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UNITED STATES OF AMERICA
 NUCLEAR REGULATORY COMMISSION
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 ADVISORY COMMITTEE ON REACTOR SAFEGUARDS
 MEETING OF THE
 RELIABILITY AND PROBABILISTIC RISK ASSESSMENT
 AND PLANT OPERATIONS SUBCOMMITTEES
 MITIGATING SYSTEMS PERFORMANCE INDEX

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 WEDNESDAY, APRIL 14 , 2004

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 ROCKVILLE, MARYLAND

The Subcommittees met at the Nuclear
 Regulatory Commission, Two White Flint North, Room T-
 2B3, 11545 Rockville Pike, at 8:30 a.m., John D.
 Sieber, Chairman, presiding.

COMMITTEE MEMBERS:

JOHN D. SIEBER	Chairman
MARIO V. BONACA	Member
F. PETER FORD	Member
THOMAS S. KRESS	Member
GRAHAM M. LEITCH	Member
STEPHEN L. ROSEN	Member
WILLIAM J. SHACK	Member
MAGGALEAN W. WESTON	Staff Engineer

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1 NRC STAFF PRESENT:
2 DAVID ALLSOPP
3 JAMES ANDERSON
4 PATRICK BARANOWSKY
5 BRUCE BOGER
6 BENNETT BRAG
7 DON DUBE
8 ANNE-MARIE GRADY
9 NAOTO ICHII
10 AUDREY KLETT
11 PATRICK O'REILLY
12 SELIM SANCAKTAR
13 JOHN THOMPSON
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1	C-O-N-T-E-N-T-S	3
2	Introductory Remarks, Mr. John D. Sieber	4
3	MSPI Pilot Results	
4	Mr. Patrick Baranowsky	5
5	Mr. Donald Dube	34
6	Status of MSPI, Mr. Bruce Boger	98
7	Public Comments, Mr. Tony Pietrangelo	105
8	General Discussion	116
9	Meeting Adjourned	121
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
24		
25		

P-R-O-C-E-E-D-I-N-G-S

8:28 a.m

DR. SIEBER: The meeting will now come to order.

Good morning. This is a meeting of the ACRS Subcommittees on Reliability and PRA and on plant operations. I'm Jack Sieber, Chairman of the Plant Operations Subcommittee.

George Apostolakis -- and I don't see him here -- is the Chairman of the Reliability and PRA Subcommittee.

Other ACRS members in attendance are Mario Bonaca -- and he's here but not at the table, Peter Ford, Tom Kress, Graham Leitch, Steve Rosen and Bill Shack is, I think, is supposed to be, too. Okay?

The purpose of the meeting is to discuss the technical results of the Mitigating Systems Performance Index Pilot Program. Maggalean Weston is Cognizant ACRS Staff Engineer for this meeting.

The rules for participation in today's meeting have been announced as part of the notice of this meeting published in the Federal Register on March 24, 2004.

A transcript of the meeting is being kept and will be made available as stated in the Federal

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1 Register notice. It is requested that speakers use
2 one of the microphones available, identify themselves,
3 and speak with sufficient clarity and volume so that
4 they can be readily heard.

5 We have received no written comments from
6 members of the public regarding today's meeting.

7 I think now we'll proceed with the
8 meeting. Pat Baranowsky of the Office of Research
9 will begin.

10 MR. BARANOWSKY: Thank you, Mr. Sieber,
11 and good morning members of the ACRS Subcommittee.
12 Thank you for this opportunity to come here and talk
13 to you about the technical evaluation that we had
14 performed with regarding to the Mitigating System
15 Performance Index development over the past two years.

16 If you can go to the purpose slide. First
17 we're going to spend the bulk of this meeting talking
18 about our evaluation. This is the third meeting that
19 we've had with this subcommittee on this topic. And
20 now we're coming toward the end of this project.

21 We'll have a brief presentation by NRR on
22 the status of the MSPI and there has been a request at
23 least for one public member to make a short
24 presentation also on the MSPI by NEI. Some time has
25 been allotted for that.

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1 Another underlying purpose of this meeting
2 is that we would like to ultimately get a letter at
3 the conclusion of this project. We actually talked
4 about this back in I think it was May of 2002. And
5 we're actually somewhat on schedule believe it or not,
6 looking for that letter a little bit later this year.

7 Let me start off by giving our overall
8 conclusions as we see it on this work. Recognize, of
9 course, that the work to date is presented in a draft
10 report that was provided to you and other members of
11 the staff in February of this year. It was also
12 released to the public about a week or two ago through
13 a Federal Register notice. And so it's still not in
14 its final form but it's getting very close.

15 As a result, we think that the Mitigating
16 System Performance Index is a highly capable
17 performance indicator that can differentiate risk-
18 significant changes in performance and address
19 problems associated with the current performance
20 indicators.

21 The development activities --

22 DR. LEITCH: Pat, are you going to refresh
23 us on just what are the problems with the current
24 performance indicators?

25 MR. BARANOWSKY: On what the current ones

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1 are?

2 DR. LEITCH: Yes, I'd just like --

3 MR. BARANOWSKY: Okay.

4 DR. LEITCH: -- to be a little more clear
5 as to what --

6 MR. BARANOWSKY: Okay.

7 DR. LEITCH: -- we're trying to solve
8 here.

9 MR. BARANOWSKY: If it's not in there, we
10 can -- we can --

11 MR. DUBE: It's in a --

12 MR. BARANOWSKY: Oh, the problems, yes, we
13 can have that. That's in there.

14 DR. LEITCH: Okay.

15 MR. BARANOWSKY: I'm sorry. I thought you
16 wanted to know what the current indicators were.

17 DR. LEITCH: No, what the issues are.

18 MR. BARANOWSKY: No, we have those.

19 DR. LEITCH: Okay, thanks.

20 MR. BARANOWSKY: I'm just getting to the
21 conclusions to you'll know what we're going to try and
22 present.

23 We've had an extensive developing testing
24 program and for the most part completed our
25 evaluation, looking at its validity and verifying its

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1 capability. We think we understand its performance
2 characteristics, its strengths, and its limitations
3 very well.

4 To us it appears to provide the best
5 overall measure of system performance while minimizing
6 false positive and false negative performance
7 indications. And this is especially true for
8 identifying changes in performance.

9 Also please note that the formulation is
10 flexible and adaptable and, in fact, it's been
11 modified substantially from its original formulation
12 almost two years ago. And, as such, we've been able
13 to address and can continue to address emerging issues
14 and concerns regarding validity and appropriateness of
15 the outcomes using this indicator.

16 Next -- so the RES presentation here,
17 which will be followed by NRR and some public comments
18 is as follows. We'll go through the background, an
19 overview of what the MSPI is, the status of the pilot
20 program, and scope of our verification activities.

21 We'll discuss the research results of the
22 pilot program, some key technical issues that have
23 received significant activity on our part and other
24 members of the NRC. In particular, we'll talk about
25 the validity and robustness of the MSPI and give you

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1 our overall assessment and conclusions in a little bit
2 more detail.

3 Next one -- the MSPI evolved from a
4 feasibility study of Risk-Based Performance Indicators
5 that was done by the Office of Research and documented
6 in NUREG-1753. I think we started that work about
7 four years ago. And we actually had several meetings
8 with the ACRS subcommittees and the full committee on
9 that work.

10 As a result, when some problems were
11 identified with the current set of performance
12 indicators for mitigating systems, NRR came to us and
13 asked if we could adapt that work to solve those
14 problems. And the third bullet here pretty much
15 identifies what the issues were that were identified
16 by NRR.

17 The use of fault exposure time is a
18 surrogate for unreliability. The definitions of
19 unavailability were inconsistent with the maintenance
20 rule and actually in some cases, inconsistent with PRA
21 usage.

22 There was cascading of cooling water
23 support system failures on to front line systems and
24 concern about how that would impact the way the
25 performance indicators were used in the action matrix.

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1 In the thresholds, and the indicators
2 themselves were not plant specific but generic, one
3 size fits all, and there was a significant concern
4 about plant-specific differences.

5 DR. LEITCH: Could you say a little more
6 about that third bullet? That cascading of cooling
7 water support system failures?

8 MR. BARANOWSKY: Yes.

9 DR. LEITCH: What I'm picturing is say you
10 have a -- an RHR pump that needs cooling water to the
11 bearings.

12 MR. BARANOWSKY: Right. The current set
13 of performance indicators has about four or five front
14 line system performance indicators and, of course,
15 each hit on one of those systems produces a color
16 indication which then goes into the action matrix if
17 you achieve certain levels.

18 So if a cooling water system is found to
19 have a fault that effects two or more of those front
20 line systems, then each system is credited as having
21 a hit and, therefore, you might get two or three
22 performance indication hits when there is actually one
23 system that's the problem. And so we're trying to
24 correct that.

25 DR. SIEBER: On the other hand from a risk

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1 standpoint, if you lose cooling water, you lose a lot
2 of pumps, you lose your diesels --

3 MR. BARANOWSKY: Right.

4 DR. SIEBER: -- I would say it's risk
5 significant.

6 MR. BARANOWSKY: Yes.

7 DR. SIEBER: And I'm not sure that taking
8 a bunch of hits is a wrong thing.

9 MR. BARANOWSKY: We're not saying it's not
10 risk significant. But remember you can have a single
11 PI hit that goes anywhere from green all the way up --

12 DR. SIEBER: Right.

13 MR. BARANOWSKY: -- to very significant
14 red. The numbers of PI hits is meant to indicate how
15 many systems and components are effected so you can
16 understand the breadth of the issue. The color up to
17 red is meant to give you the significance of the
18 individual findings.

19 And so we want to not confuse that
20 philosophy here with the performance indicators. And
21 I think that was pretty universally agreed upon that
22 we should go in that direction.

23 And, by the way, the current formulation
24 allows us to look at the significance of cooling water
25 -- when I say currently I mean Mitigating System

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1 Performance Index, allows us to look at the
2 significance of those cooling water systems with
3 respect to their risk-significant safety function so
4 we don't lose that aspect.

5 Okay, so first we went through a -- what
6 I would call a modification and development phase in
7 which we took the formulations from NUREG-1753 and
8 came up with the basic or fundamental formula for the
9 Mitigating System Performance Index. And then a 12-
10 month Pilot Program was initiated in September 2002 to
11 test out and evaluate the Mitigating System
12 Performance Index.

13 We briefed the ACRS; the last time on this
14 was July 2003. We covered some issues that were
15 raised in a May 2002 subcommittee meeting. And, as a
16 result, I believe we answered all the questions that
17 were raised and no significant new ones were raised
18 although we said we would come here at this time and
19 let you know the results of the Pilot Program. And so
20 here we are.

21 DR. LEITCH: Now a 12-month Pilot Program
22 implies that you factored actual operating experience
23 into this pilot to see how this indicator would react.
24 Could you not also have just assumed certain failures
25 to see how the indicator would react? I don't

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1 understand -- I guess I don't understand that about
2 the pilot.

3 MR. BARANOWSKY: In fact, that's a great
4 point because we did do that. What we did was both
5 the Pilot Program where we used the actual operating
6 experience and found out what it was like to collect
7 the information and handle it and --

8 DR. LEITCH: Okay.

9 MR. BARANOWSKY: -- make calculations.

10 DR. LEITCH: All right.

11 MR. BARANOWSKY: And then we did numerous
12 simulations in which we simulated --

13 DR. LEITCH: Certain failures?

14 MR. BARANOWSKY: -- the operating
15 experience so that we could really understand the
16 implications of different changes to the MSPI
17 formulation. And that, I think, is one of the key
18 parts to our ability to develop and understand the
19 performance indicator.

20 MR. DUBE: Yes, I might go into that a
21 little later. But we did do Latin Hypercube
22 simulation of failures. We assumed the distribution
23 of failure rates for the various components. And then
24 just simulated -- like a Monte Carlo simulation.

25 DR. LEITCH: Was any of that historically

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1 -based? Maybe we'll talk about that a little bit
2 later but in other words, did you take a look at hey,
3 here's a pretty serious event that happened at Plant
4 X back in 19-something or other and --

5 MR. DUBE: No, no --

6 DR. LEITCH: -- factor that into the
7 program and see if it gave you the right color?

8 MR. DUBE: Well that aspect we did. But
9 the simulation didn't do that.

10 DR. LEITCH: Okay.

11 MR. BARANOWSKY: There were some special
12 cases where we looked at specific incidents especially
13 to see whether or not the indicator would have been
14 the appropriate tool to take a look at that particular
15 condition.

16 Very shortly I'm going to get to some of
17 the limitations --

18 DR. LEITCH: Okay.

19 MR. BARANOWSKY: -- and that's important
20 to understand those, too.

21 DR. ROSEN: The main -- the other half of
22 that question was you explained that it was -- it also
23 gave you an opportunity to see how difficult it was to
24 collect the data. Are we going to hear more about
25 that?

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1 MR. BARANOWSKY: We weren't planning on
2 going into that in too much detail but we can talk a
3 little bit about it.

4 DR. ROSEN: Maybe the industry is going to
5 talk about that?

6 MR. BARANOWSKY: Well, I think the
7 industry can tell you about how difficult it was on
8 their part.

9 DR. ROSEN: Yes.

10 MR. BARANOWSKY: Because from our part it
11 wasn't very difficult.

12 DR. ROSEN: I want to hear what -- well
13 both sides of that story.

14 MR. BARANOWSKY: Okay, all right.

15 So as I mentioned, we did formulate the
16 indicator that eliminates the specific problems that
17 were identified. It addresses those.

18 It accounts for unavailability and
19 unreliability in a system weighted to its relative
20 risk importance, uses a plant model to derive risk
21 importance weightings. In other words, it's plant
22 specific.

23 It identifies changes in performance while
24 limiting false positive and false negative indications
25 which is an issue that I hope we'll get an opportunity

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1 to go through a little bit more when Don starts
2 talking.

3 And lastly, it's quite consistent with PRA
4 maintenance methods and the maintenance rule data
5 collection. And as best we understand it, having
6 interacted with not only the group that was part of
7 the pilot but also with INPO, we -- a system is being
8 set up called the consolidated data entry which is
9 encompassing the currently existing EPIX, that's the
10 Equipment Performance Information Exchange System
11 which could capture the data necessary to generate the
12 MSPI.

13 Next -- okay, as I mentioned, the
14 indicator monitors basically changes in performance
15 that are related to changes in core damage frequency.
16 We call it an index because it's really only a partial
17 indication of changes in core damage frequency. It
18 doesn't include everything within that system that
19 could result in a change in core damage frequency and
20 about two slides away, I'll tell you what we don't
21 cover.

22 There are two elements in a -- because of
23 the formulation can be combined very simply and
24 linearly. That was a significant problem with the
25 NUREG-1753 work where we had come up with two

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1 indicators for each system; one for unreliability and
2 one for unavailability.

3 We couldn't combine them together because
4 at that time, we didn't put them into a core damage
5 frequency common denominator approach, if you will,
6 and, therefore, the significance of each of those
7 wasn't properly weighted when we first formulated it
8 although we knew we could probably do it.

9 And thus we have an indicator that has the
10 two parts, as I mentioned, the unavailability and the
11 unreliability index, which is related to the change in
12 core damage frequency associated with change in
13 unreliability and unavailability.

14 And what we were able to do was to use
15 basically the Fussell-Vesely importance measures to
16 linearize the whole process and make it fairly simple
17 once one has a PRA to work with.

18 DR. SHACK: Pat?

19 MR. BARANOWSKY: Yes?

20 DR. SHACK: One of the interesting things
21 was the variability you got in the Fussell-Vesely for
22 components, which was of interest to me, of course, in
23 a 5069 kind of sense. And I notice in Appendix B,
24 this is attributed to the fact that your models did or
25 did not have initiating event fault trees.

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1 Can I draw a general conclusion that if
2 I'm looking at PRAs that don't have initiating event
3 fault trees, I'm computing suspect Fussell-Vesely
4 numbers --

5 MR. DUBE: They could be off by --

6 MR. BARANOWSKY: Could be.

7 MR. DUBE: -- a significant amount.

8 DR. SHACK: Yes, I mean these were big
9 changes.

10 MR. DUBE: Yes.

11 MR. BARANOWSKY: Yes.

12 MR. DUBE: If a particular special
13 initiator, let's say loss of service water is a
14 dominant sequence of the plant and it involves the
15 failure of pumps and valves and components thereof,
16 and one PRA model has an explicit fault tree that's
17 for that initiating event that's linked with the rest
18 of the model and another one uses I'll say a single-
19 parameter frequency, you could have significant
20 differences in the importance measure. Yes, order of
21 magnitude we saw, in some cases even more than an
22 order of magnitude. That was an eye-opener when we --

23 DR. SHACK: Yes, I thought that was pretty
24 impressive.

25 MR. BARANOWSKY: Yes, you know I've been

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1 around here for over 30 years. And I think -- maybe
2 I don't have a gray beard but I qualify as a gray
3 beard. And I learned a few new tricks on this project
4 about how sophisticated one needs to be with PRA to
5 capture results that present persistent outcomes in
6 your conclusions.

7 And it takes a little bit more
8 sophistication than just getting the top number
9 correct so to speak.

10 MR. DUBE: Right, yes. The interesting
11 thing is that the core damage frequencies can match
12 between the two models but the importance measures can
13 be very different.

14 DR. SHACK: Very different, yes.

15 MR. DUBE: And that's why one of the
16 recommendations was to be -- put all plants on an
17 equal footing, one needs to address this issue of
18 support system initiators. And that it is one of the
19 recommendations to do that.

20 DR. ROSEN: I guess requiring modeling of
21 the support systems but that's not an impossible task.

22 MR. DUBE: No, it isn't.

23 DR. ROSEN: It's really, in fact, fairly
24 straightforward.

25 MR. DUBE: Correct.

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1 MR. BARANOWSKY: In fact, I think we offer
2 a --

3 DR. ROSEN: An alternative --

4 MR. BARANOWSKY: -- a simplification based
5 on having studied this that would allow plants without
6 a fault tree to come up with appropriate results with
7 their support system initiators.

8 DR. ROSEN: I put that in the category of
9 less than a full scope PRA. If somebody's just using
10 a plug and jug number rather than modeling the support
11 systems, it's just another one of those examples that
12 the PRA folks didn't finish the work.

13 MR. BARANOWSKY: Well, sometimes we're
14 guilty of doing that.

15 DR. SHACK: Well, I just want to make sure
16 it's captured when we do a 5069.

17 MR. BARANOWSKY: Yes.

18 DR. SHACK: That was definitely my
19 concern.

20 DR. ROSEN: Keeping in mind when you think
21 about whether or not an applicant or a licensee has a
22 full scale PRA if we're trying to judge that.

23 MR. BARANOWSKY: That's one of the -- if
24 not the highest item on our SPAR upgrade list, by the
25 way, that came out of not just this project but having

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1 gone through now and looked at every single PRA, every
2 single PRA, and comparing them to SPAR. And so we
3 think we need to improve in that area in order to get
4 the PRA results correct.

5 Okay, I'm not going to go over the
6 formulation any further here because it's developed in
7 detail and we have also presented this at the prior
8 meeting. But I think it's kind of elegant and simple
9 and yet it does a lot. And I'll just leave it at
10 that.

11 DR. LEITCH: One question that I had --
12 just back to the previous slide there if you could a
13 second. I'm always concerned that when we have
14 performance indicators, we begin -- or as an industry
15 to manage those indicators. And sometimes that can
16 yield some unintended consequences. Is there
17 something in this formula that would cause the utility
18 to want to drive the UAI as low as possible?

19 MR. BARANOWSKY: Sure --

20 DR. LEITCH: I guess one of the things
21 that always concerns me about this --

22 MR. BARANOWSKY: -- I think they would
23 want to drive the unreliability and unavailability
24 low. And if they do that, I'm all for it.

25 This is one of those cases where we need

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1 -- I agree with you on that. Performance indicators
2 can be set up so that people implementing them worry
3 about the performance indicator -- and it will happen.

4 In this case, the performance indicator is
5 so closely linked to plant risk, that it's a good
6 thing. It's a good thing to have low unreliability.

7 DR. ROSEN: As long as you balance it.

8 MR. DUBE: Absolutely.

9 DR. ROSEN: But my concern --

10 MR. BARANOWSKY: Well, that's why you see
11 both in there.

12 DR. ROSEN: My concern is if one tries --

13 MR. BARANOWSKY: It's a great -- from that
14 point of view.

15 DR. ROSEN: -- if one tries to drive the
16 unavailability to zero, for example, it can adversely
17 effect the unreliability because you're not taking the
18 time required to do the proper preventative
19 maintenance and those types of things. So --

20 MR. BARANOWSKY: Agreed.

21 DR. ROSEN: -- there is kind of a balance
22 between those two terms.

23 MR. DUBE: I agree. And that's why in the
24 current situation, you only have the first term in
25 this equation, the UAI part.

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1 DR. LEITCH: Right.

2 MR. DUBE: And you can see -- and there
3 have been examples where for whatever reason, a
4 licensee is right on the borderline and has managed
5 unavailability in order not to cross for better or for
6 worse.

7 DR. LEITCH: Yes.

8 MR. DUBE: In this case, you know,
9 reliability theory says you want to optimize your
10 preventative maintenance to give you the best
11 combination of unavailability and unreliability.

12 Too much maintenance and the UAI term goes
13 up, you know, the URI term may go down to zero but
14 that's not optimum. Too little maintenance, UAI goes
15 to zero and URI can shoot up.

16 DR. LEITCH: Right.

17 MR. DUBE: And the best world is the right
18 combination of unavailability and unreliability. And
19 better yet, my belief is that the MSPI weights
20 unavailability and unreliability based on your risk
21 importance.

22 DR. LEITCH: Yes, yes.

23 MR. BARANOWSKY: Which makes it consistent
24 with the maintenance rule which basically says balance
25 these things out.

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1 MR. DUBE: Yes.

2 MR. BARANOWSKY: Okay. The systems that
3 are currently monitored or capable of being monitored
4 by the MSPI are indicated here. We all know what
5 these systems are. One could expand, if one wanted,
6 very easily due to the formulation, add different
7 systems, different scopes and so forth.

8 It could even expand this to initiating
9 events if you wanted to because it has such a general
10 applicability. But I don't plan on going into the
11 details for the scope of the systems here right now.

12 DR. ROSEN: But if you were to do that,
13 give us a feel for how many -- how much more percent
14 of the CDF you would get? Can you do that? Or do you
15 think this is half of -- this covers half of the CDF?
16 Or 75 percent? Or 90?

17 MR. BARANOWSKY: Well, it covers a large
18 chunk of the system components that are involved in
19 the CDF. It indirectly includes initiating events in
20 that, of course, the current CDF is based on what the
21 current initiating event of that frequency is but it
22 doesn't account for changes that might be occurring in
23 the current initiating events.

24 So that portion of risk that might be
25 changing as the result of changes in initiators

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1 aren't, obviously, captured here. That's another
2 milestone.

3 There are aspects of the MSPI and they're
4 actually on the next chart that we're unable to
5 account for in the current formulation -- or at least
6 we haven't really tried to hard to do this. And why
7 don't we just flip to that because it is important to
8 know what the limitations are.

9 DR. ROSEN: Are you going to try to answer
10 my question?

11 MR. BARANOWSKY: I'm going to try to
12 answer it when I get to the end if you'll -- if I get
13 to this point.

14 DR. ROSEN: All right.

15 MR. BARANOWSKY: Multiple concurrent
16 failures of components, including common cause
17 failure, are not currently included in the formulation
18 although the importance of common cause failure on the
19 Fussell-Vesely and hence the total formula is
20 included.

21 So in other words, changes in performance
22 that are due to a greater susceptibility or the actual
23 occurrence of multiple failures, including common
24 cause, are not within the scope of the current MSPI.

25 Also, conditions that are latent and not

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1 discovered by routine surveillance and, therefore, can
2 be in existence for several surveillance intervals and
3 may require a design review or some special test to
4 detect, they're not included.

5 And lastly, failures of passive components
6 are also not included. So what we're looking at is
7 the key contributors to risk from an active component
8 point of view and the aspects of those components that
9 go beyond the capability of the MSPI would be covered
10 by a significance determination process activity.

11 Now I can't say how much CDF is accounted
12 for but for -- I would say that the CDF associated
13 with the MSPI is not the largest chunk of core damage
14 frequency that would be found in the PRAs although the
15 reliability of equipment that's within the scope of
16 the MSPI can be found in some of the dominant
17 sequences.

18 Now that sounds like a little bit of
19 double talk. But in essence, remember what we're
20 talking about here is single failures of components
21 that are detected during normal surveillance and what
22 the implications are of those failures on performance
23 and risk.

24 Generally that's not the largest
25 contributor to core damage frequency. Larger

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1 contributors are associated with common cause failure
2 and some other factors that are not easily monitored
3 through system reliability monitoring.

4 DR. ROSEN: Let me -- let me restructure
5 my question to you --

6 MR. BARANOWSKY: Okay.

7 DR. ROSEN: -- to get at really what I was
8 asking because, sir, I think you're right about what
9 you just said.

10 If you were to formulate a list of systems
11 to cover under MSPI and be inclusive, would there be
12 additional systems on this list? And if so, how
13 important would those additions be to the result?

14 MR. DUBE: I mean -- if I can answer --
15 you've got basically high pressure safety injection
16 here and, to a certain extent, residual heat removal
17 where it's shared with low pressure safety injection.
18 You've got that covered.

19 You've got emergency feedwater and reactor
20 core isolation cooling. And if we don't have -- we
21 didn't have an isolation condenser plant in here but
22 that would be included if -- in here as well. And
23 then you've got your major support system service
24 water and component cooling water and emergency AC
25 power.

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1 So in answer to your question, the only
2 thing I can think of would be something like a DC
3 power, you know 120-volt AC and maybe for some plants
4 where instrument error is important, that might be
5 one. But I would say we've got the bulk of, you know,
6 the important systems already here.

7 DR. ROSEN: Okay, well that's what I
8 wanted to hear.

9 MR. BARANOWSKY: We actually -- NUREG-1753
10 showed that the coverage that we have is very large.
11 And our philosophy is that -- remember this is a
12 sample of performance, the theory being if we sample
13 enough things in the most important areas and they're
14 not going well, that's indicative of other things that
15 are not easily sampled.

16 It's not easy to sample common cause
17 failure things. I don't think anyone knows how to do
18 that. But we do know there is somewhat of a
19 correlation between common cause failure and
20 independent failures.

21 You have very, very few independent
22 failures. Your common cause failure contribution to
23 your risk is generally very low. So there is a
24 relationship but it's not a hard and fast one.

25 In theory, if we have problems with these

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1 systems due to these single failures, they're sort of
2 a gateway, if you will, into what else might be going
3 on.

4 DR. FORD: Do I understand it from the
5 previous graph that, for instance, aging effects on
6 passive components are not covered in this overall
7 scheme of events? And if they are not, will they be
8 in the future?

9 MR. BARANOWSKY: They're not covered here.
10 There is some thought being put into developing
11 performance indicators that would be related to that
12 issue. And I'm not sure whether we will or will not
13 go forward with that activity. There is some work
14 that is scheduled for the next fiscal year on that.

15 DR. FORD: Okay, good.

16 MR. DUBE: If I might add, if a passive
17 component, let's say a heat exchanger or some piping
18 section caused a train in one of the monitored systems
19 to be unavailable, that would get captured in the MSPI
20 because we capture train unavailability.

21 But if it was a catastrophic failure and
22 resulted in a leak or in an initiating event, it would
23 not. But it would default to the significance
24 determination process. It would be covered there.

25 DR. FORD: Would that leak and therefore

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1 of the availability of that passive component would be
2 a reactor step? You'd wait for the leak to occur
3 before you started to fit it into your analysis. Is
4 that correct?

5 MR. BARANOWSKY: Yes.

6 MR. DUBE: Yes.

7 DR. FORD: Okay.

8 MR. DUBE: In looking at its impact on
9 unavailability.

10 MR. BARANOWSKY: That's one of the reasons
11 why I say I'm not sure where we're going to go with
12 this because we don't want to just track pipe breaks
13 --

14 DR. FORD: No.

15 MR. BARANOWSKY: -- that's just not really
16 a good level of tracking just like tracking common
17 cause failures and waiting until you have a dozen of
18 those isn't a good idea either.

19 So we're trying to look at whether or not
20 there is some sort of condition monitoring aspect of
21 performance that might be used instead. And I don't
22 know where we're going to go with that.

23 DR. FORD: Okay, but at least it's in your
24 thought pattern.

25 MR. BARANOWSKY: It's in -- sort of in the

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1 thought process. If we think it has any practicality,
2 we'll go further with it. It may need some more
3 research on monitoring of equipment.

4 DR. SHACK: But that's one of the problems
5 I always sort of have with one of these integrated
6 approaches in the first place is that, in fact, you
7 smear the performance out because you're sort of
8 giving them credit for all the options they have of
9 mitigating a problem.

10 Even if they have a problem, it doesn't
11 show up as serious here because you're -- you know,
12 you're crediting the other mitigation strategies that
13 are sort of inherent in the plant. And while that's
14 true if I was looking for a, you know, a true risk
15 impact of this, but in a performance measure, I'm
16 measuring more than risk impact, I think.

17 You know I'm trying to look for a
18 precursor. And it seems to me as I keep integrating
19 my performance indicator, I'm losing something of the
20 performance indicator and I'm getting much more of a
21 safety indicator, which is of interest in itself but
22 I lose -- you know, I gain and I lose by combining
23 these systems together the way that you have.

24 MR. BARANOWSKY: Well, one of the
25 philosophies -- and I was going to bring this up a

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1 little bit later -- is that licensees are supposed to
2 take the maintenance rule, for instance, and do the
3 early screening of these very issues that you're
4 talking about. And when the performance indications
5 get to a certain stage, then the NRC steps in.

6 And so what we're trying to do is identify
7 that stage based on its risk significance.

8 DR. SHACK: Yes, but see I look at this --
9 when this performance indicator is bad, things are
10 very bad. I mean --

11 MR. BARANOWSKY: I think I would take
12 exception to that.

13 DR. SHACK: If things have gotten to that
14 stage, then they're very bad. When this performance
15 indicator is good, I'm not so sure that things are
16 good.

17 MR. BARANOWSKY: I guess I would disagree
18 with you.

19 DR. SHACK: Okay.

20 MR. BARANOWSKY: I think that we have to
21 recognize that we're looking at changes in performance
22 that result in changes in core damage frequency.

23 DR. SHACK: You're looking at changes in
24 risk. You're not looking --

25 MR. BARANOWSKY: Changes in core damage

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1 frequency on the order of 10 to the minus 6 when the
2 total core damage frequency is closer to 10 to the
3 minus 4 -- we're down two orders of magnitude from
4 what might be the total baseline.

5 And so before I take and claim that things
6 are very, very bad, I would want to look at the total
7 risk perspective. We're actually attempting to work
8 around the resolution in risk analysis here.

9 DR. ROSEN: I think this is the -- not the
10 electron microscope for performance. The electron
11 microscope, the thing that shows you the real fine
12 structure is the maintenance rule because it's -- the
13 licensee, if he gets more than a certain number of
14 failures has to set up a program, put it in A1 or A2,
15 I forget which one it is, and create a program to
16 correct those problems on the individual component.

17 And so that -- and the NRC can see any
18 time -- the resident can go look at what's on the list
19 anytime. So I would rely on that for the fine
20 structure rather than this program. This program is
21 more step back and look at the forest rather than the
22 individual trees is the way I see it.

23 MR. BARANOWSKY: Well, it's a pretty fine
24 level of resolution though when you look at the whole
25 picture. That's why we actually, as an Agency, broke

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1 it up into small pieces instead of just saying, "Let's
2 just look at core damage frequency." We're going down
3 and taking all these small pieces of core damage
4 frequency and we're not taking the total core damage
5 frequency.

6 If we were, we would be looking for
7 changes of 1 to .1 percent in total core damage
8 frequency. I have a nice little picture to show you
9 what that really means later if you want to see it.

10 Okay any more questions on that one?

11 (No response.)

12 MR. BARANOWSKY: I think this is where I
13 turn it over to you, Don, is it?

14 MR. DUBE: Either way.

15 We kind of touched upon many of this. The
16 12-month pilot was completed in September of last
17 year. We did have a preliminary draft report to what
18 has been distributed here.

19 In the interim from September through
20 pretty much January, we researched and our contractors
21 did some additional analyses. And I'll be touching
22 upon those particularly with regard to PRA adequacy
23 and some comparison of results.

24 We continued to hold public meetings.
25 Internally, NRC meetings were held and position papers

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1 formulated. We issued the draft report on the
2 verification that you have a copy of.

3 And then last month, the Division of
4 Inspection Program Management terminated the
5 development and implementation of MSPI and they'll
6 discuss that in a little while.

7 The independent verification -- this was
8 the original scope of what we were intended to do.
9 And it was pretty comprehensive quite frankly. We
10 verified all the baseline data, reviewed all the
11 unavailabilities, we did note some inconsistencies and
12 they're highlighted in the report. But in general,
13 they were pretty reasonable.

14 We revised the industry failure rates to
15 represent most current performance, which is a little
16 bit better than the period '95 to '97 but within
17 statistical uncertainties is represented of it whereas
18 the failure rates we originally had for this program
19 was 10 and 20 years old. So this was an important
20 improvement that we made along the way.

21 We verified all the performance data, all
22 the unavailabilities. We compared all the reliability
23 data of all the pilot plants for all the components to
24 EPIX and in some cases to the reliability and
25 availability database. We did not some errors and

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1 those were corrected as the program progressed.

2 The Fussell-Vesely importance are input to
3 the process. We compared those to the SPAR models and
4 there's a whole appendix in the report on that. But
5 we did find substantial differences, especially in
6 many of the support systems. And as a result, we had
7 an unexpected and unanticipated SPAR enhancement
8 effort where we improved 11 SPAR models down to the
9 level of component risk importances.

10 And then we analyzed those differences
11 between the licensee PRA model and then the SPAR
12 model. And then we did sensitivity studies based on
13 that which I'll touch upon in a few minutes.

14 We verified the spreadsheet -- that it was
15 doing the calculations correctly. We compared the
16 MSPI results using SPAR and the licensee's PRA so we
17 had one-for-one comparison there.

18 And then we analyzed the differences. We
19 performed sensitivity studies. And then as I
20 mentioned, we analyzed the results for all the
21 component failures in the pilot, which was some 77
22 failures.

23 So we went through 77 failures for the
24 systems within the scope of the pilot and each one we
25 said what did the MSPI result? And in a lot of cases,

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1 it was a cumulative effect so you have to look a
2 little bit before and a little bit after, compared to
3 what the equivalent SSU was for that quarter and if
4 there was an SDP, what the SDP indication was.

5 And there wasn't always one. For example,
6 out of the 77 failure, I believe there may have been
7 18 or 20 or something like that SDPs. So it was a
8 very comprehensive, independent verification effort.

9 Now in terms of the research results, we
10 were able to find very good agreement between the
11 plant models and the SPAR resolution models. Now
12 these are the SPAR models after we were -- we were --
13 made the adjustments and refinements.

14 And later on, I'll talk to you how we did
15 sensitivity studies and backed off on those SPAR
16 models to look at what would the impact be because of
17 the differences. So it was pretty comprehensive.

18 But we were able to -- it's more than just
19 a fine tuning and a benchmarking. It was
20 understanding what the differences were between plant
21 PRA model and SPAR models. Why were there differences
22 in dominant sequences and cut sets and importance
23 measures.

24 And what we found, as Mario -- not Mario
25 -- as Pat mentioned earlier was that we were able --

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1 you know, the SPAR models were pretty accurate to
2 begin with. We could predict core damage frequency to
3 within factors of two or three. And we did pretty
4 much have the dominant sequences and even the dominant
5 cut sets.

6 But at the importance measure level, we
7 found significant differences. And we couldn't stop
8 there because those importance measures are what is
9 input into the MSPI.

10 So we evaluated the differences in the
11 model. For the 11 models, we found only three plant-
12 specific model differences that could potentially have
13 a large impact on the results. And I'll touch upon
14 that. There were a number of others that had medium
15 impact and a number that had small impact.

16 We found that the significant differences
17 in major model inputs were such things as system
18 success criteria or initiating event frequencies for
19 major initiating event frequencies for support
20 systems. They were the primary source of significant
21 quantitative differences whereas when we looked at
22 factors of two or three differences in basic event
23 probabilities, they were generally almost always low
24 impact on results.

25 So the licensee model said the probability

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1 of failure of a component was 10 to the minus 2 and
2 the SPAR model might be two or three times greater.
3 When you run that through the PRA models and it
4 aggregates it and then run those importance measures
5 that are generated into the MSPI, it made virtually no
6 difference at the basic event probability level, which
7 was an interesting result.

8 I discussed how we compared the MSPI, SDP,
9 and SSU results for all 77 component failures. And
10 I'll discuss the more important ones. There was some
11 agreement and there was some disagreement. All our
12 explainable but we do realize that SDP and MSPI do
13 have fundamentally different purposes.

14 But it was a task that we were asked to do
15 and so, you know, we did the best that we could in
16 that with recognizing those differences.

17 The sensitivity studies were done to
18 address PRA adequacy. In other words, let's assume
19 that the licensee has a PRA model and there is a SPAR
20 model. And there are difference in models. They
21 might be differences on basic event probabilities but
22 also it could be success criteria could also be, to
23 some extent, fault tree and event tree structure.

24 We identified the major differences
25 between these for all 11 models and then we grouped

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1 them into somewhere between three and seven categories
2 where it made sense. But generally we used seven
3 categories.

4 So all the differences that would reflect
5 themselves in emergency AC power, we grouped all those
6 changes, all those differences together. And all the
7 differences between the two models that effected aux
8 feedwater system, we grouped those together. And
9 those that effected, let's say PORV success criterion
10 for feed and bleed were grouped together.

11 And then we created change sets. When I
12 say we, it was primarily Idaho National Lab --
13 generated change sets and ran the SPAR model. We ran
14 two at a time to see how these groups of differences
15 -- now let's say aux feedwater, there were four or
16 five differences, how those would effect the PRA
17 results.

18 And then -- so we generated new PRA
19 results, including revised Birnbaum end points
20 measures and Fussell-Veselys. And then we took those
21 new Birnbaums and fed them back into the MSPI
22 algorithm to generate new MSPI results.

23 So in short, we looked at all the major
24 differences between the SPAR model and the licensee's
25 PRA, grouped the differences in a logical fashion,

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1 reran the PRA -- let's see 20 -- somewhere well over
2 100 times, generated new Birnbaums, put those into the
3 MSPI one set at a time and generated new MSPI results
4 to look at how a little input difference here might
5 manifest itself in a difference in MSPI results.

6 And the quantitative and qualitative
7 changes in the MSPI provides a measure of the
8 sensitivity of the results to model differences.

9 And the next slide summarizes the
10 sensitivity studies. And we grouped them into three
11 categories. And one might argue it's a little bit
12 arbitrary. But we defined large as the difference is
13 greater than 5E to the minus 7, recognizing that the
14 lowest threshold at green light is 10 to the minus 6.
15 So it's likely to effect to color performance
16 indication given some failures in the system.

17 In order to do this, by the way, we assume
18 all components have one failure beyond the baseline.
19 That's a little parenthesis at the bottom. So it is
20 a little bit conservative to begin with because we're
21 saying when we did the sensitivity study let's assume
22 that every component in that system had at least --
23 had one failure more than the baseline. So the 5E to
24 the minus 7 really is kind of a conservative value,
25 quite frankly.

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1 The medium differences were between 10 to
2 the minus 7 and 5E to the minus 7 and had the
3 potential to effect the color. But you'd have to have
4 a significant number of failures in the system to do
5 that.

6 So finally there was the low, which was
7 less than 10 to the minus 7' and very unlikely to
8 effect or skew our results. And we did this so we
9 could have a logical and consistent way of looking at
10 the hundreds of differences between the licensee's PRA
11 and the SPAR models and make some sense out of them
12 and say what was important and what was not.

13 And the table below summarizes all of the
14 differences and grouped into large, medium, and small.
15 And the Braidwood PORV Success Criterion has to do
16 with the fact that the SPAR model assumes feed and
17 bleed that two PORVs are needed whereas the licensee's
18 PRA, plant PRA, uses one.

19 It's not a judgement necessarily whether
20 the licensee's PRA is correct or not because there are
21 some indications that one PORV may be adequate for
22 successful feed and bleed but it is a measure of the
23 sensitivity of how differences in the models reflect
24 themselves and could impact the MSPI.

25 Millstone 2 has to do with a number of

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1 issues. They, in the last year or two, couple years,
2 they changed the LOCA categories and thereby changed
3 the frequencies. And we couldn't get them to match
4 the more generic, if you will, combustion engineering
5 2,700 megawatt thermal kinds of LOCA categories and
6 frequencies. And so there were differences there.
7 And so they did manifest themselves in the large
8 effect.

9 And the third one was Salem. It had to do
10 primarily with the service water system initiating
11 vent frequency where the Salem initiating event
12 frequency is about 30 times lower than what is used in
13 the SPAR model. And here my personal belief is that
14 the licensee's frequency is lower than what one would
15 generally determine to be a nominal value.

16 And that was it.

17 In the medium level, I'm not going to go
18 through them in detail. But there were a number of
19 issues. And then all the other -- all the other
20 differences, literally 100 -- well over 100
21 differences had smaller or no effect.

22 DR. ROSEN: Now were there plants in the
23 pilot beyond the ones that are listed on this slide?
24 In other words, called out specifically?

25 MR. DUBE: Some that I didn't show up

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1 here?

2 DR. ROSEN: Yes.

3 MR. DUBE: I think -- let's see --

4 DR. ROSEN: I mean with large or medium --

5 MR. DUBE: -- south Texas doesn't show up
6 here, San Onofre doesn't show up here, Surry-1 and 2
7 don't show up here. So the answer is yes.

8 DR. ROSEN: And that is mainly, I think --
9 I'm asking if this is true, is that true because those
10 plants have models that are very close to SPAR? Or
11 SPAR is very close to their model?

12 MR. DUBE: It's a combination of that and
13 a combination of the MSPI results are not sensitive to
14 whatever differences there are.

15 MR. BARANOWSKY: They're close enough
16 basically?

17 MR. DUBE: Yes, and to answer your
18 question, they are pretty close.

19 DR. ROSEN: Okay, because this chart is
20 all about how different SPAR is from the model at the
21 plant, isn't it?

22 MR. BARANOWSKY: Yes.

23 DR. ROSEN: Okay. So I'm concluding that
24 plants that were in the pilot that don't show up here
25 have models that are pretty close to SPAR --

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1 MR. BARANOWSKY: Yes.

2 MR. DUBE: I'd say that's true.

3 MR. BARANOWSKY: Right. And I mean don't
4 forget we went to all these plants earlier and did
5 some benchmarking of the SPAR models and we modified
6 them to reflect the as-designed, as-operated plant.
7 And put our standard SPAR modeling assumptions in
8 there.

9 And in many cases, it matches up --

10 DR. ROSEN: Yes.

11 MR. BARANOWSKY: -- or is pretty close.

12 DR. ROSEN: Okay. Well it's --

13 MR. BARANOWSKY: In some cases it's not.
14 And these are the ones that aren't.

15 DR. ROSEN: It's not unsuspected.

16 MR. BARANOWSKY: Right.

17 DR. ROSEN: It just makes sense to me now
18 that I know the answer.

19 MR. BARANOWSKY: So we know there are
20 issues, a few that need to be resolved. We'd either
21 change the SPAR models or they change their plant
22 model. And then we have good agreement.

23 DR. ROSEN: But that's mostly in the large
24 and the medium?

25 MR. BARANOWSKY: The large are the ones I

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1 would look at. The medium have a very small chance of
2 having an impact.

3 MR. DUBE: Yes. Now obviously this is
4 just a subset of all the models. It's 11 SPAR models
5 versus the 70 total. So it's a little bit difficult
6 to extrapolate to the rest of the industry.

7 But if we could do it, I think one finds
8 back to the conclusion I made before which is really
9 major differences in success criteria, major
10 differences in important initiating event frequencies,
11 and the factors of two and three in basic event
12 probabilities which is everyone generally knows is
13 pretty much the norm within the scatter -- don't seem
14 to have an effect.

15 MR. BARANOWSKY: Actually we did pretty
16 much do what Don's talking about in another program,
17 in the SPAR development program we went and looked at
18 the results of all the other benchmarks that we did.
19 And we made a tabulation. It's very consistent with
20 what we found here and what Don said.

21 And we're now structuring our enhanced
22 SPAR development work to reflect our understanding of
23 the significant drivers indifferences and numbers of
24 plants that might be involved so that we can get the
25 biggest bang for our buck in resolving these things as

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1 soon as possible.

2 MR. DUBE: The next slide, slide 15, looks
3 at a recent task that we just completed. And it has
4 to do with identifying the system boundary.

5 And there was a reason for doing this.
6 And that is the concern that, you know, is it
7 absolutely necessary to do a 100-percent inspection of
8 the system boundary that's within scope of the MSPI?

9 And we wanted to look at the effect of
10 what if a valve was missed because the guidelines for
11 determining the system boundary in the MSPI says all
12 diesels generators and all pumps have to be included.
13 So -- and those generally are the most risk-important
14 ones.

15 So where we were concerned is what if, for
16 whatever reason, a valve or valves were omitted from
17 the scope of the MSPI system boundary? And what we
18 found is in order for it to have a significant effect
19 on the MSPI, the valve would have had to been
20 inappropriately omitted, the valve would have had to
21 have been a high-risk importance value, and the valve
22 head would have to have a failure rate much higher
23 than the industry norm to impact the MSPI.

24 And the consequence of omission would be
25 the underestimation of the MSPI, as I said, but the

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1 valve would still be subject to the inspection process
2 and at least as currently formulated, an SDP
3 evaluation of the performance efficiencies.

4 So this was a task, as I said, that we did
5 because we wanted to have an idea of how critical was
6 it to inspect? Was it important to do a 100-percent
7 inspection as was done during the temporary
8 instruction for the pilot program? And our conclusion
9 is no. There's a high degree of robustness.

10 And the next graph is a cumulative
11 complimentary distribution function of all the delta
12 MSPIs for all 509 valves in the pilot program using a
13 Latin Hypercube simulation. This graph is using a
14 nominal failure rate but we also have it assuming a
15 failure rate five times greater.

16 And then in this simulation, we took the
17 95th percentile delta MSPI for each valve. So it's
18 already somewhat conservative. It's not totally
19 bounding but it's an upper level.

20 And you find -- one finds that if you look
21 at the -- where it crosses the axis here, 98 percent
22 -- 99 percent would have less than a 10 to the minus
23 8 impact on MSPI. And only a handful of valves would
24 potentially impact the MSPI. And they would have to
25 also have a failure significantly beyond the nominal

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1 failure rate.

2 So it gives us a warm feeling that there
3 is a certain degree of robustness that maybe there is
4 ways of doing the inspection that doesn't require 100
5 percent verification. In much the same way when a
6 licensee submits a LOCA analysis model, we don't
7 inspect 100 percent of the volumes and the surface
8 areas in the computer code.

9 And we've expanded this now. We're also
10 looking at the impact of missing a failure or over-
11 estimating demands. And we're seeing that there is a
12 certain degree of robustness as well.

13 The final topic and it's something we'll
14 spend some time on is an attempt to compare the MSPI,
15 SDP, and safety system unavailability results to the
16 extent possible. And we recognize it's fundamentally
17 different approaches. I mean MSPI measures a
18 statistically valid risk informed change in
19 performance over a three-year rolling interval.

20 The SSU directly accounts for
21 unavailability but doesn't account for unreliability.
22 And it uses fault exposure time as a surrogate for
23 that. But it also uses a three-year rolling interval.

24 And the SDP measures short-term risk
25 significance of a failure or condition associated with

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1 a performance deficiency. But what it really does,
2 though, is if there is a failure in a particular --
3 that it is evaluating, it basically if you look at the
4 true mathematical formulation, it uses only a
5 denominator of one year in the calculation of core
6 damage frequency change or change in core damage
7 probability.

8 We compared the results for all 77
9 component failures to the extent possible. And all 77
10 are in that report.

11 We found that all the non-green safety
12 system unavailabilities were driven by fault exposure
13 hours without exception. And in one case because the
14 T/2 assumption -- because of a T/2 assumption and, in
15 fact, in the current ROP guideline, the SSU -- because
16 of the questions about the validity of T/2, is now
17 excluded from the MSP -- from the SSU calculation.

18 The T/2 assumption has to do with how one
19 approximates an exponential function by a Taylor
20 series expansion. And there's terms that go T/2 and
21 some higher order terms.

22 And T/2 works fine for most situations.
23 But when one is looking at a very short time horizon,
24 T/2 can give dramatically erroneous results. And it's
25 a mathematical simplification that, quite frankly,

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1 doesn't work.

2 MR. BARANOWSKY: Well, it can indicate the
3 expected time that equipment is unavailable. When one
4 uses T/2 along with a single year to evaluate
5 unavailability, you can see dramatic swings in
6 unavailability in years where there aren't failures
7 versus the years where there are failures. And,
8 therefore, one's not sure whether they are measuring
9 changes in unavailability or just a normal fluctuation
10 set would occur as you pick intervals short in
11 comparison to the mean time between failures.

12 I mean that approximation of unreliability
13 breaks down pretty badly when you go to mean time
14 between failures much longer than the observation
15 period. You can see that mathematically.

16 MR. DUBE: Yes, and we'll show a curve --
17 a chart on it in a few minutes.

18 The SDP non-green findings for single
19 failure were often driven by a short assessment
20 period. I said that -- less than a year -- with,
21 quite frankly, insufficient data to measure
22 statistically valid change in performance.

23 Now I'm not questioning the fact that it
24 is a way of getting to the fundamental heart of
25 whether a deficiency in performance is high, low,

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1 medium, or what have you, in risk significance. But
2 as a measure of statistically valid system
3 performance, I think there's some questions.

4 MR. BARANOWSKY: Okay, I'd just like to
5 supplement that a little bit. I want to make sure
6 that we're not getting the wrong impression here
7 because I had something to do with the SDP process in
8 the early stages being implemented in the Iraqi
9 Oversight Program.

10 What it does is it tells you at least
11 relatively if not in absolute sense how significant
12 any condition is, okay? It doesn't tell you whether
13 there's been a change in performance. It just tells
14 you something happened and it's significant or not.
15 It could be an expected thing that occurred or an
16 unexpected thing.

17 And so it has a strength in that it gives
18 a relative importance to whatever the condition that's
19 occurred versus other conditions. And it doesn't have
20 a strength in terms of determining if there have been
21 actual changes in performance.

22 Now I know this because that process is
23 designed around the action sequence precursor program
24 methodology which we've used for many years and is
25 essentially the same thing. And if you look at any

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1 conclusions we've ever drawn with action sequence
2 precursors, you'll notice that we look at multi years
3 of data in order to make any conclusion about whether
4 precursors are arriving or not arriving at a higher or
5 a lower rate.

6 It's hard to discern changes in
7 performance when you look at one little incident. And
8 I don't think we've ever done that. So it's a good
9 measure of the significance of a performance
10 deficiency. And it has some difficulty in discerning
11 whether or not that performance deficiency is a change
12 in performance or whether it's just the inherent level
13 of performance.

14 MR. DUBE: All of the -- oh thanks, Pat --
15 all of the MSPI white or near-white indicators usually
16 involve multiple failures and measurable, significant
17 unavailability that provided a high degree of
18 confidence of adverse change in system performance.

19 We never saw a situation where it was just
20 one or the other. It always involved significant
21 impact on unreliability, significant impact on
22 unavailability.

23 We want to see if the MSPI would capture
24 as many if not more reliability/availability
25 performance degradations than SSU and the SDP

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1 combined. And a task was undertaken within the
2 Branch. We did a historical review of all 1,659 SDP
3 findings and 5,157 SSU quarterly indications over a
4 3.25 year period. That was it. We had to cut it off
5 somewhere and it was last summer.

6 Only 0.5 percent of SSU indicators have
7 been non-green in those 3.25 years. The MSPI results
8 as well as the simulation indicate we would expect,
9 using the MSPI, about 3 percent. It varies between
10 2.5 percent and 3.5 percent but a nominal number is
11 around 3 percent.

12 We found that in this time frame, there
13 was an average of four non-green SDP findings per year
14 for the mitigating systems related to actual single
15 failure. That is -- recall that one of the criteria
16 is that if there is a failure, it would have to be
17 detectable during normal surveillance.

18 If it was a degraded condition or a
19 failure that could not be detected during normal
20 surveillance so that it had a long fault exposure
21 time, it would not be within the scope of MSPI and one
22 would fall back to the SDP.

23 In the pilot program, there were two white
24 indicators out of 160 systems and three near-white
25 indicators. When I say three near white, they were

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1 near white for a number of reasons. First the data
2 collection stopped in the first quarter of 2003. And
3 since this is a three-year rolling indicator, it can't
4 project into the future. And it's possible that one
5 or more of those three near whites would eventually
6 become white.

7 And I'll show examples. But there were at
8 least two of them where one more failure in one and
9 one-half to two years going into the future would turn
10 it white. So it is true that there's two white
11 indicators. It's also equally true that there are
12 three very close to the threshold near white and only
13 because we stopped data collection will we never know,
14 I guess, whether they'll turn white.

15 DR. LEITCH: Can I paraphrase here to make
16 sure I'm understanding what you're saying?

17 Using the SSU process, you got about 25 --
18 half of a percent of 57 -- or 5,157 --

19 MR. DUBE: That's for all the plants
20 though.

21 DR. LEITCH: -- in all the plants in three
22 years. So I'm thinking about something like seven per
23 year, something like that. Is that right?

24 MR. DUBE: There's like 400-some odd
25 indicators -- it's a handful, yes. It's about -- it's

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1 something like that.

2 DR. LEITCH: Does the half a percent refer
3 to half a percent of 5,157?

4 MR. DUBE: Yes.

5 DR. LEITCH: So half a percent is about 25
6 and you got that over three and one-half, four years
7 or so? So you're talking about seven per year?
8 Something like that, right?

9 MR. DUBE: Seven to eight --

10 DR. LEITCH: Right.

11 MR. DUBE: -- yes, something like that.
12 Then you use --

13 DR. LEITCH: Yes, go ahead. That's with
14 the SS -- that's with the current SSU --

15 MR. DUBE: Right.

16 DR. LEITCH: -- indicators. Now you
17 looked at -- the next bullet down is with the SDP
18 process?

19 MR. DUBE: Add another four to that eight.

20 DR. LEITCH: Another four? That's what
21 I'm not clear about. Is that -- is that --

22 MR. DUBE: Beyond that --

23 DR. LEITCH: -- beyond that eight?

24 MR. DUBE: -- beyond that eight, yes.

25 DR. LEITCH: So there's 12? So the

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1 current --

2 MR. DUBE: Exactly right.

3 DR. LEITCH: -- the current on process --
4 on average the number of non-green SDP and SSU is
5 about --

6 MR. DUBE: That's right --

7 DR. LEITCH: -- 12 per year.

8 MR. DUBE: -- 12 per year for the whole
9 industry. And the MSPI based on the analysis and
10 simulation we get -- there's uncertainty between 8 and
11 18 -- kind of the upper and lower bounds. They're
12 essentially the same number.

13 DR. LEITCH: Now the same numbers but are
14 --

15 MR. DUBE: Are there differences?

16 DR. LEITCH: -- they the same events?

17 MR. DUBE: Not always, no.

18 DR. LEITCH: Not always.

19 MR. DUBE: And I'll touch upon them --

20 DR. LEITCH: I guess what I'm trying to
21 visualize -- you've got two sets of events, some with
22 the current system, some with the proposed new system.
23 You know, to what extent do they overlap --

24 MR. DUBE: Right.

25 DR. LEITCH: -- since sometimes they do

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1 and --

2 MR. DUBE: Sometimes they do and sometimes
3 they don't.

4 DR. LEITCH: -- sometimes they don't.

5 MR. BARANOWSKY: That's a good point. So
6 there were two things that we were looking at. One
7 was are we going to get a lot more or a lot fewer hits
8 with this indicator. We want to understand the
9 indicator. And so we've got some idea on that.

10 DR. LEITCH: So as far as I'm concerned --

11 MR. BARANOWSKY: The second thing is --

12 DR. LEITCH: -- the numbers are about the
13 same.

14 MR. BARANOWSKY: -- the second thing is
15 are they going to be different --

16 DR. LEITCH: Yes.

17 MR. BARANOWSKY: -- and where are they
18 going to be different and why are they going to be
19 different. Now I expect them to be different --
20 otherwise we wouldn't have done this.

21 MR. DUBE: Yes, right.

22 MR. BARANOWSKY: We think there are some
23 problems with the current indicator and we're trying
24 to fix it. So anyone who thinks that you're going to
25 get the same results, I'd say why did we spend a

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1 million bucks and four years of effort? We did it
2 because there were problems identified and we
3 addressed them.

4 And now, the next chart, if this is the
5 appropriate time, we can go through -- what is it --
6 about five or four or six specific cases --

7 DR. LEITCH: See if it's giving you --

8 MR. BARANOWSKY: -- in which we said why
9 are we getting a difference? Why are they agreeing?
10 What's going on here?

11 DR. LEITCH: Okay, yes.

12 MR. DUBE: Okay, so this is a lot of the
13 meat of it, of the discussion. The color is
14 significant here. White means a white indicator. Or
15 finding green means green. There's no yellow and
16 there's no red. And the gray means indeterminate, of
17 course.

18 Now again in the report, all 77 are
19 discussed and then more cases than this are discussed
20 on an individual, case by case basis, the more
21 important ones. But we narrowed it down here for the
22 purpose of this to the really most significant, most
23 important comparisons. And there are differences.

24 Braidwood 1, there were three failures of
25 the aux feedwater diesel pump. The MSPI was at 2E to

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1 the minus 6. These are rounded numbers. The SDP out
2 of those three failures had one green finding. If you
3 look at the inspection report, there was a green. And
4 the SSU was two and a half percent unavailability over
5 this three-year time frame, which would be white.

6 And as a comment, the MSPI white comes
7 from a combination of unreliability and
8 unavailability. So here's a situation where the MSPI
9 is white. It kind of matches with the SSU but the
10 greens -- the SDP, the one case, it was a green.

11 And again the MSPI, one failure wouldn't
12 have turned it white. Two failures didn't turn it
13 white. Three did. But it was also in combination
14 with a significant contribution of unavailability.

15 One can draw their own conclusions from
16 this case but it did -- I believe the MSPI did what it
17 was intended to do in this particular case.

18 DR. LEITCH: Could you say something about
19 how you reached that conclusion? I'm just not sure.
20 How does it highlight that? In other words, you get
21 a number like 2E to the minus 6 --

22 MR. DUBE: Well, it's reflected in the
23 fact that in terms of unreliability, one would -- one
24 can expect failures of aux feed pumps. But what one
25 found is that there were more failures than

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1 expectation on the aux feedwater pumps, the diesel
2 pump. And the diesel-driven pump has relatively high-
3 risk importance.

4 And then in addition, there was
5 substantial unavailability. So it was the combination
6 of contribution unreliability and a contribution
7 unavailability that put it into the white.

8 DR. LEITCH: The white, yes, yes. Whereas
9 with the SDP, it would have been green. And I guess
10 what you're saying is okay, that's a difference. But
11 that's kind of an explainable difference. And the
12 difference we would have hoped would surface, right?

13 MR. DUBE: Well, the main difference is
14 that MSPI is a cumulative, rolling, three-year
15 average. So it doesn't just look at one failure. It
16 doesn't just look at the second failure. It doesn't
17 look just solely at the third. It aggregates them.

18 And so one failure in three years wouldn't
19 turn it white. Two failures in three years wouldn't
20 turn it white. But that third failure doesn't.

21 DR. LEITCH: Yes.

22 MR. DUBE: Whereas the SDP in its current
23 form looks at it individually.

24 DR. LEITCH: Yes.

25 MR. DUBE: So it looks at the first

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1 failure, it's green. The second failure is green.
2 Third failure is green. And it looks at it in
3 isolation whereas the MSPI aggregates it.

4 DR. LEITCH: Yes.

5 MR. DUBE: That is a fundamental
6 difference.

7 DR. LEITCH: Good.

8 MR. DUBE: And I'm not -- you know, I just
9 want to point that out.

10 On Hope Creek, there were three failures
11 --

12 DR. SHACK: Just coming back to that one.

13 MR. DUBE: Yes?

14 DR. SHACK: But apparently the
15 unavailability was high enough to through you over the
16 SSU. So --

17 MR. DUBE: Yes.

18 DR. SHACK: -- I'm not sure how you're
19 conclusion that it's a combination --

20 MR. DUBE: Well, that unavailability --
21 this all came from fault exposure time --

22 DR. SHACK: Oh, this is fault exposure
23 time?

24 MR. BARANOWSKY: And a generic model with
25 generic thresholds --

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1 MR. DUBE: That was --

2 MR. BARANOWSKY: -- that may or may not be
3 applicable to the specific Hope Creek case. I think
4 --

5 MR. DUBE: Braidwood case.

6 MR. BARANOWSKY: You're comparing an apple
7 and an orange and we're just showing you that in this
8 case, they both look alike.

9 MR. DUBE: As I mentioned on the previous
10 slide, every SSU white, without exception, was white
11 because of its large fault exposure time, hundreds of
12 hours, many hundreds of hours.

13 The Hope Creek -- there were three
14 failures of high pressure coolant injection MOVs.
15 MSPI was rounded to 10 -- it was above 10 to the minus
16 6 but Pat says don't show more than one significant
17 figure on these so we just showed it rounded. But it
18 was above 10 to the minus 6. There was no SDP
19 evaluations, reports that we found on any of those
20 three failures. And the SSU was green, 1.7 percent
21 versus a generic threshold of 4 percent.

22 And again the MSPI white came about from
23 a combination of unreliability and unavailability.

24 Palo Verde was one failure of a motor
25 driven aux feed pump. The frontstop was applied and

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1 made it 4E to the minus 7. Otherwise, it would have
2 been white. There was no SDP done and the SSU was
3 green, 0.5 percent. And as I said, we did sensitivity
4 studies and one more failure over a three-year rolling
5 period would result in a white for Palo Verde.

6 We could have a whole discussion on the
7 frontstop but this -- it did perform as intended and
8 that one failure would not result in a white
9 indication.

10 The San Onofre-2, there were six failures
11 of the salt water pumps. And if you recall last time,
12 or if you read through the report, we've put something
13 called a backstop in. A backstop is a way of ensuring
14 -- and it's more performance oriented than risk
15 oriented -- a way of ensuring that if there is a
16 statistically significant departure of the observed
17 failure rate beyond what one would reasonably expect
18 -- and if we want to get into detail, I'll ask Dr.
19 Atwood to get into it -- then regardless of what the
20 MSPI says, we would call it white.

21 And it's a function of what is the
22 expected number of failures of that component type
23 over the three-year period versus how many were
24 observed. And there's a linear regression that's been
25 drawn.

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1 For this particular component type, and
2 the observed number of demands that there is, the
3 backstop was seven. Which meant if it hit -- if there
4 were seven failures, regardless of the risk
5 importance, we would call it white.

6 Well, there were only six, so obviously it
7 didn't hit the white threshold but again we stopped
8 the data collection. So it would have been possible
9 for this to turn white but we didn't pursue it.

10 There was no SDP and because this is a
11 support system, there was no equivalent SSU. It's not
12 applicable.

13 DR. LEITCH: Okay, I can see from a
14 performance point of view the backstop. Now come back
15 and explain to me the frontstop again -- the one
16 failure. Yes, but why throw out the one failure?

17 MR. BARANOWSKY: It's not thrown out.

18 DR. LEITCH: Well I mean --

19 MR. BARANOWSKY: It's a statistically
20 indeterminate result. In other words, it has as much
21 chance of being an error as it does not being an
22 error. And the philosophy that we applied was that we
23 should have reasonable assurance that there has been
24 a change in performance.

25 And that the licensee should have some

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1 opportunity to take corrective actions before that
2 change in performance occurs. Oh, we could do the
3 maintenance rule. We don't need a licensee.

4 I can tell you all the components -- all
5 -- that one failure will kick you up over 10 to the
6 minus 6. I don't need any calculations. I can
7 precalculate them just by knowing their importance and
8 the existing CDF at the plant.

9 MR. DUBE: Well, it was proposed to
10 address the issue of false positive. And what we
11 found that if baseline performance is near the 10 to
12 the minus 6 threshold, there is a high probability, a
13 high likelihood that the indicator indicates white but
14 performance may be green.

15 And it's because there is a distribution.
16 We're using mean values here on the MSPI but there's
17 really an uncertainty in the distribution. And there
18 could be tens of percent probability that it's really
19 green.

20 And it's kind of analogous to the
21 situation where let's say in a particular neighborhood
22 or cul de sac, the incidence of a rare disease occurs.
23 And if one takes one incidence and divide it by a
24 small population base, one might conclude that the
25 incidence of that illness is 10 or 100 or maybe even

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1 a 1,000 times the normal expectation.

2 But any epidemiologist or biostatistician
3 will tell you that's statistically insignificant. One
4 can't draw that conclusion. The frontstop was put on
5 for that particular purpose.

6 DR. SHACK: Okay so any failure could
7 throw you into an SDP if it was significant enough.
8 But you're arguing that it doesn't really tell you
9 about performance because --

10 MR. DUBE: Right.

11 MR. BARANOWSKY: I don't know -- well no
12 SDP was done on Palo Verde

13 DR. SHACK: Right.

14 MR. BARANOWSKY: Or yes it was -- no, not
15 done. It may or may not have, I don't know.

16 DR. ROSEN: But in layman's terms, it's a
17 way of dealing with the fluke, the statistic --

18 MR. DUBE: Right.

19 DR. ROSEN: -- the thing that happens and
20 nobody expects it and it doesn't really tell you
21 anything about the performance.

22 MR. BARANOWSKY: Well, you're not sure.
23 You know -- it could be due to some performance
24 deficiency --

25 DR. ROSEN: Well, it could be --

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1 MR. BARANOWSKY: -- some poor maintenance.

2 DR. ROSEN: It could be.

3 MR. BARANOWSKY: You just don't know
4 whether the performance of the plant is trending
5 downward.

6 DR. ROSEN: It could be but --

7 MR. BARANOWSKY: Actually you don't know.

8 DR. ROSEN: -- you can't use it this way
9 because it is just as well likely it could not be. It
10 could just --

11 MR. BARANOWSKY: Yes, but that doesn't
12 mean it's not a risk-significant failure.

13 DR. ROSEN: Right.

14 MR. BARANOWSKY: So there is a little bit
15 of brain-twisting you have to do in thinking about
16 this.

17 DR. SHACK: But can't you go back to your
18 statistics to tell you whether a failure was extremely
19 unlikely? I mean the epidemiologist would know that,
20 you know, the one failure is something that meant
21 absolutely nothing. In this case, couldn't I know
22 whether it was or was not likely to have a failure
23 from prior -- I mean the frontstop seems like an
24 absolutely rigid rule.

25 MR. BARANOWSKY: Well, actually it's based

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1 on doing statistical analysis.

2 DR. SHACK: Okay.

3 MR. BARANOWSKY: Okay? And I think Don
4 has a following chart which shows sort of visually the
5 -- is that the next one?

6 MR. DUBE: Maybe not.

7 MR. BARANOWSKY: Oh, yes. Like for
8 instance, we went back on at least one or two of these
9 and we said what has been the performance over some
10 period of time on this thing? Is this -- because we
11 only captured what -- a year or so of data here? So
12 let's go back and see whether this is a fluke or not.

13 And if you see something that's failed
14 about once every five or six years, well, perfect
15 performance for four or five years, one failure, bad
16 performance. Perfect performance for four, five, six
17 years, then one failure, bad performance.

18 It doesn't mean it's not risk significant.
19 It just means that that's the performance.

20 MR. DUBE: Dr. Atwood, is there anything
21 you want to add along these lines?

22 DR. ATWOOD: I don't think so.

23 MR. DUBE: Okay.

24 DR. ATWOOD: Unless you want me to address
25 a particular point.

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1 MR. DUBE: Okay. The next case here --
2 and one -- this is a challenging one -- this is a
3 tough one. And there is an inconsistency here. And
4 a big difference.

5 There were four failures of the emergency
6 diesel generators in the third quarter of 2002. The
7 MSPI is 8E to minus 7. One additional failure through
8 the second quarter of 2005 -- this is one of those
9 near whites where you start collecting data so that
10 it's really indeterminate -- or a net addition of 40
11 hours of diesel general availability would result in
12 white. So it is a borderline case whereas the SDP was
13 at 9E to minus 6, clearly a white, a high white. And
14 the SSU was green, 1.5 percent.

15 What really kind of concerns us is the
16 fact that there were four failures in one quarter and
17 the MSPI, as it is currently formulated, does -- would
18 not catch that. And because of that -- and this was
19 -- we kind of discovered this in the last really
20 couple months, you know, we're assessing whether one
21 would add something called a short-term backstop which
22 is like the backstop but the backstop is over a three-
23 year period.

24 This would look at significant number of
25 failures in a short period of time that like the

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1 regular backstop, identifies a statistically
2 significant deviation from the norm where the one
3 should not call it white regardless of risk importance
4 and risk indication.

5 We haven't really assessed all of it --

6 MR. BARANOWSKY: But to go back to it --
7 and you said, Dr. Shack, this is -- we've got some
8 methodology that we developed for the initiating event
9 indicators for -- that we discussed here on another
10 program and which you used some prediction interval
11 techniques to look at short-term deviations which we
12 could apply here.

13 And correct me if I'm wrong, Dr. Atwood,
14 who has actually developed it -- and if we did that,
15 we could detect short-term deviations fairly quickly.
16 And that's why we've been looking at those things in
17 another program. But they have applicability here.

18 Would you like to add to that?

19 DR. ATWOOD: I want to go back to the Palo
20 Verde.

21 MR. BARANOWSKY: Okay.

22 PARTICIPANT: Use the microphone.

23 DR. ATWOOD: Corwin Atwood, Statwood
24 Consulting, contractor for NRC.

25 The question was asked what if that one

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1 failure at Palo Verde were extremely unlikely, would
2 the frontstop still be applicable? I think then the
3 backstop would because the backstop says what if you
4 get the number of failures that are extremely
5 unlikely.

6 Now when we calculated our backstops, we
7 always needed four or more failures. But I think
8 that's how we would pick it up.

9 MR. BARANOWSKY: Okay.

10 MR. DUBE: And the last case is Millstone-
11 2, there was one failure of a turbine-driven aux feed
12 pump. The MSPI actually is a minus 4E to minus 7, a
13 green. And it's for a reason because it's a system
14 indicator and there are three trains of aux feedwater,
15 two motor driven and the steam driven.

16 The motor-driven pumps have much better
17 than baseline performance, much better lower
18 unavailability than anticipated, lower unreliability
19 than anticipated. And they more than compensated for
20 the turbine-driven pump that had one failure and it
21 had an unavailability at baseline.

22 So you have two trains, much better than
23 the norm, one right at the norm, and the MSPI
24 basically is a system indicator. And so we understand
25 that and we think it makes sense. But the SDP was a

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1 white, it was a 3E to minus 6. And SSU was a white
2 again because of all -- because of fault exposure time
3 at 2.7 percent.

4 So these are really the major comparisons
5 and the major differences. And yes, it is fair to say
6 that they're measuring different things. But I think
7 it's fair to say that in almost -- in all cases, the
8 white or the near whites from the MSPI are
9 combinations of, you know, contribution to
10 unavailability and contribution to unreliability in
11 combination.

12 MR. BARANOWSKY: Don, there was one other
13 thing that -- or maybe two other things. When you did
14 the simulations, did you come up with any expectations
15 for yellow indications? And also maybe you might want
16 to explain how the front stops, et cetera, work with
17 regard to high-risk significance indications that
18 might be yellow in effect.

19 MR. DUBE: I don't think the simulations
20 found any yellow. But the frontstop would only be
21 applied at the 10 to a minus 6 threshold. If a
22 failure were to put one into yellow or higher --
23 yellow or red, the frontstop would not be applied. So
24 it's only at the least risk-significant level of 10 to
25 minus 6.

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1 DR. ROSEN: Now this last row is a case of
2 what I think Bill Shack was talking about earlier. It
3 tends to -- because it is a system indicator, you end
4 up not getting for the resolution what you would be
5 from just the --

6 MR. DUBE: SDP?

7 DR. ROSEN: -- the SDP.

8 MR. DUBE: You're right.

9 DR. ROSEN: Is that right?

10 MR. DUBE: Yes.

11 DR. ROSEN: It tends to merge them? And
12 in this case, it over merges them and puts it negative
13 actually.

14 MR. DUBE: Right.

15 MR. BARANOWSKY: Well, I don't know about
16 over merging. What it really says -- I mean you have
17 to understand what the minus means. The minus means
18 performance has approved overall. If you want to know
19 what it means in terms of risk, it means I have less
20 change of having a core damage accident because of
21 compensating reliability and unavailability
22 considerations with respect to the rest of the system.

23 MR. DUBE: In the last three years.

24 MR. BARANOWSKY: So one train is not
25 performing as well and two other trains are performing

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1 much better.

2 Now maintenance rule should catch that one
3 train and force the licensee to go do something with
4 it.

5 DR. ROSEN: But in this case, that's not
6 true because the train that is performing worse is
7 performing at the baseline, right?

8 MR. DUBE: The unavailability was.

9 DR. ROSEN: The unavailability. But the
10 unreliability was worse?

11 MR. DUBE: Actually it was better because
12 this only takes a three year rolling time frame but
13 the last failure was over a decade ago of the turbine-
14 driven pump. So if one had a ten-year rolling
15 indicator, it would have been better than baseline.
16 But we used a three-year baseline, a three-year
17 measurement period.

18 DR. ROSEN: I'm losing my point. But I --
19 what I'm really seeing here is we've got a system,
20 Millstone-2 aux feedwater system with I think three
21 pumps --

22 MR. DUBE: Right.

23 DR. ROSEN: -- two of which are motor
24 driven, one of is steam driven.

25 MR. DUBE: Right.

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1 DR. ROSEN: And the steam-driven pump, the
2 turbine-driven pump, is at the baseline for
3 unavailability, which means it's performance is
4 nominal, is that right?

5 MR. DUBE: For unavailability, correct, it
6 was.

7 DR. ROSEN: And the motor-driven pumps are
8 better than nominal?

9 MR. DUBE: Correct.

10 DR. ROSEN: So in aggregate, this is
11 better --

12 MR. DUBE: Yes.

13 DR. ROSEN: -- than your nominal value?

14 MR. DUBE: Correct.

15 MR. BARANOWSKY: And it also --

16 DR. ROSEN: And that's what the minus
17 means?

18 MR. DUBE: Right.

19 MR. BARANOWSKY: And it just so happens
20 that the stage in blackout action sequences at this
21 plant are not dominant with respect to importance in
22 the auxiliary feedwater system. If they were, then
23 you would see a different result --

24 MR. DUBE: You might have a different
25 conclusion.

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1 MR. BARANOWSKY: -- that's what makes this
2 plant specific. You put that failure in another plant
3 --

4 MR. DUBE: It could be --

5 MR. BARANOWSKY: -- where the station
6 blackout sequences are dominant, and then the Fussell-
7 Vesely factors change and you end up with a different
8 --

9 MR. DUBE: It could be white.

10 DR. ROSEN: Well what's interesting to me
11 about all this is that this formulation, the MSPI is
12 extraordinarily rich in terms of information. If you
13 question it, it gives you something to look at.

14 Then you say, well, what does that mean?
15 And once you start asking that kind of question, you
16 get answers that have some meaning. And to me that's
17 better than simply an SDP that says -- or an SSU that
18 says two percent. Is that good? I don't know.
19 Compared to two and one-half, it's okay.

20 Well, was two and one-half any good? I
21 don't know. You know you don't get anywhere when you
22 talk about SSU really. You just think you might be
23 getting someplace but you're not.

24 I like MSPI because it's -- as long as you
25 use it intelligently, as long as you say okay, what

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1 does that minus 4E to the minus 7 mean? You get a lot
2 of answers that have some meaning.

3 MR. DUBE: Well, it brings up the features
4 of it's a trained system indicator -- I mean a system
5 indicator and it's measuring against a baseline
6 performance and over a three-year period. And I think
7 it's done with some, you know, anomalies that we might
8 have to tweak. But for the most part, it gives you
9 results that you understand and you can explain.

10 DR. SHACK: Well, your frontstops and
11 backstops address a lot of my concern because you're
12 going to sort of catch performance problems even if
13 they're not risk significant because they're going to
14 bump into those backstops. And your short-term
15 backstop would even help that problem a little bit
16 more.

17 MR. DUBE: I think so. In fact, you know,
18 Dr. Atwood did an analysis. And if we were to have a
19 short-term backstop, it turns out -- believe me, we
20 didn't even look at Salem-1.

21 It came out to four failures in any two
22 quarters, two sequential quarters, so a total of four.
23 And that's probably what we would use. But we haven't
24 really discussed this at any public forum. But that's
25 -- we would use that for any component type. It

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1 turned out to be a pretty, you know, constant number.

2 DR. SHACK: I'm sort of amazed you can get
3 these universal results if the backstop is 7, you
4 know.

5 MR. DUBE: The backstop actually is a
6 linear regression.

7 DR. SHACK: It's like pi, right? Or E
8 equals MC^2 -- how simple could it be?

9 DR. SIEBER: Would this be an appropriate
10 place for us to take a break?

11 MR. DUBE: Sure.

12 MR. BARANOWSKY: I think we're ready.

13 DR. SIEBER: Okay. Why don't we come back
14 at 25 after ten.

15 (Whereupon, the foregoing
16 matter went off the record at
17 10:00 a.m. and went back on the
18 record at 10:22 a.m.)

19 MR. DUBE: I guess we're all back or on
20 the way back. Were there any questions on this slide
21 19? If not, I'll just continue on. We're almost done
22 with the technical presentation although I do have
23 some backup slides on two questions that were asked.

24 The next slide shows in a kind of generic
25 sense, although it's actual data from one case, how

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1 the application of fault exposure time to single
2 component failure can lead to a volatile indication of
3 performance otherwise at industry norm, that is false
4 positive. And if one just took a turbine-driven aux
5 feed pump that was tested monthly and assumed a 14-day
6 fault exposure time, and if it had nominal importance
7 measures and Birnbaums, and the nominal probability
8 failure to start of 10 to the minus 2, which is what
9 we found to be norm for steam-driven aux feed pumps.

10 One would calculate a mean time to failure
11 of six years. That includes demands from additional
12 operations. So one could see using the fault exposure
13 time concept how one would go along and have five
14 years of good performance in green and then guarantee
15 that every sixth year or roughly every six years on
16 average, to be in the white range -- I mean
17 inevitably.

18 And if one were to use and apply the fault
19 exposure time in that sense, it can lead to a false
20 positive indication because the pump is performing
21 exactly at the industry norm, no better, no worse, and
22 yet every sixth year, one would get a white indication
23 or white finding doing nothing more than the current
24 -- using the current process of looking at an ASP-type
25 of calculation.

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1 And in that sense, you know, that is a big
2 significant difference between the MSPI which
3 aggregates it over three years -- and the three years
4 was chosen for a specific reason. As part of the
5 NUREG-1753, a spectrum of ranges were looked at.

6 We looked at as short as one year and even
7 longer. One year resulted in too volatile, five year
8 resulted in too much of a delay and lag, and three
9 years seemed to be optimum or just right.

10 And I just wanted to bring this up because
11 it is a fundamentally -- you know, a fundamental
12 difference between a SDP/ASP-type of evaluation and
13 the MSPI which aggregates it over three years.

14 And in that sense, it leads me into the
15 next slide whereas one of the qualities of MSPI is it
16 addresses both false positive and false negative
17 concerns. The frontstop, in conjunction with the
18 backstop and CNI, constrained noninformative prior,
19 effectively constrained the minimum and maximum
20 failures to white.

21 The backstop prevents false negatives in
22 the sense that one -- you know, if you use just the
23 algorithm, one would calculate 10s if not 50 or 100
24 failures to white. The backstop says we're going to
25 constrain that. And if there's a statistically

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1 significant departure from expectation, we're going to
2 call it white regardless of risk.

3 The frontstop constrains it at the other
4 end to prevent false positive and the three combined,
5 constrained noninformative prior, which was a fallout
6 from the NUREG-1753, it was found to be the best that
7 we looked at at the time. The three combined seemed
8 to give reasonable results.

9 I think that all those words summarize all
10 those bullets there. And the other important thing to
11 point out is that latent faults, that is a condition
12 that can't be discovered by normal surveillance that
13 can result in a large fault exposure time generally
14 are those that result in large -- potentially large
15 risks or multiple concurrent failures which -- where
16 the synergistic effect of multiple failures at the
17 same time result in high risk, those would continue to
18 be evaluated by SDP. It's important to point out.

19 So the MSPI if it were to supplement or
20 supplant and substitute for SDP would only do it for
21 those situations where it was no concurrent failure
22 and a single failure than can be detectable by normal
23 surveillance. Otherwise, the SDP would be the
24 approach to use -- the method to use.

25 And then finally, MSPI --

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1 MR. BARANOWSKY: Let me just --

2 MR. DUBE: Yes?

3 MR. BARANOWSKY: -- I wanted to just back
4 up -- back again to that bottom bullet and make sure
5 that we were clear about the importance of these
6 latent faults and multiple component failures. Those
7 are the high risk events that the history of operating
8 experience shows us are important, okay?

9 So we in no way want to miss those. And
10 we want to have a fairly quick and sharp
11 identification of the significance of those events and
12 -- well, that's one of the reasons why we have not put
13 that kind of thing into the MSPI.

14 They are the same kinds of events that
15 have been found over the years to be important through
16 the action sequence precursor program. They're --
17 they can be at the higher risk level with respect to
18 core damage frequency whereas single failures
19 generally tend to be at the lower risk end of the core
20 damage probability distribution.

21 So the highest risk concerns should be
22 able to be identified promptly with an appropriate
23 methodology.

24 DR. ROSEN: Pat, let me -- while you're on
25 this slide -- make a point --

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1 MR. BARANOWSKY: Okay.

2 DR. ROSEN: -- about risk communication.

3 And that is in a program like this which I believe has
4 a lot of important and useful features and if it goes
5 forward in the Agency and becomes part of the ROP,
6 it's got to be explained to the public and to lay
7 people at large.

8 And using terms like constrained
9 noninformative prior and frontstops and backstops is
10 not going to be helpful. So you've got to figure out
11 a way to put this in lay terms that helps, you know,
12 people who are not PRA nerds like yours truly and
13 others understand what we're talking about.

14 MR. BARANOWSKY: Yes, I appreciate what
15 you're saying and some aspect of this, if we move
16 forward, needs to be put into the simpler terms and it
17 can be done. But the technical details that we're
18 talking about now need to be discussed at the
19 appropriate level.

20 And I can't, in a meeting like this when
21 we're getting into technical issues, fix that
22 situation but I appreciate it.

23 DR. ROSEN: Well, I'm glad you didn't here
24 because --

25 MR. BARANOWSKY: Yes.

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1 DR. ROSEN: -- we -- some of us have got
2 to tolerate this sort of thing and it's okay.

3 MR. BARANOWSKY: Right.

4 DR. ROSEN: But I think when you go more
5 broad with this, if we go more broad with it, you need
6 to be thinking about risk communication and with a
7 different set of terms --

8 MR. BARANOWSKY: We'd have to --

9 DR. ROSEN: -- to describe the same
10 things.

11 MR. BARANOWSKY: -- write a sort of an
12 executive summary for the laymen if you will. That's
13 a good point. And we're learning about risk
14 communication so I'm looking for the experts who can
15 help me write that. And it turns out that I'm looking
16 in a mirror.

17 DR. SIEBER: I guess I have -- I would
18 agree with what Steve is saying. And to me that's the
19 most difficult part of using this kind of an indicator
20 is that it's very complex and it has a lot of features
21 to sort of adjust it so that it works right, which the
22 average member of the public may not be able to fathom
23 properly.

24 MR. BARANOWSKY: Yes.

25 DR. SIEBER: And I think explaining it in

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1 terms that the general public would understand is
2 going to be an extremely difficult job. It will
3 probably be as difficult as developing the indicator
4 was in the first place.

5 DR. KRESS: But on a different note, if
6 it's the right thing to do, then don't let that stop
7 you just because it's hard to explain.

8 MR. BARANOWSKY: Understood. There are
9 some complexities associated with the development of
10 the indicator but remember the bulk of what we are
11 doing is looking at how this actually works and what
12 its outcomes and unintended and intended consequences
13 are so that we can, in the end, summarize very simply
14 what the indicator is and how it works.

15 And leave the technical report on a shelf
16 for the eggheads like us to look at. And have a much
17 shorter version of what this is later when it's
18 appropriate. And that might be sooner than later but
19 --

20 DR. KRESS: You know I have a feeling that
21 the general public will understand. You're looking at
22 important systems and components.

23 MR. BARANOWSKY: Yes.

24 DR. KRESS: And if they're out of service
25 or fail too often, then it's a measure of performance

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1 and you go from a green to a white if these things are
2 too significant. I mean I don't think you have to get
3 into the details of --

4 MR. BARANOWSKY: Yes.

5 DR. KRESS: -- of the other stuff.
6 They'll understand that.

7 MR. BARANOWSKY: We haven't tried it yet
8 on this project but you know the NRC has recently
9 published some risk communications guidelines and we
10 are using it on some action sequence precursor results
11 that have come out.

12 And I think we're getting the handle on
13 it. And ultimately this is -- it could be applied
14 here, too, and we just haven't done it yet.

15 DR. SIEBER: Will you have done that to
16 any extent by the time you issue your final report in
17 September?

18 MR. BARANOWSKY: Maybe we'll take a cut at
19 that.

20 DR. SIEBER: I --

21 MR. BARANOWSKY: Yes.

22 DR. SIEBER: -- I think that it's enough
23 of an integral part of this project that we ought to
24 have some indication when we meet again in September
25 as to how you're going to deal with it.

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1 MR. BARANOWSKY: Okay. Now what we're
2 talking about is not rewriting this so that a school
3 teacher could read it. But taking the executive
4 summary, condensing it into a smaller, succinct
5 version that doesn't have jargon in it that drives lay
6 people crazy. Right?

7 DR. KRESS: What I'd be interested in is
8 is this going to actually become part of the ROP? Or
9 is this just an academic thing that you looked at
10 this? Or are there actually plans to revise the ROP
11 and include this?

12 MR. BARANOWSKY: I don't know. And,
13 therefore, we have an exciting speaker that's going to
14 follow me by the name of Bruce Boger who can help you
15 with that.

16 DR. KRESS: Okay.

17 MR. DUBE: I think you have the next one.

18 MR. BARANOWSKY: Okay, the -- let me just
19 wrap this one up, No. 22. We talked about this a
20 little bit earlier. But the MSPI we think is
21 consistent with the maintenance rule, tech specs, and
22 the principles as follows in SECY 99-007, which were
23 the recommendations for the ROP improvements.

24 Specifically for the maintenance rule, we
25 looked at definitions of failures, demands and

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1 unavailable hours.

2 And actually we had some accommodation
3 between maintenance rule folks and us on how to
4 capture unavailability, especially during shutdown
5 conditions versus at-power, which I think went a long
6 way to helping the bookkeeping, if you will, on
7 collecting unavailability information.

8 Also the bases are consistent with
9 technical specifications in the maintenance rule, both
10 of which are tolerant of single failures. The
11 technical specifications -- in fact, the regulations
12 themselves are tolerant of single failures. It
13 doesn't say single failures can occur over and over
14 again. But it does say a single failure is tolerated
15 in the technical specifications, including in the risk
16 informed technical specification development.

17 DR. ROSEN: It's because that's the way
18 the plants are designed.

19 MR. BARANOWSKY: Well, of course, if
20 they're not, the implications would be to constrain
21 surveillance intervals to such a small time frame that
22 one couldn't possibly have risk above 10 to the minus
23 6 when looked at over a short period of time.

24 DR. ROSEN: Well my point is that it
25 doesn't -- the technical specifications of the

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1 maintenance rule don't exist in isolation. They exist
2 and are workable because that's the way -- they
3 reflect the way -- the design basis of the plants and
4 the regulations.

5 MR. BARANOWSKY: Right.

6 DR. ROSEN: So they're consistent across
7 the board. It's what we call -- we're starting to
8 call coherence here, you know.

9 MR. BARANOWSKY: Right. Exactly my point.
10 The maintenance rule is meant to be the first line
11 defense in maintaining and detecting performance,
12 especially performance changes. The performance
13 indicators come in next. That's the philosophy in 99-
14 007. And the technical specifications provide an
15 ultimate umbrella or limit on things.

16 If you look in 99-007, you'll see
17 statements like sufficient margin should be provided
18 in performance thresholds so that there's an
19 opportunity for licensees to take appropriate action.
20 And where practicable, we should use performance
21 indicators and inspections to cover other areas.

22 And so we've tried to design an indicator
23 around that idea. Of course there is some debate
24 about what is practical and not practical with respect
25 to the MSPI and I think that's still being looked

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1 into. But Bruce Boger can tell you more.

2 So the conclusions. Although we haven't
3 completely finished our work, I would have to say that
4 the MSPI has been really thoroughly tested and
5 evaluated. And, in fact, discussed at many public
6 meetings. Not just here but with stakeholders almost
7 on a monthly basis over the last couple of years.

8 The indicator clearly addresses the
9 problems that were identified with the current set of
10 PIs. As you've seen from all the sensitivity studies,
11 simulations, and unbelievable number of calculations
12 that were done here, we have a very good understanding
13 of the capabilities, strengths, and limitations of the
14 MSPI.

15 Some points were raised here that maybe we
16 need to make sure are clear on our understanding of
17 strengths and limitations. We're looking at a few
18 what I call peripheral adjustments -- this adjustment
19 to the short-term capability of detecting deviations
20 from nominal performance.

21 And also any other comments, by the way,
22 that we might receive either from external or internal
23 stakeholders. Because we're in an internal and
24 external public comment period on the methodology
25 that's captured in this report. And we won't do a

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1 final report until we address those comments.

2 Nonetheless, even in the current
3 configuration, I think we've shown that the MSPI is
4 quite a capable indicator. It's desirable qualities
5 involve its ability to be very plant specific. It has
6 a proper treatment of reliability and availability.
7 It allows balancing and weighs them appropriately.

8 It captures performance degradation and
9 considers false positive and false negative concerns.
10 And the results are pretty robust as you could see
11 from some of the analyses that we did, sensitivity
12 studies, and the simulations.

13 I mentioned its consistency with the
14 maintenance rule and tech specs. The PRA adequacy
15 issues that Don went over in some detail are
16 identifiable and potentially manageable. They're not
17 resolved at this point but they're potentially
18 manageable.

19 Some contribute to significant
20 discrepancies in outcomes but because of the
21 robustness and the limitations and the structure of
22 the MSPI, those smaller ones have virtually no impact
23 on the outcomes. You get the same outcome.

24 DR. ROSEN: Before you get off that slide,
25 could you say more about this bullet computation is

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1 structured and programmable. What did you mean?

2 MR. BARANOWSKY: Yes, in fact maybe this
3 is where I should use this one back up view graph.

4 MR. DUBE: I think it also answers your
5 question on the data from earlier.

6 MR. BARANOWSKY: Yes.

7 DR. ROSEN: My question was about the
8 industry and the staff's difficulty in handling the
9 data.

10 MR. BARANOWSKY: Exactly. So this will
11 address that. No, you just have to twist that.

12 There are a lot of subtleties in the
13 development of the MSPI methodology but when it comes
14 to doing the calculation, one calculates UAI and URI.
15 Those are the two indices, reliability and
16 unavailability index. There are one-time inputs of
17 the core damage frequency, Fussell-Veselys, the
18 baseline unavailability for the plant that come
19 directly from the PRA, okay?

20 The data that one collects for
21 unavailability, of course, is the number of hours for
22 -- unavailable hours for each MSPI system train when
23 the plant is critical and the number of critical
24 hours, which is clearly straightforward. We're
25 talking about, you know, a dozen trains or something

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1 like that.

2 DR. ROSEN: But this is not new.

3 MR. BARANOWSKY: This is not new. This is
4 maintenance rule. That's why I'm saying it's
5 consistent with the maintenance rule. They're
6 collecting that information. What we need to do is
7 make sure that the information is collected in a
8 common format. Thus we had the activity at INPO to
9 create the consolidated data entry system so that one
10 collects this information one shot. And I'm going to
11 let NEI say more about the practicality of that.

12 DR. ROSEN: But I -- the first two lines
13 on this slide, you haven't said one word -- and I'm
14 not being critical, Pat, I'm just pointing it out.

15 MR. BARANOWSKY: Okay.

16 DR. ROSEN: You haven't said one word
17 about any new data yet.

18 MR. BARANOWSKY: There's no new data.

19 DR. ROSEN: Okay.

20 MR. BARANOWSKY: The unreliability uses,
21 of course, the core damage frequency, the Fussell-
22 Vesely importance measures related to unreliability,
23 baseline unreliability data, which, by the way, you
24 already have. That's not something new. And the --

25 MR. DUBE: Mission time.

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1 MR. BARANOWSKY: -- mission time for the
2 component and some specific parameters which are
3 already tabulated and they're not subject to change at
4 this point.

5 DR. ROSEN: Okay, let's play our game
6 again. Still nothing new, right?

7 MR. BARANOWSKY: Nothing new there. The
8 data collected quarterly would be the numbers of
9 demands, failures associated with those demands, run
10 hours and failures associated with run hours for
11 approximately I'm going to say 30 to 50 components per
12 plant.

13 DR. ROSEN: In total?

14 MR. BARANOWSKY: Yes. Total, for all
15 systems.

16 DR. ROSEN: Is this all new stuff or is
17 this --

18 MR. BARANOWSKY: No. This is the same
19 stuff --

20 DR. ROSEN: Oh.

21 MR. BARANOWSKY: -- that one would collect
22 for the maintenance rule or to do a PRA.

23 DR. ROSEN: So still nothing -- still
24 nothing new.

25 MR. BARANOWSKY: And what one does is

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1 takes the information that I have in the second line
2 of UAI data and URI data, feeds it into the
3 consolidated data entry program, which is I think
4 still in development but it's -- if someone was INPO
5 here they could tell me -- and that would compute the
6 outputs.

7 So it's -- although there is a
8 sophisticated amount of thinking that went behind the
9 methodology, the elements that one deals with
10 routinely are pretty much the basic things that if you
11 can't do this, you can't do PRA. I'm standing by
12 that. But this is easier than doing PRA.

13 DR. ROSEN: I'd also say if you can't do
14 this, you can't do maintenance rule.

15 MR. BARANOWSKY: I don't see what -- this
16 is it. So I don't know if that answers your question
17 but --

18 DR. ROSEN: No, it does.

19 DR. SIEBER: Well if you can't do PRA,
20 you'll never get to this, right?

21 MR. BARANOWSKY: Right. It's sort of a
22 circle. It's like the chicken and the egg.

23 DR. SIEBER: Right.

24 MR. BARANOWSKY: Okay. So I went -- let's
25 go to the last -- okay, this is our current plan on

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1 what we need to do. The internal comments are due in
2 May. We issued the report to NRR and the regions in
3 the end of February so we've got March, April, May
4 there.

5 Public comments are due in June. We'll
6 take the comments -- we're presuming that they're the
7 usual modest amount of comments because we've taken
8 this methodology and put it out so often we know the
9 issues and, you know, either we're going to make a few
10 adjustments or we're going to describe why we think
11 what we already have in place is adequate.

12 We'd be quite interested in any comments
13 from ACRS members. Then we'll try to have a final
14 draft of the MSPI in August, which we would make
15 available to the ACRS.

16 And hopefully, if you agree, come to the
17 ACRS full committee in September without having
18 another subcommittee at which point we would ask a
19 letter on the MSPI technology or methodology, if you
20 will. And then issue a final report in October of
21 2004, this year, which is pretty much the schedule we
22 laid out two years ago.

23 That completes our presentation.

24 DR. SIEBER: All right. Does any member
25 have any additional questions they'd like to ask at

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1 this time?

2 (No response.)

3 DR. SIEBER: If not, I guess we can move
4 ahead with the agenda. And ask Bruce Boger from NRR
5 to come forward. Or you can do it from there.

6 MR. BOGER: Well, it sort of puts me at a
7 disadvantage -- I prefer --

8 PARTICIPANT: Bruce, take my chair.

9 DR. SIEBER: We have plenty of chairs.

10 PARTICIPANT: Do you have any slides,
11 Bruce?

12 MR. BOGER: I have no slides.

13 PARTICIPANT: Okay.

14 DR. SIEBER: Okay.

15 MR. BOGER: Good morning, my name is Bruce
16 Boger. I'm the Director of the Division of Inspection
17 Program Management at NRR. Among my responsibilities
18 in that position is I'm responsible for the reactor
19 oversight process and I'm also responsible for the
20 determination of regional inspection and assessment
21 resources. So some of that comes together in my
22 division.

23 And I wanted to have a chance to speak
24 with your this morning to tell you where NRR is headed
25 with respect to finding a replacement for the safety

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1 system unavailability or SSUPI. Now we've had a lot
2 of discussions this morning. You know, I think that
3 there are -- a lot of folks are in agreement that the
4 MSPI has several advantages over the SSUPIs. It
5 solves many of the problems.

6 However, we also think there are some
7 disadvantages to use of MSPI relative to the reactor
8 oversight process. Last month, in March, we discussed
9 those with the Commission.

10 The Commission has provided us a staff
11 requirements memorandum relative to this. And
12 basically they have encouraged us to continue the
13 pursuit of a risk-informed performance indicator that
14 resolves the issues associated with SSU performance
15 indicator.

16 They have encouraged us to do so in a
17 timely manner. They advised us that resource
18 considerations should not be a primary consideration
19 in moving forward in a risk-informed way.

20 They encouraged us to address the lessons
21 learned that we had from the MSPI Pilot Program. And
22 they asked us to continue to involve stakeholders in
23 that process.

24 Right now, today, there is the Agency
25 Action Review Meeting that's taking place with senior

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1 NRC managers. One of the topics of discussion is the
2 MSPI and the approach. We're planning to talk to the
3 Commission in May about the Agency Action Review
4 Meeting results so, of course, we'll talk about the
5 MSPI at that time also.

6 DR. SIEBER: Do you have a date for that
7 meeting?

8 MR. BOGER: The Commission meeting is May
9 4th.

10 DR. SIEBER: Oh, okay.

11 MR. BOGER: We envision a series of
12 meetings as we roll this out with whatever direction
13 we receive. But we see a series of meetings taking
14 place involving internal stakeholders and ultimately
15 external stakeholders in determining the approach on
16 how to move forward.

17 That, of course, would include the ACRS in
18 that stakeholder evaluations. So that's where we're
19 headed in NRR.

20 DR. KRESS: You mentioned that you see
21 that the MSPI has a lot of advantages. But also has
22 disadvantages. Do you care to mention what you --

23 MR. BOGER: I think they --

24 DR. KRESS: -- perceive those
25 disadvantages to be?

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1 MR. BOGER: -- we could probably have a
2 nice session on that. Those issues are outlined in
3 the Commission paper that we wrote, which is SECY 04-
4 0053. There are several -- there's a discussion on
5 performance indicators and, in specific, the MSPI.

6 DR. SIEBER: Get me a copy of that.

7 PARTICIPANT: Yes, we need a copy of that.

8 MR. BOGER: But we would -- if the --

9 DR. ROSEN: Could you give us some
10 highlights of what 04-0053 cites?

11 MR. BOGER: I could read them for you.
12 I'd prefer not to do that. I can tell you that on a
13 very high level, I think the -- we're the
14 implementors. We're the implementors of this
15 technique. And many of the implementors are not
16 satisfied that the way the pilot program or the MSPI
17 Pilot Program was piloted, what existed in that pilot
18 gets us to where we want to be.

19 DR. SIEBER: Steve, I've asked to have
20 copies of that SECY paper given to us or sent to us.

21 DR. ROSEN: Is that a fixable problem or
22 do we have to go re-pilot it in your view or what are
23 the implications of that -- the implementors don't
24 like the way the pilot was done.

25 MR. BOGER: No, the didn't like some

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1 aspects of the MSPI as piloted. For instance, they
2 use of the significance determination process, the use
3 of the frontstop, the availability of PRAs to the
4 public. I mean there were aspects like that that we
5 tried to balance in our decision.

6 And so our going forward would be learning
7 from that, taking a look at what's -- what the
8 difficulties that we have from the SSU and coming up
9 with something risk informed that replaces the SSU.

10 DR. ROSEN: So, I'm sorry, I'm trying to
11 write and listen at the same time, Bruce.

12 MR. BOGER: Yes, sir.

13 DR. ROSEN: The use of the frontstop, the
14 availability of PRAs to the public and there was one
15 other thing you said that --

16 MR. BOGER: What did I say -- frontstops
17 --

18 PARTICIPANT: SDP.

19 MR. BOGER: Oh, the use of the SDP or not
20 use of the SDP under certain situations.

21 DR. SHACK: Or whether you would have to
22 do an SDP if you had the single fit -- whether the SDP
23 would replace the MSPI? Or the MSPI would replace an
24 SDP? Is that the issue?

25 MR. BOGER: Right for single failures.

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1 DR. SIEBER: Now the PRA that you would
2 use in this process is really the SPAR models?

3 MR. BOGER: Well --

4 MR. DUBE: No, there would be the
5 licensee's PRA -- plant PRA.

6 DR. SIEBER: The licensee's PRA? Okay.

7 MR. BOGER: And there are, you know,
8 underlying concerns about PRA quality and consistency
9 as well.

10 DR. SIEBER: Right. But you're going to
11 have that problem at this point in time until
12 improvements take place with every risk-informed
13 application that you have.

14 MR. BOGER: Right. And that would lead us
15 to want to perform inspections or at least do an
16 evaluation of what a licensee was using to perform the
17 MSPI which is resource intensive.

18 DR. SIEBER: Yes, it is. Okay.

19 Any other questions?

20 DR. ROSEN: Let me think about strategy
21 here now. When we get a chance to read 04-0053
22 sometime soon --

23 DR. SIEBER: Yes.

24 DR. ROSEN: -- then will we have a chance
25 to discuss that with any -- with the inspection

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1 branch?

2 DR. SIEBER: We don't any --

3 DR. ROSEN: We've had the chance to
4 discuss the research reports with the research people
5 but --

6 DR. SIEBER: Yes, we will not have an
7 opportunity until the September full committee meeting
8 at which time, you know, our thoughts need to be
9 pretty firm.

10 So we would not at that point in time be
11 in an investigative mood. Because if you're going to
12 produce a letter, you should have done your
13 investigation, got the questions answered, before we
14 start drafting the letter.

15 So if we want to review what's in 0053, I
16 think that we would have to have some kind of a
17 subcommittee meeting in advance of that. And I
18 suggest that we wait until we get the document and to
19 read it to see, you know, whether we think that that
20 kind of a meeting is necessary.

21 MS. WESTON: Right because if it contains
22 primarily policy information, we will not be having a
23 subcommittee on that.

24 DR. SIEBER: Yes. If these are -- if it's
25 resource or management issues --

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1 MS. WESTON: We will not be discussing it.

2 DR. SIEBER: -- we're -- our comments are
3 on the technical end.

4 MS. WESTON: Right.

5 DR. SIEBER: As opposed to management
6 issues.

7 MS. WESTON: Right.

8 DR. SIEBER: Okay, any additional
9 questions?

10 (No response.)

11 DR. SIEBER: Seeing none, thank you very
12 much, Bruce.

13 MR. BOGER: You're welcome, thank you.

14 DR. SIEBER: And lastly we have some
15 public comments from NEI. Tony Pietrangelo will
16 provide those to us. So welcome Tony.

17 MR. PIETRANGELO: Thank you. I appreciate
18 the opportunity to be here.

19 My comments will be pretty brief because
20 I think in the earlier presentations, most of the
21 stuff I wanted to cover were already covered. So I'll
22 chose to kind of underscore what are some of the more
23 important aspects of this to us.

24 Starting with -- well first of all, I just
25 want to compliment the NRC on the technical work that

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1 was done in the methodology for MSPI. There was more
2 work done to develop and define the technical basis
3 for MSPI than what was done for the entire ROP over
4 four years ago.

5 This thing has been pushed, prodded,
6 tested more than any of those other PIs. Okay? So we
7 understand what the potential impacts are. We
8 understand what the limitations are. I think there is
9 a lot to be said for that. So to Pat and Don and the
10 contractors, as well as the regions and NRR folks who
11 participated in this, I think it's an excellent
12 technical report.

13 We don't have any technical issues. As a
14 matter of fact, there was so much interaction over the
15 last two years with the pilot plants, with the PRA
16 folks providing input to Don and his contractors on
17 this, technical issues were identified, solutions were
18 proposed, pilots provided additional information to
19 look at what the impacts would be.

20 It was a very collaborative process that
21 went into that technical report that identified what
22 some potential resolutions were. And then we got
23 agreement on what those technical issues were and
24 their resolution.

25 Again, from our perspective, there are no

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1 technical issues left with MSPI. Whatever is in that
2 SECY I think is more -- was alluded to more
3 policy/management/resource-type issues. If there are,
4 in fact, technical issues left, we want to hear about
5 them so we can resolve them.

6 Okay, let me go back now. Why was the
7 industry interested in this effort from a technical
8 standpoint? And most of this was alluded to in Pat
9 and Don's presentation. We had multiple
10 unavailability definitions in the industry; one for
11 the ROP SSUs, one for the maintenance rule, one for
12 the WANO/INPO indicators, and even some different PRA
13 definitions.

14 So if you asked the system engineer at the
15 plant what's the unavailability on the RHR system, you
16 know, he said well which answer to you want? Do you
17 want the one for the ROP? Do you want the one for the
18 maintenance rule?

19 The bookkeeping was mentioned. That is a
20 significant concern for the industry. In fact, the
21 main driver for, you know, the industry effort on this
22 were our CNOs. They were hearing complaints at the
23 plant about data collection and how confusing it was,
24 how resource intensive it was.

25 MSPI, from our standpoint initially

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1 anyway, was the way to get a single definition for
2 unavailability that could be used to support different
3 things but that was consistent.

4 The cascading of the support systems was
5 mentioned. The unavailability monitoring during
6 shutdown was mentioned.

7 One thing that wasn't mentioned was that
8 a lot of the -- in terms of the SSU unavailability
9 definition was the design basis context for
10 unavailability.

11 We're changing that to a risk-informed
12 context consistent with the maintenance rule as well
13 as PRA. And WANO is willing to accept that. So
14 that's a tremendous change in terms of the context for
15 the unavailability data is collected. And leads to a
16 more consistent risk-informed approach.

17 There was also inconsistency with -- you
18 had performance criteria for all these systems in the
19 maintenance rule, both unavailability and
20 unreliability, okay, that are risk informed and then
21 you had these generic thresholds based on performance
22 in the SSU.

23 And because the systems have different
24 risk importances based on the plant-specific designs
25 across the industry, you had kind of a mixed fruit

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1 salad of where the performance criteria was versus
2 where the generic performance threshold was for all
3 these different systems across the industry.

4 We believe that once MSPI is in place, and
5 we hope it will be in place soon, you get rid of that
6 fruit salad and you have a nice alignment of the
7 maintenance rule performance criteria with the
8 threshold -- plant-specific thresholds and MSPI for
9 those systems. So we see a tremendous benefit in
10 terms of the coherence of where those performance
11 criteria and thresholds are.

12 And I think another big benefit from a
13 technical standpoint with MSPI is that you know up
14 front what are the risk importances of those
15 components in those systems. You know up front. That
16 allows you have a much greater focus on safety within
17 the context of that program.

18 The data collection was mentioned before.
19 There is no new data. There is some -- a one-time
20 effort to collect these different importance measures
21 for those components in those systems that will have
22 to be validated to some extent, okay? There's a one-
23 time effort associated with that as well as when a PRA
24 is updated. Those numbers will have to be updated as
25 well.

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1 But the rest of the data in terms of
2 failures, unavailability, critical hours, all that
3 stuff, is already being collected under the
4 maintenance rule.

5 So from our standpoint, we do see a one-
6 time burden associated with the setup of this program
7 as does the staff in terms of the inspection. But
8 longer term, once it's working, we've got one set of
9 books, it's data that's already being collected.
10 We're going to do the PRA updates anyway, okay? So we
11 see over the long term an efficiency gain through this
12 program.

13 Steve, you mentioned the scope of systems
14 and MSPI. That's remaining the same with the addition
15 of the support system element. And I think it was
16 mentioned before that's pretty much risk significant
17 for all the plants, okay, across the board. Component
18 cooling water and service water are very risk
19 significant, okay.

20 It has its own indicator now. I think
21 that's overlooked sometimes in the discussion of MSPI.
22 Rather than having to dig one level down via the
23 cascading to what was -- what made that indicator take
24 a hit or not, now you have its own indicator separate,
25 again consistent with the maintenance rule, we don't

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1 cascade.

2 We do unavailability and unreliability
3 monitoring on that system itself. So there is another
4 consistency gain.

5 The materials issue part was raised
6 before. We must be careful not to oversell what MSPI
7 can do. It's not good for material issues. I think
8 operating experience programs, the ISI program and
9 such, are the place to address those concerns.

10 And I think the way that was explained
11 this morning, that that's not intended to capture that
12 stuff, we shouldn't oversell it and say that it has
13 some relevance to it when it doesn't.

14 The system boundary definitions was
15 mentioned this morning. We've already done this for
16 maintenance rule implementation almost ten years ago
17 now. That's the starting point for the scoping of
18 MSPI. That was inspected in the baseline maintenance
19 rule implementation program.

20 So we don't expect much additional effort.
21 Probably just some -- make sure there's no significant
22 differences and what was scoped into the maintenance
23 rule you will capture the pertinent components.

24 Fault exposure time, I think that's maybe
25 the biggest technical benefit from MSPI is getting rid

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1 of this thing as a surrogate for reliability. I mean
2 we have a -- we already collect the actual data on
3 reliability. Why are we still fooling around with
4 this fault exposure term in performance indicators?

5 DR. ROSEN: I guess I never understood why
6 it was a reasonable surrogate for unreliability to
7 begin with. I mean it was -- can you explain that?

8 MR. PIETRANGELO: I think -- no, I can't.
9 I'm not even going to try to offer a --

10 DR. ROSEN: To try and dignify it with
11 some sort of technical --

12 MR. PIETRANGELO: No, no. Although as an
13 industry, we've been using it also in the INPO and
14 WANO indicators. So it's not like it was --

15 DR. ROSEN: It's been --

16 MR. PIETRANGELO: -- invented just for
17 ROP. It's been around but it's time to get rid of it.

18 DR. ROSEN: It came into place as practice
19 but it never had a good justification. It just kind
20 of like tops it. It grew. And there it was.

21 MR. PIETRANGELO: PRA technical accuracy
22 was mentioned. We think implementation of the program
23 would actually do something tangible to actually
24 improve the technical adequacy of PRAs across the
25 board.

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1 Unlike 5069, which is an optional rule
2 which we hope most if not all licensees would
3 ultimately adopt, it's going to be market driven.
4 This thing is not market driven.

5 Even though it's technically a voluntary
6 program, everybody is going to do it. Everybody is
7 going to have to sign up for this or you face
8 additional inspection hours.

9 So the actual kind of benchmarking that
10 will be done and some of the inspection will put more
11 attention on the PRAs and I think will improve them.
12 And it will give more resources to the PRA because it
13 will be hopefully part of the ROP. So I think we'll
14 see a tangible improvement in PRA technical adequacy
15 as a result of MSPI.

16 Finally, the complexity of this thing was
17 mentioned. Is it more complex than the safety system
18 unavailability PI? Clearly it is. Okay? But it's
19 worth it. And it's not that complex. I think we can
20 develop -- in fact we have even taken a first cut at
21 the plain language description of what MSPI is.

22 Just like the pamphlet that was put out
23 for the original ROP, we envision something similar
24 here and we've already got a first cut at that. But
25 it clearly has to be explained. I don't think it's

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1 technically complex.

2 We've used Fussell-Vesely measures and
3 importance measures in the maintenance rule and in
4 other applications. And so this is just a simple
5 equation to put those terms together in MSPI. So
6 technically, it is not complex.

7 DR. SIEBER: Well, that's sort of the in
8 the eyes of the beholder. But I think your plain
9 language explanation as to what the MSPI is is
10 important because this has to be communicated to the
11 public.

12 You know the ROP and it's predecessors
13 have been relied upon by the financial community --

14 MR. PIETRANGELO: Right.

15 DR. SIEBER: -- and all kinds of other
16 folks to differentiate one operator from another. And
17 if they don't understand the basic framework or the
18 structure and the meaning of these various indicators
19 and processes, then I think that the ROP won't gain
20 its proper respect.

21 MR. PIETRANGELO: I couldn't agree more.

22 DR. SIEBER: And I think that what you
23 write and what the staff writes ought to coincide. I
24 think that would be a great idea.

25 MR. PIETRANGELO: We've actually done some

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1 preplanning. It would require about a nine-month
2 rollout/implementation period to do the training and
3 communicate, get everybody on board to rollout MSPI in
4 the industry. And the staff obviously has some
5 resource constraints also in terms of the inspection
6 work that would be needed to roll this out.

7 From our standpoint, you know, we're
8 willing to work on whatever issues are out there be
9 they technical, be they policy, be they resource. I
10 think you can glean from my remarks that the industry
11 is very positive about hopefully getting MSPI rolled
12 into the ROP.

13 It's clearly a technically superior
14 indicator than the current SSU. And it does so much
15 in terms of bringing coherence between different
16 processes in the regulatory framework that it's really
17 worth it.

18 And with that, if there's any further
19 questions --

20 DR. ROSEN: Are you going to tell us how
21 you really -- where you really stand?

22 (Laughter.)

23 DR. SIEBER: I would point out that we
24 have another meeting that will start shortly with the
25 Planning and Procedures Subcommittee. And so Steve

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1 and Mario would want to leave.

2 On the other hand, before they do, I'd
3 like to ask either one of them or both of them if they
4 have any comments that they would like to pass on?

5 DR. BONACA: Yes, I'm impressed by the
6 indicator and by the effort done to validate it. I
7 think that it adds context to the information it
8 provides that didn't exist before. And I think that
9 context is very important.

10 I think that the degree to which you can
11 represent both unreliability and unavailability and
12 you have a weighting process based on risk
13 significance and you have a, you know, I mean there is
14 much more insightfulness.

15 I also think that there is merit to the
16 frontstops and backstops. I mean the ability of doing
17 some counting by the number of failures that you're
18 looking at over a period of time tells you really the
19 performance of the plant.

20 Individual event always bothered me, you
21 know, when we were just measuring one event. And you
22 don't know if it comes from, you know, what it really
23 means. And, again, this adds context, it provides
24 insightful data. I am supportive of it.

25 MR. PIETRANGELO: I know the staff gave

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1 you a schedule for a potential ACRS letter on this.
2 I do know that the committee overall has an
3 interaction with the Commission in May also.

4 DR. SIEBER: That's why I wanted to know
5 whether theirs was first or ours was first.

6 DR. BONACA: No, they are --

7 MR. PIETRANGELO: They're May 6th I
8 believe.

9 DR. BONACA: Yes, that's right.

10 DR. SIEBER: Yes, we are first.

11 MR. PIETRANGELO: And given the
12 Commission's interest in that issue, I encourage you
13 to speak to it during the briefing.

14 DR. SIEBER: Thank you.

15 DR. BONACA: I would expect they will ask
16 the question so --

17 DR. SIEBER: Yes, right. I'm going to
18 wait for that.

19 DR. BONACA: You're going to be the
20 selected person.

21 DR. SIEBER: Steve, would you like to add
22 any comments?

23 DR. ROSEN: Yes, just a brief one. I
24 think that the effort has been superior, the
25 cooperation between the staff and industry is

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1 exemplary. And I think it would be a shame if given
2 all the good work and effort that's gone on that the
3 Commission were to back away from it at this point.

4 That's not to say that the concerns
5 expressed by Bruce Boger aren't real ones. They are.
6 And they need to be dealt with in a straightforward
7 way and worked through if it's possible. If it's not,
8 well then we need to know what the showstopper is but
9 it's just -- to me that's just another part of the
10 process of risk communication, in this case, internal.

11 To work through whatever the issues are
12 and see our way clear to doing what I think we
13 universally think is a better technical job is the way
14 to go.

15 DR. SIEBER: Okay. You have to go to the
16 meeting?

17 DR. ROSEN: Yes.

18 DR. SIEBER: We'll let you go. Why don't
19 we go around the room and DR. Kress, do you have any
20 comments you'd like to make?

21 DR. KRESS: Well, I also like this piece
22 of technical work. I think it's good. It addresses
23 the concerns that I and some of the other committee
24 members have had with the current ROP process and the
25 risk informed of the current ROP process.

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1 It gets rid of some of the arbitrariness
2 about the performance issues by actually tying them to
3 what expected performance is. And it gives a chance
4 to actually have plant-specific thresholds, which was
5 another one of our issues.

6 So overall I like it and I think I'd like
7 to see us proceed and get rid of all the problems with
8 it and get it included in the ROP.

9 DR. SIEBER: Okay, thank you. Dr. Shack?

10 DR. SHACK: No, I'll just echo what Steve
11 and Tom said.

12 DR. SIEBER: All right. Graham?

13 DR. LEITCH: Yes, the only thing I would
14 add is just I like the idea of modifying the system
15 somewhat so that as has been mentioned, that events
16 that are recurring, repetitive events over a fairly
17 short period of time, be factored into the process.
18 I think that's an important insight that staff has
19 already discussed.

20 And I would just like to add my support to
21 some modification that would reflect those kinds of
22 repetitive failures over a short period of time.

23 DR. SIEBER: Thank you. Peter?

24 DR. FORD: To use Steve's words, I'm not
25 a PRA geek. I'm a materials geek. Within that

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1 confines, I was impressed by the MSPI program.
2 Metrics are pragmatic. And they also meld into the
3 existing, as I understand it, maintenance rule and
4 tech specs.

5 Now my plea is that if and as this program
6 is developed further and used, that you do have meld
7 in or create a materials degradation capability into
8 it. Materials degradation has been a reliability
9 issue for many, many years. And I hope it is in the
10 future. That's it.

11 DR. SIEBER: Okay. I guess my own
12 comments are that I basically concur with my
13 colleagues on the use of the MSPI. And -- but I do
14 think it's complicated. I think that communication of
15 this to the general public who is going to use it is
16 important because the reputation of the ROP depends on
17 the explainability of all the components that go into
18 it.

19 And I think that since that's such a key
20 document that guides the Agency's management of its
21 compliance and enforcement actions and a document upon
22 which licensees rely to get a measure of how they
23 stand with respect to their license requirements, that
24 this communication is an important factor and should
25 be carefully considered by the staff and by the

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1 industry.

2 And so with that, if there are any
3 additional comments by members or anyone in the
4 audience or the staff?

5 (No response.)

6 DR. SIEBER: If not, I'd like to thank all
7 the speakers, again from the staff and also from
8 industry. And I would like to adjourn this meeting.

9 (Whereupon, the above-entitled meeting was
10 concluded at 11:15 a.m.)

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