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UNITED STATES OF AMERICA
NUCLEAR REGULATORY COMMISSION
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MEETING
ADVISORY COMMITTEE ON REACTOR SAFEGUARDS
(ACRS)
SUBCOMMITTEE ON THERMAL-HYDRAULIC PHENOMENA

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WEDNESDAY,

JANUARY 14, 2004

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ROCKVILLE, MARYLAND

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The Subcommittee met at the Nuclear Regulatory Commission, Two White Flint North, Room T2B3, 11545 Rockville Pike, at 8:30 a.m., Dr. Graham Wallis, Chairman, presiding.

COMMITTEE MEMBERS:

GRAHAM B. WALLIS, Chairman

F. PETER FORD, Member

THOMAS S. KRESS, Member

GRAHAM M. LEITCH, Member

1 VICTOR R. RANSOM, Member

2 STEPHEN L. ROSEN, Member

3 JOHN D. SIEBER, Member

4 ACRS STAFF PRESENT:

5 RALPH CARUSO

6 AMY CUBBAGE

7 JIM HAN

8 WILLIAM KROTIUK

9 RALPH LANDRY

10 SHANLAI LU

11 MARCOS ORTIZ

12

13

14 DAN PRELEWICZ

15 MUHAMMAD RAZZAQUE

16 UPENDRA "KUMAR" ROHATGI

17 JOE STAUDENMAIER

18 ED THROM

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I-N-D-E-X

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P-R-O-C-E-E-D-I-N-G-S

8:30 p.m.

CHAIRMAN WALLIS: The meeting will now come to order. This is a meeting of the Advisory Committee on Reactor Safeguards Subcommittee on Thermal-Hydraulic Phenomena. I am Graham Wallis, the Chairman of the Subcommittee.

Subcommittee members in attendance are Tom Kress, Victor Ransom, Jack Sieber, Graham Leitch, Steve Rosen and Peter Ford.

We also expect consultant Sanjoy Banerjee.

The purpose of this meeting is to discuss the application of the TRACG code to the economic and simplified boiling water reactor, ESBWR, and the scaling analysis.

The Subcommittee will hear presentation by and hold discussions with representatives of the NRC staff, General Electric Nuclear Energy and other interested persons regarding this matter.

The Subcommittee will gather information, analyze relevant issues and facts and formulate proposed positions and actions as appropriate for deliberation by the full committee.

Ralph Caruso is the designated Federal

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1 official for this meeting.

2 The rules for participation in today's
3 meeting have been announced as part of the notice of
4 this meeting previously published in the *Federal*
5 *Register* on December 22, 2003.

6 Portions of this meeting will be closed
7 for the discussion of proprietary information.

8 A transcript is being kept, and will be
9 made available as stated in the *Federal Register*
10 notice.

11 It is requested that speakers first
12 identify themselves and speak with sufficient
13 clarity and volume so that they can be readily
14 heard.

15 We have not received any requests from
16 members of the public to make oral statements or
17 written comments.

18 Now, I would invite Dr. Ford to make a
19 preliminary statement.

20 DR. FORD: I have a conflict of interest
21 since I am a GE retiree.

22 CHAIRMAN WALLIS: Thank you very much.

23 We will now proceed with the meeting,
24 and I'd ask Ms. Amy Cabbage of the Office of Nuclear
25 Reactor Regulation to begin, please.

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1 MS. CUBBAGE: Thank you.

2 As you said, my name is Amy Cubbage. I
3 am the project manager for the ESBWR preapplication
4 review in the Office of Nuclear Reactor Regulation.

5 I am going to briefly go over the agenda
6 here. I am going to provide a brief introduction
7 and then Ralph Landry will give an overview of the
8 TRACG SER and discuss our review process.

9 Ralph will also provide a discussion on
10 the TRACG for ECCS/LOCA.

11 Ed Throm will discuss TRACG for
12 containment LOCA analyses.

13 Jim Han from the Office of Research will
14 discuss the PIRT.

15 Dan Prelewicz from ISL will discuss the
16 test program.

17 Marcos Ortiz from ISL will discuss
18 scaling.

19 And at the end of day one Ralph Landry
20 will make some conclusion remarks.

21 Day two we will discuss our confirmatory
22 calculations. Shanlai Lu will present that
23 information tomorrow and Bill Krotiuk from Office of
24 Research. And then Ralph Landry will provide as
25 well conclusions.

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1 We briefed you back in July on our
2 review plan, and I just wanted to refresh your
3 memory on the scope of the preapplication review.

4 The scope includes the TRACG application
5 for ESBWR LOCA and containment analyses,
6 qualification of TRACG for ESBWR, the test and
7 analysis program description including the PIRT,
8 SBWR and ESBWR test reports and the ESBWR scanning
9 report.

10 This is a list of the primary submittals
11 that were made for the ESBWR preapplication review.
12 They include an ESBWR design description that was
13 submitted for reference and the TRACG application,
14 TRACG qualification reports and so on.

15 I'd also like to point out that in
16 addition to those topical reports that were
17 submitted, the staff has considered the responses to
18 413 RAIs in preparing our draft safety evaluation
19 report.

20 At the time that we met with you in
21 July, we were in the process of issuing those RAIs
22 and ultimately did issue 413 RAIs. GE responded to
23 all of those RAIs with at least one response.

24 The plans going forward is that we
25 provided a draft SER to you in December. We're here

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1 today to brief you on the conclusions of that SER.
2 We are scheduled to brief the full Committee on
3 February 5th.

4 And then additional preapplication
5 review topics will be reviewed by the staff in the
6 next year, and those include TRACG for ESBWR
7 transients, which a submittal will be made in
8 February of this year; TRACG for ESBWR ATWS and
9 stability. We're expecting a submittal on that in
10 July of this year. And then the design
11 certification application is currently expected in
12 mid-calendar year '05.

13 It looks like I skipped one line here,
14 and that's the final SER on TRACG for LOCA and
15 containment. Our current target is to issue that in
16 March pending the comments of this Committee.

17 With that, I'd like to introduce Ralph
18 Landry to talk about the safety evaluation report.

19 CHAIRMAN WALLIS: Well, welcome, Ralph.
20 Your draft SER appeared to me to be a well written
21 document, and I'm sure your presentation will keep
22 up to that quality.

23 MR. LANDRY: Thank you, Mr. Chairman.

24 I hope the presentation will be up to
25 your expectations.

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1 My name is Ralph Landry from the Office
2 of Nuclear Reactor Regulation. And I'd like to
3 present first an overview of the SER. After I get
4 through with the overview of the SER, we will then
5 go into the details of the code as applied to
6 LOCA/ECCS and to containment, the PIRT, scaling
7 etcetera. That portion of the presentations will
8 all be closed. We will be going through proprietary
9 material and we'll ask for closed session after I
10 get through this overview presentation.

11 A brief history, Amy went through this
12 already so I won't waste a lot of time on it. Just
13 again point out that the staff asked 413 RAIs,
14 General Electric responded to all those RAIs in some
15 cases with multiple responses because we, as usual,
16 have to go back and forth and back forth until we
17 get an answer that we find acceptable.

18 I would like to point out that
19 throughout this entire review process the past year
20 and a half General Electric has been extremely
21 cooperative. The cooperation which we have received
22 has been exemplary. The company provided not only
23 the TRACG code which we asked for initially, but
24 they provided an update to the code, provided input
25 models for the ESBWR, input models for some of the

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1 test cases, input models for Ontario Hydro, which
2 they had analyzed. They provided access to data
3 from their test program.

4 We did not always agree with the
5 applicant. Of course, there are times when we butt
6 heads and we disagree with each other. But I would
7 like to point out and give them credit initially
8 that our cooperation level was very good. We were
9 very pleased with the cooperation we received from
10 the applicant.

11 CHAIRMAN WALLIS: Can I just ask for
12 clarification, Ralph? When you say they provided
13 the code and input models, you mean they provided a
14 running version that you could run?

15 MR. LANDRY: That is right.

16 CHAIRMAN WALLIS: Or they just provided
17 documentation?

18 MR. LANDRY: No, they provided input of
19 running models. They provided the code in source
20 form and in executable form. And they provided the
21 input models in electronic form so that all we had
22 to do was link with the code and run. This is the
23 procedure that we have in pushing to enforce on each
24 review. I simply would like to point out that in
25 this case, General Electric was very willing to

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1 provide any input models, anything we asked for.

2 The review of the code, since it is a
3 realistic code, it is not a deterministic code,
4 followed the CSAU outline. And we'll go through
5 some of those steps. We won't go through all 14
6 steps in this presentation as we did in the SER, but
7 we would like to go over and highlight a few of the
8 steps along the way.

9 The review was broken down between the
10 Office of Nuclear Reactor Regulation and the Office
11 of Nuclear Regulatory Research, NRR and RES, and
12 contractors which both offices have.

13 NRR reviewed the code itself, the models
14 within the code for both LOCA and containment. We
15 performed independent calculation using the TRACG
16 code and using the NRC's TRACE code. We'll get into
17 that material tomorrow.

18 We reviewed the uncertainty methodology.

19
20 Research reviewed the test program, the
21 scaling, the PIRT and performed independent
22 containment calculations using NRC's contain code.

23 NRR had overall project management and
24 SER preparation responsibility. We brought together
25 all the parts of the SER and prepared the overall

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1 document.

2 In performing this review there are
3 three basis for the regulatory review. We'll touch
4 on the loss-of-coolant analysis basis, the
5 regulatory basis for containment and the regulatory
6 basis for standard plant design.

7 The regulatory basis for loss-of-coolant
8 accidents comes out of 10 CFR 50.46, and then this
9 is just a few sentences taken out of the entire
10 paragraph. And I don't want to read all of this,
11 but I would like to point out that because this is a
12 realistic evaluation model, the evaluation model
13 must include sufficient supporting justification to
14 show that the analytical technique realistically
15 describes the behavior of the reactor system during
16 a loss-of-coolant accident and comparisons to
17 applicable experimental data must be made and
18 uncertainties in the analysis method and inputs must
19 be identified and assessed.

20 This has been performed, and this is
21 what we attempt to describe in the SER with regard
22 to the code itself.

23 CHAIRMAN WALLIS: The last line, though,
24 is a matter of judgment, it seems to me. It says
25 that "there is a high level of probability that the

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1 criteria would not be exceeded." And I don't think
2 the staff has yet defined what they would interpret
3 that to mean in some number.

4 MR. LANDRY: That's correct. That's
5 correct.

6 CHAIRMAN WALLIS: I mean, high level to
7 some people is 99.9 percent, to others it's 95
8 percent, and so on.

9 MR. LANDRY: That's correct.

10 CHAIRMAN WALLIS: What is this level of
11 probability?

12 MR. LANDRY: We've gotten into that a
13 number of times, and this continues to be an ongoing
14 debate discussion as to what constitutes high level
15 probability.

16 We have on the staff, more or less,
17 dropped back to the old 95/95 criterion. But that
18 criterion comes out of a different era and a
19 different purpose.

20 CHAIRMAN WALLIS: A different,
21 absolutely, yes.

22 MR. LANDRY: But that is what we have
23 just been falling back on. And, yes, you're right
24 there is a debate still as to what constitutes a
25 high probability. Is it 50 percent, 60 percent, 95,

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1 99? Of course, it can't be a 100.

2 CHAIRMAN WALLIS: It must depend upon
3 the risk involved. If the risk is very, very great,
4 then you want to have a much higher level of
5 probability?

6 MR. LANDRY: That's correct.

7 CHAIRMAN WALLIS: And we don't quite
8 know, I guess, at this stage what the risk is if the
9 criteria are exceeded. But since they're
10 conservative criteria, I've always assumed that
11 going a little bit above them isn't a very risky
12 thing. But that's just, again, a qualitative idea.

13 MR. LANDRY: This is getting off.

14 CHAIRMAN WALLIS: Yes. But I'm just
15 saying, the staff should clarify I think at some
16 stage in the near future what they mean by this
17 statement.

18 MR. LANDRY: That's correct. And I
19 believe that part of the risk-informing effort is
20 looking at what constitutes realistic, what
21 constitutes high probability and so on.

22 The regulatory basis for the containment
23 includes general design criteria 4, 16, 38, 50, 53.
24 After the presentation on LOCA/ECCS models, Ed Throm
25 will present a discussion of the LOCA containment

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1 work with TRACG, and he will focus primarily on GDSC
2 16, 38 and 50 in that discussion and Standard Review
3 Plan section 6.2.1.

4 This is work for a standard design. So
5 we also have to address the regulatory basis for the
6 standard design as followed in 10 CFR 52.47.

7 Without going through the entire section
8 again, 52.47 requires that certification of a
9 standard design which differs significantly so that
10 it utilizes simplified or inherent or passive or
11 other innovative means to accomplish its safety
12 functions must do several items, one of which is the
13 performance of each safety feature of the design has
14 to be demonstrated through either analysis,
15 appropriate test programs, experience or a
16 combination thereof.

17 Interdependent effects have to be found
18 acceptable by analysis, appropriate test programs,
19 experience, etcetera.

20 And sufficient data have to exist on the
21 safety features.

22 This is part of the review which was
23 done with respect to the test program, and the test
24 program in support of the application of TRACG to
25 the ESBWR. Later today we will have a presentation

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1 from Dan Prelewicz via cell on the test program
2 itself and detailed results and detailed discussion
3 of what we find acceptable and where we have
4 problems.

5 CHAIRMAN WALLIS: To go back to our
6 previous discussion, this business of sufficient
7 data with sufficient range and so on, again, this
8 should be tied in presumably to some measure of
9 probability or confidence that the results are going
10 to be within the criteria?

11 MR. LANDRY: Yes. And when there is
12 data insufficiency, what is the result? Do you have
13 to go back and do more tests, obtain more data or
14 can you accept a greater uncertainty in results?

15 CHAIRMAN WALLIS: Yes. If you have a
16 measure of uncertainty from looking at the data,
17 which again is something which in the past hasn't
18 always been quantified particularly well.

19 MR. LANDRY: We will in a couple of
20 cases attempt to make comments. Without trying to
21 quantify what that level of uncertainty change is,
22 but there will be times when we will point out that
23 because of lack of data or lack of knowledge of this
24 correlation, and so on, there is an increased
25 uncertainty.

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1 The topics, Amy has covered these
2 already. I would simply point out that the
3 discussion of uncertainty methodology I'm going to
4 talk about when I talk about the TRACG LOCA models.
5 I'm going to try to tie that back, rather than jump
6 around too much. Bring that topic back up into
7 discussion of the LOCA models within TRACG.

8 When we get down to the independent
9 calculations, that discussion is going to be
10 tomorrow morning. We debated what material to have
11 today and what material to have tomorrow and felt
12 that because we've done a very, very large set of
13 independent calculations we would like to set aside
14 a block of time to go through all those calculations
15 at one time and not break them up between two days.
16 To maintain the continuity in the discussion, that
17 will be tomorrow.

18 We will discuss calculations which we
19 did with TRACG, calculations which were done with
20 contain, and calculations which were done with the
21 TRACE contain link codes. We have comparisons
22 between TRACG and TRACE. So tomorrow morning we
23 will go through an extensive discussion of the
24 calculations which we did.

25 CHAIRMAN WALLIS: Are you then

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1 validating TRACG or TRACE?

2 MR. LANDRY: We are not validating TRACE
3 in this. We are not validating TRACG either.

4 CHAIRMAN WALLIS: And you're comparing
5 the two?

6 MR. LANDRY: We are performing
7 independent calculations so that we can compare the
8 codes and have an understanding of the code's
9 performance.

10 CHAIRMAN WALLIS: But you do have that
11 anomaly that when they disagree, I mean which one
12 is--

13 MR. LANDRY: When they disagree, we will
14 point out some of those disagreements.

15 CHAIRMAN WALLIS: All right.

16 MR. LANDRY: In some cases we understand
17 what causes the disagreement, in some cases we
18 don't. We do have to keep in mind at this point
19 that TRACE is still a work in progress. The Office
20 of Research has presented to the Subcommittee the
21 work on the TRACE code development a couple of
22 months ago. And there is still a great deal of
23 assessment work being done and to be done on TRACE.
24 And in the next couple of slides I'll get to a
25 comment on PUMA. Part of that assessment effort

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1 will be done with the PUMA program in the future
2 with regard to the ESBWR design.

3 In July, the ACRS Thermal Hydraulic
4 Subcommittee made a number of comments with regard
5 to the ESBWR presentations that they received. And
6 what I would like to do is just to address a few of
7 those comments, not in detail but point out where we
8 will discuss in more at a later point.

9 There was a comment made that we need
10 more code calculations. Well, as I just said,
11 tomorrow morning we will go through about four hours
12 of presentations of code calculations which we have
13 done using the TRACG code, the CONTAIN and the TRACE
14 CONTAIN code and compare those calculations.

15 We have performed calculations of some
16 28 different cases with those codes. That does not
17 mean 28 runs, that means we have 28 cases which in
18 many cases involved multiple runs and a great deal
19 of analysis to determine what we're seeing.

20 There is a request for more information
21 on material design. This is not meant to simply put
22 that comment off, but to focus this review. The
23 review which we performed does not deal with the
24 material aspects of the ESBWR design. It deals
25 strictly with the TRACG code and the application of

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1 TRACG to LOCA, and two specific cases of LOCA in
2 fact; the main steam line break and the GDS line
3 break in the ESBWR. It does not address any of the
4 material properties or material design of the
5 facility.

6 We do have the overview facility design
7 descriptions documented, which gives a brief
8 description design as the design currently stands.
9 We, of course, have to have that because without
10 understanding basically what the design looks like,
11 you can't determine what phenomena have to be
12 represented by the test program and what phenomena
13 have to be represented by the code to put together a
14 PIRT. So we have to look at the facility design,
15 but not for a review of the design itself. That
16 will come during the design certification phase of
17 the review.

18 There was a comment made that more
19 information will be helpful regarding the testing
20 program results. The Office of Research reviewed
21 the testing program and they and their contractors
22 will present that information later this afternoon.

23 There was a comment about iodine
24 chemistry during severe accidents. As Amy pointed
25 out earlier, severe accident material will be

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1 reviewed at a later stage. When we get closer into
2 the design certification phase, we will be reviewing
3 the severe accident and probabalistic safety
4 assessment.

5 There is a comment made asking for more
6 information on the PUMA facility. That information
7 will be presented at a later date by the Office of
8 Research.

9 PUMA is a program that is sponsored by
10 the Office of Research as part of their confirmatory
11 work in the design certification phase of the ESBWR.
12 At some later date when they have more work done on
13 PUMA and they are in a better position, we will be
14 able to schedule a presentation on PUMA; the design,
15 the scaling philosophy, test results and code
16 comparisons.

17 And there was a question asking for
18 staff conclusions on the design. As I've tried to
19 say a couple of times already, we don't have any
20 conclusions on the design. The design is a work in
21 progress. We are focused at this stage in reviewing
22 the TRACG code itself and the ability of TRACG to
23 represent the phenomena that are anticipated to
24 occur in a main steam line break and GDCS line break
25 LOCA in the ESBWR design.

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1 We will come to conclusions on the
2 design, of course, when we get to the design
3 certification review and present that information to
4 the Committee.

5 I think that's it.

6 That concludes the material that we
7 would like to present in open session. We would now
8 like to, at the discretion of the Subcommittee, go
9 into closed session because most of the material
10 that follows is going to be proprietary.

11 DR. FORD: Could I just ask a follow-up
12 question, please?

13 MR. LANDRY: Okay.

14 DR. FORD: On materials issue, which is
15 obviously close to my heart, and I accept your
16 limitation of scope. But just to make sure I
17 understand it, you're saying essentially all this is
18 looking at the calculation and confirmation of
19 pressure temperature transients in the system
20 associated with, for instance, the main steam line
21 break. That's all you're doing at this
22 preapplication stage? You're not interested in the
23 consequence of those pressure temperature transients
24 like failure of the chimney because of those
25 pressure temperature and transients? Is that

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1 correct?

2 MR. LANDRY: That is correct.

3 DR. FORD: Even though those
4 consequences might be high?

5 MR. LANDRY: That is correct. The TRACG
6 code is not used to calculate such things as loading
7 of facts and failures due to loading or the jet
8 impingement, things of that nature. The only
9 material properties that are contained in part of
10 this review, I'll get to in the next presentation,
11 all those material properties contained within TRACG
12 used for LOCA calculation, cladding properties, fuel
13 properties, steel and concrete properties insofar as
14 they effect heat transfer.

15 DR. FORD: Okay.

16 MR. LANDRY: But not as far as they
17 effect failure.

18 DR. FORD: Failure. No.

19 MR. LANDRY: That's a topic that really
20 depends on the details of the design, which we don't
21 have at this point.

22 DR. FORD: I understand. Okay.

23 MR. LANDRY: When we get into the
24 testing program, there will be comments made of
25 things that we're seeing in some of the tests that

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1 have to be confirmed later in the design
2 certification stage to ensure that they will not
3 actually occur in the plan.

4 DR. FORD: Okay.

5 MR. LANDRY: So those discussions we
6 would like to postpone. And the discussions of
7 failure modes, failure mechanisms will be at a later
8 date.

9 DR. FORD: Okay. And just to stop me
10 banging my head against the wall here, who takes the
11 responsibility, the license or yourself, in
12 initiating these questions about structural
13 integrity associated with this different design and-
14 -

15 MR. LANDRY: That will be both.

16 DR. FORD: Who takes the --

17 MR. LANDRY: The onus is on the
18 applicant to provide sufficient design detail. If
19 during the review of that design detail we determine
20 that there is material lacking structural integrity,
21 etcetera, then the responsibility is for the NRC to
22 ask those questions.

23 DR. FORD: So the responsibility is for
24 the NRC --

25 MR. LANDRY: It is a shared

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1 responsibility.

2 DR. FORD: Okay. But someone's got to
3 take a lead. And you're saying the NRC is going to
4 initiate this by saying, hey, Mr. GE, you'd better
5 address this particular potential materials
6 degradation concern? Give me the answer.

7 MR. LANDRY: General Electric should
8 present that information on their own.

9 DR. FORD: Voluntarily?

10 MR. LANDRY: If they don't, knowing that
11 this question has been raised; if they don't, then
12 we will prod them to provide that information.

13 DR. FORD: Thank you.

14 MR. LANDRY: Maybe not gently prod them,
15 either. We will encourage them to respond.

16 Maybe I should point out, maybe I
17 shouldn't; maybe Adam should this point out
18 tomorrow. But after all this review process,
19 General Electric has gone through some
20 reorganization in their staff, and Dr. Gamble has
21 been made the manager of engineering and design for
22 ESBWR. So you will be hearing a great deal from
23 him. And he is probably taking notes on your
24 comments and preparing his response at a later date.

25 DR. FORD: Okay. Good. Thank you.

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1 CHAIRMAN WALLIS: Now, Ralph, this is a
2 proprietary session?

3 MR. LANDRY: In fact, the rest of today
4 before we get to the conclusions in proprietary.

5 CHAIRMAN WALLIS: I notice, what is so
6 proprietary about the fact that you work for the
7 reactor systems branch of NRR?

8 MR. SIEBER: Nobody else knows that.

9 CHAIRMAN WALLIS: Nobody else knows
10 that.

11 I looked through your presentation and I
12 noticed that there's no data here, there's no
13 curves, no figures, nothing. It all just seems to
14 be words. And I don't really see what's proprietary
15 about any of it. But it's just maybe I'm just
16 confused. I thought you were going to present some
17 actual data or something which have some reason to
18 be proprietary.

19 MR. LANDRY: Some of these model
20 descriptions are proprietary.

21 CHAIRMAN WALLIS: Very, very little.

22 MR. LANDRY: As we get into those model
23 descriptions if questions come up, we may have to
24 rely on staff from GE and from our contractors to go
25 into details of the models.

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1 CHAIRMAN WALLIS: Okay. But you have
2 some backup slides that might actually show us some
3 data and things like that?

4 MR. LANDRY: Well, we'll have slides of
5 test programs later today and slides of the
6 calculations tomorrow.

7 (Whereupon, the proceedings went into
8 Closed Session.)

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A-F-T-E-R-N-O-O-N S-E-S-S-I-O-N

1:30 p.m.

CHAIRMAN WALLIS: We're going back into session. We're going to hear from the staff. And this is an open session now?

MS. CUBBAGE: Yes.

This is Amy Cabbage posing as Muhammad Razaque. Basically the purpose of this is just an introduction to the presentations that are going to follow by Dan Prelewicz and Marcos Ortiz from ISL who are going to discuss the details of the scaling review and the testing review.

Part of the purpose of this is to go over a little bit of the history. As you know, the SBWR was under staff review in the '90s and there were substantial efforts in testing and scaling for the combined SBWR and ESBWR programs. SBWR and ESBWR specific tests were originally performed to qualify TRACG for the SBW configuration. And the staff participated in observing and/or auditing those test programs back in the '90s.

And the program was terminated.

The NRC's review also terminated abruptly and without conclusion, and a safety evaluation report was not issued. There was some

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1 letters prepared that did provide some insights and
2 feedback to GE on those programs, but there was no
3 safety evaluation conclusion, unlike AP-600 where,
4 of course, the design was certified and the AP-1000
5 is building on that experience. We pretty much based
6 this review on all of the information for SBWR and
7 ESBWR.

8 So GE is now using the SBWR data to
9 support the ESBWR and relied on scaling analysis to
10 justify applicability of the data to ESBWR
11 configuration. And Marcos will elaborate later this
12 afternoon on some of the weaknesses that were
13 identified during the review and the scaling
14 analysis, and then those weaknesses were addressed
15 by GE in multiple responses to RAIs and GE was very
16 responsive to those concerns.

17 So I'd like to --

18 CHAIRMAN WALLIS: I'll note that the
19 scaling presentation you have is the longest on the
20 schedule. I wonder if that's really appropriate. Is
21 it going to be the major presentation?

22 MS. CUBBAGE: In the scaling area, there
23 were a lot of issues that were raised and a lot of
24 revision and response by GE. So we felt that it was
25 important that you understand where the state of the

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1 review at this point.

2 CHAIRMAN WALLIS: Okay. Okay.

3 MS. CUBBAGE: Muhammad, you're done.

4 So, Jim Han, don't leave.

5 CHAIRMAN WALLIS: Is he here?

6 MS. CUBBAGE: I forgot to mention that
7 before we get into the testing and scaling, Jim Han
8 will be discussing his review of the PIRT. And so
9 he's up.

10 (Whereupon, the proceedings went into
11 Closed Session.)

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1 MR. LANDRY: Okay. Conclusions

2 CHAIRMAN WALLIS: I don't think we can
3 ever get the staff to have quite the -- you haven't
4 done the studies they have. When we look for
5 authoritative questions or answers, we always seem
6 turn to you. And that's what you have done. I mean,
7 you can't do the work they've done. You ask
8 questions and then if you give authoritative
9 answers, you believe them. Again, that is the way
10 it has to be. I can't ask you the kind of questions
11 -- well, I can, but you won't be able to give me the
12 answers that --

13 MR. LANDRY: When it comes to the
14 details of what's in the test or what's in the code,
15 I would rather turn to the code expert and ask them
16 to explain rather than make a mistake and say
17 something wrong, and then have to have it corrected.

18 CHAIRMAN WALLIS: Of course, this
19 experience that you have of questioning them and
20 getting good answers is really what helps to support
21 in great measure your conclusion. And we don't see
22 that interaction in a presentation except in -- and
23 I think we just have. We don't usually see it in a
24 presentation.

25 MR. LANDRY: Well, we've gone back and

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1 forth a great deal during this review, and
2 especially the last few months of the review we were
3 having weekly phone calls.

4 CHAIRMAN WALLIS: I know that. But the
5 contact of --

6 MR. LANDRY: Because we were going back
7 and forth to try to understand.

8 CHAIRMAN WALLIS: You staged a drama
9 with GE, but unless we see through it, the way you
10 did the last few minutes, we have no idea of how
11 good a play it was. So it's very helpful. I find
12 it very helpful. What we've done the last half hour
13 I think to me was very, very useful.

14 MR. LANDRY: It's not Shakespeare, but
15 it is a good play.

16 CHAIRMAN WALLIS: Well, it's getting
17 there. If it's a comedy or a tragedy or something
18 else.

19 MR. LANDRY: It's a comedy or tragedy
20 depending on which week we're talking about

21 CHAIRMAN WALLIS: I think it's all's
22 well that ends well, is what it really is. It's not
23 love's labors lost.

24 MR. LANDRY: Either that or it's a mid
25 summer night's dream.

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1 CHAIRMAN WALLIS: That's what we think
2 about some of the codes, yes.

3 MR. LANDRY: And I'd like to pull
4 together some conclusions about what we talked about
5 today, the first day.

6 A few of the confirmatory items that
7 we've discussed. We've gone through each of these
8 today. We've mentioned what must be included in the
9 PIRT regarding long-term cooling phase for LOCA.

10 General Electric has committed to
11 incorporate missing terms that were found in the
12 documentation. The terms exist in the code. One of
13 the advantages of having the source code and as well
14 as executable was we were able to look at the code
15 itself and determine that an energy term that was
16 missing in the documentation was indeed in the code
17 itself. It was coded, but it just wasn't documented
18 properly.

19 So General Electric has committed to
20 upgrade the documentation to include those errors
21 that were found.

22 CHAIRMAN WALLIS: Are these new
23 equations for transition criteria, are they based on
24 comparisons with data or something. Where do they
25 come from?

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1 MR. LANDRY: No. These were equations
2 that hadn't been reviewed prior.

3 CHAIRMAN WALLIS: It's just that they
4 were expressed poorly?

5 MR. LANDRY: Yes.

6 CHAIRMAN WALLIS: There was no change in
7 the real content?

8 MR. LANDRY: Right.

9 Documentation is going to be updated to
10 include all current models and correlations
11 providing a level of detail consistent with a stand
12 alone document. We've already discussed this off
13 and on today and General Electric agrees that the
14 documents which we received at the design
15 certification stage will be stand alone capability.

16 And we discussed during the test program
17 some of the problems with the PANTHERS test.

18 CHAIRMAN WALLIS: This is the strange
19 one, this sound heard will be investigated. How do
20 you go back --

21 MR. LANDRY: During one of -- there was
22 a sound heard. And we want to make sure that that
23 was an animality unique to the test and was not
24 indicative of water handler that's going to occur in
25 the plant. So General Electric is going to look

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1 into that further.

2 CHAIRMAN WALLIS: How would they look
3 into a sound unless there's a recorder that recorded
4 some evidence about it.

5 MR. HAN: Jim Han.

6 MR. HAN: When GE actually got the
7 condenser IC test they heard very loud bang, I mean
8 during the test. And that appeared to be a water
9 handler. And then later on they find the header of
10 the IC condenser leak. Okay. So is a water handler
11 combined with leak. That is something they need to
12 look into it during the --

13 CHAIRMAN WALLIS: But there was no
14 instrumentation which would record the pressure
15 spike and all that sort of thing, is there?

16 MR. HAN: I did not read that part in
17 their report.

18 MR. LANDRY: General Electric is going
19 to look into that further, whether they have to look
20 at old data or whatever, but --

21 CHAIRMAN WALLIS: At what stage does
22 this indicate there might be a water handler in some
23 stage in the ESBWR transient?

24 MR. LANDRY: Well, that's what we want
25 to--

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1 CHAIRMAN WALLIS: What stage would that
2 be?

3 MR. LANDRY: That's what we want to
4 know.

5 CHAIRMAN WALLIS: What stage is that?
6 There's all kinds of bangs with condensation in the
7 suppression pool, but it's not there that you're
8 talking about?

9 MR. LANDRY: That what we want to make
10 sure; that this is not a water handler problem.

11 MR. HAN: Dr. Wallis, the mechanism that
12 cause this potential water handler in the IC
13 condenser is because of the way they start the IC
14 condenser. Near the top header, near the top header
15 there may be some steam was trapped there. And when
16 you have condensation going on, you can maybe block
17 somehow or create a condensation induced water
18 handler.

19 CHAIRMAN WALLIS: Right. You collapse
20 the steam bubble.

21 DR. RANSOM: Kind of like in my radiator
22 when I used to live --

23 CHAIRMAN WALLIS: Right. So this is
24 only up there in the IC --

25 MR. HAN: IC.

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1 CHAIRMAN WALLIS: Which we're not
2 talking about today anyway, are we?

3 MR. LANDRY: Correct. Correct.

4 MR. SIEBER: It's likely a form of the
5 steam line --

6 MS. CUBBAGE: And that's why these are
7 characterized as issues for design certification and
8 we're just trying to make sure that we capture all
9 of these things and make sure that they're looked
10 at.

11 MR. LANDRY: Some of the conclusions.
12 General Electric has committed to assess, track, GE
13 for containment against some of the more traditional
14 tests. Ed Throm brought this out and mentioned a
15 couple of possibilities. Tests like Marviken, the
16 CDTR test, Battelle-Frankfurt. This is something
17 that General Electric is going to look into and
18 determine what tests that they would like to use for
19 further assessment.

20 DR. KRESS: Will you compare contained
21 with TRACG calculations for contained?

22 MR. LANDRY: We will discuss some of the
23 contain calculations tomorrow.

24 DR. KRESS: Okay. You have some.

25 MR. LANDRY: TRAC contain, and we'll go

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1 into a lot of depth of that tomorrow.

2 DR. KRESS: Okay. Thank you.

3 MR. LANDRY: GDCS air space in wetwell
4 vet have to be modeled correctly during the design
5 certification stage.

6 This is not a criticism now. This is a
7 statement of conclusion of things that we feel are
8 important.

9 We have concluded that the PANTHERS/PCC
10 program covers the range of operational conditions
11 expected in the design-basis LOCAs in the ESBWR.
12 The data are adequate for assessment of TRACG for
13 PCC as performance.

14 CHAIRMAN WALLIS: That's again where
15 it'll be useful to have a figure which says, you
16 know, here's the flow rates this, this and this,
17 that they tested and here is the range of interests
18 that's covered.

19 MR. LANDRY: Yes.

20 CHAIRMAN WALLIS: Some sort of a show
21 that supports the statement. I'm sure most of this
22 does exist, yes. It's just a question of presenting
23 it.

24 MR. ROHATGI: I've seen those charts
25 with figure and flow where there's air

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1 concentration.

2 CHAIRMAN WALLIS: All right.

3 MR. LANDRY: The GIST, GIRAFFE, PANDA-M
4 test programs, there's a whole cover the range of
5 late blowdown phase, GDCS.

6 CHAIRMAN WALLIS: See the thing, Ralph,
7 and I'm going to say it again, the task you have is
8 not to tell us what your conclusions are. It's to
9 convince us in some way that they are justifiable.

10 MR. LANDRY: Okay. We'll try to improve
11 that.

12 CHAIRMAN WALLIS: And we may be at
13 fault. But we have a very short time to appreciate
14 the rational, so you have to somehow condense it and
15 put it across. And it's going to be a one act play
16 not a long time, because we don't have the time.

17 MR. LANDRY: That's one thing that makes
18 this a draft SER at this time. We'll look into these
19 comments and see what we can do to improve the
20 document before it goes final.

21 The combined data from the test programs
22 are generally expected to cover the LOCA phenomena
23 and processes defined in the PIRTs.

24 The PANDA-P series tests were not
25 discussed today because those tests, we have decided

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1 and discussed with GE and so that the PANDA-P series
2 test are not applicable and useable for code
3 assessment purposes. They can be used for code
4 confirmation purposes, though. And this is not any
5 criticism of the test, but as a simple statement
6 that the QA program was not applied to the PANDA-P
7 series tests. These are tests that were done
8 specifically in the ESBWR configuration after the
9 closure of the SBWR work. These tests were
10 performed in Switzerland. And we're not criticizing
11 the Swiss' ability to perform tests, but they did
12 not follow the prescribed GE QA program as we would
13 accept it.

14 Now, these may very well be very quality
15 tests, but they haven't provided the pedigree that
16 we demand under QA. So therefore, we have said
17 these tests can be used only for confirmatory
18 purposes, not for assessment purposes.

19 MS. CUBBAGE: I'd just like to interrupt
20 for a moment. At the beginning of this presentation
21 we were supposed to reopen, and I apologize for not
22 alerting you to that. So, I don't know if we can
23 remedy that.

24 CHAIRMAN WALLIS: You mean if anybody is
25 wrong, not GE.

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1 MS. CUBBAGE: Well, the record.

2 CHAIRMAN WALLIS: So this is now an open
3 presentation?

4 MR. LANDRY: The entire conclusion.

5 CHAIRMAN WALLIS: All your conclusions
6 are open?

7 MS. CUBBAGE: This part. Not the
8 figures he was showing earlier. This handout we're
9 looking at now.

10 MR. LANDRY: On the first slide that
11 said "ESBWR 1st Day Conclusions."

12 CHAIRMAN WALLIS: This is now a
13 completely open presentation.

14 Well, I guess whoever keeps the
15 paperwork is going to make that distinction.

16 DR. ROSEN: Well, this is a matter of
17 curiosity. The PANDA-P tests were paid for by GE?
18 They were GE driven?

19 MR. LANDRY: The European community.

20 DR. ROSEN: They just happened to be
21 applicable to the -- in other words, they weren't
22 specified by GE and carried out?

23 MR. LANDRY: They were not specified by
24 General Electric. They were performed by the
25 European community that was considering the ESBWR

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1 design. This is our understanding of it.

2 CHAIRMAN WALLIS: In those days it was
3 called the European SBWR.

4 MR. LANDRY: Right. So that the facility
5 was mocked up into a ESBWR configuration, tests were
6 performed. And now General Electric would like to
7 use those tests. However, we've said since they
8 were not under their auspices, then the QA program
9 was not the GE approved program, so we will not
10 permit the tests to be used for assessment purposes.

11 DR. ROSEN: Well, I was thinking a
12 little bit that was does this indicate a general
13 breakdown in GE's quality assurance for procurement
14 of testing. And the answer, I think I just got, was
15 no. Because this is just GE trying to use something
16 that happened to have been done --

17 MR. LANDRY: Right.

18 MS. CUBBAGE: That's right.

19 MR. LANDRY: Yes, it was performed by
20 the--

21 DR. ROSEN: If GE had specified these
22 tests and hired a vendor to do them, they would have
23 been done under GE's quality assurance program and
24 there wouldn't have been a issue.

25 MS. CUBBAGE: Correct. As were --

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1 MR. LANDRY: That is correct. These
2 were performed by the European community, but then
3 General Electric says hey these tests are ESBWR
4 configuration, let's see if we can use them. And
5 right away after a lot of discussion back and forth,
6 we had to say you can use them for confirmatory
7 purposes but not for assessment purposes because
8 they were not done under your QA program.

9 CHAIRMAN WALLIS: It may well be that
10 the European's QA program was just as good as GEs
11 would have been.

12 MR. LANDRY: But it wasn't done under
13 that program.

14 CHAIRMAN WALLIS: All right.

15 MR. LANDRY: This is a regulatory issue.

16 CHAIRMAN WALLIS: You guys are being
17 bureaucratic then, I suppose.

18 MR. LANDRY: No, it's a regulatory
19 issue.

20 MR. SIEBER: Well, you could have shown
21 equivalents or somebody could have done it if there
22 was equivalence.

23 MS. CUBBAGE: Well, GE opted not to make
24 that demonstration. They wanted to make the argument
25 that these were confirmatory in nature.

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1 MR. LANDRY: They insisted that they did
2 not have to have these tests. We looked at the
3 programs for the code --

4 CHAIRMAN WALLIS: But the staff could
5 have used them?

6 MR. LANDRY: And we agreed, okay, they
7 do not have to have these tests. So, it was left at
8 that stage.

9 CHAIRMAN WALLIS: But they're there. I
10 mean, you have the results so the staff can look at
11 the PANDA tests and see if it can draw conclusions,
12 presumably. It's not prevented from using it.

13 MS. CUBBAGE: If it was determined that
14 these were necessary, then it becomes an issue for
15 design certification because Part 52 requires
16 Appendix B criterion to met. And it's just we don't
17 have the evidence at this point because we didn't --

18 DR. ROSEN: You can use them because in
19 a regulatory decision making --

20 CHAIRMAN WALLIS: Can't use them for any
21 purpose whatsoever?

22 DR. ROSEN: No, you can use them --

23 MS. CUBBAGE: You can use them.

24 DR. ROSEN: -- but safety related tests
25 shall either be done in accordance with Appendix B

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1 of 10 CFR 52. That's the law.

2 MS. CUBBAGE: That's right. That's
3 right.

4 CHAIRMAN WALLIS: The law is sometimes
5 peculiar. It says I know something but I've got to
6 behave as if I didn't know it.

7 MS. CUBBAGE: No. We're not behaving as
8 if we don't know it.

9 DR. ROSEN: If you don't know it whether
10 or not -- sure it's test control, design and all the
11 rest is done --

12 CHAIRMAN WALLIS: Well, okay. We can
13 move on.

14 MR. LANDRY: You can use it for this
15 purpose but not for that purpose.

16 CHAIRMAN WALLIS: Yes. We can move on.

17 MR. LANDRY: Right.

18 We have concluded that there are
19 relevant and sufficient data to qualify TRACG for
20 stimulation of the phase for which the scaling
21 analysis was completed, that is, the GDCS injection
22 phase. Conservative bounding analyses have been
23 employed for the remainder of the analysis.

24 Now, in saying that, we've also said
25 that rigor of the analyses is not at issue, but the

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1 completeness of the analysis is all that we are
2 issue with.

3 The staff has determined that it is
4 acceptable for General Electric to perform a
5 rigorous scaling analysis limited to the most
6 important phase of the LOCA event, demonstrating
7 that the scaling tools are correct.

8 General Electric is not consistent with
9 the CSAU approach in performance of the uncertainty
10 analysis. We went into a great deal of discussion
11 of this this morning.

12 Should it become evident that the core
13 is going to uncover or the transition boiling and
14 boiling will occur, the core will heat up then the
15 staff will revisit the uncertainty methodology. But
16 at this stage because the core does not uncover, the
17 core does not heat up we have said okay, we'll
18 accept the approach that has been proposed.

19 I believe that completes presentations
20 for day one.

21 CHAIRMAN WALLIS: Right on time.

22 MR. SIEBER: Tomorrow will be more
23 exciting than today.

24 MR. LANDRY: Tomorrow we will go into a
25 great many calculations.

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1 CHAIRMAN WALLIS: I think we've learned
2 a lot today and it's been very useful. And the
3 thing that's making me asking myself at the moment
4 is these conclusions I feel a little bit insecure in
5 endorsing these conclusions because I don't really
6 quite understand the justification for all of them
7 or some of them. And I'm not sure I'm in a position
8 to say I approve all these conclusions.

9 I mean this conclusion about airspace
10 and wetwell vent must be modeled correctly during
11 DC. Well, that's a very general statement and, yes,
12 I suppose it's true. But why is it pointed out in a
13 conclusion? Everything has got to be modeled
14 correctly, presumably, during DC.

15 It should presumably mean because there
16 are uncertainties or errors or something and
17 justification for this conclusion.

18 MR. LANDRY: No, we're trying to be
19 complete. In drawing our conclusions we're trying to
20 point out those items that are important.

21 CHAIRMAN WALLIS: Right.

22 MR. LANDRY: And must be addressed
23 properly at the design certification stage.

24 The conclusions don't necessarily mean,
25 Mr. Chairman, that there's a problem.

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1 CHAIRMAN WALLIS: No.

2 MR. LANDRY: But there's simply some
3 conclusions in there for the purpose of completeness
4 and to make sure that these items don't get
5 forgotten in the future.

6 DR. FORD: But, Graham, your reticence
7 would be resolved if for each of those conclusions
8 there was just a simple graph of data versus
9 observation, as they have done very well in the last
10 half hour.

11 CHAIRMAN WALLIS: Why these conclusions,
12 why not some others and so? I'm a little uncertain
13 about endorsing the conclusions just as they stand.

14 Some of them seem to be vague. I mean,
15 just GIRAFFE and PANDA test programs as a whole
16 cover a range of the late blowdown phase. Well,
17 yes, they do. But I mean does that it's adequate or
18 good enough. "As a whole they cover a range," well
19 yes we know that but are they adequate for what
20 purpose and why.

21 MR. LANDRY: Well, we were trying to
22 take into consideration some of those points that
23 were being made in the presentation on the test
24 programs. That there are data out of each of these
25 programs that are in other data. There is some data

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1 that are all the way from limited use to non-useable
2 and others that are very good and very prototypical.
3 So we did not want to go through in the conclusions
4 and state data by data set, test by test facility
5 which are really good and which are not and simply
6 say that when we look at the program overall, we're
7 trying to get an overall reaction to the test
8 program that has been proposed, scaling that's been
9 performed to suppose the use of the code. And we're
10 saying overall these tests programs are adequate for
11 the purpose of assessment of this code.

12 CHAIRMAN WALLIS: You had one conclusion
13 which said TRACG is good enough for us to go
14 forward and proceed to design certification and that
15 at design certification we're going to examine whole
16 other things. I think that would accept that. I
17 think we have seen enough that we should go forward
18 and not back with the TRACG. But I'm bothered about
19 a lot of these specific conclusions, some of which
20 seem to be vague, some of which seem to be perhaps
21 unsupported.

22 MS. CUBBAGE: If could, to jump ahead
23 tomorrow, but that actually is our bottom line in
24 conclusion in our slides for tomorrow.

25 CHAIRMAN WALLIS: Yes.

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1 MS. CUBBAGE: So this was kind of a
2 summary of what you heard today and then tomorrow
3 morning you're going to hear about the confirmatory
4 analyses and then Ralph's going to come back with
5 our bottom line conclusions.

6 CHAIRMAN WALLIS: Sort of soft
7 conclusion, but let's move ahead and we're going to
8 reexamine all of the stuff and GE isn't going to get
9 it over write-off saying this is okay, this is okay,
10 this is okay, this is okay at this stage.

11 MR. LANDRY: That's correct. Right. So
12 we're just sort of an intermediate step in the
13 process. Let's move forward.

14 MR. LANDRY: Right.

15 MS. CUBBAGE: It's more than that. It's
16 approval of the application of TRACG to ESBWR. And
17 then at the design certification stage we need to
18 ensure that they do the analyses using the actual
19 plant design.

20 CHAIRMAN WALLIS: But that's a kind of a
21 absurd thing. What else do they have to use?
22 Obviously they're going to use TRACG for the ESBWR.
23 So, acknowledgement that they're going to use it is
24 not at question.

25 MR. LANDRY: Well, what Amy is saying is

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1 two things. We wanted to come to the end of today
2 and not just let the day hang. We wanted to try to
3 draw some conclusions from the material today, and
4 that's what these conclusions, while they might in a
5 way sound trite, we're trying to draw together what
6 we've discussed today because tomorrow we're going
7 to move into a full morning session of calculations.
8 And then we're going to try to draw the overall
9 conclusion, which is going to be what you're talking
10 about, about Amy's talking about: The bottom line
11 is the code is adequate to move forward now to
12 design certification.

13 And while it might sound trite, yes it's
14 still the fact that at design certification the
15 exact parameters, the exact design, all the operator
16 actions should they ever be brought in, whatever, is
17 going to be brought together and performed -- used
18 to perform the actual plant calculations.

19 We're just trying to draw a conclusion
20 today.

21 CHAIRMAN WALLIS: That's fine. I
22 understand. Now, this is a first day conclusion.
23 This is what we learn after the first day.

24 MR. LANDRY: Right.

25 CHAIRMAN WALLIS: And that the second

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1 day it's going to come together much more definitely
2 and we're going to have some definite conclusions,
3 which we're going to be asked to address.

4 MR. LANDRY: Right.

5 CHAIRMAN WALLIS: Probably not asked
6 specifically to address these conclusions --

7 MR. LANDRY: But what we will be trying
8 to get agreement on tomorrow is the last slide that
9 will be presented.

10 CHAIRMAN WALLIS: Maybe you should show
11 that at the beginning of the day and so we can see
12 where we're going.

13 MR. SIEBER: What really counts is what
14 the final SER says as opposed to what conclusions
15 you've come to at this point in time.

16 MR. LANDRY: Well, the final SER is the
17 final -- the final version of this SER is going to
18 take into consideration comments which we have
19 received from the Subcommittee.

20 MR. SIEBER: Right.

21 MR. LANDRY: And any comments that we
22 get from the full committee the first week in
23 February. We will take that material into account
24 and then prepare the final SER on TRACG application
25 to ESBWR LOCA.

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1 MR. SIEBER: With whatever limitations
2 you choose to impose at that time.

3 MR. LANDRY: Right.

4 CHAIRMAN WALLIS: But we won't get
5 another look at it? I see the schedule, and our
6 last chance to have a crack at this is February.
7 Then you take into account what we say and go off
8 and write whatever you want to write?

9 MR. LANDRY: That's our proposal.
10 That's our game plan.

11 CHAIRMAN WALLIS: And then we may not
12 even see it again.

13 MR. LANDRY: You'll get a copy.

14 MR. SIEBER: Yes, it's on the website.
15 You can always get it.

16 CHAIRMAN WALLIS: Thank you very much.

17 DR. ROSEN: Doesn't the full Committee
18 have to write a letter?

19 CHAIRMAN WALLIS: We're going to write
20 on something which is not yet complete?

21 DR. ROSEN: But isn't there another
22 letter we had to write?

23 CHAIRMAN WALLIS: I don't think so.

24 DR. FORD: No.

25 DR. KRESS: That would be a letter at

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1 SCR, the design certification phase.

2 DR. ROSEN: Yes, that's what I'm talking
3 about.

4 DR. KRESS: We're obligated as one of
5 our --

6 CHAIRMAN WALLIS: The schedule here, I
7 was looking at it, it says they got to prepare this
8 SER after the February meeting sometime.

9 MS. CUBBAGE: Well, we have prepared the
10 draft SER. It's a very extensive document --

11 CHAIRMAN WALLIS: You're going to take
12 our comments --

13 MS. CUBBAGE: -- that was given to you
14 in December. And the purpose of this meeting is to
15 get your comments.

16 CHAIRMAN WALLIS: To get our comments.
17 And then you revise it. There's no time when we sign
18 off on the revised version?

19 MS. CUBBAGE: I didn't think that that
20 was the way that you normally --

21 DR. ROSEN: But wait a minute now. You
22 mean, the full Committee is not going to write a
23 letter to the Chairman?

24 DR. KRESS: Absolutely we are.

25 MS. CUBBAGE: Yes.

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1 CHAIRMAN WALLIS: We can't say that this
2 SER should be approved because we're not going to
3 see the final version. We can comment on the draft
4 version, that's all we're going to be commenting on.

5 We have this often with the staff, or
6 too often. Maybe not too often. But it's a little
7 concern to this Committee generically that we
8 comment on stuff and then what -- and we write
9 stuff, and we may bless something or appear to
10 endorse it and then the final document looks
11 different from the draft thing that we wrote our
12 letter on, and we don't get a --

13 DR. KRESS: Yes. But we've never done
14 that with the certifications.

15 CHAIRMAN WALLIS: No, we won't do it
16 with certifications, but that's down the road.
17 That's down the road.

18 DR. KRESS: Yes. I mean, we will do
19 down the road. We'll look at the final SER.

20 CHAIRMAN WALLIS: This is still a draft
21 SER after you've written --

22 MS. CUBBAGE: I'm not sure what you
23 mean. I mean, the reason it's draft because we can't
24 send it final until we come here --

25 CHAIRMAN WALLIS: When is it final?

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1 MS. CUBBAGE: -- and hear what you have
2 to say. And so we're going to come back to the --

3 CHAIRMAN WALLIS: No, you don't
4 necessarily have to. It's just that we have had a
5 problem sometimes writing a letter to the Commission
6 saying the draft version we've seen is wonderful and
7 then -- or is terrible, whatever, and then find out
8 that what actually comes out is quite different from
9 what we reviewed.

10 MS. CUBBAGE: Well, our intention is
11 that the only changes we'd be making would be to
12 address your comments. We were very far along in
13 the review and basically were done with the review
14 at the time that we drafted the SER. So --

15 CHAIRMAN WALLIS: So whatever your
16 presentation is. So we don't get another look at it
17 after February?

18 DR. KRESS: Yes.

19 CHAIRMAN WALLIS: Only when you come
20 back for design certification do we get another look
21 at it.

22 MR. SIEBER: Well, it's too late then I
23 think. Because now you've already got an approved
24 code that's been applied by asunder, and at the
25 design certification stage to come back and say I

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1 don't think the code should have been approved, then
2 I think that creates a big problem.

3 MR. CARUSO: But the purpose of doing
4 these preapplication reviews was to give the vendors
5 some sort of confidence that they could proceed with
6 the rest of the detailed design without having an
7 enormous amount of uncertainty. They're trying to
8 reduce the uncertainty associated with these LOCA
9 codes.

10 MR. SIEBER: Right.

11 MR. CARUSO: So what you're giving them
12 is not a final -- and I want someone to correct me
13 if I'm wrong to use that phrase, you're not giving
14 them a final certification or approval to use this
15 to analyze ESBWR. What you're saying is at this
16 point in the review cycle it looks okay except for
17 these issues which are open issues to my mind. They
18 are open unresolved issues that have to be checked,
19 further issues that have to be checked again when
20 they finally go to use to do the analyses. But up
21 to this point we think that it's acceptable to this
22 extent.

23 DR. ROSEN: So then they go ahead and do
24 the calculations and do the final design, then they
25 bring that whole thing back through the staff and

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1 the staff comes to us and --

2 MR. CARUSO: They did it or they didn't
3 do it.

4 DR. ROSEN: This design looks like it
5 worked and we think you ought to write a letter --

6 MR. CARUSO: Right.

7 DR. ROSEN: -- to the Commission saying
8 they ought to certify this design.

9 MR. CARUSO: That's correct.

10 DR. ROSEN: That's when we get a chance
11 to --

12 MR. CARUSO: That's when you get a
13 chance again.

14 DR. ROSEN: -- write the letter. And if
15 everybody on the Committee agrees, then you get a
16 letter without any additional comments. If you
17 don't, you get a letter with additional comments.

18 MR. CARUSO: And that lets them go off
19 and sell a reactor.

20 DR. ROSEN: Well, not yet. Not until
21 the Commission agrees.

22 DR. FORD: Yes, but what Jack's pointing
23 out is what happens in a year's time when we start
24 to say "holy smoke, that little bit was wrong in the
25 TRACG code."

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1 MR. CARUSO: That is a risk that
2 everyone runs when they get a methodology approved
3 that at some point in the future someone will come
4 look at it and say, opps we made a mistake. And the
5 staff has the right and the responsibility -- the
6 Commission has the right and responsibility to
7 change their mind at any point, they just have to
8 justify it and explain it.

9 MR. SIEBER: That happens all the time.

10 MR. CARUSO: That happens all the time.

11 MR. SIEBER: There's a requirement that
12 you review and update those; what is it, once a
13 year, every year or every two years? And there's
14 always changes, code corrections that come out to do
15 that. And then everybody has to either justify
16 through some analyses --

17 MR. CARUSO: Right.

18 MR. SIEBER: -- that says it really
19 changes the CT by a certain amount or you have to
20 rerun Appendix K.

21 MR. CARUSO: Right.

22 MR. SIEBER: Which is not a cheap deal.

23 CHAIRMAN WALLIS: Well, I think what
24 you're going to tell them is that TRACG is useable
25 for ESBWR, but it might need some improvements and

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1 some details.

2 MR. SIEBER: Well, there is one other--

3 CHAIRMAN WALLIS: But at least the basic
4 code is useable. You're going to say they don't
5 need to do new testing, is that what you're going to
6 say? That seem to be a useful conclusion out of
7 this SER.

8 I'd be a little worried about saying
9 that all that's been done about scaling is adequate.
10 It seems to be a somewhat fluid situation and Mark
11 is asking for something better, and it comes back.
12 And then you've only got three things joined
13 together, and maybe there are more than three
14 things. I mean, I don't know whether that scaling is
15 going to turn out to be complete.

16 MS. CUBBAGE: Well, I think we've
17 learned enough about the scaling at this point to
18 support our conclusion that we could accept the use
19 of TRACG for ESBWR.

20 CHAIRMAN WALLIS: Right. And the way
21 they're going about scaling, you would say that's
22 good. But you couldn't, I think, say that how far
23 they've progressed with scaling now is a final word
24 of scaling of ESBWR. You can't certainly say that.
25 You could say that the scaling work they've done so

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1 far indicates that they're on the right track with
2 scaling and now it's a method they can use.

3 MS. CUBBAGE: But what has been done is
4 sufficient to support our conclusions on TRACG.

5 CHAIRMAN WALLIS: But when they make
6 this submittal on the ESBWR transients they're going
7 to be much more specific about these transients and
8 it may be that something will come up about a
9 sensitivity to something that will have to be looked
10 at.

11 MS. CUBBAGE: Right. Because we're not
12 approving --

13 CHAIRMAN WALLIS: You're not approving
14 it.

15 MS. CUBBAGE: -- for transients at this
16 time.

17 CHAIRMAN WALLIS: Right. So I think
18 it's got to be clear, and maybe it's very clear in
19 your mind just what it is this SER is concluding and
20 what it's not concluding.

21 MS. CUBBAGE: Right. For me to back up
22 on what I said earlier, approval to use TRACG for
23 ESBWR LOCA/PCCS and containment only, that's what
24 this SER is for.

25 MR. SIEBER: Well, you do have another

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1 problem I think where you have a circular argument
2 going related to uncertainty. And you say that
3 General Electric did not apply the CSAU uncertainty
4 principles correctly. But since the core doesn't
5 uncover, we won't require them to improve their
6 uncertainty methodology. Now, the question is how
7 certain are you that the core doesn't uncover? If
8 the methodology isn't what you want, then you aren't
9 certain that it doesn't uncover. And if you're not
10 certain it doesn't uncover, does that mean you got
11 to change the methodology, or you know, I just see
12 that going around in a big circle. And that gives
13 the vendor a certain amount of uncertainty with
14 regard to the acceptability of the code because
15 that's a no win deal. It's either fix the
16 uncertainty or at least provide a good estimate of
17 how much uncertainty there is associated with
18 whether the core uncovers or not. And I think
19 uncertainty's an important thing. That tells you
20 how much margin you need to have and how much
21 confidence you should be placing in the calculations
22 that you perform. So that's one that sort of
23 bothers me.

24 DR. KRESS: But you have to keep in mind
25 this is an Appendix K application.

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1 MR. SIEBER: That's correct.

2 DR. KRESS: And there's no real
3 requirement in Appendix K applications.

4 MR. SIEBER: To define that, that's
5 right.

6 MR. LANDRY: This is a realistic
7 application.

8 MR. SIEBER: It is. That's why
9 uncertainty is important.

10 DR. KRESS: That's why you have to have
11 something --

12 MR. LANDRY: That's why at the outset I
13 presented that "out of 50.46 that specifically
14 addresses requirements for uncertainty analysis."

15 DR. KRESS: I was mistaken then. This
16 is a realistic.

17 MR. LANDRY: This is using a realistic
18 approach to modeling.

19 CHAIRMAN WALLIS: Except when dealing
20 with the containment when it's the bounding
21 approach.

22 MR. LANDRY: Except the containment is
23 handled as a bounding calculation.

24 CHAIRMAN WALLIS: But it's a wonderful
25 step forward to replace the 22 degrees F by not a

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1 requirement, but by a demonstration that the core
2 never uncovers anyway. That would seem to be a
3 wonderful step forward in terms of public safety.

4 MR. LANDRY: Well, in this --

5 CHAIRMAN WALLIS: An assurance of public
6 safety.

7 MR. LANDRY: In this case, that is the
8 basis for accepting the uncertainty analyses that
9 has been performed. Since the core does not
10 uncover, does not heat up, assessing uncertainty in
11 PCT is meaningless.

12 CHAIRMAN WALLIS: Yes, we discussed that
13 this morning.

14 MR. SIEBER: It never changes.

15 MR. LANDRY: Yes. If it doesn't go up,
16 it's a meaning --

17 MR. SIEBER: Therefore it's a coolant
18 temperature.

19 MR. LANDRY: It's a meaningful
20 uncertainty. So General Electric has proposed to
21 use the static head in the chimney as the metric.
22 As long as you have sufficient static head above the
23 core, you show you don't uncover core, you --

24 CHAIRMAN WALLIS: I think their
25 assertion there are time at sometimes that no matter

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1 what they do it never goes below whatever, a meter
2 or something or other.

3 MR. SIEBER: I think that's what they're
4 saying.

5 DR. KRESS: I don't think you can say
6 the uncertainty in P-clad temperature is
7 meaningless. It's a delta function probably.

8 MR. SIEBER: Yes.

9 DR. KRESS: And you either have this or
10 it's going to go up pretty high. Because if you
11 start uncovering, you're going to let off the steam
12 reaction near the top then you're going to boil off
13 a lot faster than you thought you would. And perhaps
14 if you just barely uncovered the core to a certain
15 extent, which could be an uncertainty in the core
16 recovery, you could have set off a fairly P-clad
17 temperature. And so it's not a meaningless comment.
18 And it is tied to whether or not you uncover that
19 core and what's the uncertainty in that.

20 MR. LANDRY: Yes, but we agree with you,
21 Tom. That's why we're saying --

22 DR. KRESS: Yes, but you're saying that
23 that thing is so high that it's very unlikely that
24 you are uncovering the core to the extent that
25 you're going to have to worry about P-clad.

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1 MR. LANDRY: Well, we're saying like now
2 for the condition as they calculated right now, it
3 doesn't uncover it doesn't heat up. So there really
4 isn't a meaning to PCT. But --

5 DR. KRESS: Yes, there's a reason for
6 them to calculate that if you can show that that's
7 an uncertainty in that calculation.

8 MR. LANDRY: Yes. Now that's where we
9 placed the caveat on that should at some point it be
10 shown that the core does uncover and there is a core
11 temperature extrusion, then you must do an
12 appropriate acceptable uncertainty analysis.

13 DR. KRESS: Yes. The only way we're
14 going to show that at this stage of the game is the
15 calculations using TRACG.

16 MR. LANDRY: Right.

17 CHAIRMAN WALLIS: And I think you should
18 say should show that it does uncover, you should
19 show that it could uncover within the range of some
20 uncertainty.

21 DR. KRESS: Yes. I think that's the
22 right words to use.

23 CHAIRMAN WALLIS: I mean, if there's
24 really no probability at all that it'll uncover,
25 which it seems to be here, then there's no sense in

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1 worrying about it. You've got to show that that
2 really is a minuscule probability.

3 MR. SIEBER: Just make the reactor
4 vessel taller. Make it 50 meters.

5 CHAIRMAN WALLIS: And I think you might
6 be concerned, as one of my colleagues mentioned
7 operator actions. The events that have occurred in
8 the past which have been traumatic for the industry
9 have usually involved operators doing the wrong
10 thing.

11 DR. KRESS: I think you'd reserve that
12 for the PRA probably.

13 CHAIRMAN WALLIS: Yes.

14 DR. KRESS: That doesn't normally come--

15 CHAIRMAN WALLIS: Well, it's got to be a
16 consideration if everything else is fine, then the
17 weak link may well be the operators.

18 DR. ROSEN: Well, and even in that case,
19 because the operators have so much time here to do
20 anything; that the likelihood that you look at the
21 performance shaping factors, likelihood is that
22 they'll get it right because it could have --

23 MR. SIEBER: I would wait until shift
24 change myself.

25 CHAIRMAN WALLIS: Yes, there's lot of

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1 shifts. New shifts of operators. That might make
2 it better or worse.

3 Are we ready to adjourn? I'm not sure
4 we need to make conclusions yet. Going to hear some
5 more, and it's probably premature to --

6 MR. SHIRALKAR: Can we make just one
7 comment, Graham? Real short one.

8 CHAIRMAN WALLIS: Yes.

9 MR. SHIRALKAR: We wanted to say that we
10 are really looking for closure on this issue.
11 Approval of TRACG for these applications. And you
12 know it's gone on for 15, 20 years. And we do need,
13 you know, closure on this issue. And that's --

14 CHAIRMAN WALLIS: Yes. Are there any
15 other comments that GE would like to make at this
16 time? You're going to be here tomorrow.

17 MR. SHIRALKAR: Yes. One comment I
18 would like to make about the -- to talk about the
19 margins of core uncovering and uncertainties, we'd be
20 talking about the margin of 2 meters of water above
21 the top of the core. And things that we have done
22 have changed that by about 10 to 20 centimeters. And
23 so, you know, a rigorous statistical analysis could
24 be done, it seems to me a little bit --

25 DR. KRESS: But you know that simplified

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1 analysis you've shared there --

2 MR. SHIRALKAR: A simplified analysis
3 has been done --

4 DR. KRESS: And that you could probably
5 do a real good uncertainty analysis of. And that
6 looks like it's as good as TRACG for that phase of
7 the accident. I mean, that's a pot of water boiling
8 off and condensing, and the uncertainty is all in
9 your condensing. So, you know, you could probably
10 do a pretty good uncertainty with a back of the
11 envelop type thing almost.

12 CHAIRMAN WALLIS: That's right. What
13 you need to show that it's two meters plus or minus
14 10 centimeters. Not two meters plus or minus three
15 meters.

16 DR. KRESS: And I'd be perfectly happy
17 with a simplified model --

18 MR. SHIRALKAR: That was done.

19 DR. KRESS: Oh. Well, I'd like to see
20 that then.

21 CHAIRMAN WALLIS: Okay. So we ready to
22 adjourn -- what's the right word. Recess. We're
23 going to recess until 8:30 tomorrow. And by
24 tomorrow everything will become clear.

25 Thank you all very much for your

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1 attendance and contributions.

2 (Whereupon, at 5::25 p.m. the meeting
3 was recessed, to reconvene tomorrow at 8:30 a.m.)
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