

U.S. Department of the Treasury

Treasury IT Performance Measures Guide Addendum:

Migrating to the New Performance Information Table for the FY2009 Exhibit 300 Submission

Office of the Chief Information Officer (OCIO)
Enterprise Architecture Program

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1 Introduction

For the FY2009 Exhibit 300 submission, OMB has eliminated the two table structure for reporting Performance Information. All investments are now required to report their Performance Information in a single table that combines elements of both tables. This addendum provides step by step guidance for investment owners in migrating their existing Performance Information into the new table and for filling any gaps that exist based upon the new requirements. Additionally, this addendum explains the impact of the new Exhibit 300 requirements on the quarterly Control Review of Performance Information for major investments.

2 Converting Performance Information for the FY2009 Exhibit 300

This section will describe how Project Managers convert their existing Performance Information to the new table in the Exhibit 300. The figure below shows an unpopulated version of the new Performance Information Table found in the OMB Exhibit 300:

Figure 1 OMB Exhibit 300 Performance Information Table

Performance Information Table							
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007		Mission and Business Results					
2007		Customer Results					
2007		Processes and Activities					
2007		Technology					

Investments are required to have at least one measure for each PRM Measurement Area beginning with the measures for FY2007, and the table should include measures up to FY2009 and beyond. Project Managers who need to derive new measures for their Exhibit 300 should consult the Treasury IT Performance Measures Guide for practical guidance on measures for major investments. For this addendum, example information will be drawn based upon the example of the financial reporting system used in the Treasury IT Performance Measures Guide.

2.1 For Investments on Table 2

For investments initiated since FY2005 and using Table 2 to report Performance Information, data will be automatically converted to the new table. Project

Managers are required to fill in the remaining column, "Strategic Goal Supported" based upon which of Treasury's five Strategic Goals is supported by the Performance Measure in that row.

Figure 2 Sample Conversion for Table 2 Measures

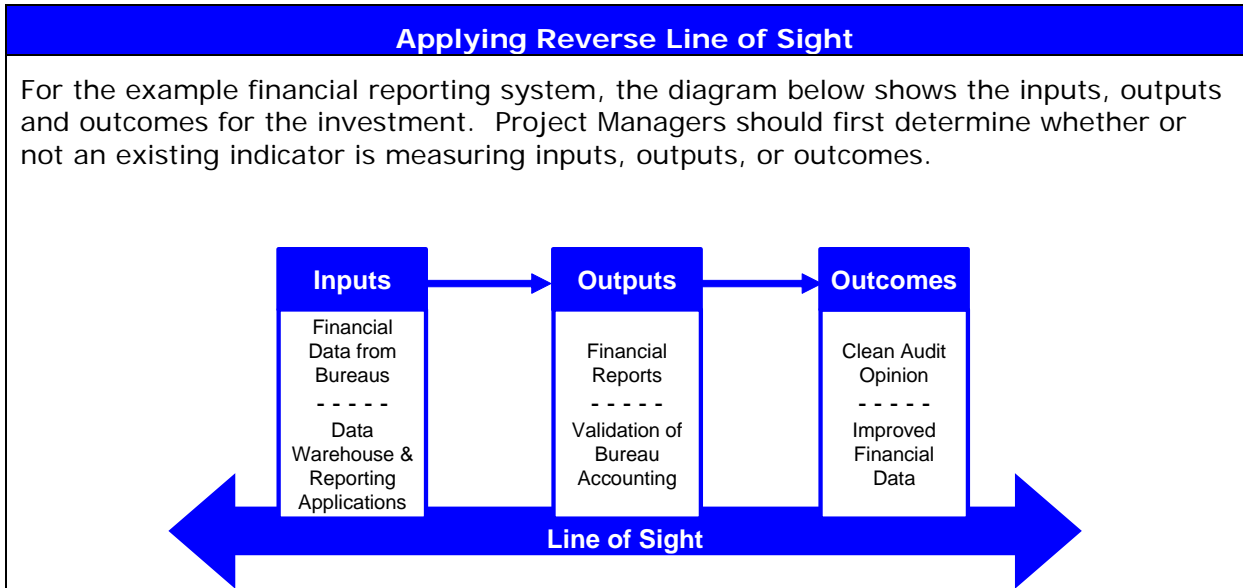
Performance Information Table							
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007	F4	Mission and Business Results	Reporting and Information	Number of quarterly financial reports produced by the target date	90	100	105

2.2 For Investments on Table 1

For investments initiated prior to FY2005 and using Table 1, data will not be automatically transferred to the new Performance Information Table. Project Managers will manually transfer data from Table 1 to the new table and develop mappings for the PRM for existing measures. The old Table 1 data can be found on the form titled 'Performance Information Table 1 Data Conversion' in ProSight. Additionally, investments that reported Performance Information using Table 1 are required to have one measurement for each of the PRM Measurement Areas, meaning some investments will need to add measures to their table.

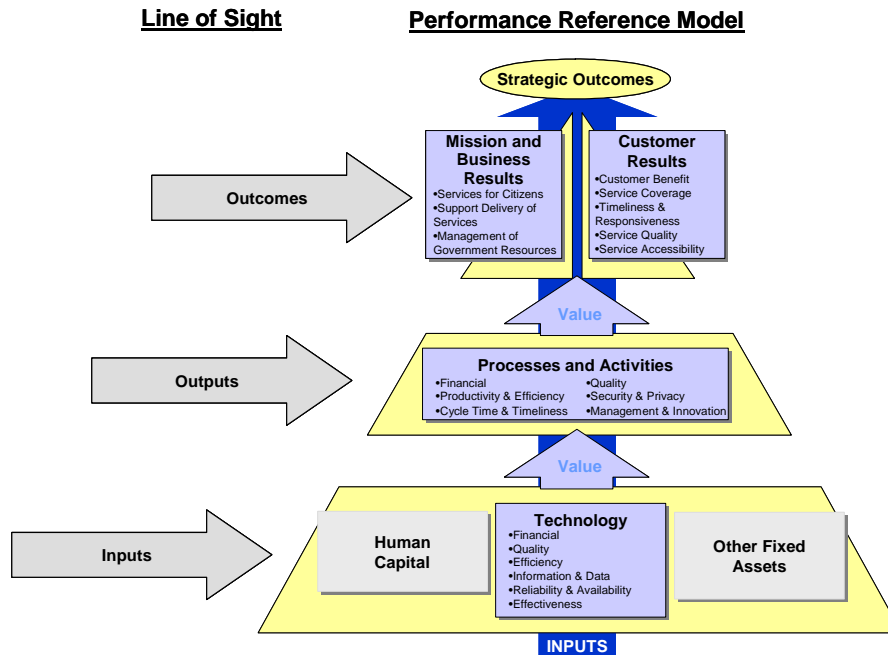
2.2.1 Aligning Existing Measures to the PRM

For existing measures, Project Managers should apply a 'reverse line of sight' to their measures to align them back to the goal or objective that they support within the project. Project Managers should then consult the PRM to find where that goal or objective aligns within the PRM taxonomy.



As shown in the figure below, a performance measure's location on an investment's line of sight will readily determine its PRM Measurement Area.

Figure 3 Alignment of PRM to IT Investment Line of Sight



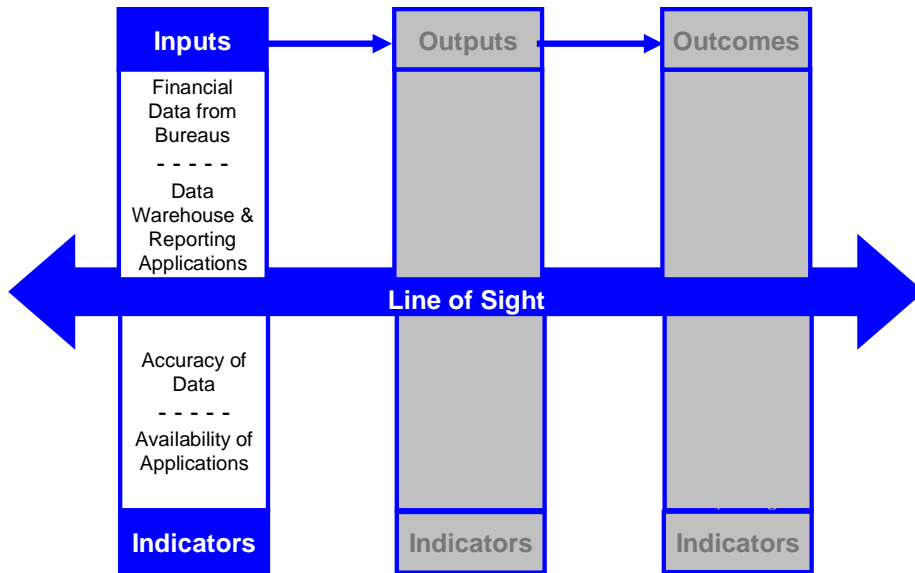
Once the Measurement Area has been determined, Project Managers can determine the proper Measurement Category and Grouping within the Area.

Aligning Indicators To Measurement Areas

Using the definitions of the Measurement Categories and Groupings provided in the FEA CRM, relevant indicators for the example financial reporting system can be properly aligned. The diagram below shows an existing indicator for the example financial reporting system:

Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator
Unknown	Unknown	Unknown	Financial Reporting system up-time (%)

The line of sight diagram below now shows input-oriented indicators of success for the example financial reporting system:



The establishment of line of sight shows that the availability of applications is a measure of input, and therefore this indicator belongs in the Technology Measurement Area.

Once a Measurement Area has been derived, Project Managers must align the performance measure to its appropriate Measurement Category and Grouping. An important item to note is that performance measures in the Mission and Business Results Measurement Area will have PRM mappings that are identical to the investment’s Business Reference Model (BRM) mapping.

Aligning Indicators Within Measurement Areas			
Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator
Technology	Unknown	Unknown	Financial Reporting system up-time (%)
<p>Using the taxonomy within the Technology Measurement Area, it is clear that the indicator most readily aligns to the 'Availability' Grouping within the 'Reliability and Availability' Category.</p> <p>The diagram below shows an example Technology indicator mapped into its relevant PRM Measurement Area, Category, and Grouping:</p>			
Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator
Technology	Reliability and Availability	Availability	Financial Reporting system up-time (%)

2.2.2 The Need for New Measures

The new Performance Information Table requires that each investment have at least one performance measure for each PRM Measurement Area starting with measures for FY2007. This means that some investments transferring data from Table 1 will need to add new measures to their Exhibit 300. For detailed guidance on developing and reporting new measures, Project Managers should consult the main body of the Treasury IT Performance Measures Guide.

3 Impact on Performance Information for the Quarterly Control Process

For the quarterly Control Review of Performance Information, Project Managers will begin reporting against measures in the new Performance Information Table starting with the Q3 FY2007 Control Process. The primary items to note are:

- Project Managers will no longer select the start date for the investment
- Project Managers do not need to fill out data on new measures for previous quarters
- For new measures, Project Managers need to add target data for Q3 and Q4 of FY2007
- Project Managers do not need to 'clean up' the Performance table in the Control Form for previous quarters. They only need to be concerned with Q3 FY2007 and beyond