block Grant Act, as amended; and
- Tribal Mandatory Funds – funding that is provided to eligible Tribes and tribal organizations under Section 418 of the Social Security Act.

Tribes that elect to consolidate their CCDF funds under a 102-477 plan are still required to operate comprehensive CCDF programs. By applying for CCDF funds, a Tribe agrees to follow the provisions of the CCDBG Act of 1990, as amended, and applicable regulations at 45 CFR 98 and 99. However, in contrast to the required CCDF application and plan process, Tribes that consolidate CCDF funds under a 102-477 plan are permitted to submit abbreviated applications and plans for CCDF funding.

**Eligibility:**

Pursuant to 45 CFR 98.62, a Tribe is eligible to receive CCDF funds if the Tribe is federally recognized and the tribal population includes at least 50 children under age 13.

A Tribe with fewer than 50 children under age 13 may participate in a consortium of eligible tribes. In order to be eligible to receive CCDF funds on behalf of its member Tribes, a consortium must:

- Consist of Tribes that meet the eligibility requirements for the CCDF program or that would meet the eligibility requirements if the Tribe or tribal organization had at least 50 children under age 13; and
- Adequately demonstrate it has authorization from each participating Tribe to receive CCDF funds on behalf of the Tribe.

Special Rule for Indian Tribes in Alaska - Only the Metlakatla Indian Community of the Annette Islands Reserve and the 12 Alaska Native Regional Nonprofit Corporations are eligible to receive Tribal Mandatory Funds. New tribal applicants in Alaska should contact ACF Region X (Attachment C) with questions about this Special Rule.

**The 102-477 Process:**

To receive CCDF funding under a consolidated 102-477 plan, all tribal grantees and tribal applicants must: 1) Be eligible to receive CCDF funding (see Eligibility Section of this Program Instruction); and 2) Have submitted a consolidated 102-477 plan to the Office of Self Governance and Self Determination, Department of the Interior, that includes the CCDF program. Although 102-477 plans cover multi-year periods, current 102-477 grantees and new tribal applicants must apply annually for CCDF funds.

**Annual 102-477 Application Requirement:**

An annual Child Count Declaration (Attachment A) must be submitted to receive FY 2006 CCDF funds. By submitting a signed Child Count Declaration, the Tribe is making application for FY 2006 CCDF funds, according to the estimated allocation for the Tribe on the FY 2006 Tribal Estimates Chart (Attachment B).

By applying for FY 2006 CCDF funds a Tribe agrees to follow the provisions of the Child Care and Development Block Grant Act of 1990, as amended, and applicable regulations at 45 CFR Parts 98 and 99.

Current grantees that apply for FY 2006 funds continue to be required to meet the assurances and certifications provided in the 102-477 plan for the remainder of the plan period. A **new** tribal applicant must include the required assurances and certifications as part of its plan submittal (see Attachment D).

### **Tribal Child Counts**

For funds that become available in FY 2006, ACF will calculate grant awards based on the number of children under age 13. A Tribe must submit a self-certified Child Count Declaration for children **under age 13** (not age 13 and under), in order to receive FY 2006 CCDF funds.

The Child Count Declaration must certify the number of Indian children (as defined in the CCDF section of the 102-477 Plan), under age 13, who reside on or near the reservation or service area. The Child Count Declaration is provided at Attachment A. The Child Count Declaration must be signed by the governing body of the Tribe or a person authorized to act for the applicant Tribe or tribal organization.

An application submitted without a Child Count Declaration will be treated as an incomplete application. Therefore, to facilitate the approval process, a signed, completed Child Count Declaration (Attachment A) must be submitted to the Office of Self Governance and Self Determination, Department of the Interior, by July 1, 2005 (Attachment C).

**PLEASE BE ADVISED: The Tribal Lead Agency may not count any children who are included in the child count of another CCDF Tribal Lead Agency.** To ensure unduplicated child counts, a Tribal Lead Agency is **required** to confer with **all** other CCDF Tribal Lead Agencies that have overlapping or neighboring service areas.

Tribal Lead Agencies are reminded that CCDF funds are allocated based on child counts of children from Federally recognized Indian Tribes, consistent with the Child Care and Development Block Grant Act's definition of Indian Tribe.

Tribal Lead Agencies are also advised that ACF will not accept declarations based on child counts that were conducted prior to July 1 of the previous year. For FY 2006 funding, the child count of children under age 13 must be completed **no later than** June 30, 2005 and **no earlier than** July 1, 2004.

### **102-477 Plan Requirements:**

The CCDF program may be incorporated into a P.L. 102-477 plan that covers multi-year periods. If an applicant elects to include CCDF in a consolidated 102-477 plan, the following CCDF requirements must be included in the 102-477 plan:

1. A statement of intent to administer the CCDF program under a P.L. 102-477 consolidated plan.

2. A description of the way CCDF services will be integrated and delivered with the employment and training programs included in the 102-477 plan, and the results expected.
3. The agency or agencies of the tribal government (or tribal consortium) to be involved in the delivery of CCDF services under the plan. Note: if the CCDF Lead Agency changes during the approved plan period, the grantee must submit a written notification of this change to the BIA.
4. A description of the results of the Tribal Lead Agency's coordination activities with agencies responsible for health (including the agency responsible for immunizations), education, employment services or workforce development, and the State TANF agency and/or tribal TANF agency – if the Tribe is operating its own TANF program.
5. A description of the public hearing process held to provide the Tribe (or member Tribes in the case of a tribal consortium) an opportunity to comment on the proposed CCDF activities under the consolidated 102-477 plan.
6. A copy of a sliding fee scale that provides for cost sharing by families and is based on income and family size. A grantee may waive contributions from families whose incomes are at or below the poverty level for a family of the same size, or may apply different sliding fee scales.
7. Definitions for 1) Indian Child; and 2) Indian Reservation or Tribal Service Area. Tribes have broad latitude in defining these terms that are used to determine eligibility. An applicant's child count declaration -- required in the annual CCDF application -- is based on the definition of these terms.
8. Any statutory provisions, regulations, policies, or procedures that the Tribe requests to be waived.
9. A consortium must submit a demonstration (e.g., a tribal resolution) from each member Tribe that authorizes the consortium to receive CCDF funds on its behalf for the duration of the plan period.

**Plan Waivers:**

Section 7 of P.L. 102-477, as amended, authorizes the Secretary of the Department of Health and Human Services to waive any statutory provision, regulation, policy, or procedures requested by a Tribe, as long as the waiver is not inconsistent with the purpose of P.L. 102-477 or with provisions of the Child Care and Development Block Grant Act, as amended.

To obtain a waiver, the 102-477 plan **must**:

- Identify the specific statutory provision, regulation, policy or procedure;
- Justify the need for the waiver; and
- Explain what the Tribe will use to replace the existing statutory provision, regulation, policy or procedure, if applicable.

Waivers will be granted or denied on a case-by-case basis for each plan. Blanket waiver requests are not acceptable. See Attachment E for a list of statutory and regulatory requirements that will not be waived.

**Special  
Instructions  
For Tribal  
Consortia:**

**Individual Child Counts Required** - A tribal consortium must submit an individual Child Count Declaration, signed by an individual authorized to act for the Tribe, for each Tribal member in a tribal consortium. A tribal consortium must also provide a summary section listing each tribal member's name and child count, and the total child count for all members.

Because of the statutory "Special Rule for Indian Tribes in Alaska" under Tribal Mandatory Funding, some Alaska Native Regional Nonprofit Corporations will have to provide a separate child count certification for Discretionary Funding purposes. This count will consist of its self-certified Tribal Mandatory Funding count, minus the child count number for any Alaska Tribal grantee in its Region which applies directly for Discretionary Funding. In instances where a Regional Corporation has separate child counts for Discretionary and Tribal Mandatory Funds, both counts may be reported on the Child Count Declaration (Attachment A).

**Membership Changes** – It is the responsibility of a tribal consortium to notify ACF of any changes in its membership for CCDF funding purposes during the approved plan period. For example - - if a new Tribe joins a tribal consortium to receive FY 2006 CCDF funds, or if a current tribal consortium member elects not to receive FY 2006 CCDF funds through that tribal consortium - - these changes must be conveyed to ACF in writing by the tribal consortium. Alternately, if a member Tribe elects not to receive FY 2006 CCDF funds through a tribal consortium, it is the tribal consortium's responsibility to notify ACF of this change.

**Exempt vs.  
Non-Exempt  
Grantee Status:**

ACF recognizes that a number of small CCDF grantees do not have the necessary infrastructure to support certain CCDF requirements, such as a certificate program. Similarly, in many small rural communities child care options are often limited. Consequently, additional flexibility has been provided for smaller Tribes and tribal organizations by "exempting" them from certain CCDF requirements (see 45 CFR 98.83(f)). Tribal Lead Agencies with CCDF allocations equal to or greater than \$500,000 for a fiscal year are considered non-exempt grantees; therefore: 1) no less than 4 percent of the aggregate CCDF funds expended for a fiscal year must be used for quality activities; and 2) the Tribal Lead Agency must operate a certificate program that offers parental choice from a full range of providers (i.e., center-based, group home, family and in-home care).

**Transition Period Moving into Non-Exempt Status**

A Tribal Lead Agency that moves from the exempt to non-exempt category has a phase-in period of **up to one year** to meet the CCDF non-exempt requirements. Therefore, if a Tribal Lead Agency's FY 2005 allocation moved it into the non-exempt category, the Tribal Lead Agency must meet the non-exempt requirements by October 1, 2005. Please contact your ACF Regional Office (Attachment C) if you have questions about exempt or non-exempt grantee status.

**Funding  
Estimates and  
Allocation  
Formulas:**

ACF estimates that \$95,998,200 in FY 2006 CCDF funds will be available for tribal grantees on October 1, 2005 (\$41,658,200 in Discretionary Funds and \$54,340,000 in Tribal Mandatory Funds).

Grants from Discretionary Funds will include a base amount of \$20,000 plus a per-child amount (approximately \$56 per-child) for each Tribe or tribal consortium with a minimum of 50 children. Grants from Tribal Mandatory Funds are calculated solely on a per-child basis (approximately \$96 per-child) and do not include a base amount.

Since the per-child amount for both Discretionary and Tribal Mandatory Funds depends upon the total number of children in all participating Tribes, ACF cannot calculate in advance the exact per-child amount. However, Tribes and tribal organizations may use the FY 2005 Tribal Estimates Chart (See Attachment B) as a guide. A new applicant should use the base amount plus approximately \$56 per-child to estimate its allotment for Discretionary funding, and should use approximately \$96 per-child to estimate its allotment for Tribal Mandatory funding.

A tribal consortium should estimate its allotment for Discretionary funding for each of its members by calculating a portion of the base amount that is equivalent to the ratio of the number of children in each member Tribe to 50, plus the additional per-child amount. For example, a Tribe with 49 children is allotted 49/50ths of \$20,000, or \$19,600. The per-child amount is then multiplied by 49 and added to the \$19,600 base amount.

Important Note: These amounts are provided for the purpose of estimating the allotments that will become available on October 1, 2005 and may increase or decrease when updated data become available before the final grant awards are issued. The estimates reflect the Administration's FY 2006 budget request.

**Discretionary  
Earmark:**

The Administration's FY 2006 budget request includes an earmark for school-age care and resource and referral activities. The FY 2006 Tribal Estimates Chart (Attachment B) shows the estimated earmark requirement for FY 2006. The column labeled Discretionary Earmark shows the estimated amount that must be spent on resource and referral activities and school-age care. The column labeled Discretionary After Earmark is the amount of Discretionary Funds remaining after the earmark that can be spent on any allowable CCDF activities (assuming quality expenditure, administrative cost, and other Federal requirements are met). The earmark for resource and referral activities and school-age care is based on a \$500 amount per Tribe plus a per-child amount. Amounts in the FY 2006 Tribal Estimates Chart (Attachment B) are estimates that may increase or decrease when final grant awards are issued.

Note to Non-Exempt Tribal Lead Agencies: The earmarked funds are to be used in addition to the “not less than 4 percent” required to be spent on activities that improve the quality and availability of child care.

**Administrative Costs:**

Tribal Lead Agencies are reminded that no more than 15 percent of the aggregate CCDF funds expended from each year’s allotment may be used for administrative costs. Tribal Lead Agencies are advised to review the CCDF regulations at 45 CFR 98.52 for a discussion of administrative costs. Note: the Discretionary Funds base amount is neither subject to the 15 percent limitation, nor included in the calculation for the 15 percent limitation.

**Construction and Renovation Under a 102-477 Plan:**

In order to use CCDF funds for construction or major renovation projects, all Tribes receiving CCDF funds -- including Tribes with approved 102-477 plans - - are required to follow ACF’s construction and renovation application procedures (Program Instruction ACYF-PI-CC-04-01, dated January 23, 2004). This Program Instruction is available under the “Application and Plan” section of the Child Care Bureau’s website at: <http://www.acf.dhhs.gov/programs/ccb/policy1/triblist.htm>. Early in the planning process, Tribes are advised to contact their appropriate ACF Regional Office (Attachment C). If a Tribe constructs or renovates more than one facility, it must seek ACF approval for each project (even if the projects use identical plans and specifications).

**Deadlines:**

All CCDF applications (and plans, if applicable) must be postmarked or hand delivered to the Office of Self Governance and Self Determination, Department of the Interior, no later than July 1, 2005. Any applications and plans postmarked after that date will not be accepted. Faxed copies will not be accepted.

**Electronic Format:**

The required Child Count Declaration is available in electronic format from ACF Regional Offices, and under the “Application and Plan” section of the Child Care Bureau’s website at: <http://www.acf.dhhs.gov/programs/ccb/policy1/triblist.htm>

**Submitting the Materials:**

The original and 1 copy of the materials **must** be mailed or hand-delivered to the:

An additional copy **must** also be sent to the:

Department of the Interior, Office of Self Governance and Self Determination  
Office of Economic Development  
Division of Job Placement and Training  
1849 C Street, NW  
Mail Stop 4063 MIB  
Washington, D.C. 20240

ACYF Operations Center  
c/o The Dixon Group, Inc.  
CCDF 2006 Tribal Applications  
118 Q Street, N.E.,  
Washington, DC 20002-2132

**Technical Assistance:**

Tribes should refer to the “**Preparation of Tribal Plans, Pursuant to Public Law 102-477, PROPOSED GUIDANCE FOR TRIBAL GOVERNMENTS**” in completing a consolidated 102-477 plan. To obtain a copy of this document, or for questions related to overall operation of P.L. 102-477, please contact: Jody Garrison at: (202) 208-2685.

Questions related to the CCDF program should be directed to the appropriate ACF Regional Office (Attachment C). For general inquiries about consolidating CCDF into a 102-477 plan, you may also contact ACF's Child Care Bureau at: (202) 690-6782.

**Reporting Requirements:** CCDF Tribal grantees with an approved 102-477 plan will receive their CCDF funding through the BIA. Therefore, program and financial reporting of CCDF funds awarded under a 102-477 consolidated plan will be made to the BIA. However, the expenditure and obligation of all CCDF funds awarded prior to a 102-477 plan approval will continue to be reported to ACF on the ACF-696-T financial reporting form. Similarly, program data on CCDF funds awarded prior to a 102-477 plan approval must be reported on the ACF-700 data reporting form.

**Obligation and Liquidation Periods** Tribal Lead Agencies must obligate FY 2006 Tribal Mandatory and Discretionary Funds by September 30, 2007 and liquidate all funds by September 30, 2008.

**Audits:** Tribes that elect to consolidate their CCDF funds under a 102-477 plan must follow the audit requirements established in OMB Circular A-133 "Audits of States, Local Governments and Non-Profit Organizations," pursuant to the Single Audit Act Amendments of 1996.

**Paperwork Reduction Act:** An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The information collection required under this Program Instruction is approved under OMB Number 0970-0198, which expires June 30, 2005. ACF is requesting an extension of this information collection with no changes. Since the authority to collect information under OMB number 0970-0198 is extended during the OMB review process, Tribal Lead Agencies are required to submit the application and/or plan information requested in this Program Instruction for FY 2006 CCDF funding purposes

Joan E. Ohl  
Commissioner

Attachments:

- A - Child Count Declaration
- B - FY 2006 Tribal Estimates Chart
- C - ACF Regional Administrators List
- D - List of Assurances and Certifications
- E - CCDF Statutory and Regulatory Requirements That Will Not Be Waived



**CHILD COUNT DECLARATION**

Name of Tribal Lead  
Agency: \_\_\_\_\_

This certifies that the number of Indian children under age 13 (as defined in the CCDF section of the 102-477 plan) who reside on or near the reservation or service area (as defined in the CCDF section of the 102-477 plan) is: \_\_\_\_\_.  
number

This count shows the number of Indian children under age 13 as of \_\_\_\_\_.  
date

\_\_\_\_\_  
Signature of Individual Authorized to  
Act for the Tribe

FY 2006 CCDF ESTIMATED TRIBAL ALLOCATION

<u>TRIBE</u>	<u>FY 2006 MANDATORY ALLOCATION</u>	<u>FY 2006 DISC. BASE</u>	<u>FY 2006 DISC. PER CHILD</u>	<u>DISCRETIONARY BEFORE EARMARK</u>	<u>DISCRETIONARY EARMARK</u>	<u>DISCRETIONARY AFTER EARMARK</u>	<u>ESTIMATED TOTAL FY 2006 CCDF FUNDING</u>
<b>ALABAMA</b>							
Poarch Band of Creeks	11,423	20,000	6,692	26,692	553	26,139	38,115
<b>ALASKA</b>							
Agdaagux Tribal Council		20,000	6,186	26,186	549	25,637	26,186
Akaichak Native Community		20,000	11,978	31,978	594	31,384	31,978
Akiak Native Community		20,000	5,455	25,455	543	24,912	25,455
Aleutian/Pribilof Island Association, Inc.	33,884	108,400	19,851	128,251	656	127,595	162,135
Arctic Slope Native Association	157,899	120,000	26,937	146,937	712	146,225	304,836
Asa'carsarmiut Tribal Council		20,000	17,714	37,714	639	37,075	37,714
Association of Village Council Presidents, Inc.	704,645	697,200	285,344	982,544	2,743	979,801	1,687,189
Bristol Bay Native Association	165,291	313,200	96,839	410,039	1,261	408,778	575,330
Chilkat Indian Village		20,000	2,981	22,981	523	22,458	22,981
Chugachmiut	66,807	60,400	39,141	99,541	808	98,733	166,348
Cook Inlet Tribal Council, Inc.	832,020	77,200	427,116	504,316	3,857	500,459	1,336,336
Copper River Native Association	12,766	44,800	7,479	52,279	559	51,720	65,045
Hoonah Indian Assoc.		20,000	16,196	36,196	627	35,569	36,196
Kawerak, Inc.	221,923	349,200	130,019	479,219	1,522	477,697	701,142
Kenaitze Indian Tribe IRA		20,000	17,996	37,996	641	37,355	37,996
Kivalina IRA Council		20,000	6,411	26,411	550	25,861	26,411
Knik Tribal Council		20,000	55,730	75,730	938	74,792	75,730
Kodiak Area Native Association	113,841	80,400	66,696	147,096	1,024	146,072	260,937
Maniilaq Association	169,898	195,200	93,128	288,328	1,232	287,096	458,226
Metlakatla Indian Community	52,793	20,000	30,930	50,930	743	50,187	103,723
Mt. Sanford Tribal Consortium		22,800	3,205	26,005	525	25,480	26,005
Native Village of Barrow		20,000	51,288	71,288	903	70,385	71,288
Native Village of Point Hope		20,000	14,284	34,284	612	33,672	34,284
Ninilchik Traditional Council		20,000	11,810	31,810	593	31,217	31,810
Organized Village of Kwethluk		20,000	21,032	41,032	665	40,367	41,032
Orutsararmuit Native Council		20,000	64,166	84,166	1,004	83,162	84,166
Sitka Tribe of Alaska		20,000	22,720	42,720	679	42,041	42,720
Tanana Chiefs Conference, Inc.	486,369	473,600	284,950	758,550	2,740	755,810	1,244,919
Tlingit & Haida Tribes of Alaska	726,530	246,000	364,750	610,750	3,367	607,383	1,337,280
Tuluksak Native Village		20,000	8,998	28,998	571	28,427	28,998
Yakutat Tlingit Tribe		20,000	4,443	24,443	535	23,908	24,443
<b>ARIZONA</b>							
Cocopah Indian Tribe	17,758	20,000	10,404	30,404	582	29,822	48,162
Fort McDowell Mohave-Apache	133,999	20,000	78,506	98,506	1,117	97,389	232,505
Gila River Indian Community	936,935	20,000	548,924	568,924	4,814	564,110	1,505,859
Havasupai Tribal Council	13,918	20,000	8,154	28,154	564	27,590	42,072
Hopi Tribe	223,651	20,000	131,031	151,031	1,530	149,501	374,682
Hualapai Tribal Council	172,490	20,000	101,057	121,057	1,294	119,763	293,547
Navajo Nation	7,833,833	20,000	4,589,634	4,609,634	36,580	4,573,054	12,443,467
Pascua Yaqui	345,747	20,000	202,564	222,564	2,092	220,472	568,311
Quechan Indian Tribe	41,467	20,000	24,294	44,294	691	43,603	85,761
Salt River Pima-Maricopa	228,642	20,000	133,955	153,955	1,553	152,402	382,597
San Carlos Apache Tribe	249,568	20,000	146,215	166,215	1,649	164,566	415,783
Tohono O'Odham	609,809	20,000	357,270	377,270	3,308	373,962	987,079
White Mountain Apache Tribe	607,025	20,000	355,640	375,640	3,295	372,345	982,665
Yavapai-Apache Tribe (Camp Verde)	26,973	20,000	15,802	35,802	624	35,178	62,775
<b>CALIFORNIA</b>							
Bear River Band of Rohnerville	18,046	20,000	10,572	30,572	583	29,989	48,618

TRIBE	FY 2006	FY 2006	FY 2006	DISCRETIONARY	DISCRETIONARY	DISCRETIONARY	ESTIMATED
	MANDATORY ALLOCATION	DISC. BASE	DISC. PER CHILD	BEFORE EARMARK	EARMARK	AFTER EARMARK	TOTAL FY 2006 CCDF FUNDING
Bishop Paiute Tribe	25,821	20,000	15,128	35,128	619	34,509	60,949
California Indian Manpower, Inc	101,171	196,000	59,273	255,273	966	254,307	356,444
California Rural Indian Health Board	259,166	160,000	151,839	311,839	1,693	310,146	571,005
Campo Consortia	32,636	48,000	19,120	67,120	650	66,470	99,756
Chico Rancheria (Mechoopda)	49,146	20,000	28,793	48,793	726	48,067	97,939
Chukchansi Tribe of Picayane Rancheria	20,925	20,000	12,260	32,260	596	31,664	53,185
Cloverdale Rancheria	14,398	20,000	8,435	28,435	566	27,869	42,833
Cortina Indian Rancheria	10,943	20,000	6,411	26,411	550	25,861	37,354
Coyote Valley	10,079	20,000	5,905	25,905	546	25,359	35,984
Dry Creek Rancheria	25,917	20,000	15,184	35,184	619	34,565	61,101
Enterprise Rancheria	21,501	20,000	12,597	32,597	599	31,998	54,098
Fort Mojave Tribe	25,341	20,000	14,846	34,846	617	34,229	60,187
Hoopa Tribe	46,458	20,000	27,218	47,218	714	46,504	93,676
Hopland Band of Pomo Indians	22,077	20,000	12,934	32,934	602	32,332	55,011
Inter Tribal Council of California	87,445	250,400	51,231	301,631	903	300,728	389,076
Karuk Tribe	54,425	20,000	31,886	51,886	751	51,135	106,311
La Jolla Tribe	8,831	20,000	5,174	25,174	541	24,633	34,005
Lytton Rancheria	9,599	20,000	5,624	25,624	544	25,080	35,223
Mooretown Rancheria	20,541	20,000	12,035	32,035	595	31,440	52,576
North Fork Rancheria	32,348	20,000	18,952	38,952	649	38,303	71,300
Pala Band of Mission Indians	19,389	20,000	11,360	31,360	589	30,771	50,749
Pauma Band of Mission Indians	5,759	20,000	3,374	23,374	527	22,847	29,133
Pinoleville Indian Community	6,239	20,000	3,655	23,655	529	23,126	29,894
Pit River Tribe	65,560	20,000	38,410	58,410	802	57,608	123,970
Quartz Valley Indian Commun.	6,239	20,000	3,655	23,655	529	23,126	29,894
Redding Rancheria	154,060	20,000	90,260	110,260	1,209	109,051	264,320
Rincon San Luiseno Band	5,375	20,000	3,149	23,149	525	22,624	28,524
Robinson Rancheria	23,901	20,000	14,003	34,003	610	33,393	57,904
Round Valley Indian Tribe	155,788	20,000	91,272	111,272	1,217	110,055	267,060
Scotts Valley Band of Pomo	6,527	20,000	3,824	23,824	530	23,294	30,351
Shingle Springs Rancheria	10,943	20,000	6,411	26,411	550	25,861	37,354
Smith River	61,912	20,000	36,273	56,273	785	55,488	118,185
Soboba Band of Mission Indians	19,006	20,000	11,135	31,135	588	30,547	50,141
Southern California Tribal Chairmen's Association	14,494	42,000	8,492	50,492	567	49,925	64,986
Susanville Rancheria/Lassen	28,604	20,000	16,758	36,758	632	36,126	65,362
Table Bluff Rancheria	6,911	20,000	4,049	24,049	532	23,517	30,960
Torres Martinez Desert Cahuilla	14,878	20,000	8,717	28,717	569	28,148	43,595
Tyme Maidu Berry Creek Rancheria	16,894	20,000	9,898	29,898	578	29,320	46,792
Yurok Tribe	194,663	20,000	114,048	134,048	1,396	132,652	328,711
COLORADO							
Ute Mountain Ute Tribe	57,785	20,000	33,854	53,854	766	53,088	111,639
Southern Ute	95,604	20,000	56,012	76,012	940	75,072	171,616
FLORIDA							
Miccosukee Corporation	27,356	20,000	16,027	36,027	626	35,401	63,383
HAWAII							
ALU Like, Inc.				1,000,000			1,000,000
IDAHO							
Coeur d'Alene Tribes	131,023	20,000	76,763	96,763	1,103	95,660	227,786
Nez Perce Tribe	123,056	20,000	72,095	92,095	1,067	91,028	215,151
Northwestern Band of Shoshoni Nation	40,699	20,000	23,844	43,844	687	43,157	84,543
Shoshone-Bannock Tribes	185,640	20,000	108,761	128,761	1,355	127,406	314,401
IOWA							
Sac and Fox Tribe of the Mississippi in IA	57,785	20,000	33,854	53,854	766	53,088	111,639

<u>TRIBE</u>	<u>FY 2006 MANDATORY ALLOCATION</u>	<u>FY 2006 DISC. BASE</u>	<u>FY 2006 DISC. PER CHILD</u>	<u>DISCRETIONARY BEFORE EARMARK</u>	<u>DISCRETIONARY EARMARK</u>	<u>DISCRETIONARY AFTER EARMARK</u>	<u>ESTIMATED TOTAL FY 2006 CCDF FUNDING</u>
<b>KANSAS</b>							
Kickapoo	33,596	20,000	19,683	39,683	655	39,028	73,279
Prairie Band of Potawatomi	42,427	20,000	24,857	44,857	695	44,162	87,284
Iowa Tribe of Kansas and Nebraska	32,636	20,000	19,120	39,120	650	38,470	71,756
<b>LOUISIANA</b>							
Chitimacha Tribe	23,901	20,000	14,003	34,003	610	33,393	57,904
Coushatta Tribe	19,389	20,000	11,360	31,360	589	30,771	50,749
Tunica Biloxi	11,039	20,000	6,467	26,467	551	25,916	37,506
<b>MAINE</b>							
Aroostook Band of Micmac Indians	18,238	20,000	10,685	30,685	584	30,101	48,923
Houlton Band of Maliseet Indians	13,342	20,000	7,817	27,817	561	27,256	41,159
Indian Township Passamaquoddy	16,894	20,000	9,898	29,898	578	29,320	46,792
Penobscot Nation	32,156	20,000	18,839	38,839	648	38,191	70,995
Pleasant Point Passamaquoddy	43,290	20,000	25,363	45,363	699	44,664	88,653
<b>MASSACHUSETTS</b>							
Wampanoag of Gay Head	6,719	20,000	3,937	23,937	531	23,406	30,656
<b>MICHIGAN</b>							
Bay Mills Indian Community	34,843	20,000	20,414	40,414	660	39,754	75,257
Grand Traverse Band of Ottawa/Chippewa	36,091	20,000	21,145	41,145	666	40,479	77,236
Hannahville Indian Community (Potawatomi)	34,172	20,000	20,020	40,020	657	39,363	74,192
Keweenaw Bay Indian Community	38,779	20,000	22,720	42,720	679	42,041	81,499
Lac Vieux Desert	15,934	20,000	9,335	29,335	573	28,762	45,269
Little Traverse Bay Bands of Odawa Indians	20,253	20,000	11,866	31,866	593	31,273	52,119
Pokagon Band of Potawatomi Indians	64,120	20,000	37,566	57,566	795	56,771	121,686
Sault St. Marie Tribe of Chippewas	278,844	20,000	163,367	183,367	1,784	181,583	462,211
<b>MINNESOTA</b>							
Bois Forte Reservation (Nett Lake)	73,622	20,000	43,133	63,133	839	62,294	136,755
Fond Du Lac	258,974	20,000	151,726	171,726	1,693	170,033	430,700
Grand Portage	11,614	20,000	6,805	26,805	553	26,252	38,419
Leech Lake	373,680	20,000	218,929	238,929	2,221	236,708	612,609
Lower Sioux Indian Tribe of Minnesota	26,013	20,000	15,240	35,240	620	34,620	61,253
Mille Lacs Band of Chippewa	79,478	20,000	46,564	66,564	866	65,698	146,042
Red Lake	301,305	20,000	176,526	196,526	1,887	194,639	497,831
Shakopee Mdewakanton Sioux Community	28,028	20,000	16,421	36,421	629	35,792	64,449
Upper Sioux Community	13,822	20,000	8,098	28,098	564	27,534	41,920
White Earth	351,410	20,000	205,882	225,882	2,118	223,764	577,292
<b>MISSISSIPPI</b>							
Mississippi Band of Choctaw	277,500	20,000	162,580	182,580	1,778	180,802	460,080
<b>MONTANA</b>							
Blackfeet Nation	252,543	20,000	147,958	167,958	1,663	166,295	420,501
Chippewa-Cree Tribe (Rocky Boys)	109,810	20,000	64,335	84,335	1,006	83,329	194,145
Confederated Tribe of Salish & Kootenai	350,547	20,000	205,376	225,376	2,114	223,262	575,923
Crow Tribe	310,328	20,000	181,813	201,813	1,929	199,884	512,141
Fort Belknap Community Council	266,269	20,000	156,000	176,000	1,726	174,274	442,269
Fort Peck Assiniboine & Sioux	303,897	20,000	178,045	198,045	1,899	196,146	501,942
Northern Cheyenne Tribe	334,613	20,000	196,040	216,040	2,041	213,999	550,653
<b>NEBRASKA</b>							
Omaha	98,483	20,000	57,699	77,699	954	76,745	176,182
Ponca Tribe of Nebraska	27,068	20,000	15,859	35,859	625	35,234	62,927
Santee Sioux	31,100	20,000	18,221	38,221	643	37,578	69,321
Winnebago	93,396	20,000	54,718	74,718	930	73,788	168,114
<b>NEVADA</b>							
Ely Shoshone Duck Water Tribe	9,791	30,400	5,736	36,136	545	35,591	45,927

TRIBE	FY 2006	FY 2006	FY 2006	DISCRETIONARY	DISCRETIONARY	DISCRETIONARY	ESTIMATED
	MANDATORY ALLOCATION	DISC. BASE	DISC. PER CHILD	BEFORE EARMARK	EARMARK	AFTER EARMARK	TOTAL FY 2006 CCDF FUNDING
Fallon Paiute-Shoshone Tribe	27,356	20,000	16,027	36,027	626	35,401	63,383
Inter Tribal Council of Nevada	286,331	206,800	167,753	374,553	1,819	372,734	660,884
Las Vegas Paiute	157,036	20,000	92,003	112,003	1,223	110,780	269,039
Pyramid Lake Paiute Tribal Council	44,250	20,000	25,925	45,925	704	45,221	90,175
Reno-Sparks Indian Colony	32,156	20,000	18,839	38,839	648	38,191	70,995
Shoshone-Paiute Tribe of Duck Valley	39,835	20,000	23,338	43,338	683	42,655	83,173
Walker River Piauete Tribe	26,397	20,000	15,465	35,465	622	34,843	61,862
<b>NEW MEXICO</b>							
Eight Northern Indian Pueblos	131,983	138,000	77,325	215,325	1,108	214,217	347,308
Mescalero Apache	117,873	20,000	69,058	89,058	1,043	88,015	206,931
Pueblo of Acoma	197,734	20,000	115,847	135,847	1,411	134,436	333,581
Pueblo of Cochiti	25,917	20,000	15,184	35,184	619	34,565	61,101
Pueblo of Isleta	91,092	20,000	53,368	73,368	919	72,449	164,460
Pueblo of Jemez(Jemus Pueblo)	59,416	20,000	34,810	54,810	774	54,036	114,226
Pueblo of Laguna	150,125	20,000	87,954	107,954	1,191	106,763	258,079
Pueblo of Sandia	10,559	20,000	6,186	26,186	549	25,637	36,745
Pueblo de San Felipe	78,038	20,000	45,720	65,720	859	64,861	143,758
Pueblo of Zia	16,510	20,000	9,673	29,673	576	29,097	46,183
Pueblo of Zuni	227,107	20,000	133,056	153,056	1,546	151,510	380,163
Santa Ana Pueblo	16,798	20,000	9,841	29,841	577	29,264	46,639
Santo Domingo Pueblo	101,939	20,000	59,723	79,723	969	78,754	181,662
Taos Pueblo	138,894	20,000	81,374	101,374	1,140	100,234	240,268
<b>NEW YORK</b>							
St. Regis Mohawk	201,574	20,000	118,097	138,097	1,428	136,669	339,671
Seneca Nation	152,908	20,000	89,585	109,585	1,204	108,381	262,493
<b>NORTH CAROLINA</b>							
Eastern Band of Cherokee	301,593	20,000	176,695	196,695	1,889	194,806	498,288
<b>NORTH DAKOTA</b>							
Spirit Lake Nation	313,783	20,000	183,837	203,837	1,945	201,892	517,620
Standing Rock Sioux Tribe	410,731	20,000	240,636	260,636	2,391	258,245	671,367
Three Affiliated Tribes	224,515	20,000	131,537	151,537	1,534	150,003	376,052
Trenton Indian Service Area	62,776	20,000	36,779	56,779	789	55,990	119,555
Turtle Mountain Band of Chippewa	428,488	20,000	251,040	271,040	2,473	268,567	699,528
<b>OKLAHOMA</b>							
Absentee Shawnee Tribe	345,458	20,000	202,395	222,395	2,091	220,304	567,853
Alabama-Quassarte Tribal Town	64,216	20,000	37,622	57,622	796	56,826	121,838
Apache Tribe of Oklahoma	54,329	20,000	31,830	51,830	750	51,080	106,159
Caddo Indian Tribe of Oklahoma	195,719	20,000	114,666	134,666	1,401	133,265	330,385
Central Tribes of Shawnee Area, Inc.	313,783	20,000	183,837	203,837	1,945	201,892	517,620
Cherokee Nation	4,678,817	20,000	2,741,192	2,761,192	22,045	2,739,147	7,440,009
Cheyenne & Arapaho	203,878	20,000	119,446	139,446	1,439	138,007	343,324
Chickasaw Nation	741,216	20,000	434,258	454,258	3,913	450,345	1,195,474
Choctaw Nation	2,342,672	20,000	1,372,508	1,392,508	11,288	1,381,220	3,735,180
Citizen Band Potawatomi	924,744	20,000	541,782	561,782	4,758	557,024	1,486,526
Comanche Tribe of Oklahoma	225,955	20,000	132,381	152,381	1,540	150,841	378,336
Delaware Tribe of Indians	752,063	20,000	440,613	460,613	3,963	456,650	1,212,676
Delaware Tribe of Western Oklahoma	19,006	20,000	11,135	31,135	588	30,547	50,141
Eastern Shawnee	505,758	20,000	296,310	316,310	2,829	313,481	822,068
Fort Sill Apache Tribe	11,039	20,000	6,467	26,467	551	25,916	37,506
Iowa Tribe of Oklahoma	134,863	20,000	79,012	99,012	1,121	97,891	233,875
Kaw	132,943	20,000	77,888	97,888	1,112	96,776	230,831
Kialegee	11,614	20,000	6,805	26,805	553	26,252	38,419
Kickapoo	161,931	20,000	94,871	114,871	1,246	113,625	276,802

TRIBE	FY 2006	FY 2006	FY 2006	DISCRETIONARY	DISCRETIONARY	DISCRETIONARY	ESTIMATED
	MANDATORY ALLOCATION	DISC. BASE	DISC. PER CHILD	BEFORE EARMARK	EARMARK	AFTER EARMARK	TOTAL FY 2006 CCDF FUNDING
Kiowa	174,409	20,000	102,182	122,182	1,303	120,879	296,591
Miami Tribe of Oklahoma	505,758	40,000	296,310	336,310	2,829	333,481	842,068
Modoc Tribe of Oklahoma	505,758	20,000	296,310	316,310	2,829	313,481	822,068
Muscogee-Creek Nation	2,372,333	20,000	1,389,885	1,409,885	11,424	1,398,461	3,782,218
Osage Nation	657,323	20,000	385,108	405,108	3,527	401,581	1,062,431
Otoe-Missouria	55,001	20,000	32,224	52,224	753	51,471	107,225
Ottawa Tribe of Oklahoma	505,758	20,000	296,310	316,310	2,829	313,481	822,068
Pawnee	60,472	20,000	35,429	55,429	778	54,651	115,901
Ponca Tribe	80,822	20,000	47,351	67,351	872	66,479	148,173
Quapaw Tribe	505,758	20,000	296,310	316,310	2,829	313,481	822,068
Seminole Tribe of Oklahoma	312,727	20,000	183,219	203,219	1,940	201,279	515,946
Seneca Cayuga Tribe of Oklahoma	505,758	20,000	296,310	316,310	2,829	313,481	822,068
Shawnee Tribe	505,758	20,000	296,310	316,310	2,829	313,481	822,068
Thlopthlocco Tribal Town	10,079	20,000	5,905	25,905	546	25,359	35,984
Tonkawa Tribe	42,714	20,000	25,025	45,025	697	44,328	87,739
United Keetowah Band of Cherokee	271,261	20,000	158,924	178,924	1,749	177,175	450,185
Wichita and Affiliated Tribes	62,200	20,000	36,441	56,441	786	55,655	118,641
Wyandotte Tribe	505,758	20,000	296,310	316,310	2,829	313,481	822,068
OREGON							
Burns Paiute Tribe	6,143	20,000	3,599	23,599	528	23,071	29,742
Confederated Tribes of Coos, Lower Umpqua, Siuslaw	9,983	20,000	5,849	25,849	546	25,303	35,832
Conderated Tribes of Grande Ronde	92,532	20,000	54,212	74,212	926	73,286	166,744
Conf. Tribes of Siletz Indians	128,815	20,000	75,469	95,469	1,093	94,376	224,284
Confederated Tribes of Umatilla Indian Reservation	108,946	20,000	63,828	83,828	1,002	82,826	192,774
Conf. Tribes of Warm Springs	133,711	20,000	78,337	98,337	1,116	97,221	232,048
Coquille Indian Tribe	11,039	20,000	6,467	26,467	551	25,916	37,506
Cow Creek Band Of Umpqua Tribe	15,070	20,000	8,829	28,829	569	28,260	43,899
Klamath Tribes	93,108	20,000	54,549	74,549	929	73,620	167,657
RHODE ISLAND							
Narragansett	54,137	20,000	31,717	51,717	749	50,968	105,854
SOUTH CAROLINA							
Catawba Indian Nation	90,708	20,000	53,143	73,143	918	72,225	163,851
SOUTH DAKOTA							
Cheyenne River Sioux	391,245	20,000	229,220	249,220	2,302	246,918	640,465
Crow Creek Sioux Tribe	174,409	20,000	102,182	122,182	1,303	120,879	296,591
Flandreau Santee Sioux Tribe	61,048	20,000	35,766	55,766	781	54,985	116,814
Lower Brule Sioux	105,106	20,000	61,579	81,579	984	80,595	186,685
Oglala Sioux	1,631,981	20,000	956,133	976,133	8,015	968,118	2,608,114
Rosebud Sioux	578,421	20,000	338,881	358,881	3,164	355,717	937,302
Sisseton-Wapheton Sioux Tribe	144,941	20,000	84,917	104,917	1,167	103,750	249,858
Yankton Sioux Tribe	159,243	20,000	93,296	113,296	1,233	112,063	272,539
TEXAS							
Alabama-Coushatta	10,559	20,000	6,186	26,186	549	25,637	36,745
Ysleta Del Sur Pueblo	20,253	20,000	11,866	31,866	593	31,273	52,119
UTAH							
Paiute Indian Tribe	23,325	20,000	13,665	33,665	607	33,058	56,990
Ute Indian Tribe	148,397	20,000	86,942	106,942	1,183	105,759	255,339
WASHINGTON							
Confederated Tribes of Colville	224,611	20,000	131,593	151,593	1,534	150,059	376,204
Confederated Tribes of Yakama Indian Nation	412,555	20,000	241,704	261,704	2,400	259,304	674,259
Hoh Tribe	4,799	20,000	2,812	22,812	522	22,290	27,611
Jamestown S'Klallam Tribe	71,223	20,000	41,727	61,727	828	60,899	132,950
Kalispel Tribe of Indians	11,710	20,000	6,861	26,861	554	26,307	38,571

TRIBE	FY 2006	FY 2006	FY 2006	DISCRETIONARY	DISCRETIONARY	DISCRETIONARY	ESTIMATED
	MANDATORY ALLOCATION	DISC. BASE	DISC. PER CHILD	BEFORE EARMARK	EARMARK	AFTER EARMARK	TOTAL FY 2006 CCDF FUNDING
Lower Elwha Tribal Council	98,483	20,000	57,699	77,699	954	76,745	176,182
Lummi Indian Nation	142,638	20,000	83,567	103,567	1,157	102,410	246,205
Makah Tribal Council	80,630	20,000	47,239	67,239	871	66,368	147,869
Muckleshoot Indian Tribe	208,485	20,000	122,146	142,146	1,460	140,686	350,631
Nooksack Indian Tribe	32,828	20,000	19,233	39,233	651	38,582	72,061
Port Gamble S'Klallam	28,316	20,000	16,590	36,590	630	35,960	64,906
Puyallup Tribe of Indians	754,174	20,000	441,850	461,850	3,973	457,877	1,216,024
Quileute Tribal Council	45,402	20,000	26,600	46,600	709	45,891	92,002
Quinault Indian Nation	106,834	20,000	62,591	82,591	992	81,599	189,425
Samish Indian Tribe	11,902	20,000	6,973	26,973	555	26,418	38,875
Sauk Suiattle Indian Tribe	5,855	20,000	3,430	23,430	527	22,903	29,285
Skokomish Indian Tribe	57,593	20,000	33,742	53,742	765	52,977	111,335
Snoqualmie Tribe	103,955	20,000	60,904	80,904	979	79,925	184,859
South Puget Intertribal Planning Agency	331,541	80,000	194,241	274,241	2,027	272,214	605,782
Spokane Tribe of Indians	102,131	20,000	59,836	79,836	970	78,866	181,967
Stillaguamish	48,570	20,000	28,456	48,456	724	47,732	97,026
Suquamish	45,978	20,000	26,937	46,937	712	46,225	92,915
Swinomish Tribal Community	20,061	20,000	11,753	31,753	592	31,161	51,814
Tulalip Tribes	145,613	20,000	85,311	105,311	1,171	104,140	250,924
Upper Skagit Indian Tribe	20,157	20,000	11,810	31,810	593	31,217	51,967
WISCONSIN							
Bad River of Lake Superior	33,692	20,000	19,739	39,739	655	39,084	73,431
Forest County Potawatomi	37,531	20,000	21,988	41,988	673	41,315	79,519
Ho-Chunk Nation (Winnebago)	81,205	20,000	47,576	67,576	874	66,702	148,781
Lac Court Oreilles	81,493	20,000	47,745	67,745	875	66,870	149,238
Lac Du Flambeau Chippewa	72,567	20,000	42,515	62,515	834	61,681	135,082
Menominee Indian Tribe of Wisconsin	143,981	20,000	84,355	104,355	1,163	103,192	248,336
Oneida Tribe	170,954	20,000	100,157	120,157	1,287	118,870	291,111
Red Cliff Chippewas	39,835	20,000	23,338	43,338	683	42,655	83,173
Sokaogan Chippewa	10,079	20,000	5,905	25,905	546	25,359	35,984
St. Croix Chippewa	75,062	20,000	43,977	63,977	846	63,131	139,039
Stockbridge-Munsee Tribal Council	36,475	20,000	21,370	41,370	668	40,702	77,845
WYOMING							
Eastern Shoshone	91,284	20,000	53,481	73,481	920	72,561	164,765
Northern Arapaho	192,551	20,000	112,810	132,810	1,387	131,423	325,361
<b>TOTALS</b>	<b>54,340,000</b>	<b>8,800,000</b>	<b>31,858,200</b>	<b>41,658,200</b>	<b>382,400</b>	<b>40,275,800</b>	<b>95,998,200</b>

## List of ACF Regional Administrators

<p><b>REGION I:</b> Connecticut New Hampshire Maine Rhode Island Massachusetts Vermont</p>	<p>Hugh Galligan, RA JFK Federal Bldg. Rm. 2000 Boston, MA 02203 617 565-1020 (ph) 617 565-2493 (fax) <a href="http://www.acf.hhs.gov/programs/region1">http://www.acf.hhs.gov/programs/region1</a></p>	<p><b>REGION VI</b> Arkansas Oklahoma Louisiana Texas New Mexico</p>	<p>Leon R. McCowan, RA. West-Central Regional Hub 1301 Young Street Suite 914 Dallas, Texas 75202 214 767-9648 (ph) 214 767-3743 (fax) <a href="http://www.acf.hhs.gov/programs/region6">http://www.acf.hhs.gov/programs/region6</a></p>
<p><b>REGION II:</b> New Jersey Puerto Rico New York Virgin Islands</p>	<p>Mary Ann Higgins, RA 26 Federal Plaza Rm. 4114 New York, NY 10278 212 264-2890 (ph) 212 264-4881 (fax) <a href="http://www.acf.hhs.gov/programs/region2">http://www.acf.hhs.gov/programs/region2</a></p>	<p><b>REGION VII</b> Iowa Missouri Kansas Nebraska</p>	<p>Linda Lewis, RA Federal Office Bldg. Rm. 276 601 E. 12th St. Kansas City, MO 64106 816 426-3981 (ph) 816 426-2888 (fax) <a href="http://www.acf.hhs.gov/programs/region7">http://www.acf.hhs.gov/programs/region7</a></p>
<p><b>REGION III:</b> Delaware Pennsylvania District of Columbia Virginia Maryland West Virginia</p>	<p>David Lett, RA 150 S. Independence Mall West- Suite 864 Public Ledger Bldg. Philadelphia, PA 19106-3499 215 861-4000 (ph) 215 861-4070 (fax) <a href="http://www.acf.hhs.gov/programs/region3">http://www.acf.hhs.gov/programs/region3</a></p>	<p><b>REGION VIII</b> Colorado South Dakota Montana Utah North Dakota Wyoming</p>	<p>Thomas F. Sullivan, RA Federal Office Bldg. 1961 Stout St., Rm. 926 Denver, CO 80294-3538 303 844-3100 (phone) 303 844-2313 (fax) <a href="http://www.acf.hhs.gov/programs/region8">http://www.acf.hhs.gov/programs/region8</a></p>
<p><b>REGION IV</b> Alabama Mississippi Florida North Carolina Georgia South Carolina Kentucky Tennessee</p>	<p>Carlis V. Williams, RA Atlanta Fed. Center 61 Forsyth Street, S.W. Suite 4M60 Atlanta, GA 30303 404 562-2900 (ph) 404 562-2981 (fax) <a href="http://www.acf.hhs.gov/programs/region4">http://www.acf.hhs.gov/programs/region4</a></p>	<p><b>REGION IX</b> Arizona Nevada California Hawaii American Samoa Guam N. Mariana Islands</p>	<p>Sharon M. Fujii, RA 50 United Nations Plaza Rm. 450 San Francisco, CA 94102 415 437-8400 (ph) 415 437-8444 (fax) <a href="http://www.acf.hhs.gov/programs/region9">http://www.acf.hhs.gov/programs/region9</a></p>
<p><b>REGION V</b> Illinois Minnesota Indiana Ohio Michigan Wisconsin</p>	<p>Joyce Thomas, RA 233 N. Michigan Ave. Suite 400 Chicago, IL 60601 312 353-4237 (ph) 312 353-2204 (fax) <a href="http://www.acf.hhs.gov/programs/region5">http://www.acf.hhs.gov/programs/region5</a></p>	<p><b>REGION X</b> Alaska Oregon Idaho Washington</p>	<p>Stephen Henigson, RA Blanchard Plaza 2201 Sixth Ave. Rm. 610-M/S RX-70 Seattle, Washington 98121 206 615-2547 (ph) 206 615-2574 (fax) <a href="http://www.acf.hhs.gov/programs/region10">http://www.acf.hhs.gov/programs/region10</a></p>



Assurances and Certifications

The applicant Tribe or tribal consortium assures that:

- (1) Upon approval, it will have in effect a program that complies with the provisions of the Plan printed herein, and is administered in accordance with the Child Care and Development Block Grant Act of 1990, as amended (the Act), Section 418 of the Social Security Act, and all other applicable Federal laws and regulations. (658D(b) of the Act, 658E(a) of the Act, 45 C.F.R. 98.15(a)(1))
- (2) The parent(s) of each eligible child within the Tribe or tribal service area who receives or is offered child care services for which financial assistance is provided is given the option either to enroll such child with a child care provider that has a grant or contract for the provision of the service or to receive a child care certificate. (658E(c)(2)(A)(i) of the Act, 45 C.F.R. 98.2, 45 C.F.R. 98.30, 45 C.F.R. 98.15(a)(2)) **[Exempt Tribal Lead Agencies are not required to operate certificate programs.]**
- (3) In cases in which the parent(s) elect(s) to enroll the child with a provider that has a grant or contract with the Lead Agency, the child will be enrolled with the eligible provider selected by the parent to the maximum extent practicable. (658E(c)(2)(A)(ii) of the Act, 45 C.F.R. 98.15(a)(3), 45 C.F.R. 98.30)
- (4) The child care certificate offered to parents shall be of a value commensurate with the subsidy value of child care services provided under a grant or contract. (658E(c)(2)(A)(iii) of the Act, 45 C.F.R. 98.15(a)(4), 45 C.F.R. 98.30) **[Exempt Tribal Lead Agencies are not required to operate certificate programs.]**
- (5) The Tribe, or tribal consortium, will coordinate, to the maximum extent feasible, with the Lead Agency(ies) in the State(s) in which the child care programs or activities will be carried out. (45 C.F.R. 98.12, 45 C.F.R. 98.14(a)&(b), 45 C.F.R. 98.81(b)(3)(i), 45 C.F.R. 98.82)
- (6) Tribal Child Care and Development Fund programs and activities will be carried out for the benefit of Indian children on an Indian reservation (except for Programs located in Alaska, California, or Oklahoma). (45 C.F.R. 98.81(b)(3)(ii), 45 C.F.R. 98.83(b))
- (7) With respect to State and local regulatory requirements (or tribal regulatory requirements), health and safety requirements, payment rates, and registration requirements, State or local (or tribal) rules, procedures or other requirements promulgated for the purpose of the Child Care and Development Fund will not significantly restrict parental choice from among categories of care or types of providers. ((658E(c)(2)(A) of the Act, 45 C.F.R. 98.15(a)(5), 45 C.F.R. 98.15(p), 45 C.F.R. 98.30(e)&(f), 45 C.F.R. 98.40(b)(2), 45 C.F.R. 98.41(b), 45 C.F.R. 98.43(d), 45 C.F.R. 98.45(d))

## Page 2 - Assurances and Certifications

The applicant Tribe or tribal consortium also certifies that:

- (1) It has procedures in place to ensure that providers of child care services for which assistance is provided under the Child Care and Development Fund afford parents unlimited access to their children and to the providers caring for their children during the normal hours of operation and whenever such children are in the care of such providers. (658E(c)(2)(B) of the Act, 45 C.F.R. 98.15(b)(1), 45 C.F.R. 98.31)
- (2) It maintains a record of substantiated parental complaints and makes information regarding such complaints available to the public on request. (658E(c)(2)(C) of the Act, 45 C.F.R. 98.15(b)(2), 45 C.F.R. 98.32)
- (3) It will collect and disseminate to parents of eligible children and the general public, consumer education information that will promote informed child care choices. (658E(c)(2)(D) of the Act, 45 C.F.R. 98.15(b)(3), 45 C.F.R. 98.33)
- (4) There are in effect licensing requirements applicable to child care services provided within the State (or area served by the tribal Lead Agency), pursuant to 45 C.F.R. 98.40. (45 C.F.R. 98.15(b)(4), 45 C.F.R. 98.40)
- (5) There are in effect, under tribal, local or State law, requirements designed to protect the health and safety of children; these requirements are applicable to child care providers that provide services for which assistance is made available under the Child Care and Development Fund. (658E(c)(2)(F) of the Act, 45 C.F.R. 98.15(b)(5), 45 C.F.R. 98.41)
- (6) Procedures are in effect to ensure that child care providers that provide services for which assistance is provided under the Child Care and Development Fund comply with all applicable health and safety requirements. (658E(c)(2)(G) of the Act, 45 C.F.R. 98.15(b)(6), 45 C.F.R. 98.41)
- (7) Payment rates under the Child Care and Development Fund for the provision of child care services will be sufficient to ensure equal access for eligible children to comparable child care services in the Tribe or tribal service area that are provided to children whose parents are not eligible to receive assistance under this program or under any other Federal or State child care assistance programs. (658E(c)(4)(A) of the Act, 45 C.F.R. 98.15(b)(7), 45 C.F.R. 98.43)
- (8) By the end of each three-year funding period (expenditure period for each Federal fiscal year's grant funding), the Tribe must have expenditures that are equal to grant funds received for that that Fiscal Year. (45 C.F.R. 98.67(c))

## Statutory and Regulatory Requirements That Will Not be Waived

The following are the CCDF statutory and regulatory requirements that will not be waived under a consolidated 102-477 plan.

- Grantees will coordinate, to the maximum extent feasible, with the Lead Agency(ies) in the State(s) in which the child care programs or activities will be carried out. (658O(c)(2)(A) of the Act, 45 C.F.R. 98.12, 45 C.F.R. 98.14(a)&(b), 45 C.F.R. 98.81(b)(3)(1), 45 C.F.R. 98.82)
- Grantees must describe the results of the Lead Agency's coordination activities with agencies responsible for health (including the agency responsible for immunizations), education, employment services or workforce development, and the State TANF agency and/or tribal TANF agency – if the Tribe is operating its own TANF program. (45 C.F.R. 98.14(a), 45 C.F.R. 98.16(d))
- With the exception of applicants located in Alaska, California, or Oklahoma, CCDF programs and activities will be carried out for the benefit of Indian children on Indian reservations. (658O(c)(2)(B) of the Act, 45 C.F.R. 98.81(b)(3)(ii), 45 C.F.R. 98.83(b))
- Applicants must demonstrate the administrative capability to successfully administer the proposed program in their initial plan. (658O(c)(3)(B) of the Act)
- Grants and/or contracts are limited to a period of no more than 3 years. (658O(c)(4) of the Act)
- Funds may not be used for the purchase or improvement of land. (658F(b)(1) of the Act, 45 C.F.R. 98.54(b)(1))
- Funds may not be used to pay for tuition or to contract for sectarian activities including worship or instruction. (658M of the Act, 45 C.F.R. 98.54(c)&(d))
- Applicants must assure they will comply with provisions regarding a drug-free workplace, nondiscrimination, section 504 of the Rehabilitation Act of 1973, Education Amendments, and Age Discrimination Act of 1975, as amended at 45 CFR part 91. (45 C.F.R. 98.13(b)(5))

Note: Since these certifications and assurances are required as part of the consolidated 102-477 plan, they do not need to be submitted with the CCDF application.

- At least one public hearing must be held by Tribes to allow for public comment. (45 C.F.R. 98.14(c))

## Page 2 - Statutory and Regulatory Requirements That Will Not be Waived

- Grantees shall establish, and periodically revise a sliding fee scale(s) that provides for cost sharing by families, and is based on income and family size. Grantees may waive contributions and may apply different sliding fee scales. (658E(c)(5) of the Act, 45 C.F.R. 98.42)
- There are in effect, under tribal, local or State law, requirements designed to protect the health and safety of children that are applicable to child care providers of services for which CCDF assistance is provided. These requirements shall include the prevention and control of infectious diseases (including immunizations); building and physical premises safety; and minimum health and safety training appropriate to the provider setting. (45 C.F.R. 98.41(a))
- Grantees must submit a request to the Secretary of the Department of Health and Human Services, in accordance with uniform procedures, to use funds for construction and renovation purposes. Funds may not be used for construction or major renovation of child care facilities until the Tribe's 102-477 scope of work has been modified to allow for construction or major renovation. (658O(c)(6)(C) of the Act, 45 C.F.R. 98.84(a)&(b))
- Grantees are not permitted to use amounts for construction or renovation purposes if such use will result in a decrease in the level of services provided by the grantee in the preceding fiscal year. (658O(c)(6)(C) of the Act, 45 C.F.R. 98.84(b)(3))
- Not more than 15 percent of the aggregate CCDF funds expended by a Tribal Lead Agency from each fiscal year (including amounts used for construction and renovation in accordance with 45 C.F.R. 98.84, but not including the base amount provided under 45 C.F.R. 98.83(e)) shall be expended for administrative activities. Amounts used for construction and major renovation in accordance with 45 C.F.R. 98.84 are not considered administrative costs. (45 C.F.R. 98.52(b), 45 C.F.R. 98.83(g))
- Grantees' fiscal and accounting procedures shall be sufficient to permit the preparation of required reports and the tracing of expenditures to a level of expenditure adequate to establish that such funds have not been used in violation of 45 C.F.R. 98 Subpart G. (45 C.F.R. 98.67(c))