

<p><b>APPLICATION FOR FEDERAL PROCESSOR PERMIT (FPP)</b></p>	<p>United States Department of Commerce National Oceanic and Atmospheric Administration National Marine Fisheries Service (NMFS) Restricted Access Management (RAM) P.O. Box 21668 Juneau, Alaska 99802-1668 Telephone: (800) 304-4846 toll free or (907) 586-7202 FAX: (907) 586-7354</p>
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**NOTICE: Only persons who are U.S. Citizens are authorized to receive or hold a Federal Processor Permit.**

Indicate whether this application is for a Shoreside Processor Permit or a Stationary Floating Processing Permit

[ ] Shoreside Processor                      [ ] Stationary Floating Processor (SFP)

**IMPORTANT!** A copy of the *U.S. Coast Guard Abstract of Title* or *Certificate of Documentation* **must be included** with this application if ownership of the vessel used as a stationary floating processor listed in Block B has changed or if a FPP has never been issued using this vessel.

Is this application being submitted in order to amend an existing FPP?    [ ] YES    [ ] NO

If YES, please provide your current FPP number \_\_\_\_\_

If you are amending your permit, enter the permit number where indicated and fill out all blocks relevant to your processor and activities. Failure to complete all relevant blocks can result in improper permitting.

<b>BLOCK A -- OWNER INFORMATION</b>		
1. Name(s) of Owner(s):	2. NMFS Person ID:	
	3. SSN or Tax ID No.*:	
	4. Date of Birth or Date of Incorporation:	
5. Permanent Business Mailing Address:	6. Temporary Business Mailing Address:	
7. Business Telephone No.:	8. Business Fax No.:	9. Business E-Mail Address (if available)
10. Managing Company Name (if any)		

\*This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal permits. The primary purpose for requesting the SSN/TIN is for the collection and reporting on any delinquent amounts arising out of such person's relationship with the government pursuant to the Debt Collection Improvement Act of 1996 (Public Law 104-134). Personal information is confidential and protected under the Privacy Act (5 U.S.C. 552a). Business information may be disclosed to the public.

**BLOCK B -- STATIONARY FLOATING PROCESSOR (SFP) INFORMATION**

1. Name of SFP:		2. Is this a vessel of the United States?  [ ] YES [ ] NO	
3. USCG Documentation No.	4. ADF&G Vessel Registration No.	5. ADF&G Processor Code  F	
6. Length Overall (LOA) _____ ft Registered Length _____ ft	7. Gross Tonnage _____ Net Tonnage _____	8. Shaft Horsepower	
9. Homeport of Vessel (city and state)			
<p>10. <b>GOA Inshore Processing Endorsement.</b> An SFP that wishes to receive a Gulf of Alaska (GOA) inshore processing endorsement must check the box below. A GOA inshore processing endorsement is required in order to process GOA inshore pollock and GOA inshore Pacific cod. An SFP that holds an inshore processing endorsement is prohibited from processing GOA pollock and GOA Pacific cod in more than one single geographic location during a fishing year and is also prohibited from operating as a catcher/processor in the Bering Sea and Aleutian Islands Management Area (BSAI). <b>Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year.</b> See application instructions for further explanation.</p> <p>[ ] GOA Inshore Processing Endorsement</p>			

**BLOCK C -- SHORESIDE PROCESSOR INFORMATION**

1. Name:		2. ADF&G Processor Code  F	
3. Business Address [ ] Permanent [ ] Temporary		4. Physical location of plant	
<p>5. Is this shoreside processor replacing a previous processor at this facility? [ ] YES [ ] NO</p> <p>If yes, name of previous processor _____</p>			
<p>6. Are there multiple processing businesses using this facility? [ ] YES [ ] NO</p>			
<p>7. Does the owner named in Block A, above, own the plant named in Block C, question 1, above? [ ] YES [ ] NO</p>			
8. Business Telephone No.	9. Business Fax No.	10. Business E-Mail Address (if available)	

**BLOCK D -- APPLICANT SIGNATURE**

Under penalties of perjury, I hereby declare that I, the undersigned, completed this application, and the information contained herein is true, correct, and complete to the best of my knowledge and belief.

Applicant Name (please print or type)	Signature	Date
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**PUBLIC REPORTING BURDEN STATEMENT**

Public reporting burden for this collection of information is estimated to average 0.35 hours per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to NOAA, National Marine Fisheries Service, Alaska Region, Attn: Assistant Regional Administrator, Sustainable Fisheries Division, P.O. Box 21668, Juneau, AK 99802-1668.

**ADDITIONAL INFORMATION**

Before completing this form please note the following: 1) The NMFS may not conduct or sponsor this information request, and you are not required to respond to this information request, unless the form displays a currently valid OMB control number; 2) This information is mandatory and is required to manage commercial fishing effort in the GOA and BSAI under 50 CFR 679 and under 402(a) of the Magnuson-Stevens Act (16 U.S.C. 1801, *et. seq.*); 3) Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act as amended in 2006. It is also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

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**INSTRUCTIONS**  
**APPLICATION FOR FEDERAL PROCESSOR PERMIT (FPP)**

**Federal Processor Permits** are required for a shoreside processor or stationary floating processor (SFP) (see 50 CFR 679.4).

**Processing** or **to process** means the preparation of, or to prepare, fish or crab to render it suitable for human consumption, industrial uses, or long-term storage, including but not limited to cooking, canning, smoking, salting, drying, freezing, or rendering into meal or oil, but does not mean icing, bleeding, heading, or gutting specifications under (see 50 CFR 679.2).

**GENERAL INFORMATION**

- Y Complete a separate application for each processor. Application forms and instructions are also available on the NMFS, Alaska Region web site at [www.fakr.noaa.gov/ram](http://www.fakr.noaa.gov/ram).
- Y A copy of the U.S. Coast Guard *Abstract of Title* or *Certificate of Documentation* **must be included** with this application if ownership of the vessel used as an SFP listed in **Block B** has changed or if a Federal Processor Permit has never been issued using this vessel.
- Y Indicate whether or not you are amending your FPP. If you are amending your permit, enter the permit number where indicated and fill out Block A, the information you are changing, and sign and date the application in Block D.
- Y Type or print legibly in ink.
- Y Retain a copy of the completed application for your records.

Mail the completed application to:

**NMFS, Alaska Region**  
**Restricted Access Management (RAM)**  
**P.O. Box 21668**  
**Juneau, Alaska 99802-1668**

Or deliver to:

**Federal Building**  
**709 W. 9<sup>th</sup> Street, Suite 713**  
**Juneau, Alaska 99801**

Or fax to:

**(907) 586-7354**

Applications can be faxed to RAM; however, permits **cannot** and **will not** be faxed back. If you need assistance in completing this application or need additional information:

Call: (800) 304-4846 (#2) or (907) 586-7202 (#2)

View our website: [www.fakr.noaa.gov/ram](http://www.fakr.noaa.gov/ram), or

e-mail your questions: [RAM.Alaska@noaa.gov](mailto:RAM.Alaska@noaa.gov)

**SPECIAL HANDLING**

Please allow at least 10 days for processing your permit. **Do not wait until right before an opening to apply for your permit**, as you may not receive it on time. You may FAX your permit application to us at (907) 586-7354, but a permit **cannot and will not** be faxed back to you.

If you would like to have your permit sent by a method other than regular mail, please attach a note indicating a method and follow the appropriate procedure below.

**Express Mail.** If you would like to have your permit sent to you by U.S. Postal Express Mail, determine from the table below the weight and dimensions of the appropriate documents. Send us an express mail envelope with the correct amount of postage prepaid or send express mail stamps UNATTACHED to an envelope. **NOTE:** If the express mail envelope you send is too small or the postage attached is less than the amount required, your permit and logbook will be sent to you by regular U.S. mail.

**Other Express Carriers.** If you would like to have your permit sent to you by a private express carrier, e.g., Federal Express, UPS, DHL, etc., submit your account number and name of the carrier or a prepaid envelope with the permit application.

Logbooks and appropriate forms may be obtained by contacting the Sustainable Fisheries Division, NMFS, AKR by mail at P.O. Box 21668, Juneau, Alaska 99802-1668; by phone at call 800-304-4846 (select option 3) or 907-586-7228; or by FAX at 907-586-7465. The SFP and shoreside processor use the same Shoreside Processor Logbook. The approximate size and weight of this logbook is given below.

	Dimensions	Weight
	(inches)	(lb,oz)
Shoreside Processor Logbook	11 x 17	5.0

**Electronic Reporting.** An electronic alternative to the shoreside processor logbook is available. Processors that use eLandings, an Internet system, transmit landing, discard, and production data to NMFS electronically, and are not required to submit weekly production reports. Processors interested in using eLandings should contact NMFS Sustainable Fisheries Division at 586-7228 for further information.

If you have questions when completing the application, please call RAM at (800) 304-4846 (select option 2) or (907) 586-7202 (select option 2), check our web site at [www.fakr.noaa.gov/ram](http://www.fakr.noaa.gov/ram), or e-mail your questions to [RAM.Alaska@noaa.gov](mailto:RAM.Alaska@noaa.gov).

## GENERAL INSTRUCTIONS

If you are amending an existing permit, enter the permit number where indicated and fill out all blocks relevant to your processor and activities. Failure to complete all relevant blocks can result in improper permitting.

Please indicate the type of Federal Processor Permit which you are requesting.

**Shoreside Processor** - Any person or vessel that receives unprocessed groundfish, except catcher/processors, motherships, buying stations, restaurants, or persons receiving groundfish for personal consumption or bait.

**Stationary Floating Processor (SFP)** - A vessel of the United States operating as a processor in Alaska State waters that remains anchored or otherwise remains stationary in a single geographic location while receiving or processing groundfish harvested in the Gulf of Alaska (GOA) or the Bering Seas and Aleutian Islands Management Area (BSAI).

## BLOCK A -- OWNER INFORMATION

1. Enter the full name of the owner of the vessel used for the SFP listed in Block B; or the owner of the shoreside processor listed in Block C. Attach a copy of the U.S. Coast Guard *Abstract of Title* or *Certificate of Documentation* if ownership of the vessel used as a SFP listed in Block B has changed or if an FPP has never been issued using this vessel. The permit **MUST** be issued to the owner of the SFP or shoreside processor, not to operators or lessees.

**Note:** If there is more than one owner, list the principal owner first. The permit will be issued to the first owner listed, with an *et al.* notation

2. Enter your complete **permanent** business mailing address, including street or PO Box, state, and zip code. Your permit will be sent to this address. If you want the permit sent to somewhere other than to the permanent address, indicate temporary address and include street or P.O. Box number, city, state, and zip code.
3. Enter social security number (SSN) if applicant is an individual. Enter tax identification number (Tax ID No.) if applicant is a corporation, partnership, association or other business entity.

\*This information is used to verify the identity of the applicant(s) and to accurately retrieve confidential records related to federal permits. The primary purpose for requesting the SSN/TIN is for the collection and reporting on any delinquent amounts arising out of such person's relationship with the government pursuant to the Debt Collection Improvement Act of 1996 (Public Law 104-134). Personal information is confidential and protected under the Privacy Act (5 U.S.C. 552a). Business information may be disclosed to the public.

4. Enter date of birth if applicant is an individual; enter date of incorporation if applicant is a corporation, partnership, association or other business entity.
- 5-7. Enter the business telephone number, including area code, business fax number, and business e-mail address, if any, that are used by the processor owner. It is very important that you provide a number where we can contact you, or where we can leave messages for you. If questions arise concerning your application, and we are unable to contact you, issuance of your permit will be delayed.
8. Enter the name of the company, if other than the owner, that manages the operations of the stationary floating processor or shoreside processor.

## BLOCK B -- STATIONARY FLOATING PROCESSOR (SFP) INFORMATION

Complete this block if you are requesting an FPP for an SFP.

1. Enter the complete vessel name as displayed in the official documentation.
2. Check whether or not the vessel is a vessel of the United States.
3. Enter the U.S. Coast Guard documentation number (example: 566722).
4. Enter the 5-digit State of Alaska Department of Fish & Game (ADF&G) vessel registration number (example: 51233).
5. Enter the ADF&G Processor Code.
6. Enter the vessel's length overall (LOA) in feet and registered length in feet.

The **LOA** of a vessel means the centerline longitudinal distance, rounded to the nearest foot, measured between: (1) the outside foremost part of the vessel visible above the waterline, including bulwarks, but excluding bowsprits and similar fittings or attachments, and (2) the outside aftermost part of the vessel visible above the waterline including bulwarks, but excluding rudders, outboard motor brackets, and similar fittings or attachments (50 CFR 679.2).

7. Enter registered gross tonnage (U.S. tons) and net tonnage (U.S. tons) as stated in the official documentation.
8. Enter the shaft horsepower.
9. Enter the home port (city and state) as recorded in the official documentation.
10. GOA Inshore Processing Endorsement.

**GOA Inshore Processing Endorsement.** Stationary floating processors that wish to receive GOA inshore processing endorsements must complete No. 9 of Block B on the application. A GOA inshore processing endorsement is required in order to process GOA inshore Pacific cod and GOA inshore pollock. Stationary floating processors that hold an inshore processing endorsement are prohibited from processing GOA pollock and GOA Pacific cod in more than one single geographic location during a fishing year and are also prohibited from operating as a catcher/processor in the BSAI. **Once issued, a GOA inshore processing endorsement cannot be rescinded for the duration of a fishing year.** It may be changed for the next fishing year by submitting an application for permit amendment prior to the beginning of that fishing year. For more information on the inshore/offshore regulations, contact Sustainable Fisheries Division toll free at (800) 304-4846 (select option #3) or (907) 586-7228. Vessels holding the GOA inshore processing endorsement face additional operating restrictions (see 50 CFR 679.7).

## BLOCK C -- SHORESIDE PROCESSOR INFORMATION

Complete this block if you are requesting an FPP for a shoreside processor that is receiving or processing groundfish harvested from the GOA or the BSAI.

1. Enter the name of the shoreside processor.
2. Enter the business address including street or P.O. Box number, city, state, and zip code. Indicate whether this is a permanent or temporary address.

3. Enter the physical location where the plant, at which the owner of shoreside processor in Block A, question 1, is operating. **DO NOT USE POST OFFICE BOX NUMBERS.**
4. Indicate if this processor is replacing a previous processing business at this facility.
5. Indicate if there are multiple processing businesses using this facility. If YES, list the names of those other processing businesses.
6. Indicate if the applicant in Block A owns the plant at which the shoreside processor listed in Block C, question 3, is operating.
7. Enter the ADF&G Processor Code.
- 8-10. Enter the business telephone number, including area code, business fax number, and business e-mail address, if any, that are used by the owner of the shoreside processor. It is very important that you provide a number where we can contact you, or where we can leave messages for you. If questions arise concerning your application, and we are unable to contact you, issuance of your permit will be delayed.

#### **BLOCK D -- APPLICANT=S SIGNATURE**

The applicant must enter printed name, sign and date the application certifying that all information is true, correct, and complete to the best of his or her knowledge and belief. The application will be considered incomplete without this signature.